

# Ambulatory Surgery Centers in New York State

**February 1998 – September 2008**

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**New York State Department  
of Health**

**Office of Health Systems  
Management**

**October 2, 2008**



# ASC Types

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- Independent (Freestanding)
  - A Diagnostic and Treatment Center (D & TC) licensed to provide ambulatory surgery
- Hospital-based
  - A hospital extension clinic (off-site) licensed to provide ambulatory surgery

# Ambulatory Surgery Need Methodology



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## Before 1998

- ◆ 1 ASC per 500,000 people

## Since 1998

- ◆ No population criterion
- ◆ Financial feasibility over three years
- ◆ Enhance access and availability



# Supplemental Information

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- ◆ Supplements information found in the CON application
- ◆ Requested of hospitals and ASC applicants



# Information Sought From Hospitals

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- ◆ OR utilization as percentage of capacity and by inpatient and ambulatory categories
- ◆ Surgeons from proposed ASC who operate at the hospital, and number of cases
- ◆ Schedules of reserved OR time for ASC applicant surgeons



## Information from Hospitals (cont'd)

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- ◆ Projected loss of revenues to the ASC
- ◆ Impact on community-oriented services
- ◆ Current bad debt and charity care
- ◆ Audited financial statements



# Information from Applicant D&TC ASC

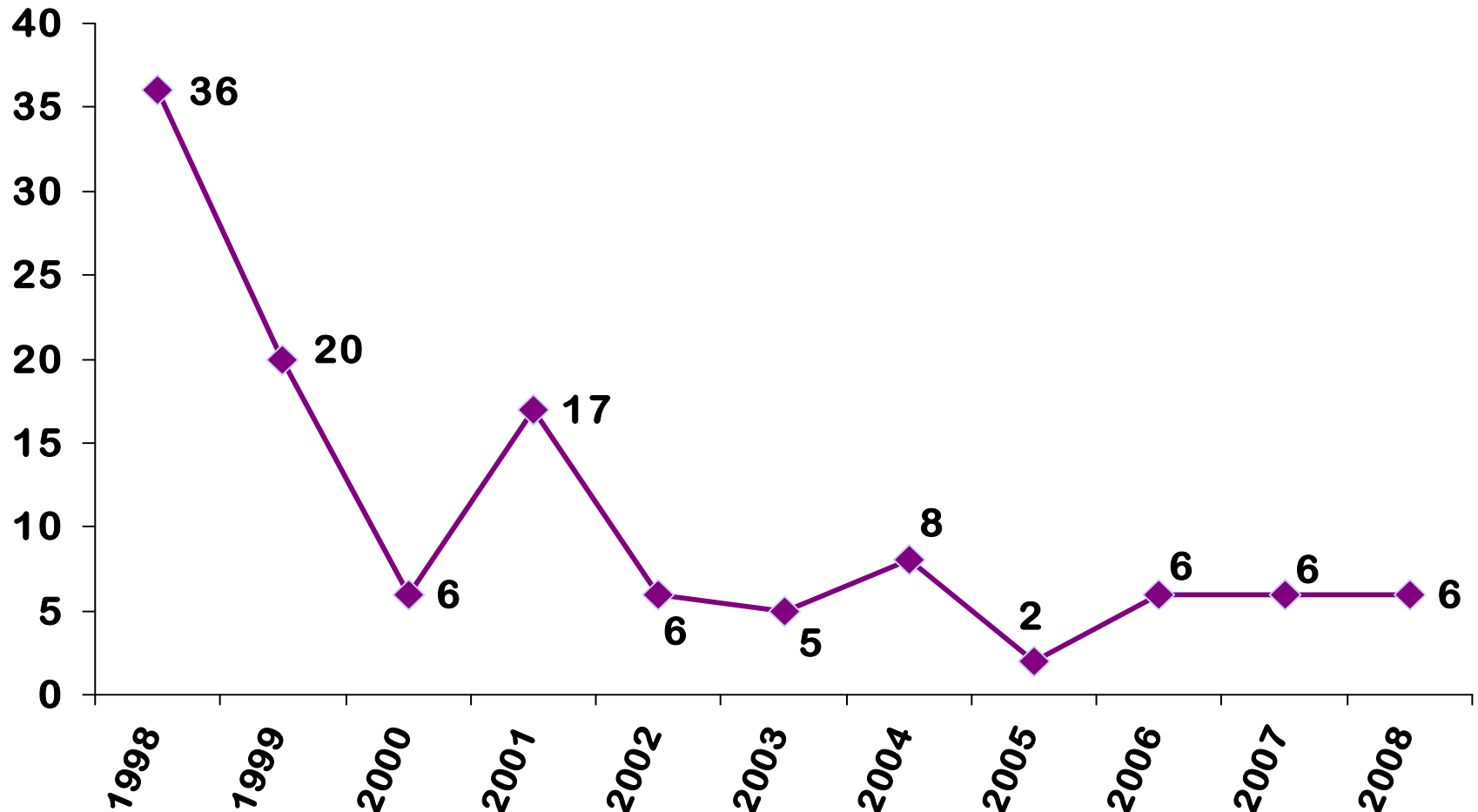
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- ◆ Unmet need, or clientele served by existing providers?
- ◆ How many cases are office-based?
- ◆ Recruitment and retention of staff

