HCBS Spending Plan For Implementation of American Rescue Plan Act

All Figures are in Millions

FFY24 Q2 Update

	NYS estimate of funds attributable to the increase in FMAP that the state has claimed and/or anticipates claiming between April 1, 2021, and March 31, 2022. All dollar figures are in millions. Estimates based on proposed spend plan total projections				
Program	FFY21Q3 04/01/21-06/30/21	FFY21Q4 07/01/21-09/30/21	FFY22Q1 10/01/21-12/31/21	FFY22Q2 01/01/22-03/31/22	TOTAL
HCBS (Managed Care)*	\$4,431	\$4,184	\$4,021	\$4,095	\$16,731
HCBS (All 1915c Waivers)**	\$1,778	\$1,706	\$1,942	\$2,386	\$7,812
Private Duty Nursing†	\$29	\$29	\$30	\$28	\$115
Rehabilitation Services**	\$167	\$161	\$186	\$219	\$734
Personal Care Services†	\$179	\$178	\$182	\$197	\$737
Home Health Services†	\$149	\$134	\$137	\$142	\$562
PACE††	\$87	\$90	\$88	\$99	\$363
Case Management†	\$8	\$9	\$9	\$9	\$35

\$6,492

\$7,175

\$27,089

\$6,594

TOTAL (in millions) All spending are atuals and subject to retrospective update upon future submissions or feedback from CMS on methodology.

Projection in FFY24 Q1 Update Spending Plan Shared with CMS in August 2023

FFY24 Q1	Dollar Difference	% Difference
\$16,731	\$0	0.0%
\$7,812	\$0	0.0%
\$115	\$0	0.0%
\$734	\$0	0.0%
\$737	\$0	0.0%
\$562	\$0	0.0%
\$363	\$0	0.0%
\$35	\$0	0.0%
	-	_
\$27.089	\$0	0.0%

Comparison to eFMAP Projection in FFY24 Q1 Spending Plan Shared with CMS in August 2023

FFY24 Q2 Projection (~10% eFMAP)	FFY24 Q1 Projection (~10% eFMAP)	Dollar Difference	% Difference
(10% eriviAP)	(10% eriviAP)		
\$1,592	\$1,592	\$0	0.0%
\$778	\$778	\$0	0.0%
\$12	\$12	\$0	0.0%
\$68	\$68	\$0	0.0%
\$73	\$73	\$0	0.0%
\$56	\$56	\$0	0.0%
\$36	\$36	\$0	0.0%
\$3	\$3	\$0	0.0%
40.000	40.000	.	
\$2,618.8	\$2,618.8	\$0.0	0.0%

Footnotes

* Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.

** Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

\$6,828

† These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22. †† This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-invement of funding on HCBS eFMAP spending plan proposals.

