

STATE OF NEW YORK
PUBLIC HEALTH AND HEALTH PLANNING COUNCIL

COMMITTEE DAY

AGENDA

November 13, 2014
10:00 a.m.

Empire State Plaza, Concourse Level,
Meeting Room 6, Albany

I. COMMITTEE ON HEALTH PLANNING

John Ruge, M.D. Chair

II. AD HOC COMMITTEE ON FREESTANDING AMBULATORY SURGERY CENTERS AND CHARITY CARE

Peter Robinson, Chair

III. COMMITTEE ON ESTABLISHMENT AND PROJECT REVIEW

Christopher Booth, Vice Chair

A. Applications for Construction of Health Care Facilities

Acute Care Services - Construction

Exhibit # 1

<u>Number</u>	<u>Applicant/Facility</u>
1. 142157 C	United Memorial Medical Center North Street Campus (Genesee County)

Hospice Services - Construction

Exhibit # 2

<u>Number</u>	<u>Applicant/Facility</u>
1. 142079 C	CareFirst/Southern Tier Hospice and Palliative Care/ Southern Tier Hospice (Steuben County)

B. Applications for Establishment and Construction of Health Care Facilities/Agencies

Acute Care Services – Establish/Construct

Exhibit # 3

<u>Number</u>	<u>Applicant/Facility</u>
1. 142108 E	Long Island Jewish Medical Center (Queens County)
2. 142105 E	Mount St Marys Hospital and Health Center (Niagara County)
3. 142140 E	NYP Community Programs, Inc. (Westchester County)

Ambulatory Surgery Centers - Establish/Construct

Exhibit # 4

<u>Number</u>	<u>Applicant/Facility</u>
1. 132340 B	Richmond ASC, LLC d/b/a Richmond Pain Management (Richmond County)
2. 141290 B	SOW Westside, LLC d/b/a Surgicare of Westside (New York County)
3. 142039 E	North Country Orthopaedic Ambulatory Surgery Center, LLC (Jefferson County)
4. 142061 E	East Side Endoscopy (Westchester County)
5. 142073 E	Buffalo Surgery Center, LLC (Erie County)
6. 142077 E	Island Digestive Health Center (Suffolk County)

Dialysis Services- Establish/Construct

Exhibit # 5

<u>Number</u>	<u>Applicant/Facility</u>
1. 141210 B	Peninsula Continuum Services, LLC d/b/a Cassena Care Dialysis at Peninsula (Queens County)

2. 141280 E Liverpool LD, LLC d/b/a FMS-Liverpool Dialysis Center
(Onondaga County)
3. 142058 E Massena Center, LLC d/b/a Massena Dialysis Center
(St. Lawrence County)

Residential Health Care Facilities - Establish/Construct

Exhibit # 6

<u>Number</u>	<u>Applicant/Facility</u>
1. 132128 B	DOJ Operations Associates, LLC d/b/a Triboro Center for Rehabilitation and Specialty Healthcare (Bronx County)
2. 141213 E	Comprehensive at Williamsville, LLC d/b/a Comprehensive Rehabilitation and Nursing Center at Williamsville (Erie County)
3. 142056 E	Hope Center Operations, LLC d/b/a Hope Center For HIV and Nursing Care (Bronx County)
4. 142090 E	L&A Operations LLC d/b/a Adira at Riverside Rehabilitation (Westchester County)

Certified Home Health Agencies – Establish/Construct

Exhibit # 7

<u>Number</u>	<u>Applicant/Facility</u>
1. 142060 E	Dominican Sisters Family Health Service, Inc. (Nassau County)
2. 142068 E	Royal Care Certified Home Health Care, LLC (Queens County)
3. 142100 E	A & T Certified Home Care, LLC (Rockland County)

C. Certificates

Certificate of Amendment of the Application of Authority

Exhibit # 8

Applicant

1. Fletcher Allen Partners, Inc.

D. Home Health Agency Licensures

Home Health Agency Licensures

Exhibit # 9

<u>Number</u>	<u>Applicant/Facility</u>
2162L	24/7 Homecare Agency of NY, Inc. (Bronx, Queens, Kings, Richmond, New York and Nassau Counties)
2172L	Able Body Homecare Agency of NY, Inc. (Bronx, Kings, New York, Richmond, Queens and Westchester Counties)
2191L	Agincare Homecare Services, Inc. (Bronx, New York, Kings, Richmond, Queens, and Nassau Counties)
2161L	Allied Partners Home Care, LLC (Nassau and Queens Counties)
2236L	Anderson Care, LLC d/b/a Home Helpers/Direct Link (Albany and Schenectady Counties)
2187L	Angel Home Care Agency, Inc. (Kings, Richmond, New York, Queens, and Bronx Counties)
2174L	Angel's Touch Home Care, LLC (Kings, Richmond, Queens, Bronx, New York and Westchester Counties)
2252L	Axzon's Health System Corporation (Nassau, Westchester, Suffolk and Queens Counties)
2226L	Beech Development Corp. d/b/a ComForcare Senior Services – Rochester East (Monroe, Livingston, Ontario and Wayne Counties)
2207L	Blissful Healthcare, Inc. (Bronx, New York, Kings, Richmond and Queens Counties)
2060L	Compassionate Home Health Care, LLC (New York, Bronx, Kings, Richmond, Queens, and Westchester Counties)

- 2257L Customize Care, LLC
(Bronx, Queens, Kings, Richmond, New York and Westchester Counties)
- 2164L Eva Homecare Agency, Inc.
(Bronx, Queens, Kings, Richmond, New York and Nassau Counties)
- 2230L First Baana Corp.
(New York, Bronx, Kings, Richmond, Queens and Westchester Counties)
- 2232L Fun & Fit, LLC d/b/a Home Instead Senior Care
(Westchester, Putnam, Dutchess and Bronx Counties)
- 2189L Janette Homecare of NY, Inc.
(New York, Bronx, Kings, Richmond, Queens and Nassau Counties)
- 2087L Long Beach Home Care Services, Inc.
(Bronx, Richmond, New York, Nassau, Kings, and Queens Counties)
- 2241L New York Congregational Licensed Home Care Services Agency, Inc.
(Bronx, Queens, Kings, Richmond, New York and Nassau Counties)
- 2182L Safe and Prudent, LLC
(New York, Bronx, Kings, Richmond, Queens, and Nassau Counties)
- 2201L SafeCare Home Care Agency, Inc.
(Nassau, Suffolk and Queens Counties)
- 2208L SHARE of New Square, Inc.
(Rockland, Sullivan, Putnam, Westchester, Dutchess, Bronx, Orange and Ulster Counties)
- 2159L Skilled Home Care Services of New York, LLC
d/b/a Skilled Home Care Services of New York
(Bronx, Queens, Kings, Richmond, New York and Westchester Counties)
- 1728L Supreme Generation, Inc.
(Bronx, Queens, Kings, New York and Richmond Counties)

- 2183L The Heinlein Group, Inc.
d/b/a Synergy HomeCare of Westchester
(Westchester and Bronx Counties)
- 2197L Visiting Nurse Service Care at Home, Inc.
(Albany, Montgomery, Warren, Clinton, Rensselaer,
Washington, Essex, Saratoga, Franklin, Schenectady,
Fulton and Schoharie Counties)
- 2316L Rehekah Rehab Licensed Home Care Services Agency,
Inc.
(Bronx County)
- 2449L The Gardens by Morningstar, LLC
(Oswego, Onondaga and Cayuga Counties)
- 2055L Golden Eagle Homecare Agency, Inc.
(Bronx, Queens, Kings, Nassau, New York, and
Richmond Counties)
- 2391L JS Homecare Agency of NY, Inc.
(Bronx, Richmond, Kings, Nassau, New York and Queens
Counties)
- 2211L UCSL, LLC d/b/a focus Home Care of Central New York
(Herkimer, Oswego, Lewis, Oneida, Madison, and
Onondaga Counties)
- 2127L Premier Home Health Care Services, Inc.
(Kings, New York, Queens, Richmond, and Bronx
Counties)
- 2256L Premier Home Health Care Services, Inc.
(Westchester, Putnam, Rockland, and Bronx Counties)

UNITED MEMORIAL MEDICAL CENTER AND SUBSIDIARY

CONSOLIDATED BALANCE SHEETS

December 31,

ASSETS	2013	2012
Current assets		
Cash and cash equivalents	\$ 7,563,358	\$ 7,267,206
Patient accounts receivable, net of allowance for doubtful accounts of approximately \$2,603,000 (\$2,357,000 - 2012)	9,584,727	9,309,878
Inventory	1,141,105	1,016,239
Prepaid pension cost	3,325,823	3,882,311
Prepaid expenses and other current assets	1,584,884	1,549,570
Investments	5,941,851	3,818,215
Total current assets	<u>29,141,748</u>	<u>26,843,419</u>
Assets limited as to use	1,593,611	1,593,546
Interest in net assets of Foundation	1,910,082	2,190,743
Property, plant and equipment, net	41,898,087	42,686,439
Other assets, net	1,040,387	1,183,280
Total assets	<u>\$ 75,583,915</u>	<u>\$ 74,497,427</u>
LIABILITIES AND NET ASSETS		
Current liabilities		
Accounts payable	\$ 3,564,937	\$ 3,144,246
Accrued expenses	1,855,099	1,811,320
Salaries and benefits payable	3,591,824	3,307,476
Current portion of estimated amounts due to third-parties, net	265,825	319,933
Current portion of long-term debt	1,531,679	1,493,572
Current portion of capital lease obligations	-	50,915
Total current liabilities	<u>10,809,364</u>	<u>10,127,462</u>
Estimated amounts due to third-parties, net	1,240,603	1,266,703
Long-term debt	21,599,730	23,082,550
Accrued pension	10,941,507	15,401,576
Total liabilities	<u>44,591,204</u>	<u>49,868,291</u>
Net assets:		
Unrestricted	27,992,825	21,394,369
Temporarily restricted	2,713,110	2,947,991
Permanently restricted	286,776	286,776
Total net assets	<u>30,992,711</u>	<u>24,629,136</u>
Total liabilities and net assets	<u>\$ 75,583,915</u>	<u>\$ 74,497,427</u>

UNITED MEMORIAL MEDICAL CENTER AND SUBSIDIARY

CONSOLIDATED STATEMENTS OF OPERATIONS AND CHANGES IN NET ASSETS
For the Years Ended December 31,

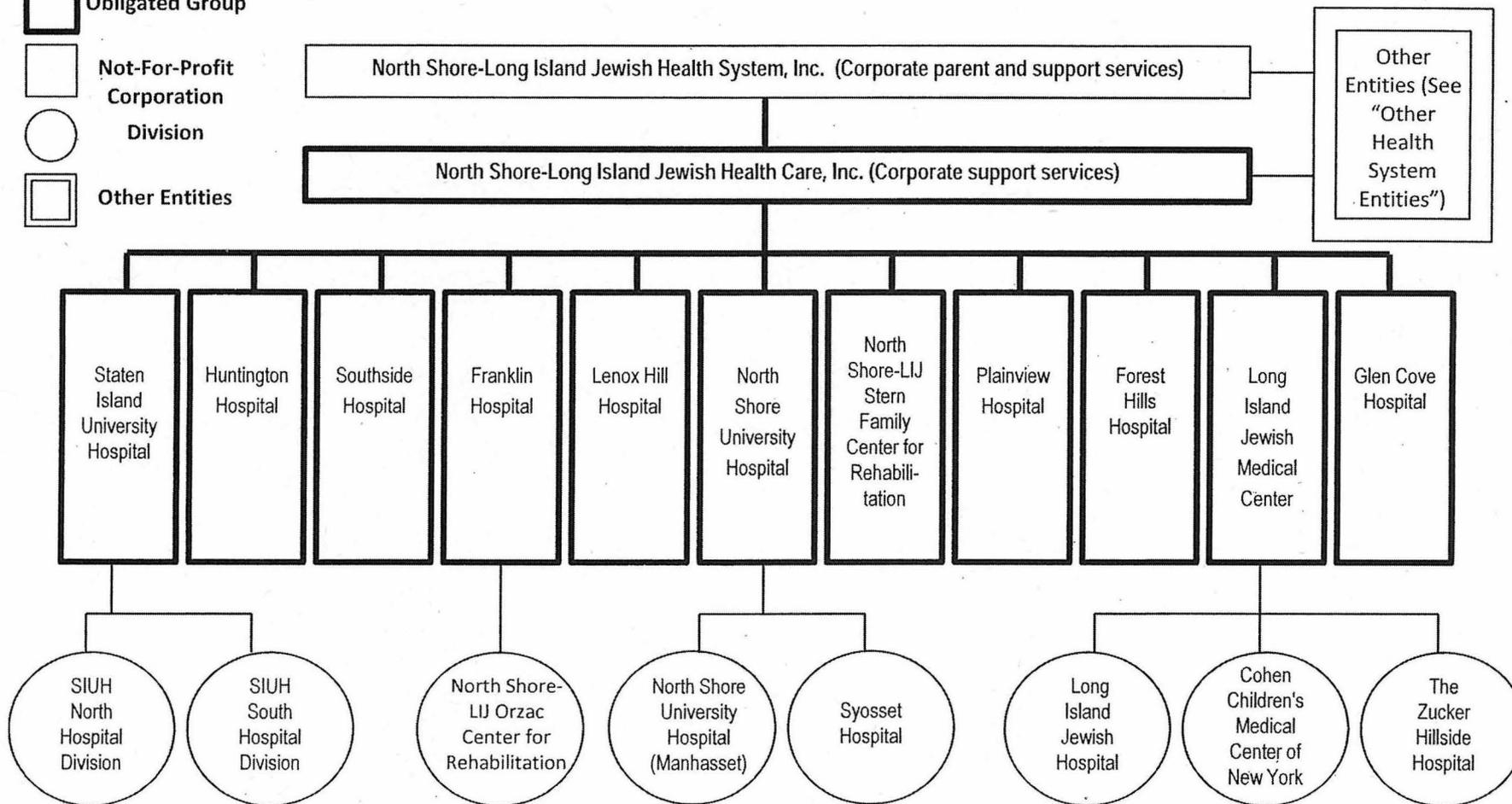
	<u>2013</u>	<u>2012</u>
Unrestricted revenue, gains and other support:		
Net patient service revenue	\$ 79,618,264	\$ 77,795,823
Provision for bad debts	(3,114,516)	(2,728,476)
Net patient service revenue less provision for bad debts	76,503,748	75,066,347
Other revenue	2,753,100	4,435,964
Investment income	438,142	220,307
Contributions	-	1,840
Total unrestricted revenue, gains and other support	<u>79,694,990</u>	<u>79,724,458</u>
Operating expenses:		
Salaries and wages	33,514,023	31,916,913
Employee benefits	9,942,338	10,099,330
Supplies and materials	10,621,219	10,535,631
Medical and professional fees	7,000,277	6,649,086
Purchased services	6,329,147	6,261,789
Depreciation and amortization	4,897,149	4,887,825
Other expenses	2,409,102	2,550,178
Utilities expense	1,408,267	1,374,761
Interest expense	1,048,002	1,128,856
Insurance expense	960,359	899,919
Total operating expenses	<u>77,929,883</u>	<u>76,304,288</u>
Income from operations	1,765,107	3,420,170
Other income (expense):		
Net unrealized gain on investments	247,896	221,881
Impairment and loss on sale of property, plant and equipment	(69,277)	(414)
	<u>178,619</u>	<u>221,467</u>
Excess of unrestricted revenue, gains and other support over expenses	<u>\$ 1,943,726</u>	<u>\$ 3,641,637</u>

CAREFIRST NY, INC.
Statements of Financial Position
December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 2,947,072	\$ 2,556,367
Accounts receivable - net	622,666	746,306
Promises to give - current portion	116,776	2,182
Prepaid expenses	107,754	177,793
Total current assets	<u>3,794,268</u>	<u>3,482,648</u>
Other Assets		
Promises to give - net of current portion	24,084	-
Investments	3,351,743	2,954,477
Property and equipment - net	455,092	479,067
Total other assets	<u>3,830,919</u>	<u>3,433,544</u>
Total Assets	<u>\$ 7,625,187</u>	<u>\$ 6,916,192</u>
LIABILITIES AND NET ASSETS		
Current Liabilities		
Accounts payable	\$ 287,757	\$ 495,184
Accrued expenses	490,634	506,076
Total liabilities	<u>778,391</u>	<u>1,001,260</u>
Net Assets		
Unrestricted		
Operating	3,132,041	2,889,473
Board designated	3,351,743	2,954,477
Temporarily restricted	363,012	70,982
Total net assets	<u>6,846,796</u>	<u>5,914,932</u>
Total Liabilities and Net Assets	<u>\$ 7,625,187</u>	<u>\$ 6,916,192</u>

Principal Operating Entities of North Shore-LIJ Health System

-  Obligated Group
-  Not-For-Profit Corporation
-  Division
-  Other Entities



Not-for-Profit Corporation

Division

*North Shore-Long Island Jewish Health System, Inc.
Table of Organization*

Proposed

North Shore – Long Island Jewish Health System, Inc.

Long Island Home North Shore-Long Island Jewish Health System Laboratories RegionCare, Inc. Hospice Care Network

North Shore – Long Island Jewish Health Care, Inc.

Obligated Group

Staten Island University Hospital Glen Cove Hospital North Shore University Hospital North Shore - LJ Stern Family Center for Rehabilitation Plainview Hospital Long Island Jewish Medical Center Lenox Hill Hospital Southside Hospital Huntington Hospital

SIUH Hospice Syosset Hospital North Shore CHHA North Shore LTHHCP Cohen Children's Medical Center The Zucker Hillside Hospital Long Island Jewish Hospital Long Island Jewish CHHA Forest Hills Hospital Franklin Hospital Orzac Ctr for Extended Care & Rehab

North Shore-Long Island Jewish Health System, Inc.

Consolidating Statement of Financial Position

December 31, 2013

(In Thousands)

	North Shore- Long Island Jewish Health System, Inc. Total	Eliminations	North Shore- Long Island Jewish Obligated Group	The Long Island Home	Hospice Care Network, Inc.	The Feinstein Institute for Medical Research	North Shore- Long Island Jewish Health System Foundation, Inc.	North Shore- Long Island Jewish Health System Laboratories	Captive Insurance Companies	Dolan Family Health Center	Health Insurance Companies	Other Health System Entities
Assets												
Current assets:												
Cash and cash equivalents	\$ 210,601	\$ -	\$ 126,464	\$ 4,062	\$ 6,816	\$ -	\$ 22,665	\$ -	\$ 5,281	\$ 227	\$ 34,324	\$ 10,762
Marketable securities and other investments	1,739,386	-	1,697,228	73	18,566	20	12,139	-	9,185	2,175	-	-
Accounts receivable for services to patients, net of allowance for doubtful accounts	700,198	-	668,711	14,194	5,445	-	-	7,257	-	385	-	4,206
Accounts receivable for physician activities, net	68,443	-	60,542	-	-	-	-	-	-	-	-	7,901
Assets limited as to use, current portion	78,956	-	78,956	-	-	-	-	-	-	-	-	-
Pledges receivable, current portion	35,577	-	176	-	122	-	35,220	-	-	-	-	-
Insurance claims receivable, current portion	98,399	(33,388)	129,455	1,405	128	215	45	244	-	-	-	59
Other current assets	159,931	-	142,193	1,235	221	7,416	-	2,516	580	442	1,301	295
Total current assets	3,091,491	(33,388)	2,903,725	20,969	31,298	7,651	70,069	10,017	15,046	3,229	35,625	27,250
Due from affiliates, net	-	(236,517)	102,656	-	-	-	-	18,719	115,142	-	-	-
Note receivable from affiliate	-	(16,989)	16,989	-	-	-	-	-	-	-	-	-
Assets limited as to use, net of current portion	1,159,721	(204,596)	992,408	7,000	1,812	75,268	56,379	-	215,266	5,102	889	10,197
Pledges receivable, net of current portion	112,821	-	719	-	418	-	110,333	-	-	-	-	1,351
Property, plant and equipment, net	3,550,093	-	3,384,404	54,998	789	58,595	1,424	7,482	-	1,232	-	41,169
Insurance claims receivable, net of current portion	334,073	(154,555)	477,754	7,034	530	892	187	1,011	-	-	-	1,220
Other assets	175,155	(39,202)	126,986	9,532	214	2,500	-	-	22,687	-	-	52,438
Total assets	\$ 8,423,354	\$ (685,247)	\$ 8,005,641	\$ 99,533	\$ 35,061	\$ 144,906	\$ 238,392	\$ 37,229	\$ 368,141	\$ 9,563	\$ 36,514	\$ 133,621
Liabilities and net assets (deficit)												
Current liabilities:												
Short-term borrowings	\$ 110,540	\$ -	\$ 110,540	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Accounts payable and accrued expenses	529,423	-	476,872	3,141	2,363	6,834	297	16,765	2,888	333	3,076	16,854
Accrued salaries and related benefits	568,164	-	525,896	4,984	2,012	5,087	1,259	5,934	-	475	-	22,517
Current portion of capital lease obligations	6,308	-	3,681	1,124	-	-	-	-	-	-	-	1,503
Current portion of long-term debt	52,954	-	52,147	807	-	-	-	-	-	-	-	-
Current portion of insurance claims liability	98,399	(33,388)	129,455	1,405	128	215	45	244	-	-	-	295
Current portion of malpractice and other insurance liabilities	74,252	(20,695)	57,787	894	-	-	-	-	36,266	-	-	-
Current portion of third-party payer structured liabilities	5,438	-	5,438	-	-	-	-	-	-	-	-	-
Current portion of estimated payable to third-party payers	425,232	-	421,860	1,473	-	-	-	-	-	356	1,117	426
Total current liabilities	1,870,710	(54,083)	1,783,676	13,828	4,503	12,136	1,601	22,943	39,154	1,164	4,193	41,595
Due to affiliates, net	-	(120,693)	-	1,215	738	2,439	3,744	-	-	8,908	-	103,649
Note payable to affiliate	-	(16,989)	-	16,989	-	-	-	-	-	-	-	-
Accrued retirement benefits, net of current portion	341,044	-	334,973	5,992	-	53	-	26	-	-	-	-
Capital lease obligations, net of current portion	76,814	-	74,420	1,690	-	-	-	-	-	-	-	704
Long-term debt, net of current portion	1,665,372	-	1,638,981	26,391	-	-	-	-	-	-	-	-
Insurance claims liability, net of current portion	334,073	(154,555)	477,754	7,034	530	892	187	1,011	-	-	-	1,220
Malpractice and other insurance liabilities, net of current portion	716,902	(95,797)	529,584	2,749	-	-	-	-	280,566	-	-	-
Third-party payer structured liabilities, net of current portion	18,288	-	18,288	-	-	-	-	-	-	-	-	-
Other long-term liabilities	411,225	-	401,134	1,434	76	116	6,186	1,304	-	-	-	975
Total liabilities	5,434,428	(442,117)	5,258,810	77,322	5,847	15,636	11,718	25,284	319,520	10,072	4,193	148,143
Net assets (deficit):												
Unrestricted	2,508,405	(36,059)	2,376,552	22,211	27,064	39,195	16,179	11,945	48,621	(6,430)	32,321	(23,194)
Temporarily restricted	346,046	(185,625)	313,567	-	1,772	51,096	155,046	-	-	1,518	-	8,672
Permanently restricted	134,475	(21,446)	56,712	-	378	38,979	55,449	-	-	4,403	-	-
Total net assets (deficit)	2,988,926	(243,130)	2,746,831	22,211	29,214	129,270	226,674	11,945	48,621	(509)	32,321	(14,522)
Total liabilities and net assets (deficit)	\$ 8,423,354	\$ (685,247)	\$ 8,005,641	\$ 99,533	\$ 35,061	\$ 144,906	\$ 238,392	\$ 37,229	\$ 368,141	\$ 9,563	\$ 36,514	\$ 133,621

North Shore-Long Island Jewish Health System, Inc.