# Council Action by Year

ASC's Acted Upon Under Current Regulations

N=118





# Status of Approved ASCs

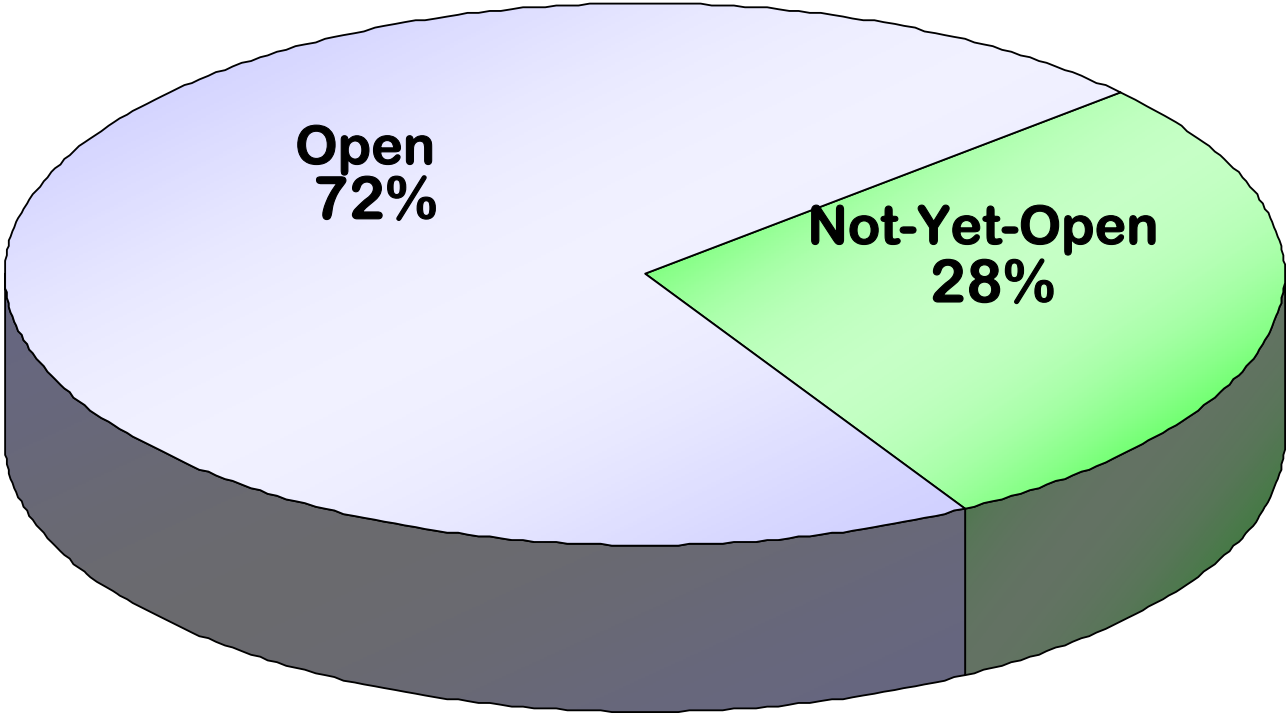
## Approved Under Current Regulations

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Approved	99
Open	71
Not-Yet-Open	28

