

<b>CARE MANAGEMENT BUSINESS AREA</b> <b>IMMUNIZATION REGISTRY (RI) CHECKLIST – MMIS INTERFACED</b>
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<b>STATE:</b>	<b>DATE OF REVIEW:</b>	<b>REVIEWER:</b>
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<b>IMMUNIZATION REGISTRY (RI) CHECKLIST</b> <b>(MMIS Interfaced to Registry)</b>
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<b>IMMUNIZATION REGISTRY INTERFACED BACKGROUND</b>
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*Background for this checklist:*

1. This checklist is used when the MMIS sends data to an immunization registry developed and maintained by an entity other than the State Medicaid agency. Certification review will examine the interfaces but not the registry itself.
2. Medicaid may participate in other registries (e.g., Cancer). Additional checklists would be needed to cover other registries.

*Sources for the criteria in this checklist are as follows:*

AT – Action transmittal #: DSS-CMSO-AT-00-001 issued July 6, 2000. Items are mandatory for a Medicaid Owned Registry. The AT can be found at <http://www.cms.hhs.gov/smdl/downloads/smd070600.pdf>

AT-Opt - Action transmittal #: DSS-CMSO-AT-00-001 issued July 6, 2000. Items are optional for a Medicaid Owned Registry.

IBP – Industry Best Practice. Items are selected from RFPs for MMISs developed by states and approved by CMS.

<b>BUSINESS OBJECTIVES</b>
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Reference #	Business Objectives	Comments
RI1	Ensure standardized data is available for measuring immunizations provided to protect all children in the State from communicable diseases.	
RI2	Generate reminders and recall vaccination notices to parents or guardians for each Medicaid child registered at intervals when vaccination is needed.	
RI3	Meet Federal reporting requirements for reporting vaccination rates for Medicaid children enrolled in the Early Periodic Screening, Diagnosis and Treatment (EPSDT) Program.	
RI4	Ensure the privacy and security of immunization information in transit and at rest.	

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<b>BUSINESS OBJECTIVES</b>
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Reference #	Business Objectives	Comments
RISS1	Add State-specific business objectives for the Immunization Registry (RI) Checklist here.	

<b>RI1 – ENSURE STANDARDIZED DATA IS AVAILABLE</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI1.1	Collects and maintains claims history for vaccinations at the Beneficiary-specific level until the Beneficiary is 18 years of age.	AT			
RI1.2	Interfaces with a statewide automated immunization registry and allows regularly scheduled data exchanges. <ul style="list-style-type: none"> <li>Populates the statewide automated registry to fully populate the registry with Medicaid children.</li> <li>Populates the statewide automated registry with Medicaid claims for children receiving immunizations.</li> </ul>	AT			
RI1.3	Sends, at a minimum, the following information to a statewide immunization registry through the interface: <ul style="list-style-type: none"> <li>Medicaid identifier</li> <li>Demographic information</li> <li>CPT/billing procedure code</li> <li>Identify rendering service provider</li> <li>Reminder/recall notice dates</li> </ul>	AT			

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<b>RI1 – ENSURE STANDARDIZED DATA IS AVAILABLE</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI1.4	Edits data for data validity, duplicate records and performs quality checks; sends error message if appropriate.	AT			
RI1SS.1	<i>Add State-specific criteria for this objective here.</i>				

<b>RI2 – PROVIDE NOTICES AND ALERTS</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI2.1	Generates letters and/or alerts to parents or guardians of Medicaid children at scheduled intervals.	AT			
RI2SS.1	<i>Add State-specific criteria for this objective here.</i>				

<b>RI3 – MONITOR AND REPORT IMMUNIZATION COVERAGE</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI3.1	Tracks and reports on vaccinations due and/or provided to children enrolled in Medicaid or State Children's Health Insurance Programs (SCHIP) in accordance with the Centers for Disease Control (CDC) guidelines.	AT			

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<b>RI3 – MONITOR AND REPORT IMMUNIZATION COVERAGE</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI3.2	Measures immunization coverage for the Medicaid population using current EPSDT periodicity schedule.	AT			
RI3.3	Selects and sends data to the registry at least on a weekly basis.	AT			
RI3.4	Generates reports on vaccine-associated adverse event reporting.	AT-Opt			
RI3.5	Generates results of surveillance of vaccine-preventable diseases.	AT-Opt			
RI3.6	Enables analysis through Geographic Information Systems (GIS).	AT-Opt			
RI3.7	Allows for automated vaccine inventory reporting, manages vaccine inventories, and generates reports on management and wastage.	AT-Opt			
RI3.8	Allows periodic reports to be received and stored within the MMIS Report Repository: <ul style="list-style-type: none"> <li>Measures immunization coverage for Medicaid compared to non-Medicaid populations</li> <li>Provides data exchange to MMIS to satisfy/enhance the Federal EPSDT reporting requirements</li> </ul>	IBP			
RI3SS.1	Add State-specific criteria for this objective here.				

<p align="center"><b>CARE MANAGEMENT BUSINESS AREA</b>  <b>IMMUNIZATION REGISTRY (RI) CHECKLIST – MMIS INTERFACED</b></p>
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<b>RI4 – MAINTAIN PRIVACY AND SECURITY OF VACCINATION RECORDS</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
RI4.1	Complies with provisions for Administrative Simplification under the Health Insurance Portability and Accountability Act (HIPAA) of 1996 to ensure the confidentiality, integrity, and availability of Electronic Protected Health Information (ePHI) in transit and at rest.	AT			
RI4.2	Provides safeguards as described in the October 22, 1998 State Medicaid Director letter, Collaborations for Data Sharing between State Medicaid and Health Agencies.	AT			
<i>RI4SS.1</i>	<i>Add State-specific criteria for this objective here.</i>				

<b>RISS1 – FIRST STATE-SPECIFIC OBJECTIVE</b>
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Ref #	System Review Criteria	Source	Yes	No	Comments
<i>RISS1.1</i>	<i>Add criteria based on the APD, RFP, etc., that are relevant to this State-specific objective.</i>				