	HCBS Spending Plan For Implementation of American Rescue Plan Act (\$ in thousands)	Spend Progress by Activity (SFE) Spend by Federal Fiscal Quarter
Category	Proposal Name Lead Agency Expenditure SFE Funding (Estimate @ completion) FFP Gross SFE %	Estimate to Completion Fry21Q2 (SFE) FFy22Q3 (Gross) FFy23Q3
Support & Strengthening the Direct Care Workforce	Transform the Long-Term Care Workforce and Achieve Value-Based Payment DOH Directed Payment \$ 141,762 \$ 212,643 \$ 354,405	\$ - \$ 141,762 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	B Improve the OPWDD Workforce OPWDD 1915 (c) Waiver Amendment, Appendix K \$ 493,441 \$ 807,882 \$ 1,301,322 38%	\$ 81,680 \$ 411,761 \$ - \$ - \$ - \$ - \$ - \$ - \$ 12,460 \$ 12,460 \$
Support & Strengthening the Direct Care Workforce	C Improve the OMH Workforce OMH Directed Payment & State Plan Amendment \$ 48,444 \$ 68,233 \$ 116,677 42%	\$ 6,800 \$ 41,644 \$ - \$ - \$ - \$ - \$ - \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$ 5,260 \$
Support & Strengthening the Direct Care Workforce	D Improve the OASAS Workforce OASAS State Plan Amendment \$ 5,411 \$ 10,133 \$ 15,543 35%	\$ 4,920 \$ 491 \$ - \$ - \$ - \$ - \$ 574 \$ 159 \$ 574 \$ - \$ 57
Support & Strengthening the Direct Care Workforce	E Increase Medicaid Rehabilitation Rates for OMH Community Residence Programs OMH State Plan Amendment \$ 8,240 \$ 9,065 \$ 17,305 48%	\$ - \$ 8,240 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	F Enhance the Children's Services Workforce DOH Directed Payment \$ 4,810 \$ 3,010 \$ 7,820 62%	\$ 4,810 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	Expand Training and Implementation Support for Evidence Based Practices (EBPs) OMH N/A \$ 8,600 \$ - \$ 8,600 100%	\$ - \$ 8,600 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	H Expand Recruitment and Retention of Culturally Competent, Culturally Responsive and Diverse Personnel OMH N/A \$ 4,000 \$ - \$ 4,000 100%	\$ - \$ 4,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	I Expand Certified and Credentialed Peer Capacity OMH N/A \$ 4,000 \$ - \$ 4,000 100%	\$ - \$ 4,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	J Improve and Support the Assisted Living Program (ALP) Workforce N/A \$ 20,000 \$ 20,000 \$ 40,000 50%	\$ 20,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Support & Strengthening the Direct Care Workforce	K Home Care Minimum Wage Increase DOH SPA, Managed Care Rate Change \$ 1,294,029 \$ 1,360,577 \$ 2,654,606 49%	\$ 806,064 \$ 487,965 \$ - \$ - \$ - \$ - \$ - \$ - \$ 5 - \$ - \$ 5 - \$
Support & Strengthening the Direct Care Workforce	L Further Improve the OPWDD Workforce OPWDD Appendix K Waiver Amendment & Preprint \$ 34,799 \$ 44,650 \$ 79,449 44% Expand Capacity in Nursing Home Transition and Diversion	\$ - \$ 34,799 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	NHTD) and Traumatic Brain Injury (TBI) Support of the Unique Program of All-Inclusive Care for the	\$ 2,092 \$ 54,123 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	Elderly (PACE) Fully Integrated Care Model	\$ - \$ 40,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -
HCBS Capacity, Innovations, and Systems Transformation	C Improve the OPWDD Delivery System OPWDD N/A \$ 30,000 \$ - \$ 30,000 100% Invest in a Community Engagement Initiative- HCBS Day OPWDD N/A \$ 15,000	\$ 17,520 \$ 12,480 \$ - \$ - \$ - \$ 5,840
HCBS Capacity, Innovations, and Systems Transformation	Services 13,000 3 - 3 13,000 100%	\$ 15,000 \$ - \$ - \$ - \$ - \$ - \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$ 5,000 \$
HCBS Capacity, Innovations, and Systems Transformation	E Invest in Diversity, Equity, and Inclusion for People with IDD OPWDD N/A \$ 20,000 \$ - \$ 20,000 100%	\$ 17,411 \$ 2,589 \$ - \$ - \$ - \$ - \$ - \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$ 5,804 \$
HCBS Capacity, Innovations, and Systems Transformation	F Integrated Living Transformation Grant OPWDD N/A \$ 10,000 \$ - \$ 10,000 100%	\$ 10,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -
HCBS Capacity, Innovations, and Systems Transformation HCBS Capacity, Innovations, and Systems Transformation	G Adjust Residential Addiction Treatment Services Rate OASAS State Plan Amendment \$ 5,360 \$ 17,843 \$ 23,203 23% Expand and Implement HCBS and Community Oriented DOLL ONLY Directed Payment \$ 0,300 \$ 0,300 \$ 18,400 5	\$ 5,347 \$ 13 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation HCBS Capacity, Innovations, and Systems Transformation	H Expand and implement RCBS and Community Oriented DOH, OMH Directed Payment \$ 9,200 \$ 9,200 \$ 18,400 50% Support the Transition to Voluntary Foster Care Agencies DOH, OCFS State Plan Amendment \$ 15,518 \$ 15,520 \$ 31,039 50%	