Combining Statement of Financial Position – Obligated Group Only

December 31, 2013
(In Thousands)

	Total Obligated Group	Eliminations	North Shore-Long Island Jewish Health Care, Inc.	North Shore University Hospital	Long Island Jewish Medical Center	Staten Island University Hospital	Lenox Hill Hospital	Southside Hospital	Huntington Hospital Association	Glen Cove Hospital	Plainview Hospital	Forest Hills Hospital	Franklin Hospital	North Shore-Long Island Jewish Stern Family Center for Rehabilitation
Assets														
Current assets:														
Cash and cash equivalents	\$ 126,464	\$ -	\$ 49,833	\$ 18,792	\$ 14,686	\$ 27,420	\$ 332	\$ 44	\$ 11,960	\$ 8	\$ 9	\$ 2,673	\$ 26	\$ 681
Marketable securities and other investments	1,697,228	-	89,953	704,639	358,441	220,460	136,708	2,630	113,280	71,117	-	-	-	-
Accounts receivable for services to patients, net of allowance for doubtful accounts	668,711	-	38	153,633	177,748	80,973	107,003	40,949	37,852	12,513	13,970	21,381	17,063	5,588
Accounts receivable for physician activities, net	60,542	-	-	43,016	16,097	-	-	724	205	151	82	134	133	-
Assets limited as to use, current portion	78,956	-	-	52,516	14,346	4,195	3,612	1,449	1,433	24	274	547	532	28
Pledges receivable, current portion	176	-	-	-	-	176	-	-	-	-	-	-	-	-
Insurance claims receivable, current portion	129,455	-	742	33,654	29,938	17,878	17,847	8,422	5,537	3,248	3,586	4,427	3,994	182
Other current assets	142,193	(3,000)	7,506	36,505	37,936	15,183	17,153	11,906	8,450	2,472	3,347	1,753	2,911	71
Total current assets	2,903,725	(3,000)	148,072	1,042,755	649,192	366,285	282,655	66,124	178,717	89,533	21,268	30,915	24,659	6,350
Due from affiliates, net	102,656	(73,255)	-	13,922	60,892	-	-	-	-	27,795	24,457	28,196	-	20,649
Note receivable from affiliate	16,989	-	16,989	-	-	-	-	-	-	-	-	-	-	-
Assets limited as to use, net of current portion	992,408	-	294,341	190,801	261,743	110,856	62,556	19,081	26,641	6,468	567	468	4,351	14,533
Pledges receivable, net of current portion	719	-	-	-	-	242	67	-	410	-	-	-	-	-
Property, plant and equipment, net	3,384,404	-	309,963	564,400	1,036,436	233,671	810,360	111,130	127,192	56,705	31,937	49,104	39,893	13,613
Insurance claims receivable, net of current portion	477,754	-	3,071	127,770	116,348	67,638	50,471	32,520	21,203	12,333	13,510	16,964	15,174	752
Other assets	126,986	(681,949)	696,617	13,144	34,563	28,798	29,594	3,443	1,164	162	390	433	472	155
Total assets	\$ 8,005,641	\$ (758,204)	\$ 1,469,053	\$ 1,952,792	\$ 2,159,176	\$ 807,490	\$ 1,235,703	\$ 232,298	\$ 355,327	\$ 192,996	\$ 92,129	\$ 126,080	\$ 84,540	\$ 56,252
Liabilities and net assets (deficit)														
Current liabilities:														
Short-term borrowings	\$ 110,540	\$ -	\$ -	\$ 7,500	\$ 103,040	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Accounts payable and accrued expenses	476,872	-	94,446	107,849	78,507	49,739	58,769	24,261	17,198	13,724	9,777	10,186	9,997	2,419
Accrued salaries and related benefits	525,896	-	122,482	114,159	96,980	54,808	32,947	23,878	24,336	11,655	10,695	17,606	13,553	2,797
Current portion of capital lease obligations	3,681	(190)	1,626	54	136	1,674	381	-	-	-	-	-	-	-
Current portion of long-term debt	52,147	-	-	17,476	10,850	11,605	4,540	1,130	1,100	183	1,584	3,163	415	101
Current portion of insurance claims liability	129,455	-	742	33,654	29,938	17,878	17,847	8,422	5,537	3,248	3,586	4,427	3,994	182
Current portion of malpractice and other insurance liabilities	57,787	-	5,949	14,816	13,605	8,297	3,622	3,050	2,341	1,327	1,323	1,826	1,631	-
Current portion of third-party payer structured liabilities	5,438	-	-	-	-	5,438	-	-	-	-	-	-	-	-
Current portion of estimated payable to third-party payers	421,860	-	-	137,706	112,333	24,821	49,278	32,474	6,204	7,768	12,110	24,183	11,254	3,729
Total current liabilities	1,783,676	(190)	225,245	433,214	445,389	174,260	167,384	93,215	56,716	37,905	39,075	61,391	40,844	9,228
Due to affiliates, net	-	(197,935)	74,593	-	-	18,688	53,168	22,379	2,309	-	-	-	-	26,798
Accrued retirement benefits, net of current portion	334,973	-	82,313	81,622	15,859	1,400	60,506	19,632	45,990	9,513	12,001	762	2,407	2,968
Capital lease obligations, net of current portion	74,420	(19,569)	70,670	5,777	13,992	435	3,315	-	-	-	-	-	-	-
Long-term debt, net of current portion	1,638,981	-	383,503	177,218	691,783	107,384	131,646	40,580	41,488	8,957	14,852	19,929	15,024	6,617
Insurance claims liability, net of current portion	477,754	-	3,071	127,770	116,348	67,638	50,471	32,520	21,203	12,333	13,510	16,964	15,174	752
Malpractice and other insurance liabilities, net of current portion	529,584	-	17,518	146,853	129,312	74,485	48,183	30,399	22,477	13,058	13,780	17,898	15,621	-
Third-party payer structured liabilities, net of current portion	18,288	-	-	-	-	18,288	-	-	-	-	-	-	-	-
Other long-term liabilities	401,134	-	6,211	92,858	91,858	43,705	63,840	16,296	23,127	9,466	15,436	21,743	15,485	1,109
Total liabilities	5,258,810	(217,694)	863,124	1,065,112	1,504,541	506,283	578,513	255,021	213,310	91,232	108,654	138,687	131,353	20,674
Net assets (deficit):														
Unrestricted	2,376,552	(442,219)	507,638	801,402	518,572	287,545	558,899	(40,283)	137,241	92,031	(16,957)	(12,784)	(49,227)	34,694
Temporarily restricted	313,567	(75,017)	75,017	66,645	127,675	12,178	75,017	17,560	3,613	6,976	432	177	2,410	884
Permanently restricted	56,712	(23,274)	23,274	19,633	8,388	1,484	23,274	-	1,165	2,757	-	-	13	-
Total net assets (deficit)	2,746,831	(540,510)	605,929	887,680	654,635	301,207	657,190	(22,723)	142,017	101,764	(16,525)	(12,607)	(46,804)	35,578
Total liabilities and net assets (deficit)	\$ 8,005,641	\$ (758,204)	\$ 1,469,053	\$ 1,952,792	\$ 2,159,176	\$ 807,490	\$ 1,235,703	\$ 232,298	\$ 355,327	\$ 192,996	\$ 92,129	\$ 126,080	\$ 84,540	\$ 56,252

North Shore-Long Island Jewish Health System, Inc.

Consolidating Statement of Operations

Year Ended December 31, 2013

(In Thousands)

	North Shore- Long Island Jewish Health System, Inc. Total	Eliminations	North Shore- Long Island Jewish Obligated Group	The Long Island Home	Hospice Care Network, Inc.	The Feinstein Institute for Medical Research	North Shore- Long Island Jewish Health System Foundation, Inc.	North Shore- Long Island Jewish Health System Laboratories	Captive Insurance Companies	Dolan Family Health Center	Health Insurance Companies	Other Health System Entities
Operating revenue:												
Net patient service revenue	\$ 5,853,492	\$ (3,619)	\$ 5,678,259	\$ 90,459	\$ 49,161	\$ 430	\$ --	\$ --	\$ --	\$ 4,524	\$ --	\$ 34,278
Physician practice revenue	875,088	--	663,619	2,609	--	--	--	--	--	--	--	208,860
Provision for bad debts	(91,288)	78	(87,405)	(1,428)	(230)	--	--	(696)	--	(6)	--	(1,603)
Total patient revenue, net of provision for bad debts	6,637,292	(3,541)	6,254,475	91,640	48,931	430	--	(696)	--	4,518	--	241,535
Other operating revenue	324,607	(461,432)	326,027	1,247	--	41,043	--	247,337	37,930	2,625	1,301	128,529
Net assets released from restrictions used for operations	39,932	--	24,301	--	1,068	14,333	--	--	--	230	--	--
Total operating revenue	7,001,851	(464,973)	6,604,803	92,887	49,999	55,806	--	246,641	37,930	7,373	1,301	370,064
Operating expenses:												
Salaries	3,568,991	(97,852)	3,272,029	52,300	17,649	44,920	--	51,683	--	4,013	278	223,971
Employee benefits	928,220	(17,633)	848,913	19,787	4,844	13,241	--	14,392	--	1,588	67	43,021
Supplies and expenses	2,029,125	(339,191)	1,956,640	20,256	24,882	24,171	--	156,634	37,983	2,152	17,915	127,683
Depreciation and amortization	307,345	--	290,494	2,337	518	5,340	--	1,629	--	74	--	6,953
Interest	84,193	(97)	82,611	1,602	--	--	--	--	--	--	--	77
Total operating expenses	6,917,874	(454,773)	6,450,687	96,282	47,893	87,672	--	224,338	37,983	7,827	18,260	401,705
Excess (deficiency) of operating revenue over operating expenses	83,957	(10,200)	154,116	(3,395)	2,106	(31,866)	--	22,303	(53)	(454)	(16,959)	(31,641)
Non-operating gains and losses:												
Investment income	100,320	--	92,725	33	391	14	1,130	(58)	6,067	39	--	(21)
Change in net unrealized gains and losses and change in value of equity method investments	105,971	10,760	73,014	(1)	509	2	1,016	--	10,377	--	--	10,294
Change in fair value of interest rate swap agreements designated as derivative instruments	965	--	965	--	--	--	--	--	--	--	--	--
Early retirement program costs	(33,179)	--	(32,392)	--	(175)	--	--	(500)	--	(19)	--	(93)
Other non-operating gains and losses	(6,344)	(2,283)	847	8	604	--	(5,737)	--	--	32	--	185
Total non-operating gains and losses	167,733	8,477	135,159	40	1,329	16	(3,591)	(558)	16,444	52	--	10,365
Excess (deficiency) of revenue and gains and losses over expenses	251,690	(1,723)	289,275	(3,355)	3,435	(31,850)	(3,591)	21,745	16,391	(402)	(16,959)	(21,276)
Net assets released from restrictions for capital asset acquisitions	46,068	--	43,314	--	--	2,754	--	--	--	--	--	--
Change in fair value of interest rate swap agreements designated as cash flow hedges	2,931	--	1,678	1,253	--	--	--	--	--	--	--	--
Transfers (to) from affiliates	--	10,200	(69,993)	--	--	20,713	--	(10,200)	--	--	49,280	--
Pension and other postretirement liability adjustments	248,815	--	237,609	11,206	--	--	--	--	--	--	--	--
Other changes in net assets	(600)	1,400	--	--	--	--	--	--	--	--	--	(2,000)
Increase (decrease) in unrestricted net assets	\$ 548,904	\$ 9,877	\$ 501,883	\$ 9,104	\$ 3,435	\$ (8,383)	\$ (3,591)	\$ 11,545	\$ 16,391	\$ (402)	\$ 32,321	\$ (23,276)

North Shore-Long Island Jewish Health System, Inc.
Combining Statement of Operations – Obligated Group Only

Year Ended December 31, 2013
(In Thousands)

	Total Obligated Group	Eliminations	North Shore-Long Island Jewish Health Care, Inc.	North Shore University Hospital	Long Island Jewish Medical Center	Staten Island University Hospital	Lenox Hill Hospital	Southside Hospital	Huntington Hospital Association	Glen Cove Hospital	Plainview Hospital	Forest Hills Hospital	Franklin Hospital	North Shore – Long Island Jewish Stern Family Center for Rehabilitation
Operating revenue:														
Net patient service revenue	\$ 5,678,259	\$ –	\$ –	\$ 1,390,915	\$ 1,320,103	\$ 803,871	\$ 773,752	\$ 355,214	\$ 274,547	\$ 158,070	\$ 173,994	\$ 207,546	\$ 165,773	\$ 54,474
Physician practice revenue	663,619	–	–	282,557	214,670	–	2,107	49,006	42,047	22,820	17,013	18,987	14,412	–
Provision for bad debts	(87,403)	8,152	(53)	(36,265)	(19,448)	(8,992)	(11,609)	(4,037)	(5,874)	(2,508)	(1,316)	(2,315)	(2,819)	(319)
Total patient revenue, net of provision for bad debts	6,254,475	8,152	(53)	1,637,207	1,515,325	794,879	764,250	400,183	310,720	178,382	189,691	224,218	177,366	54,155
Other operating revenue	326,027	(712,356)	670,524	140,769	83,985	62,667	17,828	23,101	5,253	4,530	9,922	7,558	11,674	572
Net assets released from restrictions used for operations	24,301	–	729	8,621	9,689	993	3,992	15	262	–	–	–	–	–
Total operating revenue	6,604,803	(704,204)	671,200	1,786,597	1,608,999	858,539	786,070	423,299	316,235	182,912	199,613	231,776	189,040	54,727
Operating expenses:														
Salaries	3,272,029	(257,722)	255,629	899,296	799,526	424,566	360,844	204,702	154,308	98,572	98,859	110,827	95,507	27,015
Employee benefits	848,913	(54,640)	62,997	178,815	198,941	129,639	85,884	65,914	46,951	25,134	33,408	36,089	30,583	9,198
Supplies and expenses	1,956,640	(390,104)	274,054	576,086	510,129	233,279	271,330	133,175	94,761	53,479	62,368	68,310	60,319	9,454
Depreciation and amortization	290,494	–	57,987	57,691	66,068	26,107	31,017	12,265	15,551	6,162	4,336	6,968	4,912	1,430
Interest	82,611	(1,738)	16,003	11,148	37,322	6,945	5,501	2,022	2,041	390	801	1,164	755	257
Total operating expenses	6,450,687	(704,204)	666,670	1,723,136	1,611,986	820,536	754,576	418,078	313,612	183,737	199,772	223,358	192,076	47,354
Excess (deficiency) of operating revenue over operating expenses	154,116	–	4,530	63,461	(2,987)	38,003	31,494	5,221	2,623	(825)	(159)	8,418	(3,036)	7,373
Non-operating gains and losses:														
Investment income	92,725	–	7,951	39,098	20,165	12,780	6,434	155	2,318	3,534	(4)	31	159	104
Change in net unrealized gains and losses and change in value of equity method investments	73,014	(175,514)	164,784	34,439	20,433	12,449	6,884	108	6,558	2,927	–	–	(6)	(48)
Change in fair value of interest rate swap agreements designated as derivative instruments	965	–	–	–	–	965	–	–	–	–	–	–	–	–
Early retirement program costs	(32,392)	–	(3,761)	(7,503)	(6,039)	(4,888)	(848)	(726)	(3,344)	(3,073)	(719)	(480)	(575)	(436)
Other non-operating gains and losses	847	–	–	2	4,535	(5,541)	2,217	–	(409)	–	42	–	1	–
Total non-operating gains and losses	135,159	(175,514)	168,974	66,036	39,094	15,765	14,687	(463)	5,123	3,388	(681)	(449)	(421)	(380)
Excess (deficiency) of revenue and gains and losses over expenses	289,275	(175,514)	173,504	129,497	36,107	53,768	46,181	4,758	7,746	2,563	(840)	7,969	(3,457)	6,993
Net assets released from restrictions for capital asset acquisitions	43,314	–	4,172	2,080	31,628	393	951	73	840	37	–	3,024	–	116
Change in fair value of interest rate swap agreements designated as cash flow hedges	1,678	–	–	604	1,074	–	–	–	–	–	–	–	–	–
Transfers to affiliates	(69,993)	–	(49,280)	(13,562)	(7,151)	–	–	–	–	–	–	–	–	–
Pension and other postretirement liability adjustments	237,609	–	4,183	26,490	11,481	1,121	95,641	24,372	58,695	3,518	5,904	894	4,148	962
Increase (decrease) in unrestricted net assets	\$ 501,883	\$ (175,514)	\$ 132,579	\$ 145,109	\$ 73,139	\$ 55,282	\$ 142,773	\$ 29,403	\$ 67,281	\$ 6,118	\$ 5,064	\$ 11,887	\$ 691	\$ 8,071

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Financial Position

(In Thousands)

	December 31	
	2013	2012
Assets		
Current assets:		
Cash and cash equivalents	\$ 210,601	\$ 283,258
Marketable securities and other investments	1,739,386	1,496,070
Accounts receivable for services to patients, net of allowance for doubtful accounts of \$90,500 in 2013 and \$109,790 in 2012	700,198	697,216
Accounts receivable for physician activities, net	68,443	60,151
Assets limited as to use, current portion	78,956	119,166
Pledges receivable, current portion	35,577	35,426
Insurance claims receivable, current portion	98,399	104,285
Other current assets	159,931	159,829
Total current assets	<u>3,091,491</u>	<u>2,955,401</u>
Assets limited as to use, net of current portion	1,159,721	840,463
Pledges receivable, net of current portion	112,821	120,880
Property, plant and equipment, net	3,550,093	3,372,151
Insurance claims receivable, net of current portion	334,073	359,528
Other assets	175,155	158,373
Total assets	<u>\$ 8,423,354</u>	<u>\$ 7,806,796</u>
Liabilities and net assets		
Current liabilities:		
Short-term borrowings	\$ 110,540	\$ 110,540
Accounts payable and accrued expenses	529,423	493,994
Accrued salaries and related benefits	568,164	527,832
Current portion of capital lease obligations	6,308	5,000
Current portion of long-term debt	52,954	50,934
Current portion of insurance claims liability	98,399	104,285
Current portion of malpractice and other insurance liabilities	74,252	59,521
Current portion of third-party payer structured liabilities	5,438	5,438
Current portion of estimated payable to third-party payers	425,232	352,995
Total current liabilities	<u>1,870,710</u>	<u>1,710,539</u>
Accrued retirement benefits, net of current portion	341,044	660,356
Capital lease obligations, net of current portion	76,814	80,913
Long-term debt, net of current portion	1,665,372	1,470,728
Insurance claims liability, net of current portion	334,073	359,528
Malpractice and other insurance liabilities, net of current portion	716,902	653,229
Third-party payer structured liabilities, net of current portion	18,288	23,951
Other long-term liabilities	411,225	417,471
Total liabilities	<u>5,434,428</u>	<u>5,376,715</u>
Commitments and contingencies		
Net assets:		
Unrestricted	2,508,405	1,959,501
Temporarily restricted	346,046	341,669
Permanently restricted	134,475	128,911
Total net assets	<u>2,988,926</u>	<u>2,430,081</u>
Total liabilities and net assets	<u>\$ 8,423,354</u>	<u>\$ 7,806,796</u>

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Operations

(In Thousands)

	Year Ended December 31	
	2013	2012
Operating revenue:		
Net patient service revenue	\$ 5,853,492	\$ 5,598,408
Physician practice revenue	875,088	758,409
Provision for bad debts	(91,288)	(97,994)
Total patient revenue, net of provision for bad debts	6,637,292	6,258,823
Other operating revenue	324,607	302,691
Net assets released from restrictions used for operations	39,932	45,330
Total operating revenue	7,001,831	6,606,844
Operating expenses:		
Salaries	3,568,991	3,315,368
Employee benefits	928,220	862,478
Supplies and expenses	2,029,125	1,984,936
Depreciation and amortization	307,345	275,601
Interest	84,193	70,594
Total operating expenses	6,917,874	6,508,977
Excess of operating revenue over operating expenses	83,957	97,867
Non-operating gains and losses:		
Investment income	100,320	42,636
Change in net unrealized gains and losses and change in value of equity method investments	105,971	123,549
Change in fair value of interest rate swap agreements designated as derivative instruments	965	(4,495)
Loss on refunding and refinancing of long-term debt	-	(4,590)
Contribution received in the acquisition of The Long Island Home	-	12,823
Early retirement program costs	(33,179)	-
Other non-operating gains and losses	(6,344)	(5,510)
Total non-operating gains and losses	167,733	164,413
Excess of revenue and gains and losses over expenses	251,690	262,280
Net assets released from restrictions for capital asset acquisitions	46,068	38,590
Change in fair value of interest rate swap agreements designated as cash flow hedges	2,931	677
Pension and other postretirement liability adjustments	248,815	(78,540)
Other changes in net assets	(600)	696
Increase in unrestricted net assets	\$ 548,904	\$ 223,703

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Financial Position
June 30, 2014 and December 31, 2013 (In Thousands)

	(Unaudited) June 30, 2014	(Audited) December 31, 2013
Assets		
Current assets:		
Cash and cash equivalents	\$ 242,925	\$ 210,601
Marketable securities and other investments	1,670,705	1,739,386
Accounts receivable for services to patients, net of allowance for doubtful accounts	747,073	700,198
Accounts receivable for physician activities, net	72,292	68,443
Assets limited as to use, current portion	88,403	78,956
Pledges receivable, current portion	36,931	35,577
Insurance claims receivable, current portion	94,480	98,399
Other current assets	205,182	159,931
Total current assets	<u>3,157,991</u>	<u>3,091,491</u>
Assets limited as to use, net of current portion	1,185,589	1,159,721
Pledges receivable, net of current portion	118,694	112,821
Property, plant and equipment, net	3,652,699	3,550,093
Insurance claims receivable, net of current portion	320,322	334,073
Other assets	171,002	175,155
Total assets	<u>\$ 8,606,297</u>	<u>\$ 8,423,354</u>
Liabilities and net assets		
Current liabilities:		
Short-term borrowings	\$ 110,218	\$ 110,540
Accounts payable and accrued expenses	579,811	529,423
Accrued salaries and related benefits	554,810	568,164
Current portion of capital lease obligations	6,665	6,308
Current portion of long-term debt	60,570	52,954
Current portion of insurance claims liability	94,480	98,399
Current portion of malpractice and other insurance liabilities	73,718	74,252
Current portion of third-party payer structured liabilities	5,438	5,438
Current portion of estimated payable to third-party payers	423,138	425,232
Total current liabilities	<u>1,908,848</u>	<u>1,870,710</u>
Accrued retirement benefits, net of current portion	344,616	341,044
Capital lease obligations, net of current portion	98,558	76,814
Long-term debt, net of current portion	1,619,142	1,665,372
Insurance claims liability, net of current portion	320,322	334,073
Malpractice and other insurance liabilities, net of current portion	740,185	716,902
Third-party payer structured liabilities, net of current portion	15,241	18,288
Other long-term liabilities	416,381	411,225
Total liabilities	<u>5,463,293</u>	<u>5,434,428</u>
Commitments and contingencies		
Net assets:		
Unrestricted	2,633,063	2,508,405
Temporarily restricted	374,797	346,046
Permanently restricted	135,144	134,475
Total net assets	<u>3,143,004</u>	<u>2,988,926</u>
Total liabilities and net assets	<u>\$ 8,606,297</u>	<u>\$ 8,423,354</u>

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Operations
For the Six Months Ended June 30, 2014 and 2013 (In Thousands)

	(Unaudited) 2014	(Unaudited) 2013
Operating revenue:		
Net patient service revenue	\$ 2,971,900	\$ 2,879,672
Physician practice revenue	461,379	436,159
Provision for bad debts	(49,717)	(52,111)
Total patient revenue, net of provision for bad debts	<u>3,383,562</u>	<u>3,263,720</u>
Other operating revenue	198,736	154,136
Net assets released from restrictions used for operations	23,456	20,367
Total operating revenue	<u>3,605,754</u>	<u>3,438,223</u>
Operating expenses:		
Salaries	1,850,251	1,731,637
Employee benefits	469,071	461,792
Supplies and expenses	1,033,326	994,225
Depreciation and amortization	168,468	153,951
Interest	46,584	39,437
Total operating expenses	<u>3,567,700</u>	<u>3,381,042</u>
Excess of operating revenue over operating expenses	38,054	57,181
Non-operating gains and losses:		
Investment income	62,575	48,436
Change in net unrealized gains and losses and change in value of equity method investments	28,423	(7,011)
Change in fair value of interest rate swap agreements designated as derivative instruments	392	640
Other non-operating gains and losses	(7,247)	(1,122)
Total non-operating gains and losses	<u>84,143</u>	<u>40,943</u>
Excess of revenue and gains and losses over expenses	122,197	98,124
Net assets released from restrictions for capital asset acquisitions	3,134	17,769
Change in fair value of interest rate swap agreements designated as cash flow hedges	459	2,089
Other changes in net assets	(1,132)	-
Increase in unrestricted net assets	<u>\$ 124,658</u>	<u>\$ 117,982</u>

North Shore-Long Island Jewish Health System, Inc.

