2022-2023 Value-Based Payment Reporting Requirements

Technical Specifications Manual

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IMPORTANT DATES & CONTACT INFORMATION			
MAINSTREAM VBP		**MLTC VBP**	
Contact	OQPSVBP@health.ny.gov	**Contact**	MLTCVBP@health.ny.gov
Submission Date	All files must be received electronically by 11:59 p.m. EST **Friday, July 28, 2023**.	**Submission Dates**	Plan/Provider-VBP Contractor performance to the State by **Friday, July 28, 2022**
			MLTC VBP data files: All files must be received electronically by 11:59 p.m. EST **Friday, July 28, 2023**.
Health Commerce System (HCS)	https://commerce.health.state.ny.us To: **OQPS VBP Evaluation**	**Health Commerce System (HCS)**	https://commerce.health.state.ny.us To: **MLTC VBP Evaluation**

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I. Submission Requirements

INTRODUCTION

The purpose of this document is to make stakeholders aware of the quality measure reporting requirements for Medicaid Managed Care Organizations (MCOs) participating in the New York State (NYS) Medicaid Value-Based Payment (VBP) program. The 2022-2023 VBP Reporting Requirements refer to data for Measurement Year 2022 (MY2022).

Sections II, III, and IV of this document include guidance for the organizations responsible for reporting, the subset of measures for which reporting will be required by **Mainstream VBP Arrangements,** and the changes to the reportable set of MY2022 Quality Measure Sets (see TABLE 1: 2022 VBP LIST OF REQUIRED MEASURES). Sections V and VI provide guidance for **Managed Long-Term Care** (**MLTC) VBP Arrangements**.

The New York State Department of Health (NYS DOH) has completed the first phase of a health transformation effort, known as the State Innovation Model (SIM) award, which focused on the transformation of primary care delivery and payment models statewide. The New York State Patient Centered Medical Home (NYS PCMH) model was created as part of the SIM initiative. With NYS PCMH, a Primary Care (PC) Core measure set was developed, and multi-payer data is used to calculate results for practices for the measure. To reduce the burden on MCOs participating in both the NYS PC measure set model and Medicaid VBP, we are aligning the reporting for both programs and utilizing the NYS Primary Care Core Set Scorecard data request to fulfill reporting requirements for both programs, where possible.

VBP ARRANGEMENTS AND ASSOCIATED QUALITY MEASURES

The VBP Roadmap, updated in June 2022, outlines five types of VBP arrangements to be included for MY2022:

- **Total Care for the General Population (TCGP)** Arrangement: Includes all costs and outcomes for care, excluding certain populations (specified below).
- Total Care for Special Needs Population Arrangements: Includes costs and outcomes of total care for all members within a special needs population exclusive of TCGP.
    - **Children's Subpopulation**: to address the unique needs of children at different developmental stages
    - **Behavioral Health/Health and Recovery Plans** (HARP): for those with Serious Mental Illness or Substance Use Disorders
    - **People Living with HIV/AIDS**
    - **Managed Long-Term Care** (MLTC)
- Episodic Care Arrangements
    - **Maternity Care**: Includes episodes associated with a pregnancy, including prenatal care, delivery, and postpartum care through 60 days post-discharge for the mother, and care provided to the newborn from birth through the first 30 days post-discharge.
    - **IPC** arrangements: All references to Integrated Primary Care (IPC) arrangements and associated requirements have been removed from the VBP Roadmap[1].

CATEGORIZATION OF QUALITY MEASURES

Through a multi-group stakeholder engagement process, a set of quality measures was defined for each arrangement. Based on an analysis of clinical relevance, reliability, validity, and feasibility, each measure was placed into one of three categories:

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[1] https://www.health.ny.gov/health_care/medicaid/redesign/vbp/roadmaps/docs/final_exec_summary.pdf

I. Submission Requirements

- **Category 1:** Selected as clinically relevant, reliable, valid, and feasible. These measures are outlined in Table 1.
  - **REQUIREMENT:** Only the **Category 1** measures that are indicated in this document as "Required to Report" (✓) are to be reported by the MCO to the State.
- **Category 2:** Seen as clinically relevant, valid, and reliable, but where the feasibility could be problematic. Category 2 measures are listed in the appendix (Table 3) of this guide.
- **Category 3:** Rejected based on a lack of relevance, reliability, validity, and/or feasibility. These measures are not included in this manual.

CLASSIFICATION OF QUALITY MEASURES

Each Category 1 measure is classified as either Pay-for-Performance (P4P) or Pay-for-Reporting (P4R). P4P measures are intended to be used in the determination of shared savings amounts for which VBP Contractors are eligible. P4R measures are intended to be used by the MCOs to incentivize the VBP Contractors for reporting data to monitor the quality of care delivered to members in a VBP contract.

ORGANIZATIONS REQUIRED TO REPORT

Medicaid Managed Care Organizations with Level 1 or higher value-based contracting arrangements are required to report. **All submissions must be received electronically by 11:59 p.m. ET on <u>Friday, July 28, 2023</u>.**

REPORTING GUIDELINES VBP MAINSTREAM & SUBPOPULATIONS

II. REPORTING GUIDELINES VBP MAINSTREAM & SUBPOPULATIONS

The State is requesting that Medicaid Managed Care (MMC) plans submit data files that leverage their 2022 Quality Assurance Reporting Requirements (QARR) (HEDIS®)[2] submission which will be used to create aggregated quality results by VBP Contractor for all members in a VBP Arrangement. Specifically, the State is asking insurers to provide a modified version of the NYS Patient-Level Detail (PLD) file, along with provider and practice information. Submission of the NYS PCMH Patient-Level Detail file for all members in a Level 1 or higher VBP Arrangement will fulfill this reporting requirement. The NYS PCMH Patient-Level Detail File layout is included in Section IV of this manual. The State is also requesting a separate Patient Attribution file for all members in a Level 1 or higher VBP Arrangement. The Patient Attribution file layout is also included in Section IV.

Table 1: 2022 VBP List of Required Measures

- o Lists, by arrangement, the 2022 VBP Category 1 Measure sets and indicates the 2022 measures the State is requiring for reporting.

- ➢ **Section IV:** File Specifications required for reporting.

  - o This manual describes reporting requirements only. For VBP reporting or contracting questions, please contact OQPSVBP@health.ny.gov.

- ➢ Organizations must purchase the HEDIS® 2022 Technical Specifications for descriptions of the required HEDIS® measures. For specifications for other non-HEDIS measures, please contact the measure steward for the correct version of the specification. NYS-specific measures are defined in the 2022 Quality Assurance Reporting Requirements (QARR) Technical Specifications Manual.

MEASURE CHANGES

- Changes to the Reporting Requirements for 2022 Measure Sets were made based on the feedback received by the DOH from the Clinical Advisory Groups, HEDIS measurement changes, and other stakeholder groups. Those changes are indicated below. In instances where a measure was moved *from* Category 1 in MY2021 to Category 2 in MY2022 or removed entirely, the State will not require reporting of the data related to those measures.

TCGP:

Category 1: Changes

- *Comprehensive Diabetes Care (CDC): Eye Exam (retinal) Performed*; **replaced** with *Eye Exam for Patients with Diabetes (EED);* as a Category 1 Measure
- *Comprehensive Diabetes Care (CDC): Hemoglobin A1c (HbA1c) Poor Control (>9.0%);* **replaced** with *Hemoglobin A1c Control for Patients with Diabetes (HBD)* as a Category 1 Measure.
- *Follow-Up After Emergency Department Visit for Alcohol and Other Drug Dependence (FUA)*; name and specifications **revised by NCQA** to *Follow–Up After Emergency Department Visit for Substance Use (FUA);* as a Category 1 Measure.
- *Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET)*; measure name and specifications **revised by NCQA** to *Initiation and Engagement of Substance Use Disorder Treatment (IET)*; as a Category 1 Measure.

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[2] HEDIS® is a registered trademark of the National Committee for Quality Assurance (NCQA).

II. REPORTING GUIDELINES VBP MAINSTREAM & SUBPOPULATIONS

Category 2: Changes
- There are no TCGP category 2 measures.

IPC: Arrangement type removed from VBP Roadmap.

