



Department of Health

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Commissioner

KRISTIN M. PROUD
Acting Executive Deputy Commissioner

June 7, 2022

DAL: DAL #22-32
Subject: Mental Health Assessment Review

Dear Adult Care Facility Administrator:

Every quarter, the Department of Health (“Department”) releases a quarterly statistical information report (QSIR) wherein each adult care facility self-reports facility specific demographics for the ninety-day reporting period immediately preceding the QSIR’s release. Reported demographics include the numbers of residents receiving mental health services and the numbers that have a serious mental illness diagnosis. The QSIR results require qualified facilities to follow requirements for reporting to the Justice Center for the Protection of People with Special Needs as illustrated at Title 18 of New York Codes, Rules, and Regulations (“18 NYCRR”) § 487.14 and/or requirements of Transitional Adult Homes as outlined at 18 NYCRR § 487.13. Accordingly, accuracy of data is paramount to ensuring proper reporting protocols are followed.

The Department has noticed inconsistencies in reported QSIR data. Accordingly, under its authority at 18 NYCRR § 487.10(b) and through its agent, Kepro, the Department will perform independent mental health assessments beginning with residents of facilities whose most recently submitted QSIR data indicated a decrease in the number of residents with serious mental illness and of facilities that qualify as Transitional Adult Homes. The Kepro assessments will inform the Department’s next steps which may include regulatory changes, and/or clarification identifying sources of misreporting and areas warranting enhanced quality assurance measures, and/or necessary enforcement measures based on confirmed regulatory deficiencies. Affected facilities will receive an engagement letter under separate cover.

The Department appreciates facilities’ expected cooperation and ongoing efforts to help improve the quality of data submitted to the Department. If you have any questions regarding this correspondence, please write to acinfo@health.ny.gov.

Sincerely,

Heidi L. Hayes, Acting Director
Division of Adult Care Facility
and Assisted Living Surveillance

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