Consolidating Statement of Financial Position
June 30, 2014 (Unaudited and In Thousands)

	North Shore- Long Island Jewish Health System, Inc.	North Shore- Long Island Jewish Obligated Group	The Long Island Home	Hospice Care Network, Inc.	The Feinstein Institute for Medical Research	North Shore- Long Island Jewish Health System Foundation, Inc.	North Shore- Long Island Jewish Health System Laboratories	Captive Insurance Companies	Dolan Family Health Center	Health Insurance Companies	Endoscopy Center of Long Island	Other Health System Entities	
	Total	Eliminations											
Assets													
Current assets:													
Cash and cash equivalents	\$342,925	\$-	\$153,374	\$6,266	\$5,681	\$-	\$16,711	\$-	\$5,913	\$247	\$42,854	\$637	\$11,242
Marketable securities and other investments	1,670,705	-	1,624,195	77	20,535	20	12,635	-	10,721	2,522	-	-	-
Accounts receivable for services to patients, net of allowance for doubtful accounts	747,073	-	710,740	12,946	7,500	-	-	8,776	-	386	-	-	6,725
Accounts receivable for physician activities, net	72,292	-	63,235	-	-	-	-	-	-	-	-	-	9,057
Assets limited as to use, current portion	88,403	-	88,403	-	-	-	-	-	-	-	-	-	-
Pledges receivable, current portion	36,931	-	114	-	121	-	36,539	-	-	-	-	-	157
Insurance claims receivable, current portion	94,480	(33,388)	125,535	1,405	128	215	45	244	-	-	-	-	296
Other current assets	205,182	-	174,570	1,183	17	13,172	1	2,472	7,147	1,322	1,677	-	3,621
Total current assets	3,157,991	(33,388)	2,940,166	21,877	33,982	13,407	65,931	11,492	23,781	4,477	44,531	637	31,098
Due from affiliates, net	-	(244,455)	119,228	-	-	-	1,681	24,197	99,349	-	-	-	-
Note receivable from affiliate	-	(19,489)	19,489	-	-	-	-	-	-	-	-	-	-
Assets limited as to use, net of current portion	1,185,589	(221,126)	1,009,225	7,000	1,562	79,145	61,561	-	230,131	5,132	1,704	-	11,255
Pledges receivable, net of current portion	118,694	-	426	-	530	-	115,926	-	-	-	-	-	1,812
Property, plant and equipment, net	3,652,699	-	3,488,214	55,137	794	56,671	1,424	7,415	-	1,192	1,923	293	39,636
Insurance claims receivable, net of current portion	320,322	(154,555)	464,003	7,034	530	892	187	1,011	-	-	-	-	1,220
Other assets	171,002	(38,504)	122,489	9,500	214	2,500	-	-	22,623	-	-	-	52,180
Total assets	\$8,606,297	(\$711,517)	\$8,163,240	\$100,548	\$37,612	\$152,615	\$246,710	\$44,115	\$375,884	\$10,801	\$48,158	\$930	\$137,201
Liabilities and net assets (deficit)													
Current liabilities:													
Short-term borrowings	\$110,218	\$-	\$110,218	\$-	\$-	\$-	\$-	\$-	\$-	\$-	\$-	\$-	\$-
Accounts payable and accrued expenses	579,811	-	514,712	3,680	2,660	8,755	298	20,189	3,785	329	9,529	108	15,786
Accrued salaries and related benefits	554,810	-	517,745	5,207	2,065	4,808	878	5,804	-	491	749	44	17,019
Current portion of capital lease obligations	6,665	-	4,182	1,167	-	-	-	-	-	-	-	-	1,316
Current portion of long-term debt	60,570	-	59,742	828	-	-	-	-	-	-	-	-	-
Current portion of insurance claims liability	94,480	(33,388)	125,535	1,405	128	215	45	244	-	-	-	-	296
Current portion of malpractice and other insurance liabilities	73,718	(20,695)	57,426	721	-	-	-	-	36,266	-	-	-	-
Current portion of third-party payer structured liabilities	5,438	-	5,438	-	-	-	-	-	-	-	-	-	-
Current portion of estimated payable to third-party payers	423,138	-	415,023	1,856	-	-	-	-	-	68	6,191	-	-
Total current liabilities	1,908,848	(54,083)	1,810,021	14,864	4,853	13,758	1,221	26,237	40,051	888	16,469	152	34,417
Due to affiliates, net	-	(138,328)	-	2,992	890	5,076	-	-	10,304	10,747	420	107,899	-
Note payable to affiliate	-	(19,489)	-	19,489	-	-	-	-	-	-	-	-	-
Accrued retirement benefits, net of current portion	344,616	-	339,906	4,631	-	53	-	26	-	-	-	-	-
Capital lease obligations, net of current portion	98,558	-	97,315	1,096	-	-	-	-	-	-	-	-	147
Long-term debt, net of current portion	1,619,142	-	1,593,173	25,969	-	-	-	-	-	-	-	-	-
Insurance claims liability, net of current portion	320,322	(154,555)	464,003	7,034	530	892	187	1,011	-	-	-	-	1,220
Malpractice and other insurance liabilities, net of current portion	740,185	(87,259)	544,516	2,625	-	-	-	-	280,303	-	-	-	-
Third-party payer structured liabilities, net of current portion	15,241	-	15,241	-	-	-	-	-	-	-	-	-	-
Other long-term liabilities	416,381	-	403,601	1,556	45	117	8,775	1,304	-	-	87	-	896
Total liabilities	5,463,293	(453,714)	5,267,776	80,256	6,318	19,896	10,183	28,578	320,354	11,192	27,303	572	144,579
Commitments and contingencies													
Net assets (deficit):													
Unrestricted	2,633,063	(35,335)	2,498,291	20,292	29,377	39,168	12,720	15,537	55,530	(6,545)	20,855	358	(17,185)
Temporarily restricted	374,797	(200,630)	340,010	-	1,539	54,180	168,140	-	-	1,751	-	-	9,807
Permanently restricted	135,144	(21,838)	57,163	-	378	39,371	55,667	-	-	4,403	-	-	-
Total net assets (deficit)	3,143,004	(257,803)	2,895,464	20,292	31,294	132,719	236,527	15,537	55,530	(391)	20,855	358	(7,378)
Total liabilities and net assets (deficit)	\$8,606,297	(\$711,517)	\$8,163,240	\$100,548	\$37,612	\$152,615	\$246,710	\$44,115	\$375,884	\$10,801	\$48,158	\$930	\$137,201

North Shore-Long Island Jewish Health System, Inc.

Combining Statement of Financial Position - Obligated Group Only
June 30, 2014 (Unaudited and In Thousands)

	Total Obligated Group	Eliminations	North Shore-Long Island Jewish Health Care, Inc.	North Shore University Hospital	Long Island Jewish Medical Center	Staten Island University Hospital	Lenox Hill Hospital	Southside Hospital	Huntington Hospital Association	Glen Cove Hospital	Plainview Hospital	Forest Hills Hospital	Franklin Hospital	North Shore-LIJ Stern Family Center for Rehabilitation
Assets														
Current assets:														
Cash and cash equivalents	\$153,374	\$-	\$2,457	\$53,155	\$52,117	\$35,626	\$796	\$32	\$8,724	\$36	\$20	\$26	\$301	\$84
Marketable securities and other investments	1,624,195	-	46,311	647,354	349,834	243,941	142,287	2,738	117,710	74,020	-	-	-	-
Accounts receivable for services to patients, net of allowance for doubtful accounts	710,740	-	70	158,441	192,568	81,694	111,883	43,654	43,057	7,447	17,193	24,937	20,805	8,991
Accounts receivable for physician activities, net	63,235	-	-	54,504	7,015	-	442	402	245	-	385	113	129	-
Assets limited as to use, current portion	88,403	-	91	55,224	17,690	3,443	3,704	1,479	1,458	404	1,341	2,707	549	313
Pledges receivable, current portion	114	-	-	-	-	114	-	-	-	-	-	-	-	-
Insurance claims receivable, current portion	125,535	-	709	32,469	28,987	17,215	17,847	8,103	5,339	3,127	3,443	4,270	3,844	182
Other current assets	174,570	(3,000)	17,960	42,490	43,420	14,718	27,941	12,461	6,583	2,604	4,032	2,135	3,120	106
Total current assets	2,940,166	(3,000)	67,598	1,043,637	691,631	396,751	304,900	68,869	183,116	87,638	26,414	34,188	28,748	9,676
Due from affiliates, net	119,228	(131,081)	-	49,986	115,878	-	-	-	2,207	21,682	15,934	25,141	-	19,481
Note receivable from affiliate	19,489	-	19,489	-	-	-	-	-	-	-	-	-	-	-
Assets limited as to use, net of current portion	1,009,225	-	363,531	181,492	204,970	117,338	73,179	17,528	26,171	6,303	448	164	3,709	14,372
Pledges receivable, net of current portion	426	-	-	-	-	-	17	-	409	-	-	-	-	-
Property, plant and equipment, net	3,488,214	-	395,218	599,078	1,021,607	228,387	815,400	110,450	123,688	55,096	32,026	55,199	38,795	13,270
Insurance claims receivable, net of current portion	464,003	-	2,934	123,662	113,044	65,310	50,471	31,363	20,508	11,906	13,000	16,410	14,643	752
Other assets	122,489	(690,415)	705,191	13,085	33,252	25,468	29,884	3,399	1,121	149	362	400	455	138
Total assets	\$8,163,240	(\$824,496)	\$1,553,981	\$2,010,940	\$2,180,382	\$833,254	\$1,273,851	\$231,609	\$357,220	\$182,774	\$88,184	\$131,502	\$86,350	\$57,689
Liabilities and net assets (deficit)														
Current liabilities:														
Short-term borrowings	\$110,218	\$-	\$-	\$7,500	\$102,718	\$-	\$-	\$-	\$-	\$-	\$-	\$-	\$-	\$-
Accounts payable and accrued expenses	514,712	-	98,009	130,052	83,501	50,277	64,325	26,053	18,863	10,332	10,449	9,795	10,814	2,242
Accrued salaries and related benefits	517,745	-	103,306	118,144	96,758	49,503	45,920	24,856	24,284	10,304	11,055	17,764	13,126	2,725
Current portion of capital lease obligations	4,182	(191)	1,627	54	1,084	1,286	322	-	-	-	-	-	-	-
Current portion of long-term debt	59,742	-	-	20,241	14,307	11,655	4,665	1,170	1,135	334	1,929	3,608	435	263
Current portion of insurance claims liability	125,535	-	709	32,469	28,987	17,215	17,847	8,103	5,339	3,127	3,443	4,270	3,844	182
Current portion of malpractice and other insurance liabilities	57,426	-	5,588	14,817	13,604	8,297	3,622	3,050	2,341	1,327	1,323	1,826	1,631	-
Current portion of third-party payer structured liabilities	5,438	-	-	-	-	5,438	-	-	-	-	-	-	-	-
Current portion of estimated payable to third-party payers	415,023	-	-	123,934	111,869	27,944	57,651	31,301	6,859	4,513	11,387	24,514	11,325	3,726
Total current liabilities	1,810,021	(191)	209,239	447,211	452,828	171,615	194,352	94,533	58,821	29,937	39,586	61,777	41,175	9,138
Due to affiliates, net	-	(255,763)	117,529	-	-	22,491	63,336	24,695	-	-	-	-	27,712	-
Accrued retirement benefits, net of current portion	339,906	-	96,949	76,323	14,720	1,412	60,159	19,083	44,611	8,961	11,039	631	3,185	2,833
Capital lease obligations, net of current portion	97,315	(19,566)	95,143	5,552	12,973	-	3,213	-	-	-	-	-	-	-
Long-term debt, net of current portion	1,593,173	-	383,529	168,357	670,272	100,758	127,760	39,380	40,313	8,638	14,218	19,059	14,578	6,311
Insurance claims liability, net of current portion	464,003	-	2,934	123,662	113,044	65,310	50,471	31,363	20,508	11,906	13,000	16,410	14,643	752
Malpractice and other insurance liabilities, net of current portion	544,516	-	27,659	150,546	129,307	78,716	45,718	30,188	22,304	12,532	13,544	18,420	15,582	-
Third-party payer structured liabilities, net of current portion	15,241	-	-	-	-	15,241	-	-	-	-	-	-	-	-
Other long-term liabilities	403,601	-	9,480	92,507	92,650	43,255	63,186	16,296	23,127	9,327	15,436	21,743	15,485	1,109
Total liabilities	5,267,776	(275,520)	942,462	1,064,158	1,485,794	498,798	608,195	255,538	209,684	81,301	106,823	138,040	132,360	20,143
Commitments and contingencies														
Net assets (deficit):														
Unrestricted	2,498,291	(435,809)	498,352	851,522	556,879	321,100	552,489	(41,800)	141,561	91,596	(19,093)	(6,715)	(48,446)	36,655
Temporarily restricted	340,010	(89,445)	89,445	75,627	129,320	11,872	89,445	17,871	4,812	7,118	454	177	2,423	891
Permanently restricted	57,163	(23,722)	23,722	19,633	8,389	1,484	23,722	-	1,163	2,759	-	-	13	-
Total net assets (deficit)	2,895,464	(548,976)	611,519	946,782	694,588	334,456	665,656	(23,929)	147,536	101,473	(18,639)	(6,538)	(46,010)	37,546
Total liabilities and net assets (deficit)	\$8,163,240	(\$824,496)	\$1,553,981	\$2,010,940	\$2,180,382	\$833,254	\$1,273,851	\$231,609	\$357,220	\$182,774	\$88,184	\$131,502	\$86,350	\$57,689

North Shore-Long Island Jewish Health System, Inc.

Consolidating Statement of Operations
For the Six Months Ended June 30, 2014 (Unaudited and In Thousands)

	North Shore- Long Island Jewish Health System, Inc.		North Shore- Long Island Jewish Obligated Group	The Long Island Home	Hospice Care Network, Inc.	The Feinstein Institute for Medical Research	North Shore- Long Island Jewish Health System Foundation, Inc.	North Shore- Long Island Jewish Health System Laboratories	Captive Insurance Companies	Dolan Family Health Center	Health Insurance Companies	Endoscopy Center of Long Island	Other Health System Entities
	Total	Eliminations											
Operating revenue:													
Net patient service revenue	\$2,971,900	(\$10,904)	\$2,855,573	\$46,674	\$24,356	\$205	\$-	\$-	\$-	\$2,164	\$-	\$6,902	\$16,630
Physician practice revenue	461,379	(3,800)	382,505	1,242	-	-	-	-	-	-	-	-	81,432
Provision for bad debts	(49,717)	14	(47,725)	(1,205)	(90)	-	-	(385)	-	(2)	-	-	(324)
Total patient revenue, net of provision for bad debts	3,383,562	(14,690)	3,220,653	46,711	24,266	205	-	(385)	-	2,162	-	6,902	97,738
Other operating revenue	198,736	(182,788)	138,242	512	-	20,315	-	128,505	21,056	1,572	32,159	-	39,163
Net assets released from restrictions used for operations	23,456	-	13,960	-	447	8,938	-	-	-	111	-	-	-
Total operating revenue	3,605,754	(197,478)	3,372,855	47,223	24,713	29,458	-	128,120	21,056	3,845	32,159	6,902	136,901
Operating expenses:													
Salaries	1,850,251	(8,107)	1,662,896	27,447	8,696	22,836	-	28,059	-	2,010	7,479	1,253	97,682
Employee benefits	469,071	(4,342)	423,355	8,960	2,357	7,118	-	9,201	-	751	1,965	160	19,546
Supplies and expenses	1,033,326	(179,817)	1,013,585	10,621	12,376	12,588	-	81,439	21,068	1,180	34,192	1,642	24,452
Depreciation and amortization	168,468	-	161,015	1,206	169	2,746	-	723	-	40	-	6	2,563
Interest	46,584	(112)	45,835	816	-	-	-	-	-	-	-	-	45
Total operating expenses	3,567,700	(192,378)	3,306,686	49,050	23,598	45,288	-	119,422	21,068	3,981	43,636	3,061	144,288
Excess (deficiency) of operating revenue over operating expenses	38,054	(5,100)	66,169	(1,827)	1,115	(15,830)	-	8,698	(12)	(136)	(11,477)	3,841	(7,387)
Non-operating gains and losses:													
Investment income	62,575	-	60,550	25	59	7	366	(6)	1,602	-	10	(23)	(15)
Change in net unrealized gains and losses and change in value of equity method investments	28,423	(1,263)	22,686	-	815	(3)	112	-	5,319	-	1	-	756
Change in fair value of interest rate swap agreements designated as derivative instruments	392	-	392	-	-	-	-	-	-	-	-	-	-
Other non-operating gains and losses	(7,247)	(631)	(3,069)	6	324	-	(3,937)	-	-	21	-	-	39
Total non-operating gains and losses	84,143	(1,894)	80,559	31	1,198	4	(3,459)	(6)	6,921	21	11	(23)	780
Excess (deficiency) of revenue and gains and losses over expenses	122,197	(6,994)	146,728	(1,796)	2,313	(15,826)	(3,459)	8,692	6,909	(115)	(11,466)	3,818	(6,607)
Net assets released from restrictions for capital asset acquisitions	3,134	-	3,134	-	-	-	-	-	-	-	-	-	-
Change in fair value of interest rate swap agreements designated as cash flow hedges	459	-	582	(123)	-	-	-	-	-	-	-	-	-
Transfers (to) from affiliates	-	5,100	(28,705)	-	-	15,799	-	(5,100)	-	-	-	-	12,906
Other changes in net assets	(1,132)	2,618	-	-	-	-	-	-	-	-	-	(3,750)	-
Increase (decrease) in unrestricted net assets	\$124,658	\$724	\$121,739	(\$1,919)	\$2,313	(\$27)	(\$3,459)	\$3,592	\$6,909	(\$115)	(\$11,466)	\$68	\$6,299

North Shore-Long Island Jewish Health System, Inc.

Combining Statement of Operations - Obligated Group Only
For the Six Months Ended June 30, 2014 (Unaudited and In Thousands)

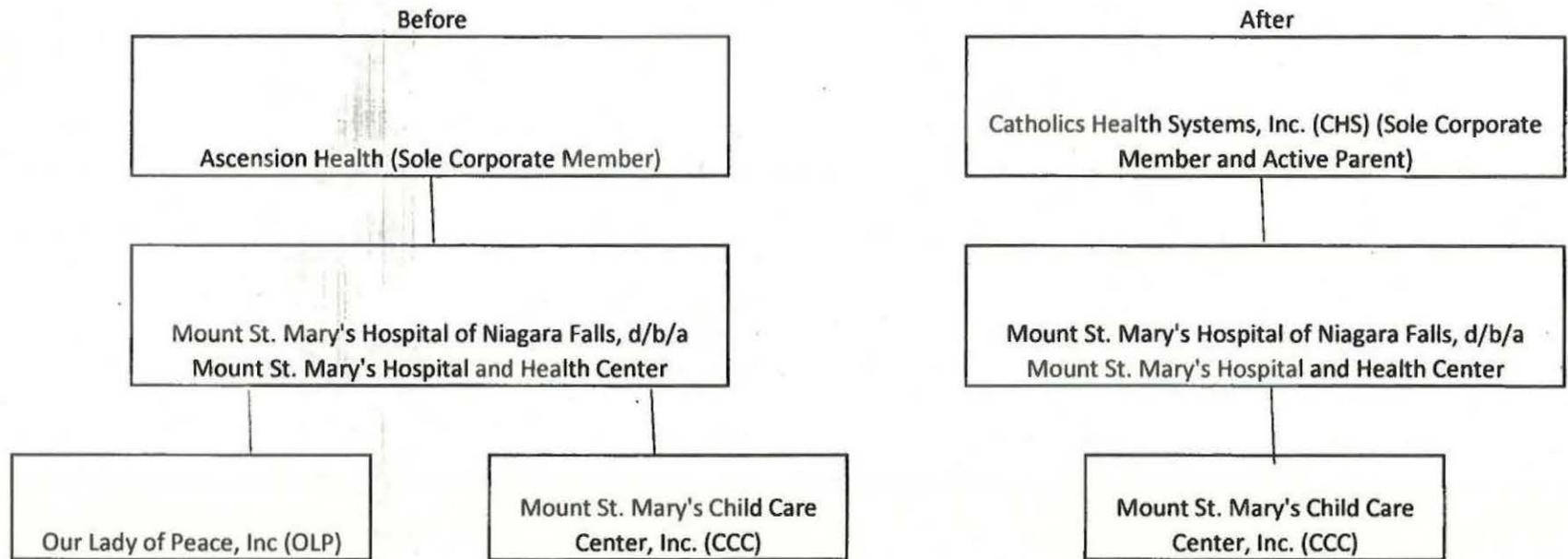
	Total Obligated Group	North Shore-Long Island Jewish Health Care, Inc. Eliminations	North Shore University Hospital	Long Island Jewish Medical Center	Staten Island University Hospital	Lenox Hill Hospital (*)	Southside Hospital	Huntington Hospital Association	Glen Cove Hospital	Plainview Hospital	Forest Hills Hospital	Franklin Hospital	North Shore-LIJ Stern Family Center for Rehabilitation	
Operating revenue:														
Net patient service revenue	\$2,885,873	5-	5-	\$701,815	\$709,341	\$406,980	\$389,854	\$180,520	\$139,118	\$53,281	\$78,615	\$113,208	\$86,508	\$26,633
Physician practice revenue	382,505	-	-	157,109	99,830	-	42,099	24,759	21,853	10,691	8,678	9,556	7,482	448
Provision for bad debts	(47,725)	3,096	-	(18,119)	(11,326)	(4,965)	(5,607)	(3,532)	(2,387)	(909)	(813)	(2,066)	(914)	(183)
Total patient revenue, net of provision for bad debts	3,220,653	3,096	-	840,805	797,845	402,015	426,346	201,747	158,584	63,063	86,480	120,698	93,076	26,898
Other operating revenue	138,242	(392,848)	355,472	91,274	40,497	8,315	5,531	12,559	2,237	1,735	5,115	4,079	4,001	275
Net assets released from restrictions used for operations	13,960	-	214	6,228	4,899	-	2,559	41	15	-	3	-	1	-
Total operating revenue	3,372,855	(389,752)	355,686	938,307	843,241	410,330	434,436	214,347	160,836	64,798	91,598	124,777	97,078	27,173
Operating expenses:														
Salaries	1,662,896	(142,105)	134,780	473,896	403,875	174,518	217,991	109,003	83,373	37,886	47,467	59,534	48,371	14,307
Employee benefits	423,355	(32,810)	36,957	99,235	97,421	59,052	51,265	30,665	18,925	9,861	13,587	18,945	15,389	4,873
Supplies and expenses	1,013,585	(213,975)	138,977	302,361	257,341	135,039	157,933	69,266	49,251	17,067	30,143	35,523	29,542	5,117
Depreciation and amortization	161,015	-	34,838	29,547	37,541	14,409	17,298	6,199	8,050	3,155	2,201	4,290	2,673	814
Interest	45,835	(862)	12,457	5,695	19,128	3,199	2,702	955	1,034	191	359	479	371	127
Total operating expenses	3,306,686	(389,752)	358,009	910,724	815,306	386,217	447,189	216,088	160,633	68,160	93,757	118,771	96,346	25,238
Excess (deficiency) of operating revenue over operating expenses	66,169	-	(2,323)	27,583	27,935	24,113	(12,753)	(1,741)	203	(3,362)	(2,159)	6,006	732	1,935
Non-operating gains and losses:														
Investment income	60,550	-	9,203	23,803	12,034	8,617	4,141	200	74	2,273	29	75	52	49
Change in net unrealized gains and losses and change in value of equity method investments	22,686	6,410	(3,260)	6,827	3,295	3,183	1,376	24	4,221	654	(6)	(12)	(3)	(23)
Change in fair value of interest rate swap agreements designated as derivative instruments	392	-	-	-	-	392	-	-	-	-	-	-	-	-
Other non-operating gains and losses	(3,069)	-	-	89	(598)	(2,773)	405	-	(192)	-	-	-	-	-
Total non-operating gains and losses	80,559	6,410	5,943	30,719	14,731	9,419	5,922	224	4,103	2,927	23	63	49	26
Excess (deficiency) of revenue and gains and losses over expenses	146,728	6,410	3,620	58,302	42,666	33,532	(6,831)	(1,517)	4,306	(435)	(2,136)	6,069	781	1,961
Net assets released from restrictions for capital asset acquisitions	3,134	-	-	450	2,226	23	421	-	14	-	-	-	-	-
Change in fair value of interest rate swap agreements designated as cash flow hedges	582	-	-	210	372	-	-	-	-	-	-	-	-	-
Transfers (to) from affiliates	(28,705)	-	(12,906)	(8,842)	(6,957)	-	-	-	-	-	-	-	-	-
Increase (decrease) in unrestricted net assets	\$121,739	\$6,410	(\$9,286)	\$50,120	\$38,307	\$33,555	(\$6,410)	(\$1,517)	\$4,320	(\$435)	(\$2,136)	\$6,069	\$781	\$1,961

**Long Island Jewish Medical Center
Balance Sheet**

(dollars in thousands)

	Audit 2013	Projections				
		2015	2016	2017	2018	2019
Assets						
<u>Current Assets</u>						
Cash, cash equiv, and marketable securities	\$373,127	\$375,826	\$379,584	\$383,380	\$387,214	\$391,086
Accounts receivable for services to patients	193,845	232,556	232,556	232,556	232,556	232,556
Other current assets	82,220	96,384	96,384	96,384	96,384	96,384
Total Current Assets	649,192	704,766	708,524	712,320	716,154	720,026
Assets Limited as to use	261,745	266,564	266,564	266,564	266,564	266,564
PPE - net	1,036,436	1,125,433	1,125,433	1,125,433	1,125,433	1,125,433
Other assets	211,803	273,042	273,042	273,042	273,042	273,042
Total Assets	\$2,159,176	\$2,369,805	\$2,373,563	\$2,377,359	\$2,381,193	\$2,385,065
Liabilities						
<u>Current Liabilities</u>						
Short-term borrowings	\$103,040	\$103,040	\$103,040	\$103,040	\$103,040	\$103,040
AP & accrued expenses	78,507	98,690	98,690	98,690	98,690	98,690
Accrued salaries, fringe and vacation	96,980	128,139	128,139	128,139	128,139	128,139
Current portion of LTD	10,986	14,564	14,273	13,987	13,708	13,433
Other current liabilities	155,876	203,191	203,191	203,191	203,191	203,191
Total Current Liabilities	445,389	547,624	547,333	547,047	546,768	546,493
Long-term Debt	705,775	740,728	725,913	711,395	697,167	683,224
Other Long-term liabilities	353,377	486,229	495,954	505,873	515,990	526,310
Total Liabilities	\$1,504,541	\$1,774,581	\$1,769,200	\$1,764,315	\$1,759,925	\$1,756,027
<u>Net Assets</u>						
Unrestricted	\$518,572	\$456,561	\$465,701	\$474,381	\$482,605	\$490,375
Temporarily and Permanently Restricted	136,063	138,663	138,663	138,663	138,663	138,663
Total Net Assets	654,635	595,224	604,364	613,044	621,268	629,038
Total Liabilities and Net Assets	\$2,159,176	\$2,369,805	\$2,373,563	\$2,377,359	\$2,381,193	\$2,385,065

Organization Chart for Mt. St. Mary's before and after CON 142105



Licensed Health Facilities and Agencies for Which CHS is the Active Parent

Facility	Date of CHS Active Parenthood
Kenmore Mercy Hospital including McAuley Residence	November 3, 2006
Mercy Hospital of Buffalo including Mercy Nursing Facility	April 7, 2006
Sisters of Charity Hospital including St. Catherine Laboure Health Care Center	April 10, 2006
WNY Catholic Long Term Care, Inc. (dba Father Baker Manor)	April 20, 2011
Nazareth Home of the Franciscan Sisters of the Immaculate Conception	April 7, 2006 (operating certificate surrendered)
Niagara Homemaker Services, Inc. (dba Mercy Home Care of Western New York)	April 7, 2006
St. Francis Geriatric and Healthcare Services, Inc.	April 7, 2006 (operating certificate surrendered)
St. Francis Home of Williamsville, New York	April 7, 2006
Catholic Health System Infusion Pharmacy, Inc. (dba Catholic Health Infusion Pharmacy)	September 13, 2010
McAuley-Seton Home Care Corporation	April 7, 2006
St. Elizabeth's Home of Lancaster, New York	April 7, 2006
St. Vincent's Home for the Aged	April 7, 2006
Catholic Health System Program of All-Inclusive Care for the Elderly, Inc. (dba Living Independently For Elders-LIFE)	N/A - CHS not active parent ²
Our Lady of Victory Renaissance Corporation	N/A - CHS not active parent
Catholic Health System Continuing Care Foundation, Inc. (dba Continuing Care Foundation)	N/A - CHS not active parent

² CHS' certificate of incorporation authorizes CHS to be the active parent of this entity ("PACE"), but when the PACE certificate of incorporation was submitted to the Department, the Department would not approve the standard reserved powers set forth in the certificates of incorporation of the other CHS entities and allowed only limited reserved powers. Accordingly, there are no provisions for active parent status within the PACE certificate of incorporation.

