

Expanded Outpatient Data Collection (EODC) Project Questions and Answers

| Q-# | Topic | Question / Answer | Posted |
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| EODC-001 | Law / Regulation | <p>Q: What is the law obligating a facility to report the expanded outpatient data?</p> <p>A: The Public Health Law (PHL) authorizing the collection of data is Article 2816. This law authorizes the Statewide Planning and Research Cooperative System (SPARCS). Specifically, the section 2816 (2) (a)(iv) was added in 2006.</p> | 2/16/11 |
| EODC-002 | Submission Process | <p>Q: How will the data be reported?</p> <p>A: You will report the EODC data (referred to as "OP" data) on the same "Outpatient" file along with your Emergency Department (ED) and Ambulatory Surgery (AS) Data.</p> | 2/16/11 |
| EODC-003 | Submission Process | <p>Q: Does the EODC data have to be reported with ED and AS?</p> <p>A: No. You may send a separate file.</p> | 2/16/11 |
| EODC-004 | Submission Schedule | <p>Q: When does the data have to be submitted? What will the first year of discharge be?</p> <p>A: The first time you will be able to submit EODC data is when the Department of Health's data reporting system is in place. We expect the system to be operational July 1, August 2, 2011. The first year of "OP" data will be the 2011 discharge year.</p> | 2/16/11 modified 7/28/11 per SPARCS-L 7/19/11 email |
| EODC-005 | Law / Regulation | <p>Q: How are you defining "outpatient"?</p> <p>A: The new regulations define outpatient data as: "Outpatient clinic data shall mean all data submitted by licensed Article 28 general hospitals and diagnostic and treatment centers, excluding inpatient hospitalization data, emergency department data, and ambulatory surgery data".</p> <p>After several meetings and discussions, the intent behind this definition was to make it easier for hospitals to submit their remaining outpatient data. Instead of creating a long list of services or "excepting-out" certain services, facilities felt it would be easier to submit the remaining outpatient data from their facility. The Department of Health will not be collecting "lab-only" and "x-ray only" patient records at this time.</p> | 2/16/11 |
| EODC-006 | Type of Data / Clarification | <p>Q: What records need to be sent?</p> <p>A: An outpatient service visit consists of all services provided by one or more licensed professionals provided to patients at the site of service. The service provided must be charted. Each facility classifies outpatient services/departments differently. Some examples of services to be sent are: cardiology/stroke (heart programs), diabetes centers, rehabilitation services, wound care services, and women's health services. (As mentioned in "EODC-005" (above), "lab only" and "x-ray only" visits should not be sent at this time. If they are sent, these records must conform to the "OP" required data elements and edits. If you need further clarification, the SPARCS Administrative Unit can assist you.)</p> | 2/16/11 |
| EODC-007 | Data Elements | <p>Q: What data elements are being collected?</p> <p>A: The data elements are a subset of the outpatient data elements that are submitted with only minor exceptions. A list of the data elements was mailed to all SPARCS Coordinators and is included in the December 27, 2010 Announcement Letter . It is also posted on the Department's secure website, Health Commerce System (HCS) (https://commerce.health.state.ny.us/).</p> | 2/16/11 |

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| EODC-008 | Data Elements | <p>Q: What are the additional data elements being collected for EODC? A: They are: Date(s) of Service Unit of Service Value Code for Medicaid Rate Code</p> | 2/16/11 |
| EODC-009 | Data Elements | <p>Q: What are the "Outpatient file" data elements that will <u>not</u> be required for EODC? A: There are several data elements on the submission specifications for the Outpatient file structure that will not be needed for EODC. You should refer to the list of data elements for the EODC project. Some of the data elements that will not pertain to this data collection are: Discharge Hour Admission Hour Patient Status or Disposition Expected Principle Reimbursement Patient Reason for Visit Accident Hour Method of Anesthesia Heart Rate on Arrival (AMI only) Systolic Blood Pressure on Arrival (AMI only) Diastolic Blood Pressure on Arrival (AMI only) Procedure Time</p> | 2/16/11 |
| EODC-010 | Type of Data / Clarification | <p>Q: How are you distinguishing among Emergency Department (ED), Ambulatory Surgery (AS) and (Expanded Outpatient) OP on the one outpatient file submitted? A: Revenue codes will be used to distinguish between the different types of outpatient data.</p> <p>ED - will continue to use the revenue code series "045x" AS - starting in July, 2011, the data edits for AS will use the following revenue codes to perform AS edits:</p> <ul style="list-style-type: none"> ● 0321 Angiocardiography, Radiology-Diagnostic ● 0322 Arthrography, Radiology-Diagnostic ● 0323 Arteriography, Radiology-Diagnostic ● 0360 General Services, Operating Room Services ● 0361 Minor Surgery, Operating Room Services ● 0362 Organ Transplant - Other than Kidney, Operating Room Services ● 0369 Other Operating Room Services, Operating Room Services ● 0480 General Classification, Cardiology ● 0481 Cardiac Cat Lab, Cardiology ● 0489 Other Cardiology, Cardiology ● 0490 General Classification, Ambulatory Surgery ● 0499 Other Ambulatory Surgical Care, Ambulatory Surgery ● 0710 General Classification, Recovery Room ● 0719 Other Recovery room, Recovery Room ● 0750 General Classification, Gastro-Intestinal Services ● 0760 General Classification, Treatment/Observation Room ● 0761 Treatment Room ● 0769 Other Treatment/Observation Room ● 0790 General Classification, Lithotripsy <p>OP - the residual records submitted on the outpatient file will be considered expanded outpatient visit data. That is, the OP records will not have any of the above revenue codes or contain a procedure time greater than zero.</p> | <p>2/16/11 modified 3/7/11</p> <p>modified 7/28/11 per SPARCS-L 6/29/11 email Revenue codes removed</p> |