Behavioral Health/HARP:

Category 1: Changes
- *Completion of Home and Community Based Services Annual Needs Assessment*; **removed** as a Category 1 Measure.
- *Comprehensive Diabetes Care (CDC): Eye Exam (retinal) Performed*; **replaced** with *Eye Exam for Patients with Diabetes (EED);* as a Category 1 Measure
- *Comprehensive Diabetes Care (CDC): Hemoglobin A1c (HbA1c) Poor Control (>9.0%);* **replaced** with *Hemoglobin A1c Control for Patients with Diabetes (HBD)* as a Category 1 Measure.
- *Employed, Seeking Employment or Enrolled in a Formal Education Program;* **removed** as a Category 1 Measure.
- *Follow-Up After Emergency Department Visit for Alcohol and Other Drug Dependence (FUA)*; name and specifications **revised by NCQA** to *Follow–Up After Emergency Department Visit for Substance Use (FUA);* as a Category 1 Measure.
- *No Arrests in the Past Year*; **removed** as a Category 1 Measure.
- *Percentage of Members Enrolled in a Health Home*; **removed** as a Category 1 Measure.
- *Stable Housing Status*; **removed** as a Category 1 Measure.

Category 2: Changes
- No Category 2 Measures were **changed, added, or removed** from the Behavioral Health/HARP measure set.

HIV/AIDS:

Category 1: Changes
- *Comprehensive Diabetes Care (CDC): Eye Exam (retinal) Performed*; **replaced** with *Eye Exam for Patients with Diabetes (EED);* as a Category 1 Measure
- *Comprehensive Diabetes Care (CDC): Hemoglobin A1c (HbA1c) Poor Control (>9.0%);* **replaced** with *Hemoglobin A1c Control for Patients with Diabetes (HBD)* as a Category 1 Measure.
- *Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET)*; name and specifications **revised by NCQA** to *Initiation and Engagement of Substance Use Disorder Treatment (IET)* as a Category 1 Measure.

Category 2: Changes
- No Category 2 Measures were **changed, added, or removed** from the HIV/AIDs measure set.

Maternity:

Category 1 Changes
- *Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET)*; name and specifications **revised by NCQA** to *Initiation and Engagement of Substance Use Disorder Treatment (IET)* as a Category 1 Measure.

Category 2: Changes
- No Category 2 Measures were **changed, added, or removed** from the Maternity measure set.

II. REPORTING GUIDELINES VBP MAINSTREAM & SUBPOPULATIONS

Children's:

Category 1: Changes

- *Adolescent Preventive Care Measures*; **removed** as a Category 1 Measure.
- *Follow–Up Care for Children Prescribed ADHD Medication (ADD);* measure specifications **revised by NCQA** as a Category 1 Measure.

Category 2: Changes

- *Follow-Up After Emergency Department Visit for Alcohol and Other Drug Dependence (FUA)*; name and specifications **revised by NCQA** to *Follow–Up After Emergency Department Visit for Substance Use (FUA);* as a Category 1 Measure.

WHERE TO SUBMIT VBP REPORTING DATA

- Electronically submit all files (**no later than 11:59 p.m. ET on Friday, July 28, 2023**) via a secure file transfer facility**.** Do not mail materials.
- Specific delivery instructions are given for each file.

WHAT TO SEND FOR VBP REPORTING

- The State is requesting an NYS PCMH file and a Patient Attribution file for **ALL** members in a VBP Level 1 or higher Arrangement.

 *****All submissions must be received electronically by 11:59 p.m. ET on Friday, July 28, 2023.*****

QUESTIONS CONCERNING 2022 VBP REPORTING

Please submit all questions to OQPSVBP@health.ny.gov.

III. Reporting Requirements Mainstream VBP

Measure	Notes	Arrangement Type					NQF ID	Specifications	Class
		TCGP	Maternity	HARP	HIV/AIDS	Children's			
Total Care for the General Population (TCGP)									
Adherence to Antipsychotic Medications for Individuals with Schizophrenia (SAA)		✓	NA	✓	NA	NA	1879	HEDIS 2022	P4P
Adherence to Mood Stabilizers for Individuals with Bipolar I Disorder		NR	NA	NA	NR	NA	1880	CMS 2022	P4P
Antidepressant Medication Management - Effective Acute Phase Treatment & Effective Continuation Phase Treatment (AMM)		✓	NA	NA	✓	NA	0105	HEDIS 2022	P4P
Asthma Medication Ratio (AMR)		✓	NA	✓	✓	✓	1800	HEDIS 2022	P4P
Breast Cancer Screening (BCS)		✓	NA	✓	✓	NA	2372	HEDIS 2022	P4P
Cervical Cancer Screening (CCS)	2	✓	NA	✓	✓	NA	0032	HEDIS 2022	P4P
Child and Adolescent Well–Care Visits (WCV)**		✓	NA	NA	NA	✓		HEDIS 2022	P4P
Childhood Immunization Status – Combination 3 (CIS)	2	✓	NA	NA	NA	✓	0038	HEDIS 2022	P4P
Chlamydia Screening in Women (CHL)		✓	NA	✓	NA	✓	0033	HEDIS 2022	P4P
Colorectal Cancer Screening (COL)**	2	✓	NA	✓	✓	NA	0034	HEDIS 2022	P4P
Controlling High Blood Pressure (CBP)**	2	✓	NA	✓	✓	NA	0018	HEDIS 2022	P4P

✓ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported

1. There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2. For measures that you may have reported using the hybrid sample in the PLD for QARR, we request that you report the administrative denominator and numerator for VBP.

III. Reporting Requirements Mainstream VBP

Measure	Notes	Arrangement Type					NQF ID	Specifications	Class
		TCGP	Maternity	HARP	HIV/AIDS	Children's			
Depression Remission or Response for Adolescents and Adults (DRR)		✓	NA	NA	✓	✓		HEDIS 2022	P4P
Diabetes Screening for People with Schizophrenia or Bipolar Disorder Using Antipsychotic Medications (SSD)		✓	NA	✓	✓	NA	1932	HEDIS 2022	P4P
Eye Exam for Patients with Diabetes (EED)		✓	NA	✓	✓	NA		HEDIS 2022	P4P
Follow-Up After Emergency Department Visit for Substance Use (FUA)		✓	NA	✓	NA	NA	3488	HEDIS 2022	P4P
Follow-Up After Emergency Department Visit for Mental Illness (FUM)		✓	NA	✓	NA	NA	3489	HEDIS 2022	P4P
Follow-Up After High-Intensity Care for Substance Use Disorder (FUI)		✓	NA	✓	NA	NA		HEDIS 2022	P4P
Follow-Up After Hospitalization for Mental Illness (FUH)		✓	NA	✓	NA	NA	0576	HEDIS 2022	P4P
Hemoglobin A1c Control for Patients With Diabetes (HBD)**		✓	NA	✓	✓	NA		HEDIS 2022	P4P
HIV Viral Load Suppression (VLS)	1	✓	NA	NA	✓	NA	2082	HRSA	P4P
Immunizations for Adolescents - Combination 2 (IMA)		✓	NA	NA	NA	✓	1407	HEDIS 2022	P4P

✓ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported

1. There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2. For measures that you may have reported using the hybrid sample in the PLD for QARR, we request that you report the administrative denominator and numerator for VBP.

III.   Reporting Requirements Mainstream VBP

Measure	Notes	Arrangement Type					NQF ID	Specifications	Class
		TCGP	Maternity	HARP	HIV/AIDS	Children's			
Initiation and Engagement of Substance Use Disorder Treatment		✔	✔	NA	✔	NA	0004	HEDIS 2022	P4P
Initiation of Pharmacotherapy upon New Episode of Opioid Dependence		✔	NA	✔	✔	NA		NYS 2022	P4P
Kidney Health Evaluation for Patients With Diabetes (KED)		✔	NA	✔	✔	NA		HEDIS 2022	P4P
Pharmacotherapy for Opioid Use Disorder (POD)		✔	NA	✔	CAT 2	NA		HEDIS 2022	P4P
Potentially Preventable Mental Health Related Readmission Rate 30 Days		✔	NA	✔	NA	NA		NYS 2022	P4P
Prenatal and Postpartum Care (PPC)**		✔	✔	NA	NA	NA	1517	HEDIS 2022	P4P
Well-Child Visits in the First 30 Months of Life (W30)		✔	NA	NA	NA	✔		HEDIS 2022	P4P
Maternity Care									
Contraceptive Care – Postpartum		NA	NR	NA	NA	NA	2902	US Office of Population Affairs	P4R
C-Section for Nulliparous Singleton Term Vertex (NSTV) (PC-02)		NA	NR	NA	NA	NA	0471	TJC 2019	P4R
Depression Screening and Follow-Up for Adolescents and Adults (DSF-E)		NA	✔	NA	✔	✔		HEDIS 2022	P4R

✔ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported

1. There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2. For measures that you may have reported using the hybrid sample in the PLD for QARR, we request that you report the administrative denominator and numerator for VBP.

