

Chapter 2: The Upload Process Recap

ANY QUESTIONS?

- ✓ **Uploading your data**
- ✓ **Wait for results**
- ✓ **Test, test, test!**
- ✓ **Submission failures**



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Chapter 3 Submission Results


- **Submission results**
- **Edit reports**
- **Error files**
- **How many records passed?**
- **Duplicates**



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Submission results



The Upload page

Statewide Planning and Research Cooperative System

SPARCS Home | HPN Home | SPARCS on World Wide Web | Data Submission

Search the HPN
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Information Bulletins
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General Information
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Reference Materials
Data Submission
Data History Reports
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SPARCS - Statewide Planning and Research Cooperative System

SPARCS - Data Submission

Z Test Hospital (PFI) - PFI 8888

[Data/Report Request](#)
[Submission Results](#)
[Submission Results Cleanup](#)

Data Submission - Data File Upload

Select a SPARCS data file for upload

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Submission results (2)

Data Submission and Data/Report System

Z Test Hospital (PFI) - PFI 8888

[Data File Upload](#)
[Data/Report Request](#)
[Submission Results Cleanup](#)

EDIT REPORTS

Log No. 1014489	▼
Log No. 1014489	
Log No. 1014506	
Log No. 1014507	
Log No. 1014508	
Log No. 1014509	
Log No. 1014512	
Log No. 1014513	
Log No. 00017770	

be available on this server for 30 days.

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Edit reports

Date 10/28/2009
 New York State Department of Health
 Statewide Planning and Research Cooperative System (SPARCS)
 X12-837 Inpatient Edit Report Test Log 014637 -- Upload Log X10281

Data Collector 999
 SPARCS TEST COLLECTOR TEST
 800 NORTH PEARL STREET
 ALBANY NY
 12237

SPARCS Facility Identifier 88886
 SPARCS TEST FACILITY
 800 NORTH PEARL STREET
 ALBANY NY
 12204

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Edit reports (2)

Error Code	Patient Control Number	Loop	HL Index	Element	Value
M0012	N/A	2010	2	N403	00501
M0027	N/A	2010	2	N402	103
2300CLM0000	00001	2300	2	N403	00501
				PAT	PATIENT FAILED

Code	Description	Errors
2000HL3000	HL INFORMATION SOURCE CODE	0
2000HL2000	SUBSCRIBER NOT FOUND	0
2000HL4000	HL CHILD CODE	0
2000NM19000	PAYER ID - PRIMARY PAYER	0
2000SBR0000	SBR SEGMENT NOT FOUND	0
2000SBR9000	CLAIM FILING INDICATOR CODE - PRIMARY PAYER	0
2010DMG2000	DATE OF BIRTH	0

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Edit reports (3)

Code	Type	Accepted	Rejected	Total	% Pass
NN1	NEW SUBMISSIONS	7,755	40	7,795	99.5
NN7	CORRECTIONS	0	0	0	0.0
NN8	DELETIONS	1,735	1	1,736	99.9
N/A	INVALID CODES	0	0	0	0.0
N/A	DUPLICATES	0	10	10	0.0
	TOTAL	9,490	51	9,541	99.5

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Edit reports (4)

Outpatient File

Type	Accepted	Rejected	Total	% Pass
AMBULATORY SURGERY	3,362	15	3,377	99.6
EMERGENCY DEPARTMENT	6,128	36	6,164	99.4
TOTAL	9,490	51	9,541	99.5

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Error files

EDIT REPORTS

Log No. 1093854

Download View

ERROR FILES

Log No. 1093854

Download View

- A file of all edit error records submitted by your facility during the last 30 days
- Log numbers correspond to the Edit Report log numbers
- Can view or download

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How many records passed?