Mount St. Mary's Hospital and Health Center

Consolidated Balance Sheets

(Dollars in Thousands)

	December 31,	
	2013	2012
Assets		
Current assets:		
Cash and cash equivalents	\$ 9,198	\$ 8,348
Accounts receivable, less allowances for doubtful accounts (\$2,634 and \$2,461 in 2013 and 2012, respectively)	11,553	10,868
Estimated third-party payor settlements	431	961
Inventories	1,528	1,750
Other	1,105	940
Total current assets	23,815	22,867
Assets limited as to use:		
Funded depreciation	6,143	5,630
Donor restricted	143	415
Resident trust cash	48	47
Total assets limited as to use	6,334	6,092
Property and equipment:		
Land and improvements	3,778	3,773
Buildings and equipment	87,602	79,069
Construction in progress	1,167	7,211
Less accumulated depreciation	(56,047)	(52,143)
Total property and equipment, net	36,500	37,910
Other assets:		
Interest in net assets of Foundation	5,513	4,465
Other	502	-
Total other assets	6,015	4,465
Total assets	\$ 72,664	\$ 71,334

Mount St. Mary's Hospital and Health Center
 Consolidated Balance Sheets (continued)
 (Dollars in Thousands)

	December 31,	
	2013	2012
Liabilities and net assets		
Current liabilities:		
Current portion of long-term debt	\$ 1,368	\$ 1,262
Accounts payable and accrued liabilities	3,617	6,475
Accrued salaries and related items	5,363	5,697
Estimated third-party payor settlements	4,644	4,223
Total current liabilities	14,992	17,657
Noncurrent liabilities:		
Long-term debt	56,258	51,688
Self-insurance liabilities	333	245
Pension	95	1,668
Resident trust cash	48	47
Other	502	-
Total noncurrent liabilities	57,236	53,648
Total liabilities	72,228	71,305
Net assets-(deficiency):		
Unrestricted	(5,220)	(4,851)
Temporarily restricted	5,656	4,880
Total net assets	436	29
Total liabilities and net assets	\$ 72,664	\$ 71,334

Mount St. Mary's Hospital and Health Center
 Consolidated Statements of Operations
 and Changes in Net Assets
 (Dollars in Thousands)

	Years Ended December 31,	
	2013	2012
Operating revenue:		
Net patient service revenue	\$ 108,694	\$ 109,073
Less provision for doubtful accounts	(2,665)	(3,086)
Net patient service revenue less provision for doubtful accounts	106,029	105,987
Other revenue	3,848	3,535
Total operating revenue	<u>109,877</u>	<u>109,522</u>
Operating expenses:		
Salaries and wages	54,669	53,865
Employee benefits	14,698	14,729
Professional fees	4,114	5,591
Supplies	12,666	12,654
Insurance	602	530
Interest	1,899	2,074
Depreciation	3,972	4,246
Contract expenses	11,965	11,016
Other	7,831	6,445
Total operating expenses	<u>112,416</u>	<u>111,150</u>
Loss from operations before program revenues and nonrecurring items	(2,539)	(1,628)
Program revenues - Meaningful Use incentive payments	2,374	878
Nonrecurring items	(716)	(219)
Loss from operations	<u>(881)</u>	<u>(969)</u>
Nonoperating gains, net	948	1,022
Excess of revenue over expenses	<u>\$ 67</u>	<u>\$ 53</u>

Mount St. Mary's Hospital and Health Center

Consolidated Statements of Operations
 and Changes in Net Assets (continued)

(Dollars in Thousands)

	Years Ended December 31,	
	2013	2012
Unrestricted net assets:		
Excess of revenue over expenses	\$ 67	\$ 53
Pension liability adjustment	419	(2,793)
Transfers to affiliates, net	(1,692)	(788)
Net assets released from restrictions for property acquisitions	837	1,027
Change in unrestricted net assets	<u>(369)</u>	<u>(2,501)</u>
Temporarily restricted net assets:		
Contributions	565	615
Change in interest in Foundation	1,048	31
Net assets released from restrictions for property acquisitions	(837)	(1,027)
Change in temporarily restricted net assets	<u>776</u>	<u>(381)</u>
Change in net assets	407	(2,882)
Net assets, beginning of the year	29	2,911
Net assets, end of the year	<u>\$ 436</u>	<u>\$ 29</u>

CATHOLIC HEALTH SYSTEMS, INC. AND SUBSIDIARIES

CONSOLIDATED BALANCE SHEETS

(In thousands of dollars)

December 31,

ASSETS	2013	2012
Current assets:		
Cash and cash equivalents	\$ 278,806	\$ 242,190
Patient/resident accounts receivable, net of allowance for doubtful accounts of \$26,379 (2012 - \$27,248)	122,754	122,498
Other receivables	13,089	7,979
Inventories	20,129	14,477
Assets limited as to use	836	1,826
Prepaid expenses and other current assets	6,118	4,723
Total current assets	441,732	393,693
Interest in net assets of affiliated Foundations	4,616	3,915
Assets limited as to use	30,268	39,376
Investments	8,953	7,690
Property and equipment, net	271,620	260,519
Other assets	85,169	73,140
Total assets	\$ 842,358	\$ 778,333
LIABILITIES AND NET ASSETS		
Current liabilities:		
Current portion of long-term obligations	\$ 15,399	\$ 13,822
Long-term obligations subject to short-term remarketing arrangements	-	79,307
Line of credit payable	10,831	8,380
Accounts payable	45,638	46,426
Accrued expenses	64,852	61,773
Due to third-party payors	38,473	40,973
Total current liabilities	175,193	250,681
Long-term obligations, net	128,671	59,230
Other long-term obligations, net	346,097	427,199
Total liabilities	649,961	737,110
Net assets:		
Unrestricted	183,556	32,889
Temporarily restricted	8,595	8,088
Permanently restricted	246	246
Total net assets	192,397	41,223
Total liabilities and net assets	\$ 842,358	\$ 778,333

CATHOLIC HEALTH SYSTEMS, INC. AND SUBSIDIARIES**CONSOLIDATED STATEMENTS OF OPERATIONS AND CHANGES IN NET ASSETS**

(In thousands of dollars)

For the Years Ended December 31,

	<u>2013</u>	<u>2012</u>
Unrestricted revenues and other support:		
Net patient/resident service revenue	\$ 922,793	\$ 884,136
Provision for bad debts	(20,712)	(25,286)
Net patient/resident service revenue, less provision for bad debts	902,081	858,850
Other revenue	19,821	19,265
Net assets released from restrictions	321	98
Total unrestricted revenues and other support	<u>922,223</u>	<u>878,213</u>
Expenses:		
Salaries and wages	398,633	377,852
Employee benefits	131,141	123,547
Medical and professional fees	40,468	38,179
Purchased services	67,706	69,056
Supplies	170,557	164,556
Depreciation and amortization	36,743	35,668
Interest	6,937	6,824
Insurance	8,321	7,405
Other expenses	29,813	28,084
Total expenses	<u>890,319</u>	<u>851,171</u>
Income from operations	31,904	27,042
Nonoperating revenues and losses:		
Investment income	2,343	1,562
Other, net	1,340	598
Total nonoperating revenues and losses	<u>3,683</u>	<u>2,160</u>
Excess of revenues over expenses	<u>\$ 35,587</u>	<u>\$ 29,202</u>

CATHOLIC HEALTH SYSTEMS, INC. AND SUBSIDIARIES

CONSOLIDATED STATEMENTS OF OPERATIONS AND CHANGES IN NET ASSETS (CONTINUED)

(in thousands of dollars)

For the Years Ended December 31,

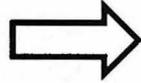
	<u>2013</u>	<u>2012</u>
Unrestricted net assets:		
Excess of revenues over expenses	\$ 35,587	\$ 29,202
Change in unrealized gain (loss) on interest rate swap	7,067	(641)
Change in pension obligation, other than net periodic cost	107,542	(29,972)
Net assets released from restrictions used for capital	1,236	684
Grant revenue for capital expenditures	390	79
Other	147	145
Increase (decrease) in unrestricted net assets before effects of discontinued operations	151,969	(503)
Loss from discontinued operations	(1,302)	(2,336)
Increase (decrease) unrestricted net assets	150,667	(2,839)
Temporarily restricted net assets:		
Contributions and other	1,233	426
Investment income	19	16
Special events revenue, net	87	136
Change in temporarily restricted interest in related Foundations	701	646
Temporarily restricted net assets released from restrictions.	(1,557)	(782)
Other	24	-
Increase in temporarily restricted net assets	507	442
Increase (decrease) in net assets	151,174	(2,397)
Net assets, beginning of year	41,223	43,620
Net assets, end of year	<u>\$ 192,397</u>	<u>\$ 41,223</u>

NYP Community Programs, Inc.

Governance

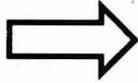
The New York and
Presbyterian Hospital

Passive Parent



NYP Community
Programs, Inc.

Active Parent
Power and Authority



Hudson Valley Hospital
Center

The New York and Presbyterian Hospital

Statements of Financial Position

	December 31	
	2013	2012
	<i>(As Adjusted)</i>	
	<i>(In Thousands)</i>	
Assets		
Current assets:		
Cash, cash equivalents and short-term investments:		
Cash and cash equivalents	\$ 152,982	\$ 181,856
Short-term investments	882,499	770,773
Total cash, cash equivalents and short-term investments	<u>1,035,481</u>	<u>952,629</u>
Patient accounts receivable, less allowance for uncollectibles (2013 – \$181,945; 2012 – \$219,727)	559,638	466,157
Other current assets	71,252	83,121
Assets limited as to use – current portion	28,390	25,578
Due from related organizations – net	–	4,658
Professional liabilities insurance recoveries receivable – current portion	60,812	53,729
Beneficial interest in net assets held by related organizations – current portion	68,420	61,615
Total current assets	<u>1,823,993</u>	<u>1,647,487</u>
Assets limited as to use – noncurrent :	1,490,430	906,287
Property, buildings and equipment – net	2,169,218	2,088,036
Other noncurrent assets – net	36,941	35,191
Professional liabilities insurance recoveries receivable – noncurrent	165,350	153,692
Beneficial interest in net assets held by related organizations – noncurrent	1,684,944	1,401,209
Total assets	<u>\$ 7,370,876</u>	<u>\$ 6,231,902</u>

	December 31	
	2013	2012
	<i>(As Adjusted)</i>	
	<i>(In Thousands)</i>	
Liabilities and net assets		
Current liabilities:		
Long-term debt – current portion	\$ 113,411	\$ 94,487
Accounts payable and accrued expenses	334,479	320,266
Accrued salaries and related liabilities	175,823	211,206
Due to related organizations – net /	4,671	–
Pension and postretirement benefit		
liabilities – current portion	12,112	11,384
Professional liabilities and other – current portion	60,812	55,729
Other current liabilities	120,879	113,378
Total current liabilities	<u>822,187</u>	<u>806,450</u>
Long-term debt	1,063,385	666,778
Professional liabilities and other	331,170	311,121
Pension liability	38,633	213,724
Postretirement benefit liability	24,823	28,148
Deferred revenue	3,770	4,813
Other noncurrent liabilities	291,595	271,613
Total liabilities	<u>2,575,563</u>	<u>2,302,647</u>
Commitments and contingencies		
Net assets:		
Unrestricted	3,041,949	2,446,864
Temporarily restricted:		
Lower Manhattan Hospital	–	12,857
Held by related organizations	1,507,968	1,234,020
Total temporarily restricted	<u>1,507,968</u>	<u>1,246,877</u>
Permanently restricted:		
Lower Manhattan Hospital	–	6,710
Held by related organizations	245,396	228,804
Total permanently restricted	<u>245,396</u>	<u>235,514</u>
Total net assets	<u>4,795,313</u>	<u>3,929,255</u>
Total liabilities and net assets	<u>\$ 7,370,876</u>	<u>\$ 6,231,902</u>

The New York and Presbyterian Hospital

Statements of Operations

	Year Ended December 31	
	2013	2012
	<i>(As Adjusted)</i>	
	<i>(In Thousands)</i>	
Operating revenues		
Net patient service revenue	\$ 4,050,632	\$ 3,720,255
Provision for bad debts	(54,081)	(47,346)
Net patient service revenue, less provision for bad debts	3,996,551	3,672,909
Other revenue	267,959	240,962
Total operating revenues	4,264,510	3,913,871
Operating expenses		
Salaries and wages	1,945,813	1,785,613
Employee benefits	551,330	494,447
Supplies and other expenses	1,270,885	1,143,925
Interest and amortization of deferred financing fees, including loss on extinguishment of debt	39,022	56,598
Depreciation and amortization	255,297	239,880
Total operating expenses	4,062,347	3,720,463
Operating income	202,163	193,408
Investment return	153,945	99,424
Excess of revenues over expenses before net medical resident tax refund, prior year reimbursement settlement, and gain on previous year sale of real estate	356,108	292,832
Net medical resident tax refund	214	4,180
Prior year reimbursement settlement	-	27,714
Gain on previous year sale of real estate	-	24,000
Excess of revenues over expenses	356,322	348,726
Other changes in unrestricted net assets:		
Transfer of fixed assets from Royal Charter Properties, Inc.	3,191	-
Transfer of unrestricted net assets related to Lower Manhattan Hospital from New York-Presbyterian Healthcare System, Inc.	-	197,846
Distributions from New York-Presbyterian Fund, Inc. and other for the purchase of fixed assets	77,785	47,616
Change in pension and postretirement benefit liabilities to be recognized in future periods	157,787	(45,456)
Change in unrestricted net assets	<u>\$ 595,085</u>	<u>\$ 548,732</u>

HUDSON VALLEY HOSPITAL CENTER

Consolidated Balance Sheets

December 31, 2013 and 2012

Assets	2013	2012
Current assets:		
Cash and cash equivalents	\$ 6,411,646	8,105,042
Investments	37,165,738	32,750,667
Patient accounts receivable, less allowance for uncollectible accounts of \$2,574,000 in 2013 and \$2,519,000 in 2012	22,534,196	22,922,084
Other receivables	3,341,136	495,007
Supplies and prepaid expenses	2,631,161	2,579,415
Due from third-party payors	852,721	1,325,064
Current portion of pledge receivable, net	145,761	1,546,727
Total current assets	73,082,359	69,724,006
Assets whose use is limited	6,222,615	5,622,057
Long-term investments	1,674,619	1,674,619
Property, plant, and equipment, net	125,002,087	128,778,650
Pledge receivable, net of current portion	1,347,652	786,551
Other assets, net	24,665,376	26,461,712
Insurance receivables	8,537,515	9,264,804
Total assets	\$ 240,532,223	242,312,399
Liabilities and Net Assets		
Current liabilities:		
Current portion of long-term debt	\$ 3,131,825	3,010,449
Current portion of capital lease obligations	923,744	1,166,864
Accounts payable and accrued expenses	9,793,061	11,825,230
Accrued salaries and benefits	9,884,562	9,343,035
Due to third-party payors	3,674,810	902,756
Total current liabilities	27,408,002	26,248,334
Long-term debt, net of current portion	70,531,875	73,693,054
Capital lease obligations, net of current portion	1,163,367	2,087,111
Due to third-party payors	8,421,945	11,637,982
Insurance liabilities	12,182,228	12,294,924
Other liabilities	3,104,671	2,607,284
Total liabilities	122,812,088	128,568,689
Net assets:		
Unrestricted	114,204,942	110,037,736
Temporarily restricted	1,840,574	2,031,355
Permanently restricted	1,674,619	1,674,619
Total net assets	117,720,135	113,743,710
Commitments and contingencies		
Total liabilities and net assets	\$ 240,532,223	242,312,399

HUDSON VALLEY HOSPITAL CENTER

Consolidated Statements of Operations

Years ended December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Unrestricted revenues, gains, and other support:		
Net patient service revenue before bad debts	\$ 157,260,973	159,411,471
Provision for bad debts, net	(4,446,067)	(4,151,569)
Net patient service revenue	152,814,906	155,259,902
Other operating revenue	3,697,127	3,424,332
Contribution revenue	303,026	773,005
Total revenues, gains, and other support	156,815,059	159,457,239
Operating expenses:		
Salaries	66,770,056	66,389,583
Physician fees	7,045,150	4,495,466
Fringe benefits	17,788,497	16,945,444
Supplies and other expenses	53,203,728	51,938,215
Interest	3,650,048	3,798,031
Depreciation and amortization	11,976,921	12,869,692
Total operating expenses	160,434,400	156,436,431
Operating (loss) income before other nonoperating income	(3,619,341)	3,020,808
Other nonoperating income:		
EHR incentive revenue	1,886,544	2,185,071
Investment income	5,350,506	2,883,620
Excess of revenues, gains, and other support over expenses	3,617,709	8,089,499
Other changes in unrestricted net assets:		
Net assets released from restriction for capital	549,497	852,109
Increase in unrestricted net assets	\$ 4,167,206	8,941,608

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Financial Position
(In Thousands)

	December 31	
	2013	2012
Assets		
Current assets:		
Cash and cash equivalents	\$ 210,601	\$ 283,258
Marketable securities and other investments	1,739,386	1,496,070
Accounts receivable for services to patients, net of allowance for doubtful accounts of \$90,500 in 2013 and \$109,793 in 2012	700,198	697,216
Accounts receivable for physician activities, net	68,443	60,151
Assets limited as to use, current portion	78,956	119,166
Pledges receivable, current portion	35,577	35,426
Insurance claims receivable, current portion	98,399	104,285
Other current assets	159,931	159,829
Total current assets	3,091,491	2,955,401
Assets limited as to use, net of current portion	1,159,721	840,463
Pledges receivable, net of current portion	112,821	120,880
Property, plant and equipment, net	3,550,093	3,372,151
Insurance claims receivable, net of current portion	334,073	359,528
Other assets	175,155	158,373
Total assets	\$ 8,423,354	\$ 7,806,796
Liabilities and net assets		
Current liabilities:		
Short-term borrowings	\$ 110,540	\$ 110,540
Accounts payable and accrued expenses	529,423	493,991
Accrued salaries and related benefits	568,164	527,832
Current portion of capital lease obligations	6,308	8,000
Current portion of long-term debt	52,954	50,934
Current portion of insurance claims liability	98,399	104,285
Current portion of malpractice and other insurance liabilities	74,252	59,521
Current portion of third-party payer structured liabilities	5,438	5,138
Current portion of estimated payable to third-party payers	425,232	351,904
Total current liabilities	1,870,710	1,710,539
Accrued retirement benefits, net of current portion	341,034	660,356
Capital lease obligations, net of current portion	76,814	80,913
Long-term debt, net of current portion	1,665,372	1,470,728
Insurance claims liability, net of current portion	334,073	359,528
Malpractice and other insurance liabilities, net of current portion	716,902	653,229
Third-party payer structured liabilities, net of current portion	18,288	23,951
Other long-term liabilities	411,225	417,171
Total liabilities	5,434,428	5,376,715
Commitments and contingencies		
Net assets:		
Unrestricted	2,508,005	1,859,501
Temporarily restricted	340,936	341,669
Permanently restricted	134,475	128,911
Total net assets	2,988,926	2,330,081
Total liabilities and net assets	\$ 8,423,354	\$ 7,806,796

See accompanying notes.

North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Operations
(In Thousands)

	Year Ended December 31	
	2013	2012
Operating revenue:		
Net patient service revenue	\$ 5,853,492	\$ 5,598,408
Physician practice revenue	875,088	758,409
Provision for bad debts	(91,288)	(97,994)
Total patient revenue, net of provision for bad debts	6,637,292	6,258,823
Other operating revenue	324,607	302,691
Net assets released from restrictions used for operations	39,932	45,330
Total operating revenue	7,001,831	6,606,814
Operating expenses:		
Salaries	3,568,991	3,315,368
Employee benefits	928,220	862,478
Supplies and expenses	2,029,125	1,984,936
Depreciation and amortization	307,345	275,601
Interest	84,193	70,594
Total operating expenses	6,917,874	6,508,977
Excess of operating revenue over operating expenses	83,957	97,867
Non-operating gains and losses:		
Investment income	100,320	42,636
Change in net unrealized gains and losses and change in value of equity method investments	105,971	123,549
Change in fair value of interest rate swap agreements designated as derivative instruments	965	(4,495)
Loss on refunding and refinancing of long-term debt	-	(3,590)
Contribution received in the acquisition of The Long Island Home	-	12,823
Early retirement program costs	(33,179)	-
Other non-operating gains and losses	(6,344)	(5,510)
Total non-operating gains and losses	167,723	164,413
Excess of revenue and gains and losses over expenses	251,690	262,280
Net assets released from restrictions for capital asset acquisitions	46,068	38,590
Change in fair value of interest rate swap agreements designated as cash flow hedges	2,931	577
Pension and other postretirement liability adjustments	248,815	(78,570)
Other changes in net assets	(600)	396
Increase in unrestricted net assets	\$ 548,904	\$ 223,703

CON#132340
BFA Attachment C

Pro Forma Balance Sheet

ASSETS:

Cash	\$507,294
Moveable Equipment	285,000
Leasehold Improvements	<u>2,515,749</u>
TOTAL ASSETS	\$3,308,043

LIABILITIES:

Bank Loan	\$2,100,749
Working Capital Loan	<u>253,647</u>
TOTAL LIABILITIES	\$2,354,396

NET ASSETS	\$953,647
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North Shore-Long Island Jewish Health System, Inc.

Consolidated Statements of Financial Position

(In Thousands)

	December 31	
	2013	2012
Assets		
Current assets:		
Cash and cash equivalents	\$ 210,601	\$ 283,258
Marketable securities and other investments	1,739,386	1,496,070
Accounts receivable for services to patients, net of allowance for doubtful accounts of \$90,500 in 2013 and \$109,790 in 2012	700,198	697,216
Accounts receivable for physician activities, net	68,443	60,151
Assets limited as to use, current portion	78,956	119,166
Pledges receivable, current portion	35,577	35,426
Insurance claims receivable, current portion	98,399	104,285
Other current assets	159,931	159,829
Total current assets	<u>3,091,491</u>	<u>2,955,401</u>
Assets limited as to use, net of current portion	1,159,721	840,463
Pledges receivable, net of current portion	112,821	120,880
Property, plant and equipment, net	3,550,093	3,372,151
Insurance claims receivable, net of current portion	334,073	359,528
Other assets	175,155	158,373
Total assets	<u>\$ 8,423,354</u>	<u>\$ 7,806,796</u>
Liabilities and net assets		
Current liabilities:		
Short-term borrowings	\$ 110,540	\$ 110,540
Accounts payable and accrued expenses	529,423	493,994
Accrued salaries and related benefits	568,164	527,832
Current portion of capital lease obligations	6,308	5,000
Current portion of long-term debt	52,954	50,934
Current portion of insurance claims liability	98,399	104,285
Current portion of malpractice and other insurance liabilities	74,252	59,521
Current portion of third-party payer structured liabilities	5,438	5,438
Current portion of estimated payable to third-party payers	425,232	352,995
Total current liabilities	<u>1,870,710</u>	<u>1,710,539</u>
Accrued retirement benefits, net of current portion	341,044	660,356
Capital lease obligations, net of current portion	76,814	80,913
Long-term debt, net of current portion	1,665,372	1,470,728
Insurance claims liability, net of current portion	334,073	359,528
Malpractice and other insurance liabilities, net of current portion	716,902	653,229
Third-party payer structured liabilities, net of current portion	18,288	23,951
Other long-term liabilities	411,225	417,471
Total liabilities	<u>5,434,428</u>	<u>5,376,715</u>
Commitments and contingencies		
Net assets:		
Unrestricted	2,508,405	1,959,501
Temporarily restricted	346,046	341,669
Permanently restricted	134,475	128,911
Total net assets	<u>2,988,926</u>	<u>2,430,081</u>
Total liabilities and net assets	<u>\$ 8,423,354</u>	<u>\$ 7,806,796</u>

See accompanying notes.