III. Reporting Requirements Mainstream VBP

Measure	Notes	Arrangement Type					NQF ID	Specifications	Class
		TCGP	Maternity	HARP	HIV/AIDS	Children's			
Exclusively Breast Milk Feeding (PC-05)		NA	NR	NA	NA	NA	0480	TJC 2017	P4R
Incidence of Episiotomy		NA	NR	NA	NA	NA	0470	Christiana Care Health System 2019	P4R
Low Birth Weight [Live births weighing less than 2,500 grams (preterm v. full term)]		NA	NR	NA	NA	NA	0278	AHRQ v7.0	P4R
Percentage of Preterm Births		NA	NR	NA	NA	NA		NYS 2022 Vital Statistics	P4R
Preventive Care and Screening: Tobacco Use: Screening and Cessation Intervention		NA	✓	✓	✓	NA	0028	AMA PCPI	P4R
Health and Recovery Program (HARP)									
Preventive Care and Screening: Body Mass Index (BMI) Screening and Follow-Up Plan		NA	NA	NR	NR	NA	0421	CMS 2020	P4R
Preventive Care and Screening: Influenza Immunization		NA	NA	✓	✓	NA	0041	AMA PCPI	P4R
Statin Therapy for Patients with Cardiovascular Disease (SPC)		NA	NA	✓	✓	NA		HEDIS 2022	P4R
Use of Pharmacotherapy for Alcohol Abuse or Dependence		NA	NA	✓	✓	NA		NYS 2022	P4R
Use of Spirometry Testing in the Assessment and Diagnosis of COPD (SPR)		NA	NA	✓	✓	NA	0577	HEDIS 2022	P4R

✓ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported

1. There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2. For measures that you may have reported using the hybrid sample in the PLD for QARR, we request that you report the administrative denominator and numerator for VBP.

III. Reporting Requirements Mainstream VBP

Measure	Notes	Arrangement Type					NQF ID	Specifications	Class
		TCGP	Maternity	HARP	HIV/AIDS	Children's			
HIV/AIDS									
Potentially Avoidable Complication (PAC) in Patients with HIV/AIDS	1	NA	NA	NA	NA	NR		Altarum Institute	P4R
Sexually Transmitted Infections: Screening for Chlamydia, Gonorrhea, and Syphilis	1	NA	NA	NA	NA	NR		NYS 2022	P4R
CHILDREN									
Annual Dental Visit (ADV)		NA	NA	NA	NA	✓	1388	HEDIS 2022	P4R
Asthma Admission Rate [PDI #14]		NR	NA	NA	NA	NR	0728	AHRQ v5.0	P4P
Developmental Screening in the First Three Years of Life		NA	NA	NA	NA	NR	1448	Oregon Health & Science University	P4R
Follow–Up Care for Children Prescribed ADHD Medication (ADD)*		NA	NA	NA	NA	✓	0108	HEDIS 2022	P4R
Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents (WCC)	2	NA	NA	NA	NA	✓	0024	HEDIS 2022	P4R

** HEDIS Stratified Measure

✓ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported
1. There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2. For measures that you may have reported using the hybrid sample in the PLD for QARR, we request that you report the administrative denominator and numerator for VBP.

IV. **File Specifications - Mainstream VBP**

NYS PCMH Scorecard Patient-Level Detail File

Please use your 2022 QARR/HEDIS data warehouse as the source for this information. Do not recalculate or update measure results. However, in addition to the measure elements that you reported for QARR/HEDIS for 2022, please include the provider/practice information that was attributed to the member using your plan's attribution methodology. Several fields regarding the provider and practice site of the service have been added to the layout request for this purpose, specifically two separate fields for **TIN**: Practice TIN and Contractor TIN. The NYS PCMH data file is modeled after the NYS 2022 Patient-Level Detail file (PLD) that you prepared as part of your QARR submission, and many of the data elements in the NYS PCMH file follow the same definitions and format as used to define the data elements in the PLD. You may find it helpful to use the PLD as a resource or starting point in completing the NYS PCMH file. **We ask that you populate the NYS PCMH with all Lines of Business that you serve** (e.g., Medicaid). Once completed, please upload the file to IPRO's FTP site. A subfolder in the "QARR 2022" folder where you will upload your 2022 QARR files entitled "NYS PCMH 2022" will be created for your submission. If someone other than your QARR liaison will be responsible for NYS PCMH reporting, please contact the VBP Team at OQPSVBP@health.ny.gov for access to the FTP site. Please note that the deadline for submission is **Friday, July 28, 2023.**

Exceptions to the PLD file are noted below:

1. The NYS PCMH file requests Medicare HEDIS data, which is not required for QARR reporting.
2. The Plan ID is not your plan's QARR ID. The Plan ID field should be populated with the Organization ID that you used to submit the IDSS to NCQA.
3. Note that the Organization ID is different from the Submission ID. Submission ID which is specific to a Line of Business.
4. The Organization ID provides six digits. If your plan's ID is smaller, please right justify.
5. For Medicaid, we are asking that you populate the member's CIN in the ID field and not an internal ID number, for other products, please use an internally-defined ID number. **In order to receive credit for VBP reporting, the Medicaid CIN must be populated for Medicaid members.**
6. Provider/Practice attribution information is required for NYS PCMH. This information is not required for QARR reporting.

Specific Instructions:

1. Please be aware that although the member ID for all products except Medicaid is an internal number assigned by your plan, you will need to link the member to the provider of service. You should use a naming convention that will facilitate this process.
2. If a member is reported for a specific measure in more than one product line (e.g., duals), please report the member for only one product, using the following priority: Commercial, then Medicare, then Medicaid. This instruction affects only members who may be reported twice in the same measure.
3. A Member ID (Field #3) may be included on the file more than once if the member is in more than one product line during the reporting period.
4. For hybrid measures that you reported to NCQA/NYS using the hybrid methodology, which requires calculating the measure based on a sample rather than the entire eligible population, **for NYS PCMH only, we are requesting that you report the administrative denominator and administrative numerator** (and not the hybrid data), which is populated on the IDSS.
5. Members in the file must be in at least one measure.
6. Measures that are not applicable to the member should be zero-filled.
7. A valid Tax ID (TIN) is nine characters. If the TIN is not available, set the field value to "999999999".
8. Practice Name must be populated in the Practice Name (Field #9) only.
9. Practice Address Line 1 (Field #10) must contain the street address of the Practice, not the Practice Name.

IV. File Specifications - Mainstream VBP

10. For Fields #7-22, leave these fields blank if the member cannot be attributed to any provider and you are not able to identify the provider.
11. For Field # 21, Populate with valid TINs only. If a member is NOT attributed to a VBP Contractor set to '999999999'.
12. Initiation and Engagement of Alcohol and Other Drug Dependence Treatment (IET) has been updated to reflect the episodic language of the measure as a member can have more than one episode that contributes to the denominator and numerator. The IET Engagement numerator (Field #88 and 91) value must be less than or equal to the Initiation numerator (Field #87 and 90) value.
13. The ADD Continuation and Maintenance (C&M) Phase denominator (Field #103) and numerator (Field #104) must be less than or equal to the Initiation Phase denominator (Field #101) and numerator (Field #102).
14. For the AAB (Field #83) and LBP (Field #85) measures, provide the actual numerator (non-inverted) (e.g., for AAB, the numerator would be members receiving the antibiotic).
15. For the AMB measure, please populate the fields with the number of events for each LOB you are reporting. Member Months **is not** required for 2022.
16. For the IPU/AHU/EDU measures, please populate the fields with number of events for each LOB you are reporting. Member Months **is not** required for 2022.
17. The AMR measure has replaced the MMA measure in fields 47-61. For MY2022, the Ratio of controller medications to total asthma medications of 0.75 or greater has been retired. Please zero fill these columns (Field #49, 52, 55, 58, 61). Additionally, the 65-85 years age group has been retired. Please zero fill these columns (Field # 59 and 60).
18. For the retired Comprehensive Diabetes Care (CDC), please zero fill field #72, 73, 74, 75, and 76. The CDC measure has been replaced with new measures Hemoglobin A1c Control for Patients with Diabetes (HBD) (field #142 and 143), Eye Exam for Patients with Diabetes (EED) (field #144 and 145), and Kidney Health Evaluation for Patients with Diabetes (KED) (field #146 and 147).
19. Only MCOs reporting their Medicaid Line of Business need report the following 8 VBP specific measures: Statin therapy for patients with cardiovascular disease, Use of Spirometry Testing in the Assessment and Diagnosis of COPD, Diabetes Screening for Schizophrenia or Bipolar Disorder Who Are Using Antipsychotic Medications, Initiation of Pharmacotherapy upon New Episode of Opioid Dependence, Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents (Administrative rate), Follow-Up Care for Children Prescribed ADHD Medication, Annual Dental Visits, and Well-Child Visits in the First 30 Months of Life. Medicaid plans submitting NYS PCMH data should add these additional variables to the NYS PCMH file layout and it will count towards the VBP reporting requirements.