AUDIT REPORTS

Inpatient 2008

Download View

EDIT REPORTS

Log No. 1014589

Download View

QUALITY REPORTS

DUPOP06

Download View

UPDATE SUMMARY

Inpatient 2003

Download View

Audit Reports

- Updated each week of the month
- Counts are the same as the www site, but available sooner
- Counts based on data rec'd the previous week and prior

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How many records passed? (2)

UDS INPATIENT MASTER FILE AUDIT REPORT FOR CALENDAR YEAR 2008

UPDATED 11/03/09

PFI 08888 NAME SPARCS TEST FACILITY

JAN	FEB	MAR	APR	MAY	JUN	JUL
2694	2494	2696	2618	2639	2593	2534
AUG	SEP	OCT	NOV	DEC	TOTAL	LAST UPDATE
2725	2616	0	0	0	31208	091022

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How many records passed? (3)

AUDIT REPORTS

Inpatient2008
Download View

EDIT REPORTS

Log No. 1014589
Download View

QUALITY REPORTS

DUPOP06
Download View

UPDATE SUMMARY

Inpatient 2003
Download View

Update Summary

- Lists all records on the master including those not added and the reason why
- Completes the audit trail of the records sent the previous week

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How many records passed? (4)

PATIENT CONTROL #	DISCHARGED	EXCEPTION ERROR	LOG #	PROCESSED
		ADDITION-DUPLICATE	055634	11/23/2005
		ADDITION-DUPLICATE	055633	11/23/2005
		CORRECTION NO MATCH	055193	11/03/2005
		DUPLICATE TRANSACTION	055633	11/23/2005
		DUPLICATE TRANSACTION	055633	11/23/2005

UPDATE SUMMARY	
DUPLICATE TRANSACTIONS	3
ADDITION DUPLICATES	13
CORRECTION NO MATCH	7
DELETION NO MATCH	0
TOTAL EXCEPTION ERRORS	23
TRANSACTIONS APPLIED	487
TRANSACTION TOTAL	510

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Duplicates

There are two different types of duplicates in SPARCS:

- Addition duplicates
- Duplicate transactions
- Master file duplicates are not possible

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Chapter 3: Submission Results Recap

ANY QUESTIONS?

- ✓ Submission results
- ✓ Edit reports
- ✓ Error files
- ✓ How many records passed?
- ✓ Duplicates



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Chapter 4 Correcting Your Errors

- How to make corrections
- Error codes
- E-Code reporting
- Warnings



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How to make corrections

Error Code	Patient Control Number	Loop	HL Index	Element	Value
2010N46000	N/A	2010	2	N406	
2300CLM0000	00001	2300	2	*PAT*	PATIENT FAILED

- Look at the error description in the Edit Report by clicking on the Error Code link
- Note the value listed in the “Value” column

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How to make corrections (2)

Code	Description	Errors
2010N41000	CITY	0
2010N42000	STATE	0
2010N43000	ZIP CODE	0
2010N46000	COUNTY CODE	1

- The description of the error includes the element name so you can look in the X12-837 Input data specifications and review the element description and requirements
- Correct your record(s)

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Error Codes: 2010N46000

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 ALBANY NY
 12237

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 SPARCS TEST FACILITY
 800 NORTH PEARL STREET
 ALBANY NY
 12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
2010N46000	N/A	2010	2	N406	
2300CLM0000	00001	2300	2	PAT*	PATIENT FAILED

- Error 2010N4600: Missing county code
- This can be an IP, ED, AS or OP record

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Error Codes: 2010N46000

SPARCS INPUT DATA ELEMENT DESCRIPTION

Data Element Name: Patient County Code

Format-Length: N - 2

Effective Date: January 1, 1994

National Standard Mapping:
 Electronic - 8371 X12 Loop
 Version 4050R 2010CA
 5010R

Paper Form
 Institutional - UB-04

Locator

Ref. Des. Data Element
 N406 310

Code Qualifier

Code X-12 Data Element Name
 Location Identifier

Description

Revision Date: March 2011

Data Edit Specifications			
AS	ED	IP	OP
R	R	R	R

Definition:

The code assigned to the county where the patient's principal residence is located on the day of admission or date of visit.