North Shore-Long Island Jewish Health

Consolidated Statements of Operations
 (In Thousands)

141290
 BFA Attachment
 # B
 (continued)

Operating revenue:		
Net patient service revenue		
Physician practice revenue		
Provision for bad debts		
Total patient revenue, net of provision for bad debts		
Other operating revenue		
Net assets released from restrictions used for operations		
Total operating revenue		
Operating expenses:		
Salaries	3,568,991	3,315,368
Employee benefits	928,220	862,478
Supplies and expenses	2,029,125	1,984,936
Depreciation and amortization	307,345	275,601
Interest	84,193	70,594
Total operating expenses	6,917,874	6,508,977
Excess of operating revenue over operating expenses	83,957	97,867
Non-operating gains and losses:		
Investment income	100,320	42,636
Change in net unrealized gains and losses and change in value of equity method investments	105,971	123,549
Change in fair value of interest rate swap agreements designated as derivative instruments	965	(4,495)
Loss on refunding and refinancing of long-term debt	-	(4,590)
Contribution received in the acquisition of The Long Island Home	-	12,823
Early retirement program costs	(33,179)	-
Other non-operating gains and losses	(6,344)	(5,510)
Total non-operating gains and losses	167,733	164,413
Excess of revenue and gains and losses over expenses	251,690	262,280
Net assets released from restrictions for capital asset acquisitions	46,068	38,590
Change in fair value of interest rate swap agreements designated as cash flow hedges	2,931	677
Pension and other postretirement liability adjustments	248,815	(78,540)
Other changes in net assets	(600)	696
Increase in unrestricted net assets	\$ 548,904	\$ 223,703

CON#141290
BFA Attachment C

Pro Forma Balance Sheet

ASSETS:

Cash	\$2,561,847
Moveable Equipment	3,757,993
Leasehold Improvements	<u>6,915,993</u>
TOTAL ASSETS	\$13,235,833

LIABILITIES:

Working Capital Loan	<u>\$1,280,923</u>
TOTAL LIABILITIES	\$1,280,923

NET ASSETS	\$11,954,910
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North Country Orthopaedic Ambulatory Surgery Center, LLC

Ownership interest before and after the requested change

<u>Original Owners</u>	<u>Interest</u>	<u>Proposed Owners</u>	<u>Interest</u>
Richard Withington, M.D.	14.2857%		
Arthur Peckham, M.D.	14.2857%		
David O. Van Eenenaam, M.D.	14.2857%		
Perry Shuman, M.D.	14.2857%		
D. Peter Van Eenenaam, M.D.	14.2857%	D. Peter Van Eenenaam, M.D.	17.858%
Edward Powell, M.D.	14.2857%	Edward Powell, M.D.	17.858%
Steven Fish, M.D.	14.2857%	Steven Fish, M.D.	17.858%
		Howard Huang, M.D.	17.858%
		Bruce Baird, M.D.	17.858%
		Anel Abreu, D.O.	10.710%
Total	100.00%	Total	100.00%

**NORTH COUNTRY ORTHOPAEDIC
AMBULATORY SURGERY CENTER, LLC**

Balance Sheets
December 31, 2012 and 2011

ASSETS		
	2012	2011
CURRENT ASSETS		
Cash	\$ 226,312	\$ 305,823
Accounts receivable	394,170	471,051
Supplies inventory	98,272	83,663
Prepaid expenses	3,918	1,269
Note receivable	-	136
Total Current Assets	722,672	861,942
PROPERTY AND EQUIPMENT (NET)	699,940	739,558
TOTAL ASSETS	\$ 1,422,612	\$ 1,601,500
 LIABILITIES AND MEMBERS' EQUITY		
CURRENT LIABILITIES		
Accounts payable	\$ 210,350	\$ 181,740
Accrued wages, taxes and other expenses	16,402	20,538
Accrued pension	4,936	5,040
Deferred revenue	56,546	75,625
Total Current Liabilities	288,234	282,943
Total Liabilities	288,234	282,943
EQUITY		
Members' Equity	1,134,378	1,318,557
TOTAL LIABILITIES AND MEMBERS' EQUITY	\$ 1,422,612	\$ 1,601,500

**NORTH COUNTRY ORTHOPAEDIC
AMBULATORY SURGERY CENTER, LLC**

Statements of Income and Members' Equity
For the Years Ended December 31, 2012 and 2011

	2012	2011
REVENUE:		
Net Patient fees (Net of refunds \$13,688 in 2012 and \$17,845 in 2011)	\$ 2,197,267	\$ 2,432,102
Interest income	1,068	1,112
Other income	4,291	3,088
Total Revenues	<u>2,202,626</u>	<u>2,436,302</u>
OPERATING EXPENSES:		
Payroll	433,368	442,230
Guaranteed payments	145,997	96,198
Consulting	266,983	263,802
Anesthesia	202,893	166,164
Waste disposal	6,293	9,699
Office expense	22,062	25,754
Subscriptions	1,140	726
Professional development	6,947	7,715
Building rent	127,789	120,618
Equipment rent	4,194	3,253
Malpractice insurance	6,795	7,494
Utilities	53,885	46,886
Laundry, linen & uniforms	14,289	15,594
Legal and accounting	14,598	10,940
Pension	21,043	22,345
Travel	-	1,370
Housekeeping	398	578
Bank fees	610	635
Interest	370	418
Medical supplies	329,623	361,087
Meals and entertainment	1,581	1,535
Depreciation	53,453	57,460
Health benefits	17,200	19,534
Other insurance	12,808	10,912
Repairs and maintenance - building	9,500	2,458
Repairs and maintenance - equipment	68,872	55,459
Maintenance supplies	3,595	1,582
Minor equipment purchase	-	877
Taxes	54,062	54,927
Bad debts	86,004	14,648
Bad debts collection	2,570	1,540
Other services	12,852	6,577
Other expenses	431	558
Total Operating Expenses	<u>1,982,205</u>	<u>1,831,573</u>
Net Income	220,421	604,729
Members' Equity - Beginning	1,318,557	1,301,877
Retiring Members' Liquidation	(51,600)	(15,049)
Members' Distributions	<u>(353,000)</u>	<u>(573,000)</u>
Members' Equity - Ending	<u>\$ 1,134,378</u>	<u>\$ 1,318,557</u>

North Country Orthopaedic ASC, LLC
Balance Sheet
December 31, 2013

ASSETS

Current Assets		
Petty Cash	\$	16.99
Cash on hand		100.00
Health Insurance Account		635.00
Checking Account		211,707.70
Accounts Receivable-Patients		842,810.46
Accounts Receivable-Contra		(842,810.46)
Total Current Assets		212,459.69
Property and Equipment		
Building Improvements		818,976.42
Operating Room Tools		366,071.47
Furniture & Fixtures		480,650.25
Equipment		1,527.23
A/D-Building Improvements		(220,728.30)
A/D Operating Room Tools		(366,071.46)
A/D-Furniture & Fixtures		(480,631.76)
A/D-Equipment		(1,527.23)
Total Property and Equipment		598,266.62
Other Assets		
Total Other Assets		0.00
Total Assets	\$	810,726.31

LIABILITIES AND CAPITAL

Current Liabilities		
Employee Health Insurance With	\$	1,495.45
AFLAC-Cancer Insurance		(223.02)
AFLAC-Disability Insurance		256.86
Dental Low Insurance		365.22
Dental High Insurance		(50.10)
Supplemental Life Insurance		(657.96)
Vision Insurance		(76.59)
AFLAC Accident Insurance		16.80
Accrued Pension		1,861.12
Total Current Liabilities		2,987.78
Long-Term Liabilities		
Notes Payable-Working Capital		(555,881.40)
Notes Payable-Equipment		555,881.40
Total Long-Term Liabilities		0.00
Total Liabilities		2,987.78
Capital		
Physicians Draw-H. Huang		(22,500.00)
Physicians Draw-B. Baird		(22,500.00)
Physicians Draw-S.B. Fish		(22,500.00)
Physicians Draw-DP VanEenaam		(22,500.00)

Unaudited - For Management Purposes Only

North Country Orthopaedic ASC, LLC
Balance Sheet
December 31, 2013

Physicians Draw-PT Shuman	(14,638.00)	
Physicians Draw-EN Powell	(22,500.00)	
Physician Draw-AC Peckham	(20,913.41)	
Physicians Draw-DO VanEenenaam	(22,700.00)	
Members Equity	839,400.93	
Net Income	139,089.01	
Total Capital		807,738.53
Total Liabilities & Capital	\$	810,726.31

North Country Orthopaedic ASC, LLC
Income Statement
For the Twelve Months Ending December 31, 2013

	Current Month		Year to Date	
Revenues				
Patient Income	\$ 243,499.67	99.89	\$ 2,169,679.75	100.30
Excellus Interest Income MSSNY	0.00	0.00	6.62	0.00
Income Refunds	0.00	0.00	(9,275.25)	(0.43)
Interest & Dividend	25.69	0.01	228.60	0.01
Bad Debts	192.70	0.08	2,194.38	0.10
Medical Records	0.00	0.00	15.00	0.00
Other Income	0.00	0.00	8.37	0.00
Discount Earned	39.10	0.02	388.69	0.02
Total Revenues	243,757.16	100.00	2,163,246.16	100.00
Cost of Sales				
Total Cost of Sales	0.00	0.00	0.00	0.00
Gross Profit	243,757.16	100.00	2,163,246.16	100.00
Expenses				
Ambulatory Surgery Wages	39,628.75	16.26	455,618.06	21.06
Guarantee Payments - PTS	39,362.00	16.15	39,362.00	1.82
Guarantee Payments - ACP	65,968.00	27.06	65,968.00	3.05
Guarantee Payments - DOV	68,500.00	28.10	68,500.00	3.17
Medical Deductible	25.00	0.01	1,032.78	0.05
Medical Premiums	(574.62)	(0.24)	17,028.87	0.79
Healthcare Administrative Fees	(5.00)	0.00	0.00	0.00
COBRA Administrative Fees	15.00	0.01	63.75	0.00
Dental & Vision Reimbursement	0.00	0.00	560.00	0.03
Non Physician Disability Ins	165.39	0.07	634.74	0.03
Non-Phys Worker's Compensation	561.70	0.23	3,805.00	0.18
Life Insurance	58.05	0.02	686.45	0.03
Prof Dev. & Licensing	603.99	0.25	26,747.40	1.24
Travel	0.00	0.00	386.28	0.02
Meals	0.00	0.00	1,448.70	0.07
Lodging	0.00	0.00	276.92	0.01
Building Rent	10,649.10	4.37	127,789.20	5.91
Equipment Rent	291.29	0.12	4,268.13	0.20
Minor Equipment Purchase	0.00	0.00	428.87	0.02
Building Repairs & Maintenance	1,139.23	0.47	8,394.19	0.39
Equipment Repairs & Maintenance	1,700.73	0.70	54,310.88	2.51
Office Supplies	926.20	0.38	17,916.71	0.83
Maintenance Supplies	283.30	0.12	2,819.40	0.13
Maintenance Supplies-NCOG	6.65	0.00	1,265.41	0.06
Housekeeping Supplies	96.11	0.04	378.87	0.02
Computer Supplies	0.00	0.00	447.14	0.02
Medical Supplies	29,791.35	12.22	406,837.79	18.81
Xray Supplies	0.00	0.00	257.69	0.01
Laundry & Linen	521.86	0.21	11,724.22	0.54
Uniform Expense	0.00	0.00	317.11	0.01
Advertising	0.00	0.00	247.80	0.01
Subcontracted - Interpreter	0.00	0.00	357.50	0.02
Contracted Svc - Intrepid Lane	0.00	0.00	205,159.64	9.48
Consultant Expense	21,961.00	9.01	263,907.00	12.20
Electronic Data Processing	3,087.92	1.27	12,253.58	0.57
Elect Data Process - Bus Office	99.00	0.04	1,188.00	0.05
Purchased Services - Other	37.60	0.02	451.20	0.02
Malpractice Insurance	0.00	0.00	6,853.00	0.32
Liability Insurance	467.39	0.19	5,476.19	0.25

North Country Orthopaedic ASC, LLC
 Income Statement
 For the Twelve Months Ending December 31, 2013

	Current Month		Year to Date	
Postage Expense	221.12	0.09	3,190.25	0.15
Subscriptions	0.00	0.00	248.07	0.01
Pension Expense Employer	2,463.76	1.01	7,465.20	0.35
Pension Expense Contribution	1,017.83	0.42	8,142.64	0.38
Accounting Expense	0.00	0.00	26,150.00	1.21
Collection & Bank Charges	5.00	0.00	680.00	0.03
Bad Debts & Collection Expense	192.70	0.08	2,194.38	0.10
Legal Expense	0.00	0.00	3,360.46	0.16
Taxes	531.16	0.22	5,943.73	0.27
Depreciation Expense	3,277.97	1.34	22,536.11	1.04
FICA Tax Expense	3,026.30	1.24	33,874.85	1.57
FUTA Tax Expense	6.42	0.00	1,252.77	0.06
SUTA Tax Expense	16.05	0.01	2,057.41	0.10
LLC Filing Fee	0.00	0.00	1,500.00	0.07
User's Tax	0.00	0.00	1,547.08	0.07
NYS Surcharge	451.00	0.19	8,508.00	0.39
Utilities-Electric	3,920.01	1.61	57,356.72	2.65
Waste Disposal	2,854.93	1.17	22,981.01	1.06
Total Expenses	303,351.24	124.45	2,024,157.15	93.57
Net Income	\$ (59,594.08)	(24.45)	\$ 139,089.01	6.43

EAST SIDE ENDOSCOPY, L.L.C.

BALANCE SHEETS

DECEMBER 31, 2013 AND 2012

ASSETS

	<u>2013</u>	<u>2012</u>
Current assets:		
Cash	\$ 1,259,913	\$ 1,391,096
Accounts receivable, net	1,129,891	1,243,811
Other receivables	207,273	225,218
Prepaid expenses	<u>106,883</u>	<u>59,148</u>
Total current assets	2,703,960	2,919,073
Property and equipment, net	2,108,678	2,445,720
Other assets	<u>150,000</u>	<u>150,000</u>
Total assets	<u>\$ 4,962,638</u>	<u>\$ 5,514,793</u>

LIABILITIES AND MEMBERS' EQUITY (DEFICIENCY)

Current liabilities:		
Accounts payable and accrued expenses:		
Due to related parties	\$ 44,940	\$ 333,063
Other	1,060,595	1,006,414
Distributions payable	-	39,544
Current portion of capital leases payable	201,910	189,708
Current portion of long-term debt	<u>2,060,775</u>	<u>1,960,623</u>
Total current liabilities	3,368,220	3,529,352
Capital leases payable, net of current portion	-	201,910
Long-term debt, net of current portion	<u>1,894,533</u>	<u>3,955,307</u>
Total liabilities	<u>5,262,753</u>	<u>7,686,569</u>
Members' equity (deficiency):		
Class A members	(234,260)	(1,686,292)
Class B member	(15,007)	(108,590)
Class C member	<u>(50,848)</u>	<u>(376,894)</u>
Total members' equity (deficiency)	<u>(300,115)</u>	<u>(2,171,776)</u>
Total liabilities and members' equity (deficiency)	<u>\$ 4,962,638</u>	<u>\$ 5,514,793</u>

EAST SIDE ENDOSCOPY, L.L.C.
STATEMENTS OF INCOME
YEARS ENDED DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>
Revenue:		
Net patient revenue	\$ 10,918,206	\$ 11,153,370
Service fees and other revenue	<u>1,908,467</u>	<u>1,903,442</u>
Net revenue	<u>12,826,673</u>	<u>13,056,812</u>
Operating expenses:		
Salaries and benefits	1,717,464	1,754,683
Medical supplies and medications	651,310	532,855
Billing and administrative fees	586,148	577,205
Rent	539,486	528,086
Depreciation	428,742	484,828
Taxes and licenses	328,082	379,935
Repairs and maintenance	214,897	208,289
Medical director fees	200,004	197,502
Professional fees	113,174	254,051
Telephone and utilities	73,893	68,901
Bank and collection charges	72,848	48,906
Insurance	62,185	55,495
Office supplies	54,165	48,376
Laundry and linens	41,312	45,543
Travel and entertainment	34,325	36,058
Other	19,844	13,062
Printing	<u>-</u>	<u>1,086</u>
Operating expenses	<u>5,137,277</u>	<u>5,232,661</u>
Income from operations	7,689,396	7,824,151
Other income (expenses):		
Net gain on disposal of property and equipment	10,798	-
Interest income	1,533	1,852
Interest expense	<u>(266,858)</u>	<u>(319,505)</u>
Net income	<u>\$ 7,435,067</u>	<u>\$ 7,506,498</u>

EAST SIDE ENDOSCOPY LLC
BALANCE SHEET

UNAUDITED

	ASSETS	June 30, 2014	June 30, 2013
Current Assets			
Cash		\$ 1,041,577	\$ 1,133,591
Accounts Receivable (Net)		1,432,332	1,366,989
Due (To)/From Related Parties		(50,456)	(48,927)
Due (To)/From Anesthesia		501,950	155,995
Prepaid Expenses and Short Term Deposits		<u>101,468</u>	<u>144,087</u>
Total Current Assets		3,028,871	2,751,736
Property and Equipment		3,904,344	3,885,325
Accumulated Depreciation		<u>(1,982,160)</u>	<u>(1,800,188)</u>
Net Property and Equipment		1,922,184	2,285,137
Other Assets		<u>150,000</u>	<u>150,000</u>
TOTAL ASSETS		<u>\$ 5,099,055</u>	<u>\$ 5,186,873</u>
	LIABILITIES AND MEMBER'S EQUITY		
Current Liabilities			
Accounts Payable and Accrued Expenses		\$ 399,054	\$ 476,793
Current Portion of Capital Lease Obligation		102,528	195,714
Current Portion of Notes Payable - Other		<u>2,112,896</u>	<u>2,010,030</u>
Total Current Liabilities		2,614,478	2,682,537
Notes Payable, Net of Current Portions		824,880	2,938,063
Accrued Rent and Other Liabilities		<u>583,586</u>	<u>518,234</u>
TOTAL LIABILITIES		4,022,944	6,241,362
Member's Equity			
Member's Capital		(3,176,440)	(3,178,440)
Beginning Retained Earnings		2,876,326	1,004,664
Partners' Distributions		(2,494,800)	(2,821,706)
Net Income (Loss)		<u>3,870,824</u>	<u>3,938,993</u>
Total Member's Equity		<u>1,076,111</u>	<u>(1,054,490)</u>
TOTAL LIABILITIES AND MEMBER'S EQUITY		<u>\$ 5,099,055</u>	<u>\$ 5,186,873</u>

EAST SIDE ENDOSCOPY LLC
COMBINED STATEMENT OF OPERATIONS
For the Six Months Ending June 30, 2014
UNAUDITED

	2014		2013		2014		2013	
	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted	Actual	Adjusted
INCOME								
Gross Charges	\$ 3,478,726	\$ 3,469,065	\$ (22,360)	\$ 2,075,180	\$ 20,249,000	\$ 21,161,133	\$ (912,333)	\$ 19,716,745
Estimated Contractual Adjustment	(2,523,751)	(2,548,874)	26,063	(2,105,579)	(14,724,710)	(15,455,059)	733,279	(14,038,771)
Net Revenue	954,975	920,191	2,723	969,601	5,524,290	5,726,044	(193,064)	5,600,974
Net Anesthesia Income	248,737	180,532	68,305	120,826	1,249,073	1,102,877	146,196	954,881
Interest & Other Income	682	882	113	-	1,237	-	1,237	34,853
Net Revenue & Other Income	1,204,494	1,102,407	86,221	969,620	6,777,600	6,829,921	(51,021)	6,660,818
DIRECT OPERATING EXPENSES								
Salary and Wages	128,432	132,142	(2,710)	111,453	728,095	760,834	(32,839)	741,078
Payroll Taxes	6,214	6,853	(1,639)	7,989	68,726	73,911	(5,185)	67,630
Employee Benefits - Insurance	11,701	11,108	683	8,644	57,141	64,102	(6,961)	52,640
Employee Benefits - 401K Match & PGP	4,110	6,008	(1,898)	5,384	36,127	37,669	(1,542)	31,304
Drugs and Medications	2,948	2,653	65	1,868	16,860	17,264	(404)	16,805
Marketing	601	675	(74)	787	7,867	6,750	(883)	6,008
Medical Supplies	60,316	68,323	(1,962)	68,884	357,850	352,714	5,136	313,032
Office Supplies & Misc Expenses	7,143	4,400	2,743	4,871	30,142	31,188	(1,046)	24,201
Postage & Printing	120	940	(820)	127	910	5,846	(5,028)	488
Repairs, Maintenance and Cleaning	22,105	17,858	4,247	25,146	168,588	134,250	34,338	100,302
Temporary help	10,155	-	10,155	-	26,002	-	26,002	-
Uniforms and Laundry	3,150	3,657	(707)	3,105	17,809	23,324	(5,515)	21,780
TOTAL DIRECT OPERATING EXPENSES	260,086	248,023	12,063	236,572	1,813,458	1,829,777	(16,339)	1,376,434
Direct Operating Exp %	21%	22%	28%	24%	27%	27%	24%	24%
Operating Inc (Loss) Before Other Exp	640,478	647,720	57,766	747,848	6,203,962	6,296,144	(93,182)	5,305,364
OTHER EXPENSES								
Accounting and Consulting Fees	4,732	6,008	(1,276)	6,450	24,177	32,848	(8,671)	34,543
Bank and Collection Fees	4,382	6,024	(1,642)	6,141	37,026	39,454	(2,428)	38,952
Billing and Administrative Service Fees	40,320	40,572	(252)	34,482	234,908	245,364	(10,456)	228,949
Equipment Rental	3,025	7,486	(4,461)	7,249	31,854	42,637	(11,083)	41,663
Insurance	5,350	5,566	(216)	4,882	32,354	32,819	(465)	29,958
Legal Fees	21,672	3,750	17,922	1,207	48,000	22,800	25,200	13,016
Management Fees	11,889	11,889	(0)	11,248	70,182	70,184	(2)	67,482
Medical Director Fees	16,667	16,667	-	16,667	100,002	100,002	-	100,002
Rent	39,904	39,906	488	35,671	237,027	234,098	2,929	214,023
Taxes, Licenses and Fees	1,433	1,125	308	1,100	17,801	14,350	3,451	6,838
Seminars and Conferences	523	432	91	185	7,132	3,363	3,769	1,657
Telephone & Utilities	6,240	6,260	(140)	6,184	42,423	34,277	7,146	34,280
Travel and Entertainment	7,538	4,357	3,181	4,231	24,948	19,612	5,336	18,235
TOTAL OTHER EXPENSES	162,965	149,552	13,383	135,705	907,841	892,758	15,083	928,609
EBITDA	777,511	733,126	44,373	612,343	4,366,121	4,408,388	(42,267)	4,479,776
NY Tax Expense (Income Portion)	(38,771)	(27,304)	(9,467)	(27,000)	(173,171)	(163,607)	(9,564)	(177,334)
Depreciation	(35,967)	(35,969)	668	(34,916)	(218,426)	(216,204)	222	(218,060)
Interest Expenses	(13,875)	(13,973)	(98)	(0)	(94,922)	(94,924)	2	(147,368)
Gain/(loss) on assets	(881)	-	(881)	-	(1,778)	-	(1,778)	-
Income (loss)	\$ 660,087	\$ 656,235	\$ 3,854	\$ 528,739	\$ 3,870,884	\$ 3,931,383	\$ (60,499)	\$ 3,588,993



BUFFALO SURGERY CENTER, LLC

MEMBERSHIP LIST BEFORE AND AFTER TRANSFER OF INTEREST - CON#142073

Current Membership		Interim Membership		Final Membership	
Members	% Interest	Members	% Interest	Members	% Interest
Lisa Daye, MD	11.80625%	Lisa Daye, MD	10%	Lisa Daye, MD	5.56%
Andrew Stoeckl, MD	11.80625%	Andrew Stoeckl, MD	10%	Andrew Stoeckl, MD	5.56%
Paul Paterson, MD	11.80625%	Paul Paterson, MD	10%	Paul Paterson, MD	5.56%
John Callahan, MD	11.80625%	John Callahan, MD	10%	John Callahan, MD	5.56%
Peter Shields, MD	11.80625%	Peter Shields, MD	10%	Peter Shields, MD	5.56%
Stephen Rycyna, MD	11.80625%	Stephen Rycyna, MD	10%	Stephen Rycyna, MD	5.56%
David Bagnall, MD	11.80625%	David Bagnall, MD	10%	David Bagnall, MD	5.56%
James Slough, MD	11.80625%	James Slough, MD	10%	James Slough, MD	5.56%
Jafar Siddiqui, MD	5.55%	Jafar Siddiqui, MD	10%	Jafar Siddiqui, MD	5.56%
Total Members: 9	100%	James Kelly, DO	10%	James Kelly, DO	5.56%
		Total Members: 10	100%	Bryan Butler, MD	5.55%
				Matthew Cywinski, MD	5.55%
				Mohammad Fayyaz, MD	5.55%
				Alok Gupta, MD	5.55%
				Joseph Leberer, MD	5.55%
				Jehad Miqdadi, MD	5.55%
				James Piscatelli, MD	5.55%
				Jeffrey Visco, MD	5.55%
				Total Members: 18	100%