For questions regarding this request, please contact the **VBP Team** of **OQPS** at: OQPSVBP@health.ny.gov or (518) 486-9012.

IV. File Specifications - Mainstream VBP

PATIENT ATTRIBUTION FILE

The State is asking insurers to provide an attribution file for **all members enrolled in a VBP arrangement** during the 2022 Calendar Year per the methodology specified in your state-approved contract. The attribution file will be used in combination with other quality measure sources (e.g., 2022 NYS Patient-Level Detail File) to aggregate quality results for the 2022 Category 1 population-specific measures by VBP Contractor.

NOTE: MLTC attribution instructions are different than for other populations. Please follow the instructions in the MLTC attribution file subsection of this manual.

FILE FORMAT:

Submit a text file that is either: fixed-width (TXT) or comma-separated values (CSV)

Fixed-width TXT files:

- Must have column start/end locations as documented in the following table.
- Data must not include column names. The first row in the file must be data.
- Numeric values should be right justified, and blank filled to the left of the value; text fields should be left-justified and blank filled to the right of the value. Variable character (VARCHAR) fields should be treated as text.

CSV files:

- **Must not** have additional columns beyond those shown in the following table. (Refer to companion excel file.)
- Data **must** include column names. The first row in the file must be the column names as documented in the following table.

Naming Convention:

The file should be named **VBP_PlanID_2022.txt** or **VBP_PlanID_2022.cvs** (Refer to field 1 in the table below.)

Examples: VBP_123456_2022.txt   VBP_123456_2022.csv

VBP File   Plan/Org ID   MY2022   VBP File   Plan/Org ID   MY2022

All files are due no later than **Friday, July 28, 2023**.

Element #	Name	Direction	Allowed Values	Data Type	Required/ Optional	Length	Start	End
1	Plan_ID#	Organization ID is used to submit the IDSS to NCQA. This ID is consistent across all Lines	######	VARCHAR	R	6	1	6
2	Product_Line	A member's product line at the end of the measurement period.	1 = MEDICAID 2 = SNP 11 = HARP	NUMBER	R	2	7	8

IV. File Specifications - Mainstream VBP

Element #	Name	Direction	Allowed Values	Data Type	Required/ Optional	Length	Start	End
3	Unique_Member_ ID#	Medicaid Client ID Number (CIN) *The field is alphanumeric and should be treated as a text field. **This field is mandatory – do not leave it blank!**		VARCHAR	R	8	9	16
4	County_of_ Residence	Enter the 3-digit county FIPS code for each member's county of residence.	###	NUMBER	R	3	17	19
5	Zip_Code_of_ Residence		#####	NUMBER	R	5	20	24
6	Practice_Tax_ID#	Populate with valid TINs only. This field is mandatory – do not leave it blank!	#########	NUMBER	R	9	25	33
7	PCMH_Site_ID#	PCMH Site ID# - NCQA generated ID		NUMBER	O	11	34	44
8	Practice_Site_ID#	Internal plan practice site ID#		VARCHAR	O	13	45	57
9	Practice_Name	This field is mandatory – do not leave it blank!		TEXT	R	50	58	107
10	Practice_Address _Line_1			TEXT	R	35	108	142
11	Practice_Address _Line_2			TEXT	O	35	143	177
12	Practice_Address _Line_3			TEXT	O	35	178	212
13	Practice_Address _City			TEXT	R	25	213	237
14	Practice_Address _State			TEXT	R	2	238	239
15	Practice_Address _Zip_Code		#####	NUMBER	R	5	240	244
16	Practice_Telepho ne_Number		##########	NUMBER	O	10	245	254
17	Provider_NPI	National Provider Identifier – 10 Digit ID	##########	NUMBER	R	10	255	264
18	Provider_First_ Name			TEXT	R	15	265	279
19	Provider_Middle_ Initial			TEXT	O	1	280	280
20	Provider_Last_ Name			TEXT	R	35	281	315

IV. File Specifications - Mainstream VBP

Element #	Name	Direction	Allowed Values	Data Type	Required/ Optional	Length	Start	End
21	VBP_Contractor_Tax_ID#	Populate with **valid** TINs only. Please include the **TIN of the VBP Contractor** (*not the provider*) If the member is NOT in a VBP level 1 or higher arrangement set to '999999999'.	#########	NUMBER	R	9	316	324
22	VBP_Contractor_DBA_Name	Enter the DBA name listed on your VBP contract/arrangement.		VARCHAR	R	50	325	374
23	VBP_Contractor_Type		1 = Provider/ Hospital 2 = IPA 3 = ACO 9 = Unknown	NUMBER	R	1	375	375
24	VBP_Arrangement_Type	Refer to Section C, #2b of the DOH 4255 – *Provider Contract Statement and Certification* form.	1 = TCGP 2 = IPC 3 = HARP 4 = HIV/AIDs 5 = Maternity 6 = Children's 7 = Off Menu	NUMBER	R	1	376	376
25*	DOH_VBP_Contract_ID#	The number provided by DOH in the Agreement approval letter begins with DOH ID ###	####	NUMBER	R	4	377	380
26*	MCO_Unique_Contract_ID#	Plan generated ID used to submit contract to DOH; Section A, #3 of the 4255.		VARCHAR	R	50	381	430
27	Prov_Att_start_date	MMDDYYYY – Must be between 1/1/2022 and 12/31/2022	MMDDYYYY	DATE	R	8	431	438
28	Prov_Att_end_date	MMDDYYYY – Must be between 1/1/2022 and 12/31/2022	MMDDYYYY	DATE	R	8	439	446
29	MBR_Race	Enter the member's race	1=White 2=Black or African American 3=American Indian/Alaskan Native 4=Asian 5=Native Hawaiian/Pacific Islander 6=Other Race 7=Two or more races 8= Asked but not answered 9=Unknown	NUMBER	R	1	447	447

IV. File Specifications - Mainstream VBP

Element #	Name	Direction	Allowed Values	Data Type	Required/ Optional	Length	Start	End
30	MBR_ Ethnicity	Enter the Member's ethnicity	1=Hispanic or Latino 2=Non-Hispanic or Latino 9=Unknown 0=Asked but not answered	NUMBER	R	1	448	448

Field	Field Name	Description/Specifications
1	Plan_ID#	Enter your Organization ID used to submit the IDSS to NCQA. This ID is consistent across all Lines of Business.
2	Product_Line	Enter the member's product line at the <u>end of the measurement period</u>. Enter the corresponding number (1) Medicaid, (2) SNP, (11) HARP.
3	Unique_Member_ID#	Enter member's Medicaid Client Identification Number (CIN). The field should be continuous without any spaces or hyphens. The field is alpha-numeric and should be treated as a text field. This field is mandatory – do not leave it blank!
4	County_of_Residence	Enter the Federal Information Processing Standard (FIPS) code for the member's county of residence. Please refer to **Appendix VII, *Table 5 - NYS FIPS Codes by County*** at the end of this manual for a complete listing of NYS FIPS codes.
5	Zip_Code_of_Residence	Enter the 5-digit zip code of the member's residence.
6	Practice_Tax_ID#	Enter the 9-digit Federally assigned Tax Identification Number for the Practice of the member's provider. Populate with valid TINs only. This field is mandatory – do not leave it blank!
7	PCMH_Site_ID#	Enter the NCQA assigned number associated with your Patient-Centered Medical Home (PCMH.)
8	Practice_Site_ID#	Enter your internal site ID assigned by the plan.
9	Practice_Name	Enter the complete name of the provider's practice. This field is required, do not leave blank.
10 11 12	Practice_Address_Line_1 Practice_Address_Line_2 Practice_Address_Line_3	Enter the physical address of the practice location. (Enter up to 3 lines)
13	Practice_Address_City	Enter the city in which the practice is located.
14	Practice_Address_State	Enter the 2-digit abbreviation for the state in which the practice is located.
15	Practice_Address_Zip_Code	Enter the 5-digit zip code in which the practice is located.
16	Practice_Telephone_Number	Enter the practice's main phone line, it should be in the format of ########## with no intervening "-".
17	Provider_NPI	This is the unique 10-digit National Provider Identifier (NPI) of the provider the member was serviced by during the reporting period. This should be a provider organization that had frequent contact with the member and, therefore, could potentially affect the need for hospitalization or not. **A member may be serviced by multiple providers during the same time period (provide one row of data for every provider a member was serviced by).**