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| EODC-011 | Type of Data / Clarification | <p>Q: What records do <u>not</u> need to be sent? A: Although you may send all of your outpatient records, at this time, we are requesting that you do <u>not send "lab-only" and "x-ray only" visit data</u> from your hospital outpatient department.</p> <p>Recognizing that there are volumes of information in the outpatient arena, and that the greatest portion of that data is lab and x-ray information, the Department of Health will not be collecting "lab-only" and "x-ray only" patient records at this time.</p> | 2/16/11 |
| EODC-012 | Type of Data / Clarification | <p>Q: How do you suggest removing lab-only or x-ray only visits? A: We are suggesting that you use the Healthcare Common Procedure Coding System (HCPCS) codes and Revenue codes to exclude "lab-only" and "x-ray only" visits.</p> <p>The following CPT procedure codes and Healthcare Common Procedure Coding System (HCPCS) codes are being suggested to identify records where the sole purpose is for laboratory and diagnostic radiology testing.</p> <ul style="list-style-type: none"> • 36415; • 70000 – 76999; • 77051 – 77084; • 78000 – 78999; • 80000 – 89999; • 99000 – 99002; • G0027; • G0101 – G0107; • G0117 – G0124; • G0130 – G0148; • G0202 – G0235; • G0252; • G0306 – G0307 • G0328; • G0330 – G0331; • P2028 – P7001; • P9603 – P9615; • Q0091 – Q0115; • R0070 – R0076; • S0820; • S2120; • S3600 – S3900; • S3905; • S8001 – S8093; • S9024 <p>The following revenue codes are being suggested to identify single event laboratory and radiology services. Within the following coding list, the "X" denotes a wildcard.</p> <ul style="list-style-type: none"> • 030X – 0341; • 0343; • 0349; • 035X; • 040X; and • 061X | 2/16/11 |

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| EODC-013 | Type of Data / Clarification | <p>Q: Should the outpatient visit data reported include recurring patients, such as physical therapy, wound care and pain management?</p> <p>A: Yes. If your facility would submit a claim for these outpatient services performed at your facility, this information should be submitted to SPARCS.</p> | 2/16/11 |
| EODC-014 | Submission Schedule | <p>Q: How is the collection being implemented?</p> <p>A: The collection of data is being implemented in Phases.</p> <p>Phase 1 - Hospital Outpatient Departments for hospitals that are currently submitting IP, ED or AS data to SPARCS. These hospitals will report by Permanent Facility Identifier (PFI) location/ SPARCS Facility ID. (222 Hospitals). Implementation date July, 2011</p> <p>Phase 2- Hospital owned extension clinics that are under the same operating certificate as the current hospitals submitting data to SPARCS. Hospital owned extension clinics (that do not have ambulatory surgery services) will be submitting data to SPARCS by Permanent Facility Identifier (PFI) location/ SPARCS Facility ID for the first time (approx. 1000 clinics) This includes Hospital owned extension clinics currently submitting ambulatory surgery data that are certified for other outpatient services. Implementation date est. Jan. 2013.</p> <p>Phase 3 - Free standing Diagnostic and Treatment Centers (currently non-submitters) (D&TC) (approx. 1000 clinics)</p> | 2/16/11 |
| EODC-015 | Audit/ Enforcement | <p>Q: Is there going to be a reconciliation process for the EODC?</p> <p>A: "OP" data will not be included in the 2011 Annual Reconciliation Process that would result in a Statement of Deficiency. We will, however, be contacting facilities that submit no, or very little, data. Please note that Phase 1 facilities are still obligated to send all 2011 data to the department by June 30, 2012.</p> | 2/16/11 modified 3/7/11 |
| EODC-016 | Type of Data / Clarification | <p>Q: Do we need to send data for patients at our hospital clinic sites?</p> <p>A: Not at this time. Only send the data relating to patient services provided at your physical facility location. This is because we are in Phase 1 of EODC. Phase 2 will require the collection of all the visits at hospital owned clinics. See response to Question EODC-014.</p> | 2/16/11 |
| EODC-017 | Type of Data / Clarification | <p>Q: A patient visits their Primary Care Physician at one of our non hospital (offsite) clinic locations.... the doctor orders labs and/or x-rays that are performed at a hospital outpatient location. The same account# is used for all services. How does this get reported?</p> <p>A: This example indicates that a lab/or x-ray only outpatient service was performed at the Hospital Outpatient Department. At this time, the visit to the Hospital Outpatient Department that is only for a lab/x-ray service is not needed for SPARCS reporting.</p> | 2/16/11 |
| EODC-018 | Submission Process | <p>Q: Will you be accepting the X12-837 "P" (Physician) claim format?</p> <p>A: Not at this time. SPARCS is only accepting the X12-837 "I" (Institutional) claim format. This is the only claim format you may use to send your data.</p> | 2/16/11 |
| EODC-019 | Submission Process | <p>Q: Will you be accepting the X12-837 5010R (Reporting) format?</p> <p>A: Yes. The 5010R version can be used starting in July, August 2011.</p> | 2/16/11 modified 7/28/11 |

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| EODC-020 | SPARCS 837 PC Application | <p>Q: Can we still use the SPARCS-837 software for translating/importing and exporting?</p> <p>A: At this time, the SPARCS-837 will not be available for the EODC. We hope to have this program modified as soon as possible after the July August 2011 implementation.</p> | <p>2/16/11</p> <p>modified 7/28/11</p> |
| EODC-021 | Training | <p>Q: Will there be training/meetings to discuss the EODC requirements?</p> <p>A: Yes. These meetings/trainings will be conducted in the spring of 2011.</p> | 2/16/11 |