BUFFALO SURGERY CENTER, LLC

Balance Sheets

December 31,	2013	2012
Assets		
Current assets:		
Cash	\$ 123,508	\$ 117,161
Accounts receivable, net of estimated uncollectibles of \$11,000 and \$7,000	858,554	676,340
Supplies	199,042	205,559
Prepaid expenses	80,702	29,326
	<u>1,261,806</u>	<u>1,028,386</u>
Property and equipment, net	517,875	494,835
Goodwill	632,963	632,963
	<u>\$ 2,412,644</u>	<u>\$ 2,156,184</u>
Liabilities and Members' Equity		
Current liabilities:		
Short-term borrowings	\$ 97,500	\$ -
Current portion of long-term debt	138,221	176,928
Accounts payable and accrued expenses	833,324	749,803
Accrued payroll and benefits	130,495	145,433
	<u>1,199,540</u>	<u>1,072,164</u>
Long-term debt	288,783	410,233
Members' equity	924,321	673,787
	<u>\$ 2,412,644</u>	<u>\$ 2,156,184</u>

BUFFALO SURGERY CENTER, LLC

Statements of Income and Changes in Members' Equity

For the years ended December 31,	2013	2012
Revenue:		
Net patient service revenue	\$ 6,228,682	\$ 5,784,120
Other revenue	59,203	2,762
Total revenue	<u>6,287,885</u>	<u>5,786,882</u>
Expenses:		
Salaries and wages	1,418,806	1,286,283
Employer benefits	241,827	217,488
Medical supplies	2,568,072	2,237,850
Professional fees	171,594	180,653
Office expense	53,802	55,443
Rent	239,670	156,226
Building maintenance	200,375	180,649
Utilities	40,080	49,638
Repairs and maintenance	29,420	35,866
Insurance	52,508	43,964
Dues and fees	108,568	93,236
Administrative services	153,000	164,400
Information systems	63,926	68,370
Depreciation	100,266	162,642
Interest	32,137	31,404
Other expenses	92,950	74,743
Total expenses	<u>5,567,001</u>	<u>5,038,855</u>
Net income	720,884	748,027
Members' equity - beginning	673,787	597,760
Member distributions, net	<u>(470,350)</u>	<u>(672,000)</u>
Members' equity - ending	<u>\$ 924,321</u>	<u>\$ 673,787</u>

Buffalo Surgery Center, LLC
Balance Sheet & Cash Flow
As of August 31, 2014

	2014	2013
Assets		
Current Assets:		
Cash	177,809	60,514
Accounts Receivable	669,216	1,087,606
Prepaid Expenses	25,495	33,329
Medical Supply Inventory	193,213	195,745
	<u>1,065,733</u>	<u>1,377,194</u>
Property and Equipment	1,427,463	1,229,149
less Accumulated Depreciation	914,007	855,057
	<u>513,457</u>	<u>374,092</u>
Goodwill	632,963	632,963
Total Assets	<u><u>2,212,153</u></u>	<u><u>2,384,249</u></u>
Liability and Partners' Equity		
Current Liabilities:		
Accounts Payable	861,089	813,792
Current Portion of Debt	138,221	32,576
Accrued Expenses	28,135	12,294
Accrued Payroll	57,231	52,809
Accrued Profit Sharing	45,300	43,500
Line of Credit	100,000	200,000
	<u>1,227,976</u>	<u>1,154,971</u>
Long Term Liabilities		
Commercial Loan	<u>190,804</u>	<u>423,944</u>
Total Liabilities	<u>1,418,780</u>	<u>1,578,914</u>
Partners' Equity	<u>793,373</u>	<u>805,335</u>
Total Liabilities & Partners' Equity	<u><u>2,212,153</u></u>	<u><u>2,384,249</u></u>

Cash Flow

Cash as of Jan 1,	123,508	117,161
Net Income	345,023	453,149
Accounts Receivable	189,337	(411,266)
Accounts Payable	59,274	68,638
Accrued Expenses	(33,338)	(41,481)
Prepaid Expenses	55,208	(4,003)
Inventory Change	5,829	9,814
Property and Equipment Purchases	(75,581)	173,030
Depreciation Expense	80,000	(52,288)
Commercial Loan Payments	(97,979)	(130,641)
Line of Credit	2,500	200,000
Payments to Members'	(475,917)	(321,600)
Cash as of March 28, 2014	<u>177,803</u>	<u>60,514</u>

Project 3142073
BFA Attachment D (cont.)

BUFFALO SURGERY CENTER, LLC
FINANCIAL SUMMARY
AUGUST, 2014

INCOME STATEMENT

	Current Month, 2014			YTD		
	Budget	Actual	F/(U)	Budget	Actual	F/(U)
INCOME						
Charges						
Surgical	766,500	909,002	140,502	6,015,500	6,762,297	736,297
Eye	59,100	(59,100)	(59,100)	313,900	34,770	(279,130)
Pain Management	232,000	215,148	(16,852)	1,827,000	1,555,127	(271,873)
Total Charges	1,057,600	1,124,151		8,156,400	8,372,134	
Adjustments						
Surgical	331,795	443,721	(111,926)	2,597,153	3,138,900	(540,847)
Eye	32,550	32,550	32,550	173,600	33,637	139,963
Pain Management	120,000	142,184	(22,184)	545,000	864,966	319,966
Less Contractual Adjustments	464,345	585,905		3,715,753	4,030,533	
Net Charges	575,256	538,246	(37,010)	4,440,647	4,341,634	(98,913)
COST OF GOODS SOLD						
Drugs and Medical Supplies	97,215	78,409	18,806	736,340	632,571	103,769
Implant Expense	111,650	102,287	9,363	873,850	861,735	12,115
Cost of Goods Sold	208,865	180,696	28,170	1,610,190	1,494,306	115,884
Gross Profit	366,390	357,550	(8,840)	2,830,457	2,847,328	(16,871)
EXPENSES						
Salaries & Related Expenses	154,016	151,286	2,730	1,252,397	1,187,478	64,919
Medical Supplies	11,443	1,233	10,210	85,995	66,894	19,101
Professional Fees	15,279	15,973	(1,694)	122,512	141,430	(18,918)
Dues & Fees	9,350	8,243	1,107	82,177	72,168	10,009
Maintenance	18,305	23,799	(5,494)	147,644	151,348	(3,704)
Other Expenses	41,554	38,222	2,332	330,285	296,973	33,312
Building & Rent	23,643	23,643		189,140	189,140	
Depreciation	10,000	10,000		80,000	80,000	
Interest	2,700	1,015	1,685	21,600	10,762	10,838
Total Operating Expenses	266,290	275,414	(9,124)	2,137,750	2,196,184	(58,434)
Net Income	80,100	82,136	2,036	515,607	345,022	(170,585)

STATISTICS

	Current Month, 2014			YTD		
	Budget	Actual	F/(U)	Budget	Actual	F/(U)
Surgical Cases	290	312	22	2,270	2,377	107
Eye	27	-	(27)	142	17	(125)
Pain Management Cases	160	115	(44)	1,260	982	(278)
Total Cases	477	426	(49)	3,672	3,371	(301)
Total FTE's	26.25	26.40	(0.15)	26.25	26.40	(0.15)
Net Revenue per Surgical Case	\$ 1,506	\$ 1,491	\$ (15)	\$ 1,506	\$ 1,524	\$ 18
Net Revenue per Eye Case	\$ 953	\$ -	\$ (953)	\$ 953	\$ 88	\$ (865)
Net Revenue per Pain Mgmt Case	\$ 700	\$ 629	\$ (71)	\$ 700	\$ 733	\$ 33
Medical Supply & Implant Cost/Case	\$ 462	\$ 425	\$ 37	\$ 463	\$ 562	\$ (99)
Personnel Cost per Case	\$ 323	\$ 353	\$ (31)	\$ 341	\$ 257	\$ (84)
All other expense per Case	\$ 253	\$ 287	\$ (34)	\$ 265	\$ 278	\$ (13)
Net Income/(Loss) per Case	\$ 188	\$ 192	\$ 24	\$ 140	\$ 102	\$ (38)

Good Samaritan Hospital Medical Center
(Hospital, Nursing Home and Foundation)
Balance Sheet

ASSETS	MAY 31, 2014	DECEMBER 31, 2013	LIABILITIES AND NET ASSETS	MAY 31, 2014	DECEMBER 31, 2013
Current assets:			Current liabilities:		
Cash and cash equivalents	29,743	31,047	Short-term debt	-	-
Assets limited or restricted as to use	-	-	Current portion of long term debt	171	183
Patient accounts receivable, less allowance for uncollectible accounts of \$17,501 in 2014 and \$17,240 in 2013	57,139	57,923	Accounts payable and accrued expenses	25,308	35,269
Other receivables	4,585	4,226	Accrued salaries and vacation benefits	32,804	34,552
Inventories	5,922	5,898	Current portion of other self insured liabilities	11,381	10,368
Resident fund	34	36	Current portion of estimated third-payor liabilities	12,568	16,972
Resident security deposit	-	-	Current portion of estimated malpractice liabilities	-	-
Prepaid expenses, deposits and other assets	5,578	4,551	Resident fund	34	36
Due from affiliates	925	925	Deferred revenue	33	38
Due from related parties	9,080	9,314	Due to related parties	1,807	2,800
Total current assets	113,006	113,920	Total current liabilities	84,106	100,218
Assets limited or restricted as to use:			Long term debt, net of current portion	7,359	7,432
Donor restricted funds	3,985	3,827	Estimated third-party payor settlements, net of current portion	12,055	12,055
Depreciation funds	737	737	Other self insurance liabilities, net of current portion	35,428	35,429
Board designated and other	44,334	46,124	Estimated malpractice liability	71,712	70,020
Bond indenture agreements	-	-	Other liabilities	5,342	5,232
Under collateral and trust agreements	5,451	5,235	Total noncurrent liabilities	131,896	130,168
Total assets limited as to use	54,507	55,923	Net assets:		
Less: assets limited as to use and required for current liabilities	-	-	Unrestricted	183,725	176,337
Due from related parties	4,388	4,388	Temporarily restricted	3,985	3,827
Contributions receivable, net	13	-	Permanently restricted	-	-
Long term investments	368	368	Total net assets	187,710	180,164
Other investments, at cost	-	-			
Other assets	-	-	Total liabilities and net assets	403,712	410,550
Deferred financing costs, net	144	149			
Property and equipment, net	169,248	173,764			
Insurance claims receivable	62,038	62,038			
Total assets	403,712	410,550			

GOOD SAMARITAN HOSPITAL MEDICAL CENTER
(Hospital, Nursing Home and Foundation)
Comparative Statement of Revenue & Expenses
(\$ in thousands)

MONTH OF MAY						
2014	2014	2014	Act. Vs. Bgt.	2013	2014	CY Vs. PY
Actual	Budget	Variance	%	Actual	Inc/(Dec)	%
27,823	24,829	2,994	12%	26,867	956	4%
18,902	17,795	1,107	6%	18,285	617	3%
46,725	42,624	4,101	10%	45,152	1,573	3%
(1,125)	(971)	(154)	16%	(4,621)	3,496	-76%
45,600	41,653	3,947	9%	40,531	5,069	13%
2,337	2,709	(372)	-14%	2,550	(213)	-8%
108	121	(13)	-11%	131	(23)	-18%
1	2	(1)	-50%	-	1	100%
3	19	(16)	-84%	5	(2)	-40%
48,049	44,504	3,545	8%	43,217	4,832	11%
19,939	20,836	897	4%	21,608	(1,669)	-8%
6,545	6,707	162	2%	6,864	(319)	-5%
12,531	10,904	(1,627)	-15%	9,364	3,167	34%
1,494	1,431	(63)	-4%	1,371	123	9%
1,773	1,750	(23)	-1%	1,591	182	11%
34	34	-	0%	34	-	0%
3,048	3,048	-	0%	3,154	(106)	-3%
712	712	-	0%	785	(73)	-9%
46,076	45,422	(654)	-1%	44,771	1,305	3%
1,973	(918)	2,891	-315%	(1,554)	3,527	-227%
(57)	(27)	(30)	111%	-	(57)	-100%
1,916	(945)	2,861	-303%	(1,554)	3,470	-223%
251	-	251	100%	(890)	1,141	-128%
-	-	-	0%	-	-	0%
3	60	(57)	-95%	391	(388)	-99%
-	-	-	0%	-	-	0%
50	-	50	100%	-	50	100%
-	-	-	0%	-	-	0%
(786)	(786)	-	0%	(1,480)	694	-47%
-	-	-	0%	-	-	0%
1,434	(1,671)	3,105	-186%	(3,533)	4,967	-141%

FIVE MONTHS ENDED MAY 31, 2014							
2014	2014	2014	Act. Vs. Bgt.	2013	2014	CY Vs. PY	
Actual	Budget	Variance	%	Actual	Inc/(Dec)	%	
Unrestricted revenues, gains and other support:							
Inpatient	134,451	119,947	14,504	12%	116,878	17,573	15%
Outpatient	90,728	86,577	4,151	5%	88,566	2,162	2%
Net patient service revenue	225,179	206,524	18,655	9%	205,444	19,735	10%
Provision for bad debts	(4,900)	(4,715)	(185)	4%	(7,526)	2,626	-35%
Net patient service revenue less bad debts	220,279	201,809	18,470	9%	197,918	22,361	11%
Other revenue	12,974	13,368	(394)	-3%	18,227	(5,253)	-29%
Net investment income	600	606	(6)	-1%	679	(79)	-12%
Unrestricted contributions	14	10	4	40%	10	4	40%
Net assets released from restrictions for operations	82	95	(13)	-14%	55	27	49%
Total revenues, gains and other support	233,949	215,888	18,061	8%	216,889	17,060	8%
Expenses:							
Salaries	100,420	101,422	1,002	1%	107,775	(7,355)	-7%
Benefits	32,789	34,103	1,314	4%	34,274	(1,485)	-4%
Supplies and other expenses	57,988	53,889	(4,099)	-8%	48,006	9,982	21%
Insurance	7,429	7,152	(277)	-4%	6,964	465	7%
Depreciation and amortization	8,804	8,751	(53)	-1%	7,795	1,009	13%
Interest	169	169	-	0%	172	(3)	-2%
Finance and technology services	15,017	15,241	224	1%	14,466	551	4%
CHS Home Office Allocation	3,168	3,139	(29)	-1%	3,244	(76)	-2%
Total expenses	225,784	223,866	(1,918)	-1%	222,696	3,088	1%
Income (loss) from operations	8,165	(7,978)	16,143	-202%	(5,807)	13,972	-241%
*Net effect of consolidation of affiliated PC 's	(297)	(99)	(198)	200%	-	(297)	-100%
Excess (deficiency) of revenues, gains and other support over expenses from operations	7,868	(8,077)	15,945	-197%	(5,807)	13,675	-235%
Other changes in unrestricted net assets:							
Change in net unrealized gains (losses) on investments	676	-	676	-100%	(696)	1,372	-197%
Grant income for purchases of capital	-	-	-	0%	250	(250)	-100%
Net assets released from restrictions for capital expenditures	12	302	(290)	-96%	961	(949)	-99%
Contributions for purchases of capital expenditures	-	-	-	0%	-	-	0%
Other non-operating gains	361	-	361	100%	166	195	117%
Transfer to St. Catherine of Siena	-	-	-	0%	(5,000)	5,000	-100%
Transfer to CHS	(4,029)	(3,931)	(98)	2%	(3,320)	(709)	21%
Transfer from Physician Corporations	2,500	-	2,500	100%	-	2,500	100%
Increase (decrease) in unrestricted net assets	7,388	(11,706)	19,094	-163%	(13,446)	20,834	-155%

CON#141210
BFA Attachment B

Pro Forma Balance Sheet

ASSETS

Cash \$604,720
Moveable Equipment 482,320
Leasehold Improvement 2,763,627
TOTAL ASSETS \$3,850,667

LIABILITIES

Bank Loan \$2,743,882
Working Capital Loan 302,360
TOTAL LIABILITIES \$3,046,242

NET ASSETS \$804,425

**FRESENIUS MEDICAL CARE HOLDINGS, INC.
AND SUBSIDIARIES**

Consolidated Balance Sheets

December 31, 2013 and 2012

(Dollars in thousands)

Assets	2013	2012
Current assets:		
Cash and cash equivalents	\$ 275,719	341,071
Trade accounts receivable, less allowances of \$299,180 in 2013 and \$250,124 in 2012	1,369,826	1,361,637
Receivables from affiliates	961,307	2,763,935
Inventories	436,430	428,620
Deferred income taxes	247,585	242,996
Other current assets	575,256	535,444
Total current assets	<u>3,866,123</u>	<u>5,673,703</u>
Property, plant and equipment, net	1,656,841	1,568,231
Other assets:		
Goodwill	10,008,571	9,850,023
Other intangible assets, net	574,208	524,578
Investment in equity method investees	48,820	45,619
Other assets and deferred charges	442,751	146,481
Total other assets	<u>11,074,350</u>	<u>10,566,701</u>
Total assets	<u>\$ 16,597,314</u>	<u>17,808,635</u>
Liabilities and Equity		
Current liabilities:		
Short-term borrowings	\$ 13,613	23,804
Current portion of long-term debt and capital lease obligations	200,768	101,078
Current portion of mandatorily redeemable preferred securities	—	665,500
Accounts payable	291,217	373,768
Accrued liabilities	1,167,663	998,525
Accrued special charge for legal matters	115,458	115,555
Accounts payable to affiliates	48,189	52,134
Accrued income taxes	257,785	179,747
Total current liabilities	<u>2,094,693</u>	<u>2,510,111</u>
Long-term debt	2,792,267	2,728,162
Noncurrent borrowings from affiliates	2,113,723	2,030,126
Capital lease obligations	10,804	1,376
Deferred income taxes	695,328	612,965
Other liabilities	368,675	518,426
Total liabilities	<u>8,075,490</u>	<u>8,401,166</u>
Noncontrolling interests subject to put provisions	616,792	511,707
Series C redeemable preferred stock, \$1 par value	235,141	1,250,000
Equity:		
Preferred stock, \$1 par value	1,188,390	1,188,390
Common stock, \$1 par value	90,000	90,000
Additional paid-in capital	1,786,715	1,861,059
Retained earnings	4,463,220	4,407,900
Accumulated other comprehensive loss	(71,204)	(120,540)
Total Fresenius Medical Care Holdings Inc. equity	<u>7,457,121</u>	<u>7,426,809</u>
Noncontrolling interests not subject to put provisions	212,770	218,953
Total equity	<u>7,669,891</u>	<u>7,645,762</u>
Total liabilities and equity	<u>\$ 16,597,314</u>	<u>17,808,635</u>

**FRESENIUS MEDICAL CARE HOLDINGS, INC.
AND SUBSIDIARIES**

Consolidated Statements of Operations
Years ended December 31, 2013 and 2012
(Dollars in thousands)

	<u>2013</u>	<u>2012</u>
Net revenues:		
Health care services	\$ 8,967,583	8,434,059
Less patient service bad debt provision	284,648	280,365
Net health care services	8,682,935	8,153,694
Medical supplies	750,257	731,707
	<u>9,433,192</u>	<u>8,885,401</u>
Expenses:		
Cost of health care services	5,666,513	5,154,520
Cost of medical supplies	559,338	561,578
General and administrative expenses	1,263,043	1,243,523
Depreciation and amortization	379,992	353,012
Research and development	48,291	38,256
Equity investment income	(5,666)	(7,900)
Interest expense, net, and related financing costs (including \$113,662 and \$131,118 of interest with affiliates, respectively)	177,441	181,356
Investment gain	—	(139,600)
	<u>8,088,952</u>	<u>7,384,745</u>
Income before income taxes	1,344,240	1,500,656
Provision for income taxes	465,540	497,177
Net income	878,700	1,003,479
Less net income attributable to noncontrolling interests	139,298	140,232
Net income attributable to Fresenius Medical Care Holdings, Inc.	<u>\$ 739,402</u>	<u>863,247</u>

Balance Sheet

**Proforma
Day 1 / Year 1**

Assets

Current Assets

Cash	500,000
Accounts Receivable	-
Inventory	23,431
Other Current Assets	-
Total Current Assets	<u>523,431</u>

Net Fixed Assets

-

Net Intangible assets

100,000

Total Assets

623,431

Liabilities

Current Liabilities

Accounts Payable	23,431
Other Current Liabilities	184,309
Total Current Liabilities	<u>207,740</u>

Total Long term Debt

Net of Current Maturities

-

Total Liabilities

207,740

Shareholder's Equity

Common Stock/Paid in Capital	415,691
Retained Earnings/ (Accumulated Deficit)	-
Total Shareholders Equity	<u>415,691</u>

Total Liability & Equity

623,431

Proforma cash flow statement - Year 1
Denominated in 2014 dollars

	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Month 12	Year
BEGINNING OF MONTH	\$00,000	389,713	269,199	153,797	38,397	389,390	450,719	512,047	573,376	634,704	696,033	757,361	
Net Patient Service Revenue	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$245,637	\$2,947,046
Changes in Operating Assets & Liabilities													
Decrease/ (Increase) in A/R	(245,637)	(181,843)	(176,730)	(176,729)	289,665	0	0	0	0	0	0	0	(491,274)
Decrease/(Increase) in Inventory	(23,431)		0	0	0	0	0	0	0	0	0	0	(23,431)
Increase/(Decrease) in Accounts Payable	97,453	0	0	0	0	0	0	0	0	0	0	0	97,453
Total Changes in Operating Assets & Liabilities	(171,615)	(181,843)	(176,730)	(176,729)	289,665	0	0	0	0	0	0	0	(417,252)
Cash Disbursements													
Salary	49,871	49,871	49,871	49,871	49,871	49,871	49,871	49,871	49,871	49,871	49,871	49,871	598,441
Fringe Benefits	19,394	19,394	19,394	19,394	19,394	19,394	19,394	19,394	19,394	19,394	19,394	19,394	232,734
Other than salary	82,788	82,788	82,788	82,788	82,788	82,788	82,788	82,788	82,788	82,788	82,788	82,788	993,454
Rental & Lease Expense	32,256	32,256	32,256	32,256	32,256	32,256	32,256	32,256	32,256	32,256	32,256	32,256	387,068
Interest	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Cost	184,309	184,309	184,309	184,309	184,309	184,309	184,309	184,309	184,309	184,309	184,309	184,309	2,211,704
Cash Flows from Operations	(110,287)	(129,315)	(115,402)	(115,401)	350,994	61,329	61,329	61,329	61,329	61,329	61,329	61,329	316,680
Cash - End of Month	389,713	269,199	153,797	38,397	389,390	450,719	512,047	573,376	634,704	696,033	757,361	818,680	

CON 141280

HSP Attachment A: "Dialysis Facility Compare" for Liverpool Dialysis

	Liverpool Dialysis Center, LLC
CMS Certification Number (CCN)	332660
City Location of Center	1304 Buckley Road, Syracuse NY
<p>Patients who had an average hemoglobin value greater than 12.0 g/dL ^{Note 1} NY Average: 1% National Average: 0% <i>Lower percentages are better</i></p>	0%
<p>Rate of Transfusions ^{Note 2} Lower numbers are better</p>	As Expected
<p>Hemodialysis patients who had enough wastes removed from their blood during dialysis: Urea Reduction Ratio greater than or equal to 65% ^{Note 3} NY Average: 98% National Average: 99% <i>Higher percentages are better</i></p>	100%
<p>Adult hemodialysis patients who had enough wastes removed from their blood during dialysis: Kt/V greater than or equal to 1.2 ^{Note 4} NY Average: 89% National Average: 89% <i>Higher percentages are better</i></p>	71%
<p>Adult peritoneal dialysis patients who had enough wastes removed from their blood: Kt/V greater than or equal to 1.7 ^{Note 5} NY Average: 72% National Average: 80% <i>Higher percentages are better</i></p>	Not Available
<p>Adult patients who received treatment through an arteriovenous fistula ^{Note 6} NY Average: 65% National Average: 62% <i>Higher percentages are better</i></p>	71%
<p>Adult patients who had a catheter (tube) left in a vein longer than 90 days, for their regular hemodialysis treatments ^{Note 7} NY Average: 13% National Average: 11% <i>Lower percentages are better</i></p>	7%
<p>Adult dialysis patients who had too much calcium in their blood: Average calcium greater than 10.2 mg/dL. ^{Note 8} NY Average: 2% National Average: 2% <i>Lower percentages are better.</i></p>	3%
Rate of hospital admission ^{Note 9}	As Expected
Patient death rate ^{Note 10}	As Expected

Source: Dialysis Facility Compare (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

Data as of September 5, 2014

Please see Notes page for explanation of quality indicators.