IV.   File Specifications - Mainstream VBP

Field	Field Name	Description/Specifications
18	Provider_First_Name	Enter the provider full first name
19	Provider_Middle_Initial	Enter the provider's middle initial.
20	Provider_Last_Name	Enter the provider's last name.
21	VBP_Contractor_Tax_ID#	This is the unique 9-digit tax identification number **of the VBP Contractor** (***not the provider***) that the member is assigned to a Level 1 or higher VBP arrangement during the reporting period. **A member can only be assigned to one VBP contractor at a time**. If not applicable, fill with 999999999.
22	VBP_Contractor_DBA_Name	The "Doing Business As" (DBA) name is the operating name of a company, as opposed to the legal name of the company. The VBP Contractor may be an ACO, IPA, individual provider, or hospital.
23	VBP_Contractor_Type	In this field, enter '1' if the contractor is a provider (provider includes hospitals), '2' if the contractor is an IPA, '3' if the contractor is an ACO, '9' if Unknown
24	VBP_Arrangement_Type	In this field, enter "1" if the VBP arrangement type is a TCGP arrangement, "2" if it is an IPC arrangement, "3" if it is a HARP arrangement, "4" if it is an HIV/AIDs arrangement, "5" if it is a Maternity arrangement, "6" if it is a Children's arrangement, "7" if it is an Off-Menu arrangement. This information can be found in Section C, #2b of the **DOH 4255 – *Provider Contract Statement and Certification*** form.
25*	DOH_VBP_Contract_ID#	This is the number provided by DOH in the Agreement approval letter for your VBP arrangement, it begins with DOH ID ####. ***You must populate either field 25 or 26, preferably both fields should be populated**. If you need assistance obtaining your correct DOH VBP Contract Identifier, please email NYS DOH VBP mailbox at **OQPSVBP@health.ny.gov**
26*	MCO_Unique_Contract_ID#	This is the contract identifier created by your plan, which is a required component of all contracts submitted for review (it can be found in Section A, #3 of the DOH 4255, it is also typically in the footer of your contract documents. ***You must populate either field 25 or 26, preferably both fields should be populated. If you need assistance obtaining your correct MCO Unique Contract Identifier, please email NYS DOH VBP mailbox at OQPSVBP@health.ny.gov**
27	Prov_Att_start_date	This is the attribution start date with the provider when the member was first attributed to the provider. This date must be during the reporting period. It should be in the format of MMDDYYYY with no intervening "- "or "/". The format is the same if data is submitted via a fixed-width file or CSV.
28	Prov_Att_end_date	This is the attribution end date with the provider when the member was last attributed to the provider. This date must be during the reporting period. It should be in the format of MMDDYYYY with no intervening "- "or "/". The format is the same if data is submitted via a fixed-width file or CSV.
29	MBR_Race	Enter the member's race (either obtained by direct or indirect methods). Enter a value of '1' for White, '2' for Black or African American, '3' for AMerican Indian/Alaskan Native, '4' for Asian, '5' for Native Hawaiian/Pacific Islander, '6' for Other Race, '7' for Two or more races, '8' for Asked but not answered, or '9' for Unknown. ***This field is required and cannot be left blank.***

IV. File Specifications - Mainstream VBP

Field	Field Name	Description/Specifications
30	MBR_Ethnicity	Enter the member's ethnicity (either obtained by direct or indirect methods). Enter a value of '1' for Hispanic or Latino, '2' for Non-Hispanic or Latino, '9' for Unknown, or '0' for Asked but not answered. ***This field is required and cannot be left blank.***

SUBMISSION EXAMPLES AND DATA REQUIREMENTS CHECKLIST

Please refer to section **VII Appendix, Table 6** at the end of this manual, for layout examples of both **TXT** and **CSV** files.

Please refer to section **VII Appendix, Table 7** at the end of this manual, for attribution file checklists for the MCO attribution file. The checklist is designed to ensure fields in the attribution file are standardized appropriately and are **not required** to be submitted with the attribution files.

FILE SUBMISSION:

Files for all arrangement types are to be submitted to the New York State Department of Health via the Secure File Transfer 2.0 of the Health Commerce System (HCS). Files should be submitted to **OQPS VBP Evaluation** or OQPSVBP@health.ny.gov via HCS.

Files must be received electronically by 11:59 p.m. ET; <u>***Friday, July 28, 2023***</u>.

REPORTING GUIDELINES VBP MLTC

V. Reporting Guidelines VBP MLTC

The State is requesting insurers to submit a Patient Attribution file, which will be used to create aggregated quality results by Provider or VBP Contractor. DOH will calculate all reportable Category 1 quality measure results for the arrangements. The attribution methodology and Patient Attribution file layout are included in Section VI of this document.

> **Table 2:** 2023 MLTC VBP List of Required Measures
>
> o Lists, by arrangement, the 2023 MLTC VBP Category 1 Measure set and indicates the 2023 measures required for reporting.

MEASURE CHANGES

Changes to the Reporting Requirements for 2023 Measure Sets were made based on the feedback received by the DOH from the Clinical Advisory Groups, Measure Support Task Force and Sub-teams, and from other stakeholder groups. Those changes are indicated below. In instances where a measure was moved from Category 1 in MY2022 to Category 2 in MY2023 or removed entirely, the State will not require reporting of the data related to those measures.

MLTC:

Category 1: Change

- **The following measures for MY2023 have been readded to the MLTC measure set:**
  - o Percentage of members who remained stable or demonstrated improvement in pain intensity
  - o Percentage of members who remained stable or demonstrated improvement in Nursing Facility Level of Care (NFLOC) score
  - o Percentage of members who remained stable or demonstrated improvement in urinary continence
  - o Percentage of members who remained stable or demonstrated improvement in shortness of breath

Category 2: Change

- **No Changes**

V. Reporting Guidelines VBP MLTC

Measures	Notes	Arrangement Type MLTC	NQF ID	Specifications	Class
Managed Long-Term Care (MLTC)					
Percentage of members who did not have an emergency room visit in the last 90 days	1	✔		NYS 2022	P4P
Percentage of members who did not experience falls that resulted in major or minor injury in the last 90 days	1	✔		NYS 2022	P4P
Percentage of members who received an influenza vaccination in the last year	1	✔		NYS 2022	P4P
Percentage of members who did not experience uncontrolled pain	1	✔		NYS 2022	P4P
Percentage of members who were not lonely or were not distressed	1	✔		NYS 2022	P4P
Potentially Avoidable Hospitalizations (PAH) for a primary diagnosis of heart failure, respiratory infection, electrolyte imbalance, sepsis, anemia, or urinary tract infection	1, 2	✔		NYS 2022	P4P

✔ - Required to Report   NA - Not Applicable to the Arrangement   Shading – Purple– Not required to be reported
1- There are no reporting requirements for this measure. NYS will calculate the measure result for MY2022
2- NYS will calculate this measure for the community-based providers.

VI. File Specifications - VBP MLTC

MLTC ATTRIBUTION FILE

For MY2022, P4P Category 1 measures for the MLTC arrangement will be computed by DOH to reduce the burden on the MTLC plans. With the Public Health Emergency due to COVID, Community Health Assessment (CHA) based measure calculation will resume in MY 2022. Due to the COVID-19 public health emergency, the calculation of the MLTC VBP Category 1 measures, *except* for the Potentially Avoidable Hospitalization (PAH) measure, was not possible for MY2021. Calculation of rates for PAH and other Category 1 measures (except for the stable/improved over-time measures) will resume for MY2022. The other VBP Category 1 stable/improved over-time measures can be included in contracts starting in MY2023 and the DOH will resume calculating results for all P4P Category 1 measures.

Note - Starting with MY 2022, <u>quality measures will only be calculated on an annual basis</u> (e.g., MY2022 will reflect measurement period of January 1st – December 31st, 2022). Results will be distributed in Q3 of the following year (October 2023 for MY2022 results).

ATTRIBUTION METHODOLOGY:

MAP/PACE: Plan enrollees who have four or more months of continuous enrollment from April 2022 through June 2023 should be submitted in this attribution file. This attribution should be to provider organizations of **CHHA, LHCSA, and VBP contractor (if applicable)** which had the most frequent contact with the member and, therefore, could potentially affect quality measures. Services being received by the member through Consumer Directed Personal Assistance (CDPAS) should **not** be included in this attribution file.

