

PUBLIC NOTICE
DRINKING WATER STATE REVOLVING FUND
New York State Department of Health
Bureau of Water Supply Protection
Empire State Plaza
Corning Tower Rm. 1135
Albany, NY 12237
and
New York State Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997

File Date – October 27, 2021

For publication in the Environmental Notice Bulletin (ENB) dated November 3, 2021 under “Final Amendment #1 to the Drinking Water State Revolving Fund Intended Use Plan Effective October 1, 2021”

STATEWIDE NOTICE

MUNICIPALITIES AND OWNERS/OPERATORS OF PUBLIC DRINKING WATER SUPPLY SYSTEMS

New York State Drinking Water State Revolving Fund Intended Use Plan

Final AMENDMENT No. 1
to the
DRINKING WATER STATE REVOLVING FUND (DWSRF)
Federal Fiscal Year (FFY) 2022 INTENDED USE PLAN

November 3, 2021

FFY 2022 DWSRF Intended Use Plan Amendment:

Draft Amendment No. 1 to the DWSRF IUP issued October 1, 2021 was published in the October 13, 2021 ENB. The comment period for Draft Amendment No.1 closed on October 27, 2021 and the New York State Department of Health received no public comments pertaining to the Amendment. Therefore, as of October 27, 2021 the Amendment as shown below was final:

Table 1 in the Final IUP did not include state match funds allocated from the New York State Fiscal Year (SFY) 2021-2022 budget, which are necessary to meet the 20% state match requirement. An amount of \$7,917,789 is added to the sources in Table 1 below in order to meet the 20% state match requirement. There is no effect on the Hardship Eligibility Line or Subsidized Interest Rate Funding Line as a result of this amendment.

Table 1	
Sources and Uses	
Sources	Amount
FFY 2022 Capitalization Grant ¹	\$45,000,000
State Match ⁴	\$7,917,789
Anticipated Repayments, Interest Earnings, and carry-over monies from FFY 2021	\$211,000,000
Total Sources	\$263,917,789
Uses	Amount
Administrative Set-Aside	\$1,800,000
Technical Assistance Set-Aside	\$900,000
State Program Management Set-Aside	\$4,500,000
Total Set-Asides ²	\$7,200,000
Direct Financings	\$140,000,000
Principal Forgiveness/Grants Expected to Close	\$9,000,000
Principal Forgiveness/Grant Funds Reserved	\$9,000,000
New Principal Forgiveness/Grant Funds Available for Award ¹	\$9,000,000
Reserve Allocation for Leveraged Financing ³	\$89,717,789
Total Uses	\$263,917,789
¹ Based on an estimation of the DWSRF federal allocation for federal FFY 2022. ² Refer to Section 6.0 for information related to set-asides. ³ Leveraged three times, these funds will provide financing of \$269,153,367 for eligible projects. This combined with Direct Financings, PF/Grants Expected to Close, and New PF/Grant Funds Available for Award results in an estimated funding capacity for this IUP period of \$427 million. A factor of 2.0 has been used to set the expanded subsidized interest rate funding line on the Annual List. ⁴ This amount, in addition to state match credits remaining from previous years, will provide the estimated required 20% state match of \$9,000,000.	

The New York State Department of Health has determined that the amendment is necessary, reasonable, and in the interest of protecting public health. The amendment will not adversely affect any project on the IUP Project Annual List above the subsidized funding line. Except as noted above, there are no other changes to the IUP as a result of this Amendment No. 1 of the FFY 2022 IUP.

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