Codes and Values:

1. Must be a valid two-digit code in accordance with the Zip/County Code Edit Validation Table in Appendix F.

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Error Codes: 2300REF2003

Data Collector 999
 SPARCS TEST COLLECTOR TEST
 800 NORTH PEARL STREET
 ALBANY NY
 12237

SPARCS Facility Identifier 88886
 SPARCS TEST FACILITY
 800 NORTH PEARL STREET
 ALBANY NY
 12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
2300REF2003	00001	2300	2	REF02	

- **Error 2300REF2003: Missing Operating Physician ID**
- **Operating Physician is required for AS claims**

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Error Codes: M0044

Error Code	Patient Control Number	Loop	HL Index	Element	Value
M0044	N/A	2000	2	SBR09 NM109 *PAYER ID	CI
2300CLM0000	00001	2300	2	*SUB*	SUBSCRIBER FAILED

- **M0044 – Incompatible/Incomplete Payer Information**

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Error Codes: 2000SBR9000

Data Collector 999	SPARCS Facility Identifier 88886
SPARCS TEST COLLECTOR TEST	SPARCS TEST FACILITY
800 NORTH PEARL STREET	800 NORTH PEARL STREET
ALBANY NY	ALBANY NY
12237	12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
2000SBR0000	N/A	2000	2	SBR*	NO SBR SEGMENT
2000SBR9000	N/A	2000	40	SBR09	
2300CLM0000	IS105796654	2300	41	*SUB*	SUBSCRIBER FAILED

- **Error 2000SBR9000: Missing Source of Payment Code**

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Error Codes: 2000SBR9000 (2)

SPARCS INPUT DATA ELEMENT DESCRIPTION

<p>Data Element Name: Claim Filing Indicator Code <i>(Previously Source of Payment)</i></p> <p>Format-Length: ID - 2</p> <p>Effective Date: January 1, 1994</p> <p>National Standard Mapping:</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 25%;">Electronic - 837I</td> <td style="width: 25%;">X12 Loop</td> <td style="width: 25%;">Ref. Des.</td> <td style="width: 25%;">Data Element</td> </tr> <tr> <td>Version 4050R</td> <td>2000B</td> <td>SBR09</td> <td>1032</td> </tr> <tr> <td>5010R</td> <td></td> <td></td> <td></td> </tr> </table> <p>Paper Form Institutional - UB-04</p> <p>Locator</p> <p>Code Qualifier</p> <p>Description Does not apply - needed only for electronic submission.</p>	Electronic - 837I	X12 Loop	Ref. Des.	Data Element	Version 4050R	2000B	SBR09	1032	5010R				<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4" style="text-align: center;">Data Edit Specifications</th> </tr> <tr> <th style="text-align: center;">AS</th> <th style="text-align: center;">ED</th> <th style="text-align: center;">IP</th> <th style="text-align: center;">OP</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">R</td> <td style="text-align: center;">R</td> <td style="text-align: center;">R</td> <td style="text-align: center;">R</td> </tr> </tbody> </table> <p>Revision Date: March 2011</p> <p>X-12 Data Element Name Claim Filing Indicator Code</p>	Data Edit Specifications				AS	ED	IP	OP	R	R	R	R
Electronic - 837I	X12 Loop	Ref. Des.	Data Element																						
Version 4050R	2000B	SBR09	1032																						
5010R																									
Data Edit Specifications																									
AS	ED	IP	OP																						
R	R	R	R																						

Definition:
The code which indicates the type of payment. The code listing below was obtained from the ASC X12N Technical Report Guide. You can report as many payers as needed within this loop. The first reported payer Claim Filing Indicator code must be associated with the primary payer

- **Claim Filing Indicator is required for all claims**

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Error Codes: 2000SBR9000 ⁽²⁾

Codes and Values:

CODE DEFINITION

09	Self-pay
11	Other Non-Federal Programs
12	Preferred Provider Organization (PPO)
14	Exclusive Provider Organization (EPO)
15	Indemnity Insurance
16	Health Maintenance Organization (HMO) Medicare Risk
BL	Blue Cross/Blue Shield
CH	CHAMPUS
CI	Commercial Insurance Co.
HM	Health Maintenance Organization
MA	Medicare Part A
MB	Medicare Part B
MC	Medicaid
OF	Other Federal Program
VA	Veterans Affairs Plan
WC	Workers' Compensation Health Claim