Comparison of "Dialysis Facility Compare" Statistics for ESRD's Operated by Fresenius

Explanation of Quality Indicators

- Note 1:** Anemia is a common complication in people with kidney disease. Healthy kidneys produce a hormone called erythropoietin, or EPO, which stimulates the bone marrow to produce the proper number of red blood cells needed to carry oxygen to vital organs. Diseased kidneys, however, often don't make enough EPO. As a result, the bone marrow makes fewer red blood cells. Other common causes of anemia include blood loss from hemodialysis and low levels of iron and folic acid. [Data Period 10/1/12 -9/30/13]
- Note 2:** An important goal of anemia management in dialysis is to avoid unnecessary blood transfusions. Dialysis providers can help prevent unnecessary transfusions by providing several treatments for anemia. Some of the anemia treatments may have side effects for patients with some medical conditions, so decisions about anemia treatment must be tailored to individual patient's conditions. [Data Period 10/1/12-9/30/13]
- Note 3:** Urea Reduction Ratio (URR) - This shows the percentage of adult hemodialysis patients at each facility who had a URR of 65 or more. (Some experts recommend a URR of 65 percent or higher.) [Data Period 10/1/12 -9/30/13]
- Note 4:** For the Kt/V, the top part of the fraction (Kt) represents the volume of fluid completely cleared of urea during a single treatment; the bottom part of the fraction (V) is the total volume of water a patient's body contains. The Kt/V is more accurate than the URR in measuring how much urea is removed during dialysis. Patients with Kt/V lower than 1.2 have a higher risk of health problems. [Data Period 10/1/12 -9/30/13]
- Note 5:** Adults with kidney failure who get peritoneal dialysis need to be closely monitored to ensure that their blood is cleaned enough. This is measured by a blood test called Kt/V. Test results of 1.7 or higher show that the patient is getting the dialysis often enough and the right amount of dialysis solution. Peritoneal dialysis patients with Kt/V lower than 1.7 have a higher risk of health problems. [Data Period 10/1/12 -9/30/13]
- Note 6:** This shows the percentage of adult patients receiving regular hemodialysis at a certain facility who used an arteriovenous fistula for their treatment. The arteriovenous fistula is considered the best long-term vascular access for hemodialysis because it allows good blood flow, lasts a long time, and has a lower complication rate than other types of access. Although some patients may not be able to have an AV fistula or may not want one, facilities that have higher percentages may be doing a better job of helping patients understand why they are important. [Data Period 10/1/12 -9/30/13]
- Note 7:** This shows the percentage of adult hemodialysis patients being treated at a certain facility who had a catheter (tube) inserted into a vein for hemodialysis treatment that was left in place for more than 90 days. Patients who do not have time to get a permanent vascular access before starting hemodialysis treatments may need to use a venous catheter for temporary access. Catheters are not ideal for permanent access, because they can clog, become infected, and cause narrowing of the veins. But a catheter will work for several weeks or months while permanent access is developed. Although some patients may need them for longer periods, those numbers should be low. [Data Period 10/1/12 -9/30/13]
- Note 8:** If calcium levels get too high or too low, it can affect how the nervous system and muscles work, including the heart. Dialysis providers, including dialysis facilities, can influence the amount of calcium in their patients' bodies in several ways, including changes to the calcium levels used in the dialysis solution and use of medications like vitamin D and calcium-containing phosphorus binders. Dialysis facilities with a high percentage of patients with high calcium blood levels may not be making the correct adjustments to keep patients' calcium levels normal. [Data Period 10/1/12-9/30/13]
- Note 9:** Even when they're getting dialysis treatments regularly, patients with kidney failure often get sick and have to go to the hospital because of infections or other problems that may be related to the dialysis treatment itself. Facilities whose patients have lower ratios of hospital admissions may be better at helping their patients avoid these serious problems. Patient hospitalizations at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 10/1/12-9/30/13]
- Note 10:** Generally, patients with kidney failure don't live as long as patients with normal kidneys. Many factors affect how long a dialysis patient lives. Some of these factors are under the control of the patient (like not skipping treatments), and some of these factors are under the control of the facility (like making sure patients get all the treatments the doctor prescribes). Patient deaths lets you know if the patients treated at a certain dialysis facility generally live longer, as long, or not as long as expected. Patient deaths at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 1/1/09-12/31/12]

Source: *Dialysis Facility Compare* (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

CON 141280 - Summary of Dialysis Facility Compare Statistics

The table below compares the Summary Rate (which is the percent of scores at, or better than, the New York State average) for Liverpool Dialysis Center, LLC. The Summary Rate is intended to be a rough indicator of performance. (Higher is better.)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Operator	Sites	Reportable Statistics per Site	Total Statistics Possible	Statistics Not Available or Do Not Apply	Denominator	Statistics Not Reported	Statistics worse than NYS Average	Numerator	Summary Rate [% at or better than NYS Average]
			(1) x (2)		(3)-(4)			(6)+(7)	$1.00 - ((8)/(5))$
Liverpool Dialysis	1	10	10	1	9	0	2	2	78%

CON 141280

HSP Attachment B: Dialysis Compare - Fresenius NYC

	FMS-Atlantic Hemodialysis Center	FMS-Atlantic Hemodialysis Center at Cobble Hill	FMS-Atlantic Peritoneal Dialysis & Home Training Center	FMS-Bayside	FMS-Brooklyn Kidney Center	FMS-Central Suffolk Artificial Kidney Center	FMS-City Dialysis Center	FMS-Eastchester	FMS-Harlem	FMS-Morris Park	FMS-Nephro Care West	FMS-Nephro-Care	FMS-Queens Artificial Kidney Center	FMS-South Queens	FMS-Southern Manhattan	FMS-St. Alban's
CMS Certification Number (CCN)	332577	332636	332579	332519	332578	332518	332524	332613	332564	332612	332550	332534	332517	332531	332530	332595
City Location of Center	Brooklyn	Brooklyn	Brooklyn	Bayside	Brooklyn	Port Jefferson Station	New York	Bronx	New York	Bronx	Brooklyn	Brooklyn	Jackson Heights	Jamaica	New York	Jamaica
Patients who had an average hemoglobin value greater than 12.0 g/dL <small>Note 1</small> NY Average: 1% National Average: 0% <i>Lower percentages are better</i>	0%	0%	Not Available	0%	0%	1%	0%	0%	0%	0%	0%	0%	1%	0%	0%	0%
Rate of Transfusions <small>Note 2</small> Lower numbers are better	As Expected	As Expected	Not Available	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected
Hemodialysis patients who had enough wastes removed from their blood during dialysis: Urea Reduction Ratio greater than or equal to 65% <small>Note 3</small> NY Average: 98% National Average: 99% <i>Higher percentages are better</i>	100%	100%	Not Available	98%	99%	100%	100%	96%	100%	96%	97%	97%	100%	97%	100%	100%
Adult hemodialysis patients who had enough wastes removed from their blood during dialysis: Kt/V greater than or equal to 1.2 <small>Note 4</small> NY Average: 89% National Average: 89% <i>Higher percentages are better</i>	94%	94%	Not Available	77%	90%	85%	88%	79%	84%	83%	82%	75%	95%	85%	86%	86%

CON 141280

HSP Attachment B: Dialysis Compare - Fresenius NYC

	FMS-Atlantic Hemodialysis Center	FMS-Atlantic Hemodialysis Center at Cobble Hill	FMS-Atlantic Peritoneal Dialysis & Home Training Center	FMS-Bayside	FMS-Brooklyn Kidney Center	FMS-Central Suffolk Artificial Kidney Center	FMS-City Dialysis Center	FMS-Eastchester	FMS-Harlem	FMS-Morris Park	FMS-Nephro Care West	FMS-Nephro-Care	FMS-Queens Artificial Kidney Center	FMS-South Queens	FMS-Southern Manhattan	FMS-St. Alban's
Adult peritoneal dialysis patients who had enough wastes removed from their blood: Kt/V greater than or equal to 1.7 <small>Note 5</small> NY Average: 72% National Average: 79% <i>Higher percentages are better</i>	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available
Adult patients who received treatment through an arteriovenous fistula <small>Note 6</small> NY Average: 65% National Average: 62% <i>Higher percentages are better</i>	59%	36%	Not Available	74%	71%	62%	73%	62%	60%	65%	58%	66%	75%	73%	61%	73%
Adult patients who had a catheter (tube) left in a vein longer than 90 days, for their regular hemodialysis treatments <small>Note 7</small> NY Average: 13% National Average: 11% <i>Lower percentages are better</i>	10%	43%	Not Available	13%	16%	14%	7%	14%	7%	9%	18%	15%	6%	7%	8%	8%
Adult dialysis patients who had too much calcium in their blood: Average calcium greater than 10.2 mg/dL. <small>Note 8</small> NY Average: 2% National Average: 2% <i>Lower percentages are better.</i>	7%	2%	13%	2%	13%	1%	4%	1%	12%	4%	6%	6%	2%	2%	4%	1%
Rate of hospital admission <small>Note 9</small>	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	Worse Than Expected	As Expected	As Expected	As Expected	Worse Than Expected	Worse Than Expected	As Expected	As Expected	As Expected	As Expected
Patient death rate <small>Note 10</small>	Better Than Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	Worse Than Expected	Worse Than Expected	As Expected	As Expected	As Expected	As Expected

CON 141280

HSP Attachment B: Dialysis Compare - Fresenius Outside NYC

	FMS-Albany	FMS-Albany Regional Kidney Center	FMS-Amsterdam Dialysis Center	FMS-Brighton	FMS-Capital District	FMS-Clinton Crossing	FMS-Dutchess	FMS-Eastview	FMS-Finger Lakes	FMS-Kenmore Artificial Kidney Center	FMS-Living Center	FMS-Middletown	FMS-Monroe Community	FMS-Soundshore	FMS-Niskayuna	FMS-Southern Manhattan - Monroe County	FMS-Southern Manhattan - Wayne County
CMS Certification Number (CCN)	332544	332569	332586	332626	332523	332629	332633	332585	332631	332554	332630	332538	332672	332606	332700	332698	332697
City Location of Center	Albany	Albany	Amsterdam	Rochester	Schenectady	Rochester	Poughkeepsie	Victor	Victor	Kenmore	Pittsford	Middletown	Rochester	New Rochelle	Niskayuna	Webster	Newark
Patients who had an average hemoglobin value greater than 12.0 g/dL <small>Note 1</small> NY Average: 1% National Average: 0% <i>Lower percentages are better</i>	0%	0%	0%	3%	0%	0%	1%	0%	0%	0%	0%	0%	0%	0%	New Facility No Data Available		
Rate of Transfusions <small>Note 2</small> Lower numbers are better	As Expected	As Expected	Worse Than Expected	As Expected	Worse Than Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	New Facility No Data Available		
Hemodialysis patients who had enough wastes removed from their blood during dialysis: Urea Reduction Ratio greater than or equal to 65% <small>Note 3</small> NY Average: 98% National Average: 99% <i>Higher percentages are better</i>	99%	99%	95%	97%	97%	98%	100%	98%	96%	100%	100%	98%	97%	100%	New Facility No Data Available		
Adult hemodialysis patients who had enough wastes removed from their blood during dialysis: Kt/V greater than or equal to 1.2 <small>Note 4</small> NY Average: 89% National Average: 89% <i>Higher percentages are better</i>	94%	85%	81%	87%	87%	82%	Not Available	94%	91%	86%	82%	84%	81%	88%	New Facility No Data Available		
Adult peritoneal dialysis patients who had enough wastes removed from their blood: Kt/V greater than or equal to 1.7 <small>Note 5</small> NY Average: 72% National Average: 80% <i>Higher percentages are better</i>	Not Available	66%	67%	84%	44%	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	Not Available	New Facility No Data Available		

CON 141280

HSP Attachment B: Dialysis Compare - Fresenius Outside NYC

	FMS-Albany	FMS-Albany Regional Kidney Center	FMS-Amsterdam Dialysis Center	FMS-Brighton	FMS-Capital District	FMS-Clinton Crossing	FMS-Dutchess	FMS-Eastview	FMS-Finger Lakes	FMS-Kenmore Artificial Kidney Center	FMS-Living Center	FMS-Middletown	FMS-Monroe Community	FMS-Soundshore	FMS-Niskayuna	FMS-Southern Manhattan - Monroe County	FMS-Southern Manhattan - Wayne County	
Adult patients who received treatment through an arteriovenous fistula ^{Note 6} NY Average: 65% National Average: 62% <i>Higher percentages are better</i>	50%	42%	41%	59%	63%	60%	51%	82%	74%	64%	63%	71%	60%	69%	New Facility No Data Available			
Adult patients who had a catheter (tube) left in a vein longer than 90 days, for their regular hemodialysis treatments ^{Note 7} NY Average: 13% National Average: 11% <i>Lower percentages are better</i>	19%	25%	42%	27%	20%	22%	7%	8%	14%	18%	23%	9%	25%	15%	New Facility No Data Available			
Adult dialysis patients who had too much calcium in their blood: Average calcium greater than 10.2 mg/dL. ^{Note 8} NY Average: 2% National Average: 2% <i>Lower percentages are better.</i>	1%	2%	3%	2%	5%	1%	6%	2%	1%	1%	0%	3%	0%	4%	New Facility No Data Available			
Rate of hospital admission ^{Note 9}	As Expected	As Expected	As Expected	As Expected	Worse Than Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	As Expected	New Facility No Data Available		
Patient death rate ^{Note 10}	As Expected	As Expected	As Expected	As Expected	Worse Than Expected	As Expected	Worse Than Expected	Better Than Expected	As Expected	Worse Than Expected	As Expected	As Expected	As Expected	As Expected	New Facility No Data Available			

Source: Dialysis Facility Compare (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

Exhibit prepared based on information available on September 5, 2014

Please see Notes page for explanation of quality indicators.

Explanation of Quality Indicators

- Note 1:** Anemia is a common complication in people with kidney disease. Healthy kidneys produce a hormone called erythropoietin, or EPO, which stimulates the bone marrow to produce the proper number of red blood cells needed to carry oxygen to vital organs. Diseased kidneys, however, often don't make enough EPO. As a result, the bone marrow makes fewer red blood cells. Other common causes of anemia include blood loss from hemodialysis and low levels of iron and folic acid. [Data Period 10/1/12 -9/30/13]
- Note 2:** An important goal of anemia management in dialysis is to avoid unnecessary blood transfusions. Dialysis providers can help prevent unnecessary transfusions by providing several treatments for anemia. Some of the anemia treatments may have side effects for patients with some medical conditions, so decisions about anemia treatment must be tailored to individual patient's conditions.
- Note 3:** Urea Reduction Ratio (URR) - This shows the percentage of adult hemodialysis patients at each facility who had a URR of 65 or more. (Some experts recommend a URR of 65 percent or higher.) [Data Period 10/1/12 -9/30/13]
- Note 4:** For the Kt/V, the top part of the fraction (Kt) represents the volume of fluid completely cleared of urea during a single treatment; the bottom part of the fraction (V) is the total volume of water a patient's body contains. The Kt/V is more accurate than the URR in measuring how much urea is removed during dialysis. Patients with Kt/V lower than 1.2 have a higher risk of health problems. [Data Period 10/1/12 -9/30/13]
- Note 5:** Adults with kidney failure who get peritoneal dialysis need to be closely monitored to ensure that their blood is cleaned enough. This is measured by a blood test called Kt/V. Test results of 1.7 or higher show that the patient is getting the dialysis often enough and the right amount of dialysis solution. Peritoneal dialysis patients with Kt/V lower than 1.7 have a higher risk of health problems. [Data Period 10/1/12 -9/30/13]
- Note 6:** This shows the percentage of adult patients receiving regular hemodialysis at a certain facility who used an arteriovenous fistula for their treatment. The arteriovenous fistula is considered the best long-term vascular access for hemodialysis because it allows good blood flow, lasts a long time, and has a lower complication rate than other types of access. Although some patients may not be able to have an AV fistula or may not want one, facilities that have higher percentages may be doing a better job of helping patients understand why they are important. [Data Period 10/1/12 -9/30/13]
- Note 7:** This shows the percentage of adult hemodialysis patients being treated at a certain facility who had a catheter (tube) inserted into a vein for hemodialysis treatment that was left in place for more than 90 days. Patients who do not have time to get a permanent vascular access before starting hemodialysis treatments may need to use a venous catheter for temporary access. Catheters are not ideal for permanent access, because they can clog, become infected, and cause narrowing of the veins. But a catheter will work for several weeks or months while permanent access is developed. Although some patients may need them for longer periods, those numbers should be low. [Data Period 10/1/12 -9/30/13]
- Note 8:** If calcium levels get too high or too low, it can affect how the nervous system and muscles work, including the heart. Dialysis providers, including dialysis facilities, can influence the amount of calcium in their patients' bodies in several ways, including changes to the calcium levels used in the dialysis solution and use of medications like vitamin D and calcium-containing phosphorus binders. Dialysis facilities with a high percentage of patients with high calcium blood levels may not be making the correct adjustments to keep patients' calcium levels normal. [Data Period 10/1/12 -9/30/13]
- Note 9:** Even when they're getting dialysis treatments regularly, patients with kidney failure often get sick and have to go to the hospital because of infections or other problems that may be related to the dialysis treatment itself. Facilities whose patients have lower ratios of hospital admissions may be better at helping their patients avoid these serious problems. Patient hospitalizations at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 10/1/12-9/30/13]
- Note 10:** Generally, patients with kidney failure don't live as long as patients with normal kidneys. Many factors affect how long a dialysis patient lives. Some of these factors are under the control of the patient (like not skipping treatments), and some of these factors are under the control of the facility (like making sure patients get all the treatments the doctor prescribes). Patient deaths lets you know if the patients treated at a certain dialysis facility generally live longer, as long, or not as long as expected. Patient deaths at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 1/1/09-12/31/12]

Source: *Dialysis Facility Compare* (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

Exhibit prepared based on information available on September 5, 2014

CON 141280

HSP Attachment B: Dialysis Compare Summary Statistic

Summary of Dialysis Facility Compare Statistics

The table below compares the Summary Statistic (which is the percent of scores at, or above, the New York State average) of the dialysis facilities currently operated by Fresenius and Fresenius-affiliated entities with the current operation of Liverpool Dialysis. The Summary Statistic is intended to be a rough indicator of performance. *(Higher is better.)*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Operator	Sites	Reportable Statistics per Site	Total Statistics Possible (1) x (2)	Statistics Not Available or Do Not Apply	Denominator (3)-(4)	Statistics Not Reported	Statistics worse than NYS Average	Numerator (6)+(7)	Summary Statistic at or above NYS Average 100-((8)/(5))
Fresenius NYC	16	10	160	21	139	0	41	41	71%
Fresenius - Outside NYC	17	10	170	11	159	0	50	50	69%
All Fresenius	33	10	330	33	297	0	91	91	69%
Liverpool Dialysis Center, LLC	1	10	10	1	9	0	2	2	78%

AMERICAN RENAL HOLDINGS INC. AND SUBSIDIARIES
Consolidated Balance Sheets
(in thousands, except for share data)

	<u>June 30, 2014</u>	<u>December 31, 2013</u>
	(Unaudited)	
Assets		
Current assets:		
Cash	\$ 41,820	\$ 32,864
Accounts receivable, less allowance for doubtful accounts of \$5,155 and \$4,149 at June 30, 2014 and December 31, 2013, respectively	63,865	69,242
Inventories	3,495	4,440
Prepaid expenses and other current assets	14,444	9,155
Income tax receivable	1,155	1,155
Deferred tax assets	2,846	6,592
Total current assets	127,625	123,448
Property and equipment, net	115,090	109,774
Deferred financing costs, net	2,450	2,549
Intangible assets, net	29,396	31,759
Other long-term assets	5,971	7,134
Goodwill	563,350	563,200
Total assets	\$ 843,882	\$ 837,864
Liabilities and Equity		
Current liabilities:		
Accounts payable	\$ 17,177	\$ 27,372
Accrued compensation and benefits	20,208	17,104
Accrued expenses and other current liabilities	34,458	34,002
Current portion of long-term debt	11,898	11,001
Current portion of capital lease obligations	34	57
Total current liabilities	83,775	89,536
Long-term debt, less current portion	639,010	637,053
Capital lease obligations, less current portion	-	5
Other long-term liabilities	7,156	6,444
Deferred tax liabilities	14,052	14,461
Commitments and contingencies		
Noncontrolling interests subject to put provisions	89,328	82,539
Equity:		
Common stock, no par value, 1,000 shares authorized, issued and outstanding as June 30, 2014 and December 31, 2013	(1,428)	4,443
Additional paid-in capital	(531)	(271)
Receivable from shareholders	(164,440)	(171,140)
Accumulated deficit	221	835
Accumulated other comprehensive income, net of tax	(166,178)	(166,133)
Total American Renal Holdings Inc. deficit	176,739	173,959
Noncontrolling interests not subject to put provisions	10,561	7,826
Total equity	\$ 843,882	\$ 837,864
Total liabilities and equity	\$ 843,882	\$ 837,864

AMERICAN RENAL HOLDINGS INC. AND SUBSIDIARIES
Unaudited Consolidated Statements of Operations
(in thousands)

	Three Months Ended June 30,		Six Months Ended June 30,	
	2014	2013	2014	2013
Patient service operating revenues.....	\$ 139,492	\$ 122,654	\$ 270,008	\$ 239,135
Provision for uncollectible accounts.....	(72)	(860)	(1,006)	(1,632)
Net patient service operating revenues.....	139,420	121,794	269,002	237,503
Operating expenses:				
Patient care costs.....	81,330	68,421	160,214	138,915
General and administrative.....	15,601	14,784	30,436	44,534
Transaction-related costs.....	—	31	—	533
Depreciation and amortization.....	6,858	5,752	13,497	11,450
Total operating expenses.....	103,789	88,988	204,147	195,432
Operating income.....	35,631	32,806	64,855	42,071
Interest expense, net.....	(11,213)	(10,087)	(21,846)	(16,585)
Loss on early extinguishment of debt.....	—	—	—	(21,503)
Income before income taxes.....	24,418	22,719	43,009	3,983
Income tax expense (benefit).....	3,447	2,366	5,324	(9,945)
Net income.....	20,971	20,353	37,685	13,928
Less: Net income attributable to noncontrolling interests.....	(16,638)	(16,025)	(30,985)	(29,858)
Net Income (loss) attributable to American Renal Holdings Inc.	\$ 4,333	\$ 4,328	\$ 6,700	\$ (15,930)

AMERICAN RENAL HOLDINGS INC. AND SUBSIDIARIES

Consolidated Balance Sheets

As of December 31, 2013 and 2012

(in thousands, except share and per share data)

	2013	2012
Assets		
Current assets:		
Cash	\$ 32,864	\$ 26,322
Accounts receivable, less allowance for doubtful accounts of \$4,149 and \$3,985 at December 31, 2013 and 2012, respectively	69,242	60,019
Inventories	4,440	2,820
Prepaid expenses and other current assets	9,155	8,307
Income tax receivable	1,155	-
Deferred tax asset	6,592	5,299
Total current assets	<u>123,448</u>	<u>102,767</u>
Property and equipment, net	109,774	91,026
Deferred financing costs, net	2,549	3,932
Intangible assets, net	31,759	34,480
Other long-term assets	7,134	3,238
Goodwill	563,200	547,466
Total assets	<u>\$ 837,864</u>	<u>\$ 782,909</u>
Liabilities and Equity		
Current liabilities:		
Accounts payable	\$ 27,372	\$ 18,972
Accrued compensation and benefits	17,104	13,493
Accrued expenses and other current liabilities	34,002	31,542
Amount due to sellers	-	675
Current portion of long-term debt	11,001	3,644
Current portion of capital lease obligations	57	98
Total current liabilities	<u>89,536</u>	<u>68,424</u>
Long-term debt, less current portion	637,053	257,943
Capital lease obligations, less current portion	5	129
Other long-term liabilities	6,444	4,213
Deferred tax liabilities	14,461	16,935
Commitments and contingencies (notes 1. and 19)		
Noncontrolling interests subject to put provisions	82,539	61,207
Equity:		
Common stock, no par value, 1,000 shares authorized, issued and outstanding at December 31, 2013 and 2012	-	-
Additional paid in capital	4,443	188,945
Receivable from shareholders	(271)	(285)
Accumulated (deficit) earnings	(171,140)	20,779
Accumulated other comprehensive income, net of tax	835	-
Total American Renal Holdings Inc. (deficit) equity	<u>(166,133)</u>	<u>209,439</u>
Noncontrolling interests not subject to put provisions	173,959	104,019
Total equity	<u>7,826</u>	<u>374,058</u>
Total liabilities and equity	<u>\$ 837,864</u>	<u>\$ 782,909</u>

AMERICAN RENAL HOLDINGS INC. AND SUBSIDIARIES

Consolidated Statements of Operations

Years ended December 31, 2013 and 2012

(in thousands)

	<u>2013</u>	<u>2012</u>
Patient service operating revenues	\$ 498,699	\$ 424,010
Provision for uncollectible accounts	2,773	2,543
Net patient service operating revenues	<u>495,926</u>	<u>421,467</u>
Operating expenses:		
Patient care costs	288,384	244,973
General and administrative	72,450	45,783
Transaction-related costs	533	-
Depreciation and amortization	23,707	20,991
Total operating expenses	<u>385,074</u>	<u>311,747</u>
Operating income	110,852	109,720
Interest expense, net	(39,396)	(23,711)
Loss on early extinguishment of debt	<u>(21,503)</u>	<u>-</u>
Income before income taxes	49,953	86,009
Income tax (benefit) expense	<u>(3,194)</u>	<u>15,774</u>
Net income	53,147	70,235
Less: Net income attributable to noncontrolling interests	<u>(62,074)</u>	<u>(50,808)</u>
Net (loss) income attributable to American Renal Holdings Inc.	<u>\$ (8,927)</u>	<u>\$ 19,427</u>

Massena Center, LLC
Pro Forma Balance Sheet

		<u>Day 1</u>
Assets		
Current Assets		
(1)	Cash	\$252,552
(2)	Net Patient Accounts Receivable	\$0
(3)	Inventory	\$0
(4)	Prepaid Expenses	\$0
(5)	Construction Pending Financing	\$0
(6)	Other Current Assets	\$0
(7)	Total Current Assets	<u>\$ 252,552</u>
(8)	Net Property, Plant & Equipment	\$200,000
(9)	Net Intangible Assets	\$550,000
(10)	Other Assets	\$0
(11)	Total Assets	<u>\$ 1,002,552</u>
Liabilities & Equity		
Liabilities		
(12)	Accounts Payable	\$0
(13)	Other Current Liabilities	\$0
(14)	Accrued Expenses	\$0
(15)	Due to ARA	\$0
Long and Short Term Debt		
(16)	Corporate	\$0
(17)	Facility	\$800,000
(18)	Total Liabilities	<u>\$ 800,000</u>
Equity		
(19)	Stock	\$0
(20)	Equity - ARA (facility)	\$103,302
(21)	Equity - Partners	\$99,250
(23)	Distributions - ARA	\$0
(24)	Distributions - Partners	\$0
(26)	Retained Earnings	\$0
(27)	Net Equity	<u>\$202,552</u>
(28)	Total Liabilities & Equity	<u>\$ 1,002,552</u>

MASSENA CENTER, LLC

MEMBERSHIP INTERESTS

<u>Member Name and Address</u>	<u>Percentage of Membership Interest</u>	<u>Capital Contribution Commitment</u>
American Renal Associates LLC 500 Cummings Center Suite 6550 Beverly, MA 01915	51%	Up to \$103,301.52*
Craig G. Hurwitz, M.D. Northern Nephrology & Hypertension 159 Margaret St Suite 600 Plattsburgh, NY 12901	16.33%	Up to \$33,076.74*
Laura G. Carbone, M.D. 11 Hammond Lane Plattsburgh, NY 12901	16.33%	Up to \$33,076.74*
Ronald G. Malseptic, M.D. 210 Cornelia Street Plattsburgh, NY 12901	16.34%	Up to \$33,097.00*
Totals	100%	Up to \$202,552 (represents approximately 20% of the acquisitions costs with remainder financed)

*The Capital Contribution commitments listed above may be made in one or more than one installment. The maximum Capital Contribution amounts listed above shall be deemed, notwithstanding the actual making of such Capital Contribution in more than one installment, absolute Capital Contribution Commitments of the Members and not "additional Capital Contributions" pursuant to a Capital Call under Section 3.3 of the Agreement. If made in more than one installment, each installment shall be made by each Member in his or its Membership Interest percentage of the total installment amount required of all Members on any occasion. Guaranty obligations incurred pursuant to Section 3.2 shall not constitute Capital Contributions, unless and except to the extent that payment is made upon demand under any guaranty (and, in the case of any Excess Guaranty Payment, where an election shall not have been made to treat the same as a loan) in accordance with Section 3.4. Any Capital Contribution by a Member resulting from a payment upon demand under such Member's guaranty shall not be deemed to reduce any outstanding and unpaid Capital Contribution commitment of such Member.

