

**PUBLIC NOTICE**  
**DRINKING WATER STATE REVOLVING FUND**  
New York State Department of Health  
Bureau of Water Supply Protection  
Empire State Plaza  
Corning Tower Rm. 1135  
Albany, NY 12237  
and  
New York State Environmental Facilities Corporation  
625 Broadway  
Albany, NY 12207-2997

File Date – January 4, 2023

For publication in the Environmental Notice Bulletin (ENB) dated January 11, 2023 under “Final Amendment #3 to the Drinking Water State Revolving Fund Intended Use Plan Effective October 1, 2022”

**STATEWIDE NOTICE**

**MUNICIPALITIES AND OWNERS/OPERATORS OF PUBLIC DRINKING WATER SUPPLY SYSTEMS**

New York State Drinking Water State Revolving Fund Intended Use Plan

**FINAL AMENDMENT No. 3**  
to the  
**DRINKING WATER STATE REVOLVING FUND (DWSRF)**  
**Federal Fiscal Year (FFY) 2023 INTENDED USE PLAN (IUP)**

**January 11, 2023**

**FFY 2023 DWSRF Intended Use Plan Amendment:**

The FFY 2023 Final IUP incorrectly indicated that the required 10% state match of the FFY 2022 Bipartisan Infrastructure Law General Supplemental (BIL-GS) federal capitalization grant would be provided as additional subsidy in the form of grant/principal forgiveness (PF). Tables 1 and 1-GS and text sections indicated below are hereby revised to remove any indication that the state match amount will be provided as additional subsidy. There is no effect on the Hardship Eligibility Line, Subsidized Interest Rate Funding Line, or BIL-GS Funding Line as a result of this amendment.

<b>Table 1</b>	
<b>Sources and Uses – Base DWSRF and BIL General Supplemental</b>	
<b>Sources</b>	<b>Amount</b>
FFY 2023 Base DWSRF Capitalization Grant <sup>1</sup>	\$45,000,000
State Match – Base DWSRF <sup>2</sup>	\$9,000,000
FFY 2022 General Supplemental Capitalization Grant	\$73,481,000
State Match – BIL General Supplemental <sup>3</sup>	\$7,348,100
Anticipated Repayments, Interest Earnings, and carry-over monies from FFY 2022	\$192,380,000
<b>Total Sources</b>	<b>\$327,209,100</b>
<b>Uses</b>	<b>Amount</b>
Administrative Set-Aside – Base DWSRF	\$1,800,000
Technical Assistance Set-Aside – Base DWSRF	\$900,000
State Program Management Set-Aside – Base DWSRF	\$4,500,000
<b>Total Set-Asides – DWSRF Base <sup>4</sup></b>	<b>\$7,200,000</b>
Administrative Set-Aside – BIL General Supplemental	\$500,000
Technical Assistance Set-Aside – BIL General Supplemental	\$1,469,620
State Program Management Set-Aside – BIL General Supplemental	\$7,348,100
<b>Total Set-Asides – BIL General Supplemental <sup>4</sup></b>	<b>\$9,317,720</b>
Direct Financings – DWSRF Base	\$140,000,000
Grant/Principal Forgiveness (PF) Expected to Close – DWSRF Base	\$9,000,000
Grant/PF Funds Reserved – DWSRF Base	\$9,000,000
New Grant/PF Funds Available for Award – DWSRF Base <sup>5</sup>	\$11,700,000
New Grant/PF Funds Available for Award – BIL General Supplemental <sup>6</sup>	\$36,005,690
Direct Financings – BIL General Supplemental <sup>6</sup>	\$35,505,690
Reserve Allocation for Leveraged Financing <sup>7</sup>	\$69,480,000
<b>Total Uses</b>	<b>\$327,209,100</b>

<sup>1</sup> Based on an estimation of the DWSRF federal allocation for FFY 2023.  
<sup>2</sup> Required 20% state match of estimated Base DWSRF FFY 2023 Cap Grant  
<sup>3</sup> Required 10% state match of BIL General Supplemental FFY 2022 Cap Grant.  
<sup>4</sup> Refer to Section 6.0 for information related to set-asides.  
<sup>5</sup> Minimum required 26% of \$45,000,000 estimated Base DWSRF FFY 2023 Cap Grant.  
<sup>6</sup> See Table 1-GS  
<sup>7</sup> Leveraged three times, these funds will provide financing of \$208,440,000 for eligible projects. This amount combined with Direct Financings – DWSRF Base, Grants/PF Expected to Close - DWSRF Base, New Grant/PF Funds Available for Award – DWSRF Base, New Grant/PF Funds Available for Award – BIL General Supplemental, and Direct Financings – BIL General Supplemental results in an estimated funding capacity for this IUP period of \$440 million. A factor of 1.75 has been used to set the expanded subsidized interest rate funding line on the Annual List.

**Table 1-GS**  
**Sources and Uses – BIL General Supplemental**

Sources	Amount
FFY 2022 General Supplemental Capitalization Grant <sup>1</sup>	\$73,481,000
State Match – BIL General Supplemental <sup>2</sup>	\$7,348,100
<b>Total Sources</b>	<b>\$80,829,100</b>
Uses	Amount
Administrative Set-Aside	\$500,000
Technical Assistance Set-Aside	\$1,469,620
State Program Management Set-Aside	\$7,348,100
<b>Total Set-Asides – BIL General Supplemental <sup>3</sup></b>	<b>\$9,317,720</b>
New Grant/Principal Forgiveness (PF) Funds Available for Award <sup>4</sup>	\$36,005,690
Direct Financings <sup>5</sup>	\$35,505,690
<b>Total Uses</b>	<b>\$80,829,100</b>

<sup>1</sup> Expected BIL General Supplemental federal allocation for FFY 2022.  
<sup>2</sup> Required 10% state match of BIL General Supplemental FFY 2022 Cap Grant.  
<sup>3</sup> Refer to Section 6.0 for information related to set-asides.  
<sup>4</sup> Required 49% of BIL General Supplemental FFY 2022 capitalization grant to be provided as additional subsidy in the form of Grant/PF.  
<sup>5</sup> Amount for direct financings = total sources – total set-asides – new grant/PF

**\*Total BIL General Supplemental funding capacity = new grant/PF + direct financings = \$71,511,380**

- Under IUP Section 2.0 “Program Changes & Ongoing Initiatives”, “Program Changes”, Item No. 1 - “Bipartisan Infrastructure Law (BIL) General Supplemental Funds”:  
Delete the following sentence in its entirety: “*There is also a required state match of 10% of the federal capitalization amount, which will also be provided as additional subsidy in the form of grant/PF to systems meeting the state’s DAC criteria.*”
- Under IUP Section 7.8 “Bipartisan Infrastructure Law (BIL) General Supplemental Awards”, first paragraph:  
Delete the following sentence in its entirety: “*The required 10% state match amount will also be provided as additional subsidy in the form of grant/PF to systems meeting the state’s DAC eligibility criteria.*”

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