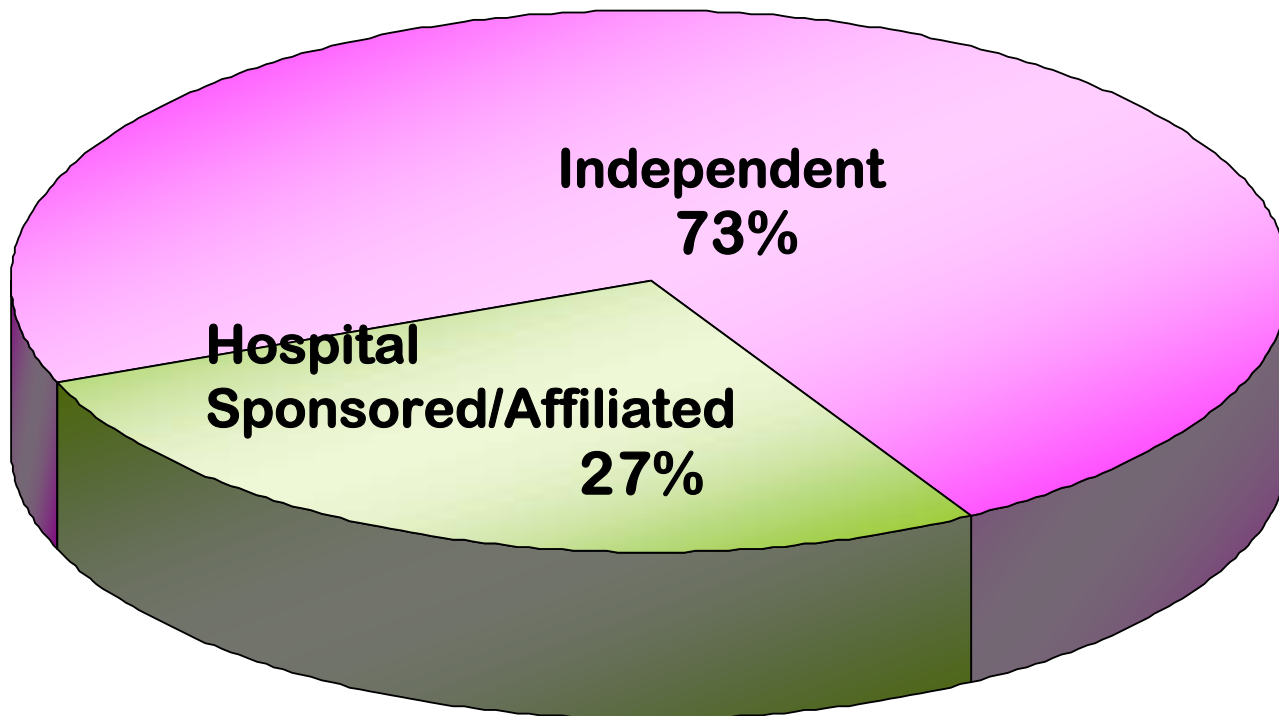
# Operating Status

Approved Under Current Regulations



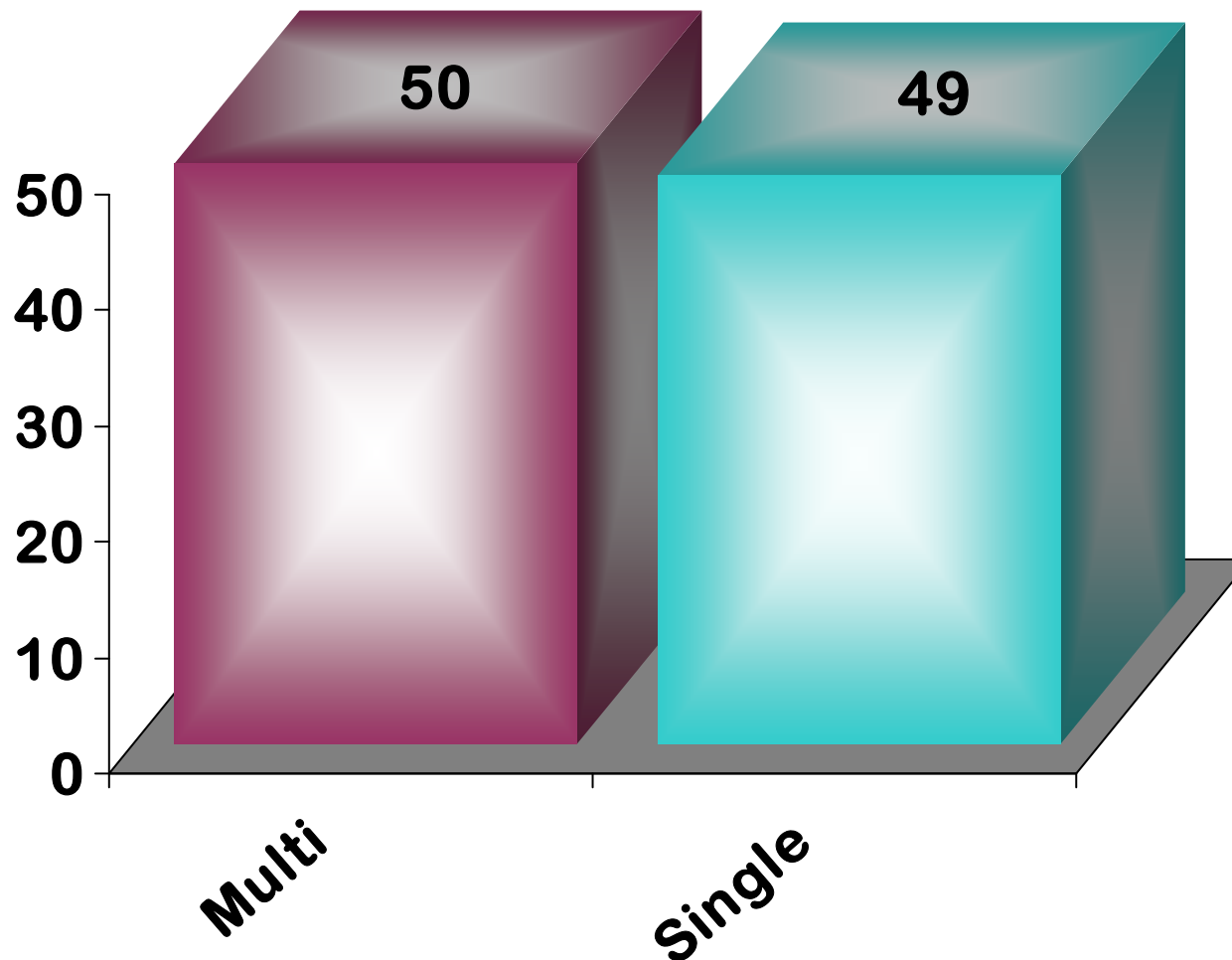
# Ambulatory Surgery Center Affiliation

Approved Under Current Regulations



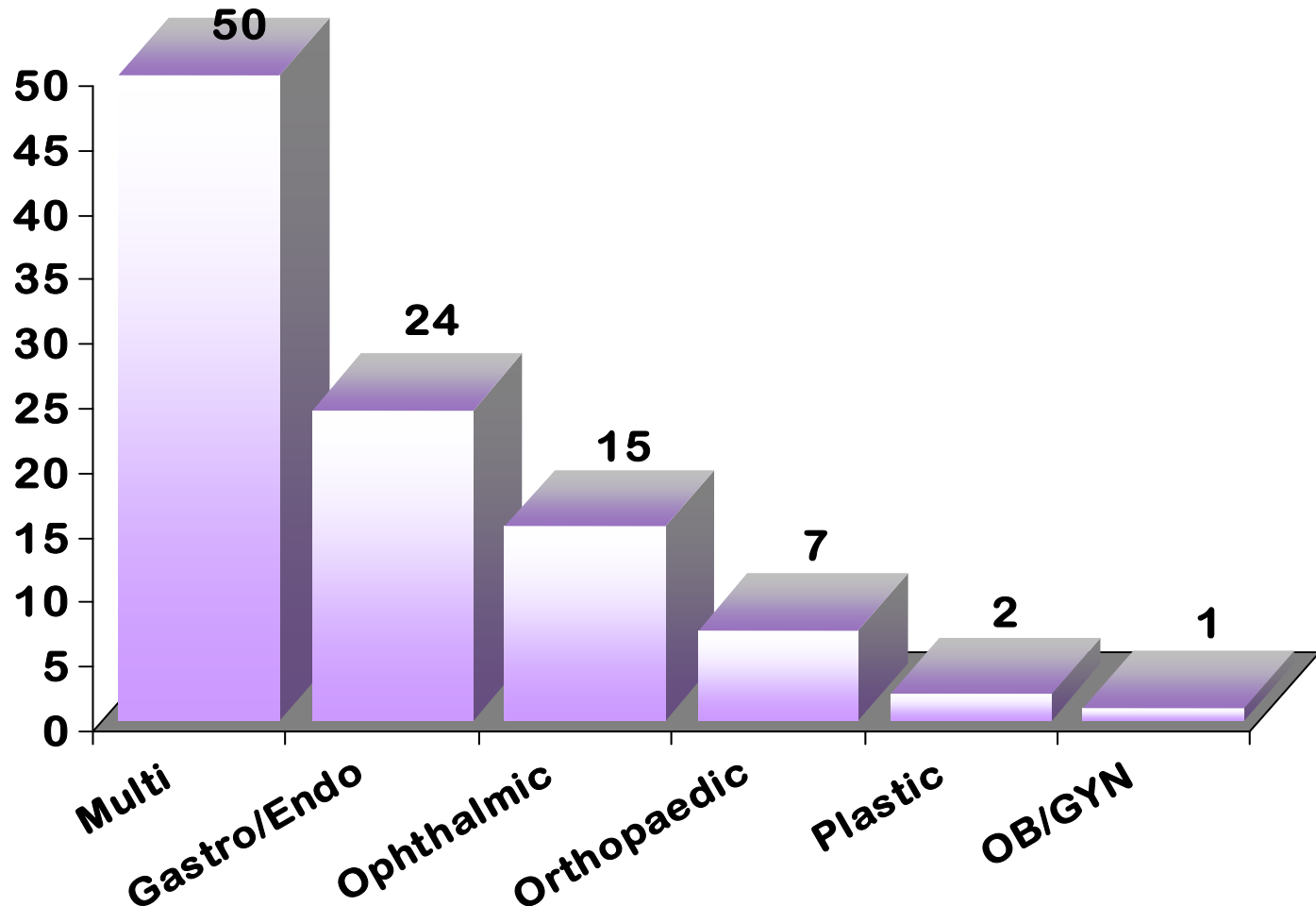
# Ambulatory Surgery Centers by Specialty

Approved Under Current Regulations



# Ambulatory Surgery Centers by Specialty

Approved Under Current Regulations



# Unsuccessful ASCs

Approved Under Old and Current Regulations

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- ◆ 4 Disapproved (all post-regulatory change)
- ◆ 16 Withdrew CON after approval
  - ◆ 3 approved pre-reg change
  - ◆ 13 approved post-reg change
- ◆ 5 Closed after opening
  - ◆ 3 approved pre-reg change
  - ◆ 2 approved post-reg change