Changes to the NYS Long-Term Care VBP Initiative for 2021 and forward: MLTC Partial plans have been phased out of VBP as a result of the enacted SFY 2020-21 Budget. Plans are encouraged to continue to submit VBP arrangements for MAP and PACE consistent with standards outlined in the VBP Roadmap and the Provider Contract Guidelines for Article 44 MCOs, IPAs, and ACOs. ***Please note, MLTC Partial plans are <u>not required</u> to submit VBP attribution data beginning in 2022. As a result, Partial plans will not receive any attributed provider results or be included in the statewide denominator of any Category 1 P4P measures calculated by DOH.***

FILE FORMAT:

1. Include only members who had 4 months or more continuous enrollment in an MLTC plan from April 2022 through June 2023.
2. For each member from step 1, list all provider organization(s) that provided at least one service per month, for 4 or more continuous months from April 2022 through June 2023. The data should be formatted in a long form containing one row of data for each member/provider combination. **Please provide at least one row of data for every provider a member was serviced by (see Examples 1 and 2 below)**. If a member does not have any providers from which they received 4 or more continuous months of care, THE MEMBER SHOULD **NOT** BE LISTED.
3. The text file must be either: 1) fixed width and named PROVIDERS_MLTC.TXT, or 2) comma-separated values (CSV) and named PROVIDERS_MLTC.CSV.
   - Fixed-width files
     - **Must** have column start/end locations as documented in the following table.
     - **Data must not include column names. The first row in the file must be data.**
   - CSV files
   - **Must not have additional columns beyond those shown in the following table.**
     - Data **must** include column names. The first row in the file must be the column names as documented in the following table.
4. The following table provides instructions on the submission of member-level data.

VI. File Specifications - VBP MLTC

#	Field Name	Data Type	Length	Start Column	End Column	Details/Comments
1	CIN	Varchar	8	1	8	A Participant's Medicaid client identification number. The field should be continuous without any spaces or hyphens. The field is alpha-numeric and should be treated as a text field. **This field may not be NULL**
2	MMIS_ID	Varchar	8	9	16	The MLTC Plan's numeric eight-digit ID. **This field may not be NULL.**
3	Prov_NPI	Varchar	10	17	26	The unique 10-digit National Provider Identifier (NPI) for the provider the member was serviced by during the reporting period.
4	Prov_start_date	Date	8	27	34	MMDDYYYY – Must be between April 2022 – June 2023
5	Prov_end_date	Date	8	35	42	MMDDYYYY – Must be between April 2022 – June 2023
6	Contractor_TIN	Varchar	9	43	51	The unique 9-digit tax identification number of the VBP Contractor. Only submit the TIN, if this member is included in a level 2 or higher arrangement with a VBP Contractor. If not applicable or level 1 arrangement, fill with 999999999.
7	Contractor_Type	Varchar	1	52	52	1= CHHA, LHCSA, 2= IPA, 3= Hospital, 4= ACO, 8= Other, and 9 = NA. Only submit if this member is included in a level 2 or higher arrangement with a VBP Contractor. If not applicable or level 1 arrangement, fill 9 = NA.
8*	DOH_VBP_Contract_#	Number	4	53	56	The number provided by DOH in the Agreement approval letter begins with DOH ID ###. *You must populate either field 8 or 9, preferably both fields should be populated.*
9*	MCO_Unique_Contract_ID#	Varchar	50	57	107	**PLAN GENERATED ID USED TO SUBMIT CONTRACT TO DOH; SECTION A, #3 OF THE 4255.** *YOU MUST POPULATE EITHER FIELD 8 OR 9, PREFERABLY BOTH.*

VI.   File Specifications - VBP MLTC

FIELD DEFINITIONS:

Prov_NPI: This is the unique 10-digit National Provider Identifier (NPI) of the provider the member was serviced by during the reporting period. This should be a provider organization that had frequent contact with the member and, therefore, could potentially affect the need for hospitalization or not. **A member may be serviced by multiple providers during the same time period (provide one row of data for every provider a member was serviced by).**

Prov_start_date: This is the service start date with the provider. This date must be during the reporting period. It should be in the format of MMDDYYYY with no intervening "-" or "/". The format is the same if data is submitted via a fixed-width file or CSV.

Prov_end_date: This is the service end date with the provider. This date must be during the reporting period. It should be in the format of MMDDYYYY with no intervening "-" or "/". The format is the same if data is submitted via a fixed-width file or CSV.

Contractor TIN: This is the unique 9-digit tax identification number of the VBP Contractor (not the provider) that the member is assigned to for a Level 2 arrangement during the reporting period. **A member can only be assigned to one level 2 or higher VBP contractor at a time**. If not applicable or level 1, fill with 999999999.

Contractor_Type: The VBP Contractor may be an ACO, IPA, hospital, or large LHCSA/CHHA that is coordinating services for many LHCSAs or CHHAs. This field is for the VBP Contractor (not the provider) that the member is assigned to a level 2 or higher arrangement during the reporting period. **A member can only be assigned to one level 2 or higher VBP contractor at a time**. If not applicable or level 1 arrangement, fill with 9.

*DOH_VBP_Contract_#: This is the number provided by DOH in the Agreement approval letter for your VBP arrangement, it begins with DOH ID ####.

*MCO_Unique_Contract_ID#: This is the contract identifier created by your plan, which is a required component of all contracts submitted for review (it can be found in Section A, #3 of the DOH 4255, it is also typically in the footer of your contract documents.

***You must populate either field 8 or 9, preferably both fields should be populated.**

DATA REQUIREMENTS CHECKLIST

Please refer to section **VII Appendix, Table 8** at the end of this manual, for attribution file checklists for the MLTC attribution file. The checklist is designed to ensure fields in the attribution file are standardized appropriately and are **not required** to be submitted with the attribution files.

VI.   File Specifications - VBP MLTC

Files are to be submitted to the New York State Department of Health via the Secure File Transfer 2.0 of the Health Commerce System. Files should be submitted to **OQPS MLTC Evaluation** mailbox. ***Files are to be submitted by close of business on Friday, July 28, 2023.***

NOTE: When a Provider/NPI has overlapping service dates for a member, the service dates should be collapsed into one record with the earliest start date and furthest end date. Multiple rows for the same member/provider may be provided only if the provider/NPI has nonoverlapping service dates and each time frame meets the 4 months of service criterion. **(see Example 3 below)**.

SUBMISSION EXAMPLES:

Example 1 and 2 below illustrate two different providers, with overlapping service dates, adding a single member from February through June 2023.

Example 1 - not covered by level 2 or higher VBP contract:

```
AA12345Z12345678N987654321020120230630202399999999990589MCOCONTRACTID1234567 Row 1
AA12345Z12345678N888999777020120230615202399999999990589MCOCONTRACTID1234567 Row 2
```

CIN   MMIS_ID   Prov_NPI   Start_date   End_date   Contractor_TIN   DOH_VBP_Contract_#   MCO_Unique_Contract_ID#

(Provider Information)

Contractor_Type

Example 2 - covered by level 2 or higher VBP contract:

```
AA12345Z12345678N987654321020120230630202312345678910589MCOCONTRACTID1234567 Row 1
AA12345Z12345678N888999777020120230615202312345678910589MCOCONTRACTID1234567 Row 2
```

CIN   MMIS_ID   Prov_NPI   Start_date   End_date   Contractor_TIN   DOH_VBP_Contract_#   MCO_Unique_Contract_ID#

(Provider Information)

Contractor_Type

Example 3 below illustrates a member who was continuously enrolled for 4 or more months in the health plan and received at least one service per month from the same provider organization for 4 or more continuous months, for two separate non-overlapping time periods and is covered by level 2 or higher VBP contract during April 2022 through June 2023.

```
AA12345Z12345678N987654321040120220630202312345678910589MCOCONTRACTID1234567 Row 1
AA12345Z12345678N888999777060120230615202312345678910589MCOCONTRACTID1234567 Row 2
```

CIN   MMIS_ID   Prov_NPI   Start_date   End_date   Contractor_TIN   DOH_VBP_Contract_#   MCO_Unique_Contract_ID#

(Provider Information)

Contractor_Type

VI. File Specifications - VBP MLTC

Fully Capitated Plans:

Because the HEDIS and CMS-based P4R category 1 measures cannot be calculated by the State, plans must calculate and report Plan/Provider-VBP Contractor performance 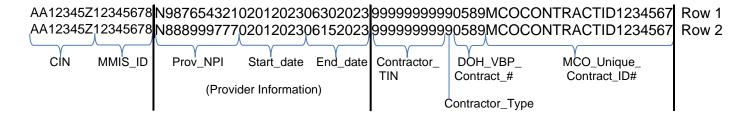to the State by **July 28, 2023**. Files are to be submitted to the New York State Department of Health via the Secure File Transfer 2.0 of the Health Commerce System. Files should be submitted to the **OQPS MLTC Evaluation** mailbox.

Plans should submit an Excel file with the following format. Submit a row for each measure being reported. Plans are required to report on all measures for each plan-provider combination.

