

ANDREW M. CUOMO Governor HOWARD A. ZUCKER, M.D., J.D. Commissioner

**LISA J. PINO, M.A., J.D.**Executive Deputy Commissioner

July 1, 2021

Dear Administrator/Director of Nursing:

This letter provides information regarding changes to the Minimum Data Set (MDS) data collection periods effective beginning with the July 2021 Medicaid reimbursement rates.

**NEW**: In accordance with recently adopted regulation, *10 NYCRR 86-2.40 (m)*, <a href="https://regs.health.ny.gov/content/section-86-240-statewide-prices-non-capital-reimbursement">https://regs.health.ny.gov/content/section-86-240-statewide-prices-non-capital-reimbursement</a> the following changes have been made to the MDS process:

- The census dates in January and July have been eliminated.
- The case mix index is now calculated using an AVERAGE of ALL Medicaid assessments.
- The case mix index for the July 2021 rates will include ALL assessments submitted to CMS from **October 1, 2020 through March 31, 2021**.
- Facilities will no longer select residents to include in the census.
- Consistent with past practice, facilities will continue to review and make necessary updates (payor source, TBI, BMI, Dementia, Authorized Specialty) to their file on the HCS.
- The 5% cap on case mix index increases or decreases from the previous rate period has been eliminated.
- The MDS Certification form has been amended and continues to be required. The Certification form required for reporting starting in July, 2021 accompanies this letter.
  - Please note, if your certification is not received by July 30, 2021, the Department will use your facility's prior case mix index to calculate rates.
  - Additionally, Public Health Law §12 authorizes the Department to access a
    penalty of up to \$2,000 for each violation of any regulation. The Department
    considers each day that a certification is not submitted by the due date to be a
    separate violation of 10 NYCRR 86-2.40 (m).

The Department will be holding a webinar on Wednesday, July 7, 2021 at 1pm to present the new process. This presentation (New Process - MDS for Reimbursement) will also be posted on HCS. Additional details will be forthcoming.

The table below provides a high level timeline for the collection process:

Date	Task	Owner
July 8, 2021	Load MDS data to Health Commerce System (HCS)	DOH
July 8 through July 23, 2021	Review census data (update payer, BMI, TBI, DEM, and Specialty) and make changes if necessary	Providers
Immediately following submission of MDS data; NO LATER THAN 7 DAYS AFTER MDS SUBMISSION	Submit MDS Certifications electronically to DOH	Providers
July 30, 2021	Final date for Department to RECEIVE Certifications	Providers

The facility certification is **due no later than seven (7) calendar days after submission.**Please ensure your updates are done prior to July 23, 2021 in order to meet the certification deadline.

If you have any questions, please send an email to <a href="MDSBRHCR@health.ny.gov">MDSBRHCR@health.ny.gov</a> and Michelle Santos or James (Conor) Petter will respond to your questions.

Sincerely,

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Laura Rosenthal, Director

Bureau of Residential Health Care Reimbursement

Division of Finance and Rate Setting Office of Health Insurance Programs

Enclosure: Certification Required under 10 NYCRR §86-2.40

Attesting that MDS Data is Complete and Accurate