

Empire State Association of Assisted Living (ESAAL) 32nd Annual Conference

Mark Kissinger, Deputy Commissioner
Office of Long Term Care, NYS Department of Health

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Current Initiatives

- SOI #2 — On hold pending direction from the Governor's office and MRT Sub Committee work.
- Uniform Assessment Tool Initiative — now known as the Uniform Assessment System — New York (UAS-NY)

UAS-NY: Background

- Many screening and assessment tools in use today; lack standardization and create significant redundancy.
- Conducted extensive research and identified excellent replacement candidate: interRAI Community Health Assessment.
- Procured services of GCOM Software to implement the interRAI suite electronically.
- Procured services of NYSTEC to assure quality and completeness of the system.

UAS-NY: Scope

- UAS-NY Will Be Used by Eight Long Term Care Programs:
 - **Assisted Living Program**
 - Personal Care Services Program; Consumer Directed Personal Assistance Program
 - Adult Day Health Care
 - Long Term Home Health Care Program
 - Nursing home Transition and Diversion
 - Traumatic Brain Injury Waiver
 - HCBS Waiver Care at Home Waiver
 - Managed Long Term Care

UAS-NY: Status and Timeline

- Vendors are Onsite and Working
 - System Development: May 2011 to March 2012
 - Beta Testing: March 2012 to May 2012
 - Pilot Implementation: June 2012 to September 2012
 - State-wide Implementation: September on
- Preparing for Statewide Implementation
 - Will be sending out a Computer Readiness Survey; will be used to identify Beta and Pilot participants.
 - Beta, Pilot and Implementation includes training on the UAS-NY and the interRAI assessment tool.
- Assisted Living Programs will be included

Current Issues:

- Recent retirements have resulted in several changes in assignments including a change in the personnel responsible for the review of the financial component. We do not anticipate this will cause any delays in processing.
- Please continue to work with your assigned Project Manager (and know your project number!)
- Architectural Issues: OLTC is reviewing internally the concept and process of self-certification.

Current Issues (cont)

- As a result of the ALR task force meeting it was decided to establish a work group to review the requirements for the medical evaluation and assessments for the ALR.
- This meeting is scheduled for the end of this month.

ALP Beds Right Now

- Currently we have 5,263 ALP beds up and running
- We are reviewing 57 applications for 3,392 additional ALP beds
- These combined cover 43 of the state's counties

Status of ALR

- 49 ALRs Approved to date
- 227 ALR applications currently under review representing:
 - 21,855 ALR beds
 - 7,669 EALR beds
 - 3,931 SNALR beds
- 132 ALR applications have been withdrawn (these are not included in the 227 total)

Status of ALR (cont)

- 49% of all applications are awaiting a response from the applicant
- 11% are on hold per a request by the applicant

Census

- Census Report Information:

469 of 478 have filed

We currently have 149 Impacted
Facilities

We currently have 298 SSI Facilities

Total census of 31,695 with a capacity
of 38,868 (82%)

LICENSURE PROCESS

Next Steps:

Streamlining licensure process.

The following 7 slides outline the current licensure process.

Current Licensure Process

APPLICATION REVIEW COMPONENTS

I. ARCHITECTURAL REVIEW

- ACF – AH/EHP/ALR/ALP
- New construction
- Renovation
- Conversion of existing beds

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

II. CHARACTER & COMPETENCE

- Personal history forms
- Letters of Reference
- NYS Health Care Affiliations
- Out-of-State Health Care Affiliations
- Professional Licenses
 - OPMC/SED/Out-of-State

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

iii. FINANCIAL REVIEW

- Financial feasibility
- Financing arrangements (i.e., mortgage, commitments)
- Project cost
- Three-year operating budget
- Room Rates
- Financial Statements

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

- iv. LEGAL REVIEW (Division of Legal Affairs)
 - Corporation or Not-for-Profit
 - Certification of Incorporation and/or Amendments
 - By-Laws
 - Site Control -Lease/Deed/Purchase Agreement
 - Certificate of Assumed Name/DBA
 - Management Contracts
 - Zoning

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

iv. LEGAL REVIEW (continued)

- LLC (Limited Liability Corporation)
 - Articles of Organization and/or Amendments
 - Operating Agreements
 - Site Control: Lease/Deed/Purchase Agreement
 - Certificate of Assumed Name/DBA
 - Management Contracts
 - Zoning

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

v. PUBLIC NEED

(adult homes only)

- Letters of Support – OFA/DSS
- Waiting List
- HMSA (Health Management Systems Agencies) Statement

Current Licensure Process

APPLICATION REVIEW COMPONENTS (continued)

vi. REGIONAL OFFICE REVIEW

- Part 2

vii. MMIS (MEDICAID MANAGEMENT INFORMATION SYSTEM)

- ALP Programs Only

MRT – Medicaid Redesign Team

- #5 - CHHA Utilization Controls
- #90 - Move to MLTC
- #200 – Regulation relief / reform

Contacts

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Change of Address and FAX

- Effective April 15, 2011 all of the Office of Long Term Care is now located at:

875 Central Ave
Albany, NY 12206

And the FAX number for Division of Assisted Living is:

518-408-1249

All phone numbers remain the same.