#	Field Name	Data Type	Excel Column Placement	Details/Comments
1	MMIS_ID	Varchar	Column A	The MLTC Plan's numeric eight-digit ID. **This field may not be NULL.**
2*	Prov_NPI	Varchar	Column B	The unique 10-digit National Provider Identifier (NPI) for the provider the member was serviced by during the reporting period. **This field may not be NULL.**
3	Measure_ID	Varchar	Column C	Use the measure ID from the table below
4	Denominator for Measure	Varchar	Column D	Report the total number of members included in the denominator for the given measure
5	Numerator for Measure	Varchar	Column E	Report the total number of members that were included in the numerator for the given measure
6	Exclusions for Measure	Varchar	Column F	Report the number of members excluded from the given measure
7	Rate for Measure	Varchar	Column G	Report the rate to the hundredth decimal place
8*	Contractor_TIN	Varchar	Column H	The unique 9-digit tax identification number of the VBP Contractor. **Only** submit the TIN, if this member is included in a level 2 or higher arrangement with a VBP Contractor. If not applicable or level 1 arrangement, fill with 999999999.
9*	Contractor_Type	Varchar	Column I	1= CHHA, LHCSA, 2= IPA, 3= Hospital, 4= ACO, 8= Other, and 9 = NA. Only submit if this member is included in a level 2 or higher arrangement with a VBP Contractor. If not applicable or level 1 arrangement, fill 9 = NA.
10*	DOH_VBP_ Contract_#	Varchar	Column J	The number provided by DOH in the Agreement approval letter begins with DOH ID ####. ***You must populate either field 10 or 11, preferably both.**
11*	MCO_Unique_ Contract_ID#	Varchar	Column K	Plan generated ID used to submit the contract to DOH; Section A, #3 of the 4255. ***You must populate either field 10 or 11, preferably both.**
* See **Field Definitions** (page 29) under preceding MLTC Attribution File specifications				

VI. File Specifications - VBP MLTC

Measure Name	Measure ID
MAP P4R measures (Measure Source/ Steward: NCQA/ HEDIS)	
Antidepressant Medication Management – Effective Acute Phase Treatment *	1
Antidepressant Medication Management – Effective Continuation Phase Treatment*	2
Colorectal Cancer Screening *	3
Eye Exam for Patients with Diabetes*,[1]	4
Kidney Health Evaluation for Patients with Diabetes (KED)*	5
Follow–up After Hospitalization for Mental Illness – 7 Days^	6
Follow–up After Hospitalization for Mental Illness – 30 Days^	7
Initiation of Substance Use Disorder Treatment*,[2]	8
PACE P4R measures (Measure Source/ Steward: CMS)	
PACE Participant Emergency Department Utilization Without Hospitalization	9
Percent of Participants Not in Nursing Homes	10
Percentage of Participants with an Advance Directive or Surrogate Decision-Maker AND Percentage of Participants with an Annual Review of Their Advance Directive or Surrogate Decision-Maker	11
* Included in the TCGP measure set ^ Included in the Health and Recovery Plan (HARP) measure set [1] Replacement HEDIS measure [2] Measure specifications/name revised by NCQA/NYS for 2022 HEDIS Measure set	

VII. Appendix

Measures	Notes	Arrangement Type					NQF ID	Measure Steward
		TCGP	Maternity	HARP	HIV/AIDS	Children		
Maternity Care								
Antenatal Steroids PC-03		NA	Cat 2	NA	NA	NA	0476	TJC
Appropriate DVT Prophylaxis in Women Undergoing Cesarean Delivery		NA	Cat 2	NA	NA	NA	0473	Hospital Corporation of America
Experience of Mother with Pregnancy Care		NA	Cat 2	NA	NA	NA		TBD
Hepatitis B Vaccine Coverage Among All Live Newborn Infants Prior to Hospital or Birthing Facility Discharge		NA	Cat 2	NA	NA	NA	0475	Centers for Disease Control and Prevention
Intrapartum Antibiotic Prophylaxis for Group B Streptococcus (GBS)		NA	Cat 2	NA	NA	NA	1746	Massachusetts General Hospital
Prenatal Depression Screening and Follow-Up		NA	Cat 2	NA	NA	NA		NCQA
Postpartum Blood Pressure Monitoring		NA	Cat 2	NA	NA	NA		Texas Maternity Bundle
Postpartum Depression Screening and Follow-Up		NA	Cat 2	NA	NA	NA		NCQA
Vaginal Birth After Cesarean (VBAC) Delivery Rate, Uncomplicated		NA	Cat 2	NA	NA	NA		NYS 2022
Behavioral Health/HARP								
Adherence to Mood Stabilizers for Individuals with Bipolar I Disorder		NA	NA	Cat 2	NA	NA	1880	CMS
Asthma Action Plan		NA	NA	Cat 2	Cat 2	NA		AAAAI
Asthma: Assessment of Asthma Control – Ambulatory Care Setting		NA	NA	Cat 2	Cat 2	NA		AAAAI

VII. **Appendix**

Measures	Notes	Arrangement Type					NQF ID	Measure Steward
		TCGP	**Maternity**	**HARP**	**HIV/AIDS**	**Children**		
Asthma: Lung Function/Spirometry Evaluation		NA	NA	Cat 2	Cat 2	NA		AAAAI
Continuing Engagement in Treatment (CET) Alcohol and Other Drug Dependence		NA	NA	Cat 2	Cat 2	NA		NYS TBD
Mental Health Engagement in Care – 30 Days		NA	NA	Cat 2	NA	NA		NYS 2022
Percentage of HARP Enrolled Members Who Received Personalized Recovery Oriented Services (PROS) or Home and Community-Based Services (HCBS)		NA	NA	Cat 2	NA	NA		NYS 2022
Use of Pharmacotherapy for Opioid Dependence		NA	NA	Cat 2	Cat 2	NA		NYS 2022
HIV/AIDS								
Continuity of Care from Inpatient Detox to Lower Level of Care		NA	NA	NA	Cat 2	NA		NYS 2022
Continuity of Care from Inpatient Rehabilitation for Alcohol and Other Drug Abuse or Dependence Treatment to Lower Level of Care		NA	NA	NA	Cat 2	NA		NYS 2022
Diabetes Screening (HIV/AIDS)		NA	NA	NA	Cat 2	NA		NYS DOH AIDS Institute
Hepatitis C Screening		NA	NA	NA	Cat 2	NA		HRSA
Home Management Plan of Care (HMPC) Document Given to Patient/Caregiver (asthma)		NA	NA	NA	Cat 2	NA	0338	The Joint Commission
Housing Status		NA	NA	NA	Cat 2	NA		HRSA
Linkage to HIV Medical Care		NA	NA	NA	Cat 2	NA		NYS 2022
Continuity of Pharmacotherapy for Opioid Use Disorder (POD)		NA	NA	NA	Cat 2	NA	3175	NCQA
Prescription of HIV Antiretroviral Therapy		NA	NA	NA	Cat 2	NA		HRSA

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Measures	Notes	Arrangement Type					NQF ID	Measure Steward
		TCGP	Maternity	HARP	HIV/AIDS	Children		
Sexual History Taking: Anal, Oral, and Genital		NA	NA	NA	Cat 2	NA		NYS DOH AIDS Institute
Substance Abuse Screening		NA	NA	NA	Cat 2	NA		HRSA
Children								
Follow–Up After Emergency Department Visit for Substance Use (FUA)	-	Cat 1	NA	NA	Cat 2	NA	3488	HEDIS 2022
Follow-up After Emergency Department Visit for Mental Illness (FUM)	-	Cat 2	NA	NA	Cat 3	NA	3489	HEDIS 2022
Maternal Depression Screening		NA	NA	NA	NA	Cat 2	1401	HEDIS 2022
Screening for Reduced Visual Acuity and Referral in Children		NA	NA	NA	NA	Cat 2	2721	CMS
Topical Fluoride for Children		NA	NA	NA	NA	Cat 2	2528	American Dental Association
Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics		NA	NA	NA	NA	Cat 2	2801	HEDIS 2022

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TABLE 4: 2023 MLTC VBP CATEGORY 2 MEASURES

Measures	Notes	Arrangement Type MLTC	Measure Source/Steward
Care for Older Adults – Medication Review		Cat 2	NCQA
Use of High–Risk Medications in the Elderly		Cat 2	NCQA
Percentage of members who rated the quality of home health aide or personal care aide services within the last 6 months as good or excellent	1	Cat 2	MLTC Survey/New York State
Percentage of members who responded that they were usually or always involved in making decisions about their plan of care	1	Cat 2	MLTC Survey/New York State
Percentage of members who reported that within the last 6 months the home health aide or personal care aide services were always or usually on time	1	Cat 2	MLTC Survey/New York State

1- Included in the MLTC Quality Initiative measure set

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TABLE 5: NYS FIPS CODES BY COUNTY

County Name	FIPS Code	County Name	FIPS Code	County Name	FIPS Code
Albany	001	Jefferson	045	Saratoga	091
Allegany	003	Kings	047	Schenectady	093
Bronx	005	Lewis	049	Schoharie	095
Broome	007	Livingston	051	Schuyler	097
Cattaraugus	009	Madison	053	Seneca	099
Cayuga	011	Monroe	055	St. Lawrence	099
Chautauqua	013	Montgomery	057	Steuben	101
Chemung	015	Nassau	059	Suffolk	103
Chenango	017	New York	061	Sullivan	105
Clinton	019	Niagara	063	Tioga	107
Columbia	021	Oneida	065	Tompkins	109
Cortland	023	Onondaga	067	Ulster	111
Delaware	025	Ontario	069	Warren	113
Dutchess	027	Orange	071	Washington	115
Erie	029	Orleans	073	Wayne	117
Essex	031	Oswego	075	Westchester	119
Franklin	033	Otsego	077	Wyoming	121
Fulton	035	Putnam	079	Yates	123
Genesee	037	Queens	081	Out of State	000
Greene	039	Rensselaer	083	Unknown/Missing	999
Hamilton	041	Richmond	085		
Herkimer	043	Rockland	087		

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TABLE 6: MAINSTREAM VBP SUBMISSION EXAMPLES

The example below illustrates one member attributed to two different providers, in the same VBP arrangement, within the reporting period submitted as a fixed-width TXT file.