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Error Codes: 2300NTE2000/...2001

Data Collector 999

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ALBANY NY
12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
<u>2300NTE2000</u>	IS105796654	2300	41	NTE02	
<u>2300NTE2001</u>	IS105796654	2300	41	NTE02	

- **Error: 2300NTE2000 Race**
- **Error: 2300NTE2001 Ethnicity**

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Error Codes: 2300NTE2000/...2001 ⁽²⁾

SPARCS INPUT DATA ELEMENT DESCRIPTION

Data Element Name: Patient Race		Data Edit Specifications			
Format-Length: AN - 2		AS	ED	IP	OP
Effective Date: October 1999		S	S	S	O
Revision Date: March 2011					
National Standard Mapping:					
Electronic - 837I	X12 Loop	Ref. Des.	Data Element	Code	X-12 Data Element Name
Version 4050R	2010CA	DMG05 - 3	1271	SEE BELOW	Race or Ethnicity Code
5010R					
Paper Form	Locator	Code Qualifier	Description		
Institutional - UB-04	81	B1			

- Race and Ethnicity are required for all claims
- If either is missing, you will get 2300NTE2000 or 2300NTE2001 errors

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Error Codes: M0042 & M0055

Error Code	Patient Control Number	Loop	HL Index	Element	Value
M0042	00001	2400	2	*CHRG	0
M0055	00001	2300	2	CLM02	0000000000
				*CHRG	0000000000

- M0042 – No valid charges found for patient
- M0055 – Computed charges not equal reported total charges

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Error Codes: 2400SV22000

Data Collector 999
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 800 NORTH PEARL STREET
 ALBANY NY
 12237

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 SPARCS TEST FACILITY
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 ALBANY NY
 12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
<u>2400SV22000</u>	00001	2400	2	SV202-2	

- **Error 2300HI1002: Missing CPT4/HCPCS CODE**

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Error Codes: M0039

Data Collector 999
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 800 NORTH PEARL STREET
 ALBANY NY
 12237

SPARCS Facility Identifier 88886
 SPARCS TEST FACILITY
 800 NORTH PEARL STREET
 ALBANY NY
 12204

Error Code	Patient Control Number	Loop	HL Index	Element	Value
<u>M0039</u>	00001	2300	2	*DX *CAUSE	\$1300

- **Error M0039: Missing Cause of Injury E-code**

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E-Code Reporting

Is Dx Code in the range
800.00 – 999.99 ?

No

DONE !

Yes

Report Cause of Injury Ecode
... not an Adverse Effect
... not a Place of Injury

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E-Code Reporting

Is the Cause of Injury in
the range
E850.5 – E869.9
or
E880.8 – E928.9 ?

No

DONE !

Yes

Report Place of Injury Ecode
E849.0 – E849.9

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Warnings

Code	Description	Errors
W0001	INCOMPATIBLE DX CODE AND COMPUTED PATIENT AGE	0
W0002	PROCEDURE DATE WITHIN 3 DAYS PRIOR TO ADMIT DATE	0

*** THE WARNING MESSAGES SUMMARIZED ON THIS PAGE HAVE NOT CAUSED CLAIMS TO FAIL. THEY ARE PROVIDED TO INFORM YOU OF CODES THAT ARE ALLOWABLE FOR EXCEPTIONAL CASES AND THE POSSIBILITY OF MISREPORTED DIAGNOSES. IF ANY OF THESE CLAIMS HAVE BEEN REPORTED INCORRECTLY, PLEASE SEND APPROPRIATE CORRECTIONS TO SPARCS ***

- **Warnings do NOT cause the claim to fail**

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Chapter 4: Correcting Your Errors Recap

ANY QUESTIONS?

- Edit Reports**
- Error codes**
- E-Code reporting**
- Warnings**



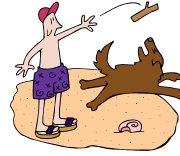
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Chapter 5

Retrieving Records and Error Reports

- Retrieving an old edit report
- Submission History Report
- “But I submitted all my records!”
- Which records does SPARCS not have?