HCBS Capacity, Innovations, and Systems Transformation	(i.e., Article 29-I providers, as licensed under State Law) J Expand Crisis Services for People with IDD OPWDD Appendix K Waiver Amendment \$ 13,318 \$ 13,320 \$ 31,039 \$ 31,039 \$ 30% The providers of the pro	\$ - \$ 15,518 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	K Enhanced Rates for Private Duty Nursing (PDN) DOH State Plan Amendment \$ 28,503 \$ 29,509 \$ 58,012 49%	\$ 11,717 \$ 16,787 \$ - \$ - \$ - \$ - \$ 5,742 \$ 3,060 \$ 3,060 \$ 3,
HCBS Capacity, Innovations, and Systems Transformation	L Supportive Residential Habilitation Transformation Grant OPWDD N/A \$ 10,000 \$ - \$ 10,000 100%	\$ 10,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -
HCBS Capacity, Innovations, and Systems Transformation	M Invest in OASAS Outpatient Addiction Rehabilitation OASAS State Plan Amendment \$ 4,217 \$ 7,890 \$ 12,106 35%	\$ 3,599 \$ 617 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	Treatment Services Adjustments N Invest in Personalized Recovery Oriented Services (PROS) OMH State Plan Amendment \$ 5,060 \$ 5,935 \$ 10,995 46%	\$ - \$ 5,060 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	Redesign DOH State Plan Amendment \$ 7,180 \$ 6,025 \$ 13,206 54%	\$ 6,408 \$ 773 \$ - \$ - \$ - \$ - \$ - \$ - \$ 2,500 \$
HCBS Capacity, Innovations, and Systems Transformation	P Children's Waiver HCBS Rate Adjustments DOH 1915 (c) Waiver Amendment, Appendix K \$ 122,303 \$ 144,943 \$ 267,246 46%	\$ 8,462 \$ 113,841 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	Q Invest in Assertive Community Treatment (ACT) Services OMH State Plan Amendment \$ 3,520 \$ 3,270 \$ 6,790 52%	\$ - \$ 3,520 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	R Health Home Servicing Children (HHSC) Rate Adjustments DOH State Plan Amendment \$ 1,560 \$ 1,560 \$ 3,119 50%	\$ 1,560 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	S Support for Adult Day Health Centers and Social Adult Day Centers Reopening DOH Directed Payment \$ 10,000 \$ 10,000 \$ 20,000 50%	\$ 10,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -
HCBS Capacity, Innovations, and Systems Transformation	T Invest in Outpatient Mental Health Rehabilitative Services OMH State Plan Amendment \$ 31,760 \$ 31,760 \$ 63,521 50%	\$ 11,006 \$ 20,754 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ 3,669 \$ 7,338 \$ 3,669 \$ 7,338 \$ 3,669 \$ 7,338 \$ 3,669 \$
HCBS Capacity, Innovations, and Systems Transformation	Continuation and Expansion of the Community Care Connections Program Model SOFA, DOH N/A \$ 2,750 \$ - \$ 2,750 \$ 100%	\$ 2,750 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	V Personalized Recovery Oriented Services (PROS) – Enhance Community Access OMH N/A \$ 9,900 \$ - \$ 9,900 100%	\$ 9,900 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
HCBS Capacity, Innovations, and Systems Transformation	W Invest in Certified Community Behavioral Health Clinics (CCBHC) \$ 5,100 \$ - \$ 5,100 \$ 100%	\$ 5,100 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ 5,100 \$
Digital Infrastructure Investments	A Modernize OPWDD IT Infrastructure to Support Medicaid Enterprise & Investments to Expand Operational Capacity OPWDD N/A \$ 42,400 \$ - \$ 42,400 \$ 100%	\$ 42,400 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ 14,133 \$ 14,133 \$ 14,133 \$ 14,133 \$ 14,133 \$ 14,133 \$
Digital Infrastructure Investments	B Strengthen NY Connects Infrastructure SOFA, DOH N/A \$ 37,750 \$ - \$ 37,750 100%	\$ 7,950 \$ 29,800 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ 5 - \$ - \$
Digital Infrastructure Investments	C Advance Children's Services IT Infrastructure DOH Directed Payment \$ 5,165 \$ 5,165 \$ 10,330 50%	\$ 5,165 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$
Digital Infrastructure Investments	Extended Short-Term Support for Behavioral Health Care Collaboratives OMH Directed Payment \$ 10,000 \$ 10,000 \$ 20,000 50%	\$ - \$ 10,000 \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ -
Digital Infrastructure Investments	E Strengthen the NYS Multiple Systems Navigator DOH N/A \$ 1,500 \$ - \$ 1,500 100%	\$ 1,500 \$ - \$
Total	\$2,618,806 \$2,893,892 \$5,512,698	\$1,138,961 \$1,479,844 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0

Support and Strengthening the Direct Care Workforce Proposal
HCBS Capacity, Innovations, and Systems Transformation Proposal
Digital Infrastructure Investments proposal
Actual Expenditures reported to date
Blue text demarcates modifications from the original spending plan submitted to CMS.