Member Data, attributed to Provider 1 from 1/1/2022 to 04/30/2022

Fields 1-9

12345601WA36453X12312110123456789ABC001234-5ABC1234567-89ABC Health Clinic West

Plan ID#	Product Line	Unique Mbr ID (CIN)	FIPS Code	Zip Code	Practice Tax ID (TIN)	PCMH Site ID	Practice Site ID	Practice Name

Fields 10-15

123 Health Highway   Medical Arts Building   Suite 632   Your Town   NY12345

Practice Address Line 1	Practice Address Line 2	Practice Address Line 3	Practice Address City	Practice Address State	Practice Address Zip Code

Fields 16-22

5189634582N987654321Addison   MJohnson-Williams   123456789Health Clinic NY

Practice Telephone Number	Provider NPI	Provider First Name	Provider Middle Initial	Provider Last Name	VBP Contractor Tax ID	VBP Contractor DBA Name

Fields 23-30

110983ABC.HealthClinic4.12.18   010120200430202222

DOH ID# / VBP Arrangement Type / VBP Contractor Type	MCO Unique Contract ID#	Provider Attribution Start Date	Provider Attribution End Date	Mbr Race	Mbr Ethnicity

Member Data, attributed to Provider 2 from 5/1/2022 to 12/31/2022

Fields 1-9

12345601WA36453X12312110123456789ABC001234-5ABC1234567-89ABC Health Clinic West

Plan ID#	Product Line	Unique Mbr ID (CIN)	FIPS Code	Zip Code	Practice Tax ID (TIN)	PCMH Site ID	Practice Site ID	Practice Name

Fields 10-15

123 Health Highway   Medical Arts Building   Suite 632   Your Town   NY12345

Practice Address Line 1	Practice Address Line 2	Practice Address Line 3	Practice Address City	Practice Address State	Practice Address Zip Code

Fields 16-22

5189634582N123456789Madison   E Jones   123456789Health Clinic NY

Practice Telephone Number	Provider NPI	Provider First Name	Provider Middle Initial	Provider Last Name	VBP Contractor Tax ID	VBP Contractor DBA Name

Fields 23-30

110983ABC.HealthClinic4.12.18   050120221231202222

DOH ID# / VBP Arrangement Type / VBP Contractor Type	MCO Unique Contract ID#	Provider Attribution Start Date	Provider Attribution End Date	Mbr Race	Mbr Ethnicity

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The example below illustrates one member's data submitted as a CSV file.

Fields 1-9:

Plan ID#	Product Line	Member ID (CIN)	FIPS Code	Zip Code	Practice Tax ID (TIN)	PCMH Site ID	Practice Site ID	Practice Name
123456	01	WA12345X	123	12110	123456789	ABC001234-5	ABC1234567-89	ABC Health Clinic West

Fields 10-16:

Practice Address Line 1	Practice Address Line 2	Practice Address Line 3	Practice Address City	Practice Address State	Practice Address Zip Code	Practice Telephone Number
123 Health Highway	Medical Arts Building	Suite 632	Your Town	NY	12345	5189634582

Fields 17-23:

Provider NPI	Provider First Name	Provider Middle Initial	Provider Last Name	VBP Contractor Tax ID#	VBP Contractor DBA Name	VBP Contractor Type
N987654321	Addison	M	Johnson-Williams	123456789	Health Clinic NY	1

Fields 24-30:

VBP Arrangement Type	DOH VBP Contract ID	MCO Unique Contract ID#	Provider Attribution Start Date	Provider Attribution End Date	Member Race	Member Ethnicity
1	0983	ABC.HealthClinic4.12.18	01/01/2022	12/31/2022	2	2

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Data Quality Check	Value	Notes
Value used for Plan_ID# is the Organization ID used to submit IDSS to NCQA	☐ Yes ☐ No	
Every record includes a valid Medicaid Client Identification Number (CIN)	☐ Yes ☐ No	**The field is alpha-numeric and must be a valid CIN.** Do not use internal organization member identification numbers. This field is mandatory for every record.
Total number of records submitted		
Number of unique members included in the file		
Number of unique members by product line	MC (1) = SNP (2) = HARP (2) =	
All records include a valid Practice_Tax_ID#	☐ Yes ☐ No	This field is mandatory for every record.
All records include a valid Practice_Name	☐ Yes ☐ No	This field is mandatory for every record.
All records include a valid VBP_Contractor_Tax_ID# (*if the member is not in a VBP level 1 or higher then the value is set to '999999999'*)	☐ Yes ☐ No	This field is mandatory for every record.
For members in a VBP level 1 or higher arrangement, the VBP_Contractor_Tax_ID# represents the higher umbrella Tax ID # of the Contractor organization	☐ Yes ☐ No	
All records include a valid VBP_Contractor_DBA_Name (*if the member is not in a VBP level 1 or higher than the value is set to '999999999'*)	☐ Yes ☐ No	This field is mandatory for every record.
Number of members assigned to each VBP_Contractor_Type	Provider/Hospital (1) = IPA (2) = ACO (3) = Unknown (9) =	
Number of members in each VBP_Arrangement_Type	TCGP (1) = IPC (2) = HARP (3) = HIV/AIDs (4) = Maternity (5) = Children's (6) = Off Menu (7) =	
Every record includes either a valid DOH_VBP_Contract_ID# OR a valid MCO_Unique_Contract_ID#	☐ Yes ☐ No	**You must populate either the DOH_VBP_Contract_ID# field or the MCO_Unique_Contract_ID# field.** *Preferably both fields should be populated.* **If you need assistance obtaining your correct DOH VBP Contract Identifier, please email NYS DOH VBP mailbox at OQPSVBP@health.ny.gov**

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TABLE 8: MLTC ATTRIBUTION FILE – DATA QUALITY CHECKLIST

Data Quality Check	Value	Notes
Value used for MMIS_ID is the MLTC Plan's numeric eight-digit ID.	☐ Yes ☐ No	This field is mandatory for every record.
Every record includes a valid Medicaid Client Identification Number (CIN)	☐ Yes ☐ No	**The field is alpha-numeric and must be a valid CIN.** Do not use internal organization member identification numbers. This field is mandatory for every record.
Total number of records submitted		
Number of unique members included in file		
All records include a valid Prov_NPI number	☐ Yes ☐ No	The Prov_NPI is the unique 10-digit National Provider Identifier (NPI) for the provider the member was serviced by during the reporting period *This field is mandatory for every record.*
All records include a valid VBP_Contractor_Tax_ID# (*if member is not in a VBP level 1 or higher, set the value to '999999999'*)	☐ Yes ☐ No	This field is mandatory for every record.
All records include a valid VBP_Contractor_DBA_Name (*if member is not in a VBP level 1 or higher then the value is set to '999999999'*)	☐ Yes ☐ No	This field is mandatory for every record.
Number of members assigned to each VBP_Contractor_Type	CHHA, LHCSA (1) = IPA (2) = Hospital (3) = ACO (4) = Other (8) = NA (9) =	
Every record includes either a valid DOH_VBP_Contract_# OR a valid MCO_Unique_Contract_ID#	☐ Yes ☐ No	**You must populate either the DOH_VBP_Contract_ID# field or the MCO_Unique_Contract_ID# field.** *Preferably both fields should be populated.* **If you need assistance obtaining your correct DOH VBP Contract Identifier, please email NYS DOH MLTC VBP mailbox at mltcvbp@health.ny.gov**
All members are assigned to *only one* Level 2 (or higher) VBP Contractor at a time	☐ Yes ☐ No	