# Open and Likely-to-Open ASCs

Approved Under Old and Current Regulations

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Open	103
Not-Yet-Open (approved < 5 years)	<u>13</u>
Total	116

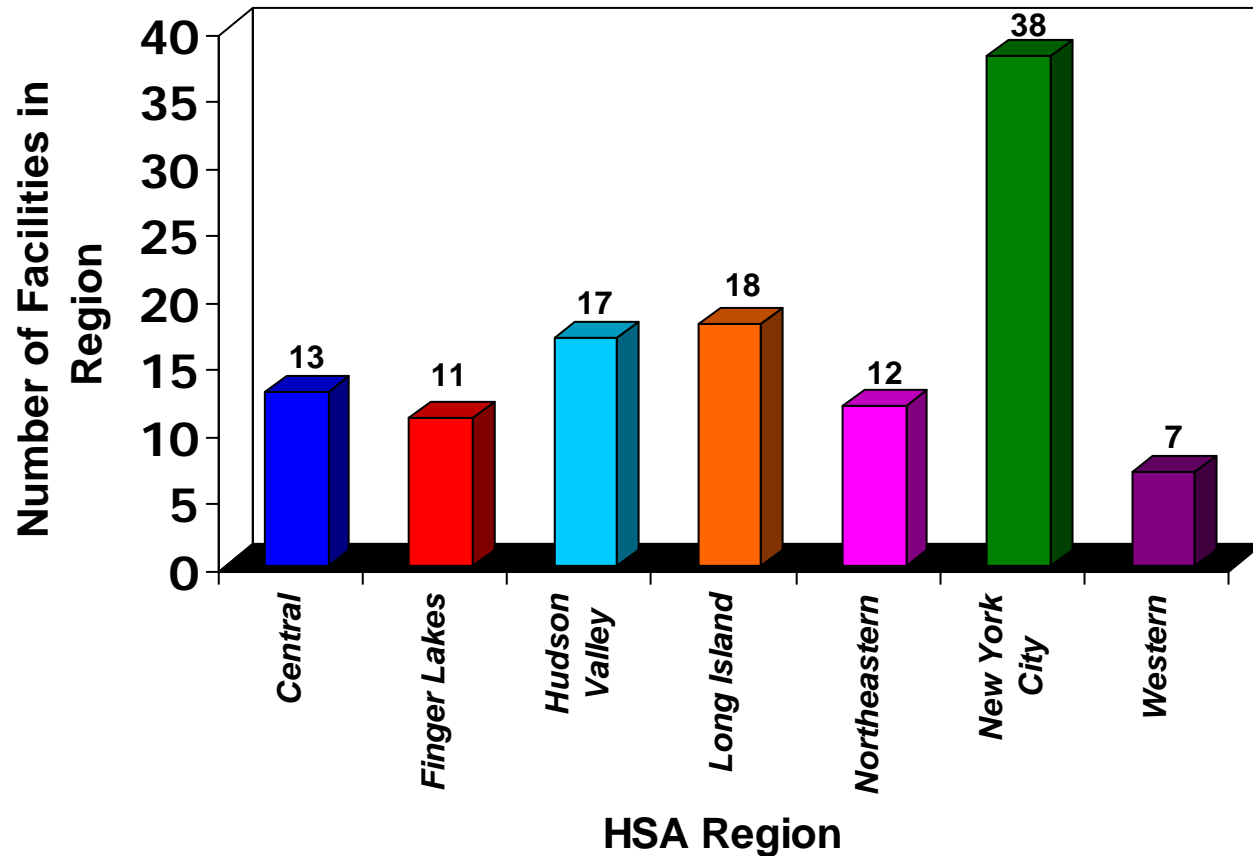


# ASC's per 500,000 Population

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- ◆ Before 1998 1.0
- ◆ 2008 (Open and Likely-to-Open) 3.0

# ASC's Open and Likely to Open by HSA Region



# ASC's/500,000 Population by HSA Region