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Retrieving an old edit report

[Data/Report Request](#)
[Submission Results](#)

Select a SPARCS data file for upload

[Submission Results Cleanup](#)
[Request Upload Access for Additional Facilities](#)

Data/Report Request

- Select
- Edit Report by Log Number**
- Error Records by Log Number
- Audit Report by Year
- ICR Comparison Report by Year (INPATIENT ONLY)
- Health Facilities Own Data by Year, Month(s)
- Listing of Records on Master File for Year, Month(s)
- Submission History Report by Year, Month(s)
- Update Summary Report by Year

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Retrieving an old edit report (2)

SPARCS DATA AND REPORT SYSTEM
DATA/REPORT REQUEST
Z Test Hospital (PFI) - PFI 8888

[Data/Report Request](#)
[Submission Results](#)

[Submission Results Cleanup](#)
[Request Upload Access for Additional Facilities](#)

System Type: I
Data/Report Request: EDIT
Enter 6-Digit Log Number

Reports returned in Submission Results

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Retrieving an old edit report (3)

[Data File Upload](#)
[Submission Results](#)

[Submission Results Cleanup](#)
[Request Upload Access for Additional Facilities](#)

System Type

Data/Report Request

- Select
- Edit Report by Log Number
- Error Records by Log Number
- Audit Report by Year
- ICR Comparison Report by Year (INPATIENT ONLY)
- Health Facilities Own Data by Year, Month(s)
- HPD Listing of Records on Master File by Year, Month(s)**
- Submission History Report by Year, Month(s)**
- Update Summary Report by Year

[SPARCS Home Page](#)

If you don't know the log number, first
request a Submission History Report

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Submission History Report

PATIENT CONTROL NUMBER	MEDICAL RECORD NUMBER	DIS- ADMIT DATE	CHARGE DATE	UDS TAG	A BIL C TYP T	LOG NUMBER	PATIENT BIRTH DATE	S E X
123456789	000000000000001	090727	090727	MAS	131 7	090805	20010406	F
234567891	000000000000002	090727	090727	MAS	131 2	092234	20030217	M
345678901	000000000000003	090727	090727	ERR	131 2	090805	20030305	F
897654321	000000000000004	090727	090727	MAS	131 2	090805	20031003	F

- TAG column shows record determination

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Submission History Report (2)

PFI	LOG NUMBER	DIS- YRMO	SUBMIT	MAST	ERROR	EXCPT	** **	--UNRESOLVED-- ERROR	EXCPTION
88886	TOTAL	09--	53,381	52,870	443	68	** **	214	37
88886	085496	0901	4,821	4,781	40	0	** **	35	0
88886	085496	0902	2	2	0	0	** **	0	0

- This summary shows the discharge totals submitted by log number including the unresolved errors

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“But I submitted all my records!”

New York State Department of Health
Statewide Planning and Research Cooperative System
UDS Inpatient History Report for Calendar Year 2009

PFI 88886 SPARCS TEST FACILITY

Discharge Month	Submit	Master	Error	Exception	Unresolved Error	Unresolved Exception
01/09	2,660	2,602	38	20	28	15
02/09	2,371	2,311	46	14	19	8
03/09	2,705	2,646	41	18	23	8
04/09	2,554	2,499	44	11	30	6
05/09	2,761	2,708	46	7	14	6
06/09	2,821	2,736	56	29	17	24
07/09	2,907	2,852	38	17	20	10
08/09	2,721	2,681	37	3	26	2
09/09	2,700	2,675	25	0	25	0
Total 2009	24,200	23,710	371	119	202	79

- We do not have all your records if you have unresolved errors
- Unresolved errors must be corrected and resubmitted to SPARCS

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Which records does SPARCS not have?



- We really don't know which records you haven't submitted
- You can find out by comparing your records to the Submission History Report

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Chapter 5: Retrieving Records & Error Reports Recap

ANY QUESTIONS?

- ☑ Retrieving an old edit report
- ☑ Submission History Report
- ☑ “But I submitted all my records!”
- ☑ Which records does SPARCS not have?



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Contact Information

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