HSP Attachment A: Massena Center, LLC - "Dialysis Facility Compare" Statistics

	Massena Memorial Hospital Dialysis	Elizabethtown Center, LLC	Mohawk Valley Dialysis Center, LLC	Plattsburgh Associates, LLC
CMS Certification Number (CCN)	333545	333523	332893	332702
City Location of Center	Massena	Elizabethtown	Amsterdam	Plattsburgh
Patients who had an average hemoglobin value greater than 12.0 g/dL ^{Note 1} NY Average: 1% National Average: 0% <i>Lower percentages are better</i>	0%	0%	0%	See Comment Below
Hemodialysis patients who had enough wastes removed from their blood during dialysis: Urea Reduction Ratio greater than or equal to 65% ^{Note 3} NY Average: 98% National Average: 99% <i>Higher percentages are better</i>	97%	94%	100%	See Comment Below
Adult hemodialysis patients who had enough wastes removed from their blood during dialysis: Kt/V greater than or equal to 1.2 ^{Note 4} NY Average: 89% National Average: 89% <i>Higher percentages are better</i>	96%	88%	97%	See Comment Below
Adult peritoneal dialysis patients who had enough wastes removed from their blood: Kt/V greater than or equal to 1.7 ^{Note 5} NY Average: 72% National Average: 80% <i>Higher percentages are better</i>	N/A Too small to report	N/A Doesn't provide	N/A Too small to report	See Comment Below
Rate of Transfusions ^{Note 2} <i>Lower numbers are better</i>	As Expected	As Expected	N/A Too small to report	See Comment Below

	Massena Memorial Hospital Dialysis	Elizabethtown Center, LLC	Mohawk Valley Dialysis Center, LLC	Plattsburgh Associates, LLC
CMS Certification Number (CCN)	333545	333523	332893	332702
City Location of Center	Massena	Elizabethtown	Amsterdam	Plattsburgh
Adult patients who received treatment through an arteriovenous fistula ^{Note 6} NY Average: 65% National Average: 62% <i>Higher percentages are better</i>	70%	50%	52%	See Comment Below
Adult patients who had a catheter (tube) left in a vein longer than 90 days, for their regular hemodialysis treatments ^{Note 7} NY Average: 13% National Average: 11% <i>Lower percentages are better</i>	14%	31%	31%	See Comment Below
Adult dialysis patients who had too much calcium in their blood: Average calcium greater than 10.2 mg/dL. ^{Note 8} NY Average: 2% National Average: 2% <i>Lower percentages are better.</i>	0%	0%	7%	See Comment Below
Rate of hospital admission ^{Note 9}	As Expected	As Expected	N/A Too small to report	See Comment Below
Patient death rate ^{Note 10}	As Expected	As Expected	N/A Too small to report	See Comment Below

Plattsburgh Associates' data was not reported to Dialysis Facility Compare. Their association with ARA began 6/26/14 (subsequent to the reporting period).

Source: Dialysis Facility Compare (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

Information retrieved on September 24, 2014

Please see Notes page for explanation of quality indicators.

HSP Attachment A: Massena Center, LLC - "Dialysis Facility Compare" Statistics

NOTES -- Explanation of Quality Indicators

- Note 1:** Anemia is a common complication in people with kidney disease. Healthy kidneys produce a hormone called erythropoietin, or EPO, which stimulates the bone marrow to produce the proper number of red blood cells needed to carry oxygen to vital organs. Diseased kidneys, however, often don't make enough EPO. As a result, the bone marrow makes fewer red blood cells. Other common causes of anemia include blood loss from hemodialysis and low levels of iron and folic acid. [Data Period 10/1/12-9/30/13]
- Note 2:** An important goal of anemia management in dialysis is to avoid unnecessary blood transfusions. Dialysis providers can help prevent unnecessary transfusions by providing several treatments for anemia. Some of the anemia treatments may have side effects for patients with some medical conditions, so decisions about anemia treatment must be tailored to individual patient's conditions. [Data Period 10/1/12-9/30/13]
- Note 3:** Urea Reduction Ratio (URR) - This shows the percentage of adult hemodialysis patients at each facility who had a URR of 65 or more. (Some experts recommend a URR of 65 percent or higher.) [Data Period 10/1/12-9/30/13]
- Note 4:** For the Kt/V, the top part of the fraction (Kt) represents the volume of fluid completely cleared of urea during a single treatment; the bottom part of the fraction (V) is the total volume of water a patient's body contains. The Kt/V is more accurate than the URR in measuring how much urea is removed during dialysis. Patients with Kt/V lower than 1.2 have a higher risk of health problems. [Data Period 10/1/12-9/30/13]
- Note 5:** Adults with kidney failure who get peritoneal dialysis need to be closely monitored to ensure that their blood is cleaned enough. This is measured by a blood test called Kt/V. Test results of 1.7 or higher show that the patient is getting the dialysis often enough and the right amount of dialysis solution. Peritoneal dialysis patients with Kt/V lower than 1.7 have a higher risk of health problems. [Data Period 10/1/12-9/30/13]
- Note 6:** This shows the percentage of adult patients receiving regular hemodialysis at a certain facility who used an arteriovenous fistula for their treatment. The arteriovenous fistula is considered the best long-term vascular access for hemodialysis because it allows good blood flow, lasts a long time, and has a lower complication rate than other types of access. Although some patients may not be able to have an AV fistula or may not want one, facilities that have higher percentages may be doing a better job of helping patients understand why they are important. [Data Period 10/1/12-9/30/13]
- Note 7:** This shows the percentage of adult hemodialysis patients being treated at a certain facility who had a catheter (tube) inserted into a vein for hemodialysis treatment that was left in place for more than 90 days. Patients who do not have time to get a permanent vascular access before starting hemodialysis treatments may need to use a venous catheter for temporary access. Catheters are not ideal for permanent access, because they can clog, become infected, and cause narrowing of the veins. But a catheter will work for several weeks or months while permanent access is developed. Although some patients may need them for longer periods, those numbers should be low. [Data Period 10/1/12-9/30/13]
- Note 8:** If calcium levels get too high or too low, it can affect how the nervous system and muscles work, including the heart. Dialysis providers, including dialysis facilities, can influence the amount of calcium in their patients' bodies in several ways, including changes to the calcium levels used in the dialysis solution and use of medications like vitamin D and calcium-containing phosphorus binders. Dialysis facilities with a high percentage of patients with high calcium blood levels may not be making the correct adjustments to keep patients' calcium levels normal. [Data Period 7/1/12-3/31/13]
- Note 9:** Even when getting dialysis treatments regularly, patients with kidney failure often get sick and have to go to the hospital because of infections or other problems that may be related to the dialysis treatment itself. Facilities whose patients have lower ratios of hospital admissions may be better at helping their patients avoid these serious problems. Patient hospitalizations at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 1/1/12-12/31/12]
- Note 10:** Generally, patients with kidney failure don't live as long as patients with normal kidneys. Many factors affect how long a dialysis patient lives. Some of these factors are under the control of the patient (like not skipping treatments), and some of these factors are under the control of the facility (like making sure patients get all the treatments the doctor prescribes). Patient deaths lets you know if the patients treated at a certain dialysis facility generally live longer, as long, or not as long as expected. Patient deaths at a facility can be worse than expected due to a variety of reasons. For example, a facility may specialize in treating patients who are very ill and who may not live long; it does not always mean they are not providing good care. [Data Period 1/1/09-12/31/12]

Source: Dialysis Facility Compare (<http://www.medicare.gov/dialysisfacilitycompare/search.html>)

CON 142058

HSP Attachment A: Massena Center, LLC - "Dialysis Facility Compare" Statistics

The table below compares the Summary Statistic (which is the percent of scores at, or better than, the New York State average) for the facility to be acquired and the facilities the applicant currently operates or is affiliated with.

The Summary Statistic is intended to be a rough indicator of performance. (*Higher* is better)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Operator:	Sites	Reportable Statistics per Site	Total Statistics Possible	Statistics Not Available or Do Not Apply	Denominator	Statistics Not Reported	Statistics worse than NYS Average	Numerator	Summary Statistic [at or better than NYS Average]
			(1) x (2)		(3)-(4)			(6)+(7)	$1.00 - ((8)/(5))$
Massena	1	10	10	1	9	0	2	2	78%
ARA's NY facilities	3	10	30	15	15	0	7	7	53%

Financial Summary- Daughters of Jacob

	FISCAL PERIOD ENDED			
	draft 6/30/14	12/31/13	12/31/12	12/31/11
ASSETS - CURRENT	\$17,367,328	\$14,305,548	\$9,550,050	\$8,296,405
ASSETS - FIXED AND OTHER	8,363,301	5,796,334	4,278,816	4,521,919
LIABILITIES - CURRENT	24,493,642	22,368,794	35,469,175	27,039,392
LIABILITIES - LONG-TERM	24,461,643	22,424,835	3,793,465	3,585,633
EQUITY	(\$23,224,656)	(\$24,691,747)	(\$25,433,774)	(\$17,806,701)
INCOME	\$22,200,161	\$38,507,761	\$37,143,417	\$40,859,949
EXPENSE	18,403,026	37,885,323	44,684,052	42,802,202
NET INCOME	\$3,797,135	\$622,438	(\$7,540,635)	(\$1,942,253)
NUMBER OF BEDS	405	405	405	405
PERCENT OF OCCUPANCY (DAYS)	93.8%	92.7%	95.8%	94.1%
PERCENT OCCUPANCY (DAYS):				
MEDICAID	85.9%	89.3%	92.0%	89.1%
MEDICARE	5.6%	4.2%	5.8%	7.1%
PRIVATE/OTHER	8.5%	6.5%	2.2%	3.8%
MEDICAID RATE BREAKDOWN:	2014	2013	2012	
RHCF				
OPERATING	\$234.40	\$226.53	\$228.23	
CAPITAL	5.83	6.21	2.38	
TOTAL	\$240.23	\$232.74	\$230.61	
Vent				
OPERATING	\$623.55	\$623.55	\$625.23	
CAPITAL	6.14	6.67	3.47	
TOTAL	\$629.69	\$630.22	\$628.70	

Pro Forma Balance Sheet

<u>Assets</u>	
Current Assets	
Working Capital	\$ 6,626,828
Intangible Assets	\$ 25,500,000
TOTAL:	\$32,126,828
<u>Liabilities</u>	
Current Liabilities	
Acquisition Loan	\$ 19,125,000
Working Capital Loan	\$ 3,313,414
TOTAL:	\$22,438,414
<u>Members' Equity</u>	
Members' Equity	\$9,688,414
TOTAL:	\$32,126,828

CON 132128-DOJ Operations Associates, LLC

BFA Attachment D

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
<u>Bronx Center for Rehab</u>				
Current Assets	\$4,877,908	\$5,392,431	\$6,256,098	\$6,263,004
Fixed Assets	<u>9,870,262</u>	<u>14,634,445</u>	<u>12,735,436</u>	<u>9,702,027</u>
Total Assets	\$14,748,170	\$20,026,876	\$18,991,534	\$15,965,031
Current Liabilities	5,206,604	9,046,826	7,998,973	5,820,823
Long Term Liabilities	<u>6,811,121</u>	<u>6,363,162</u>	<u>6,532,393</u>	<u>6,130,265</u>
Total Liabilities	12,017,725	15,409,988	14,531,366	11,951,088
Net Assets	\$2,730,445	\$4,610,888	\$4,460,168	\$4,013,943
Working Capital Position	(\$328,696)	(\$3,654,395)	(\$276,295)	\$132,739
Operating Revenues	\$25,701,968	\$24,772,862	\$24,502,663	\$22,779,108
Operating Expenses	<u>25,088,409</u>	<u>23,726,611</u>	<u>23,176,178</u>	<u>21,703,744</u>
Operating Net Income	\$613,559	\$1,046,251	\$1,326,485	\$1,075,364
<u>Brooklyn Center</u>				
Current Assets	\$7,181,721	\$11,293,652	\$13,156,081	\$7,832,828
Fixed Assets	<u>9,607,165</u>	<u>9,939,545</u>	<u>9,803,175</u>	<u>9,874,742</u>
Total Assets	\$16,788,886	\$21,233,197	\$22,959,256	\$17,707,570
Current Liabilities	5,556,925	9,876,330	10,696,386	7,509,884
Long Term Liabilities	<u>7,254,964</u>	<u>7,217,288</u>	<u>7,592,117</u>	<u>8,063,432</u>
Total Liabilities	12,811,889	17,093,618	18,288,503	15,573,316
Net Assets	\$3,976,997	\$4,139,579	\$4,670,753	\$2,134,254
Working Capital Position	(\$73,243)	\$4,076,364	\$5,563,964	(\$230,604)
Operating Revenues	\$24,080,204	\$24,020,879	\$24,671,172	\$21,642,381
Operating Expenses	<u>22,242,787</u>	<u>22,274,805</u>	<u>21,042,900</u>	<u>20,193,034</u>
Operating Net Income	\$1,837,417	\$1,746,074	\$3,628,272	\$1,449,347
<u>Dutchess Center for Rehab</u>				
Current Assets	\$3,467,015	\$3,182,448	\$2,745,368	\$2,560,144
Fixed Assets	<u>6,372,558</u>	<u>6,745,494</u>	<u>6,790,704</u>	<u>6,940,464</u>
Total Assets	\$9,839,573	\$9,927,942	\$9,536,072	\$9,500,608
Current Liabilities	4,433,280	4,789,691	4,156,100	4,094,458
Long Term Liabilities	<u>3,942,829</u>	<u>4,227,461</u>	<u>4,108,591</u>	<u>3,908,329</u>
Total Liabilities	8,376,109	9,017,152	8,264,691	8,002,787
Net Assets	1,463,464	910,790	1,271,381	1,497,821
Working Capital Position	(\$475,814)	(\$1,045,013)	(\$1,363,223)	(\$1,348,185)
Operating Revenues	\$12,354,585	\$11,224,776	\$11,949,982	\$11,047,321
Operating Expenses	<u>\$11,451,906</u>	<u>\$10,952,097</u>	<u>10,875,881</u>	<u>10,327,576</u>
Operating Net Income	\$902,679	\$272,679	\$1,074,101	\$719,745

CON 132128-DOJ Operations Associates, LLC

BFA Attachment D

<u>Queens Center for Rehab</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$4,045,908	\$3,955,870	\$4,869,649	\$4,557,320
Fixed Assets	<u>15,172,581</u>	<u>15,051,123</u>	<u>13,986,342</u>	<u>14,321,261</u>
Total Assets	\$19,218,489	\$19,006,993	\$18,855,991	\$18,878,581
Current Liabilities	6,409,471	7,335,873	7,330,023	5,626,101
Long Term Liabilities	<u>8,776,060</u>	<u>8,576,164</u>	<u>8,699,469</u>	<u>9,457,373</u>
Total Liabilities	15,185,531	15,912,037	16,029,492	15,083,474
Net Assets	\$4,032,958	\$3,094,956	\$2,826,499	\$3,795,107
Working Capital Position	(\$4,730,152)	(\$4,620,294)	(\$3,829,820)	(\$4,900,053)
Operating Revenues	\$23,175,423	\$21,937,707	\$21,718,072	\$21,772,890
Operating Expenses	<u>22,556,999</u>	<u>21,378,098</u>	<u>21,144,109</u>	<u>21,023,576</u>
Operating Net Income	\$618,424	\$559,609	\$573,963	\$749,314

<u>University Nursing Home</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$1,170,522	\$1,155,486	\$1,089,358	\$1,204,602
Fixed Assets	<u>3,433,250</u>	<u>3,574,727</u>	<u>3,345,220</u>	<u>3,215,546</u>
Total Assets	\$4,603,772	\$4,730,213	\$4,434,578	\$4,420,148
Current Liabilities	2,830,025	3,098,814	1,431,433	1,435,395
Long Term Liabilities	<u>763,185</u>	<u>618,699</u>	<u>607,499</u>	<u>587,089</u>
Total Liabilities	3,593,210	3,717,513	2,038,932	2,022,484
Net Assets	\$1,010,562	\$1,012,700	\$2,395,646	\$2,397,664
Working Capital Position	\$407,337	\$536,787	\$481,859	\$617,513
Operating Revenues	\$4,719,257	\$4,162,776	\$4,570,280	\$4,104,016
Operating Expenses	<u>4,421,394</u>	<u>4,045,722</u>	<u>3,990,872</u>	<u>3,915,054</u>
Operating Net Income	\$297,863	\$117,054	\$579,408	\$188,962

<u>Williamsbridge Manor</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$2,662,838	\$3,613,489	\$2,426,546	\$1,817,854
Fixed Assets	<u>1,723,218</u>	<u>2,067,949</u>	<u>2,123,875</u>	<u>2,295,783</u>
Total Assets	\$4,386,056	\$5,681,438	\$4,550,421	\$4,113,637
Current Liabilities	1,984,277	3,389,917	2,569,777	1,707,701
Long Term Liabilities	<u>2,380,054</u>	<u>1,969,870</u>	<u>1,968,878</u>	<u>2,104,478</u>
Total Liabilities	4,364,331	5,359,787	4,538,655	3,812,179
Net Assets	\$21,725	\$321,651	\$11,766	\$301,458
Working Capital Position	\$282,784	\$1,643,619	\$457,668	(\$286,624)
Operating Revenues	\$8,192,064	\$7,594,760	\$7,507,990	\$7,971,179
Operating Expenses	<u>7,491,991</u>	<u>7,197,505</u>	<u>7,316,472</u>	<u>7,878,867</u>
Operating Net Income	\$700,073	\$397,255	\$191,518	\$92,312

CON 132128-DOJ Operations Associates, LLC**BFA Attachment D****Bushwick Center for Rehab****2013****2012**

Current Assets	\$7,779,981	\$6,886,728
Fixed Assets	<u>21,640,379</u>	<u>23,116,997</u>
Total Assets	\$29,420,360	\$30,003,725
Current Liabilities	15,024,867	15,488,253
Long Term Liabilities	<u>11,389,441</u>	<u>11,909,717</u>
Total Liabilities	\$26,414,308	\$27,397,970
Net Assets	\$3,006,052	\$2,605,755
Working Capital Position	(\$7,244,886)	(\$8,601,525)
Operating Revenues	\$28,665,533	\$28,164,499
Operating Expenses	<u>28,265,241</u>	<u>27,582,741</u>
Operating Net Income	\$400,292	\$581,758

Rome Center for Rehab**2013****2012**

Current Assets	\$4,397,048	\$3,635,862
Fixed Assets	<u>3,137,926</u>	<u>2,841,577</u>
Total Assets	\$7,534,974	\$6,477,439
Current Liabilities	3,644,895	3,963,324
Long Term Liabilities	<u>937,082</u>	<u>863,839</u>
Total Liabilities	\$4,581,977	\$4,827,163
Net Assets	\$2,952,997	\$1,650,276
Working Capital Position	\$752,153	(\$327,462)
Operating Revenues	\$13,497,937	\$12,921,227
Operating Expenses	<u>12,195,213</u>	<u>12,685,756</u>
Operating Net Income	\$1,302,724	\$235,471

Chittenango Center for Rehab**2013****2012**

Current Assets	\$2,539,764	\$1,645,383
Fixed Assets	<u>1,748,492</u>	<u>1,226,850</u>
Total Assets	\$4,288,256	\$2,872,233
Current Liabilities	2,755,796	2,280,785
Long Term Liabilities	<u>279,322</u>	<u>306,980</u>
Total Liabilities	\$3,035,118	\$2,587,765
Net Assets	\$1,253,138	\$204,400
Working Capital Position	(\$216,032)	(\$635,402)
Operating Revenues	\$7,730,247	\$6,843,639
Operating Expenses	<u>6,761,578</u>	<u>6,910,905</u>
Operating Net Income	\$968,669	(\$67,266)

Boro Park Center for Rehab

	<u>2013</u>	<u>2012</u>
Current Assets	\$20,358,560	\$14,874,266
Fixed Assets	<u>56,501,868</u>	<u>53,756,408</u>
Total Assets	\$76,860,428	\$68,630,674
Current Liabilities	23,358,485	18,768,440
Long Term Liabilities	<u>39,869,862</u>	<u>38,305,181</u>
Total Liabilities	\$63,228,347	\$57,073,621
Net Assets	\$13,632,081	\$11,557,053
Working Capital Position	(\$2,999,925)	(\$3,894,174)
Operating Revenues	\$78,023,782	\$54,943,769
Operating Expenses	<u>70,948,759</u>	<u>52,575,737</u>
Operating Net Income	\$7,075,023	\$2,368,032

Waterfront Healthcare Center

	<u>2013</u>
Current Assets	\$3,529,519
Fixed Assets	<u>3,011,103</u>
Total Assets	\$6,540,622
Current Liabilities	142
Long Term Liabilities	<u>1,146,122</u>
Total Liabilities	\$1,146,264
Net Assets	\$5,394,358
Working Capital Position	\$3,529,377
Operating Revenues	\$13,314,593
Operating Expenses	<u>11,898,938</u>
Operating Net Income	\$1,415,655

Fulton Center for Rehabilitation

	<u>2013</u>
Current Assets	\$2,370,348
Fixed Assets	<u>3,474,961</u>
Total Assets	\$5,845,309
Current Liabilities	2,064,174
Long Term Liabilities	<u>2,600,046</u>
Total Liabilities	\$4,664,220
Net Assets	\$1,181,089
Working Capital Position	\$306,174
Operating Revenues	\$13,597,532
Operating Expenses	<u>12,839,601</u>
Operating Net Income	\$757,931

<u>Richmond Center for Rehab</u>	<u>2013</u>
Current Assets	\$9,106,082
Fixed Assets	<u>22,153,515</u>
Total Assets	\$31,259,597
Current Liabilities	8,562,209
Long Term Liabilities	<u>18,001,410</u>
Total Liabilities	\$26,563,619
Net Assets	\$4,695,978
Working Capital Position	\$543,873
Operating Revenues	\$45,839,367
Operating Expenses	<u>44,163,285</u>
Operating Net Income	\$1,676,082

Mortgage Table-Daughters of Jacob

Principal	\$19,125,000
Rate	5.00%
Years	25
Payment	\$111,803
Annual Pmt.	\$1,341,634

Years	Interest	Amortization	Ending Balance	Total Payment
			\$19,125,000	
1	\$947,294	\$394,340	\$18,730,660	\$1,341,634
2	927,119	414,515	18,316,145	1,341,634
3	905,912	435,722	17,880,423	1,341,634
4	883,620	458,015	17,422,409	1,341,634
5	860,187	481,447	16,940,961	1,341,634
6	835,555	506,079	16,434,882	1,341,634
7	809,663	531,971	15,902,911	1,341,634
8	782,446	559,188	15,343,723	1,341,634
9	753,837	587,797	14,755,926	1,341,634
10	723,764	617,870	14,138,056	1,341,634
11	692,153	649,481	13,488,575	1,341,634
12	658,924	682,710	12,805,865	1,341,634
13	623,996	717,639	12,088,226	1,341,634
14	587,280	754,354	11,333,872	1,341,634
15	548,686	792,949	10,540,923	1,341,634
16	508,117	833,517	9,707,406	1,341,634
17	465,472	876,162	8,831,244	1,341,634
18	420,646	920,988	7,910,256	1,341,634
19	373,527	968,107	6,942,149	1,341,634
20	323,997	1,017,637	5,924,512	1,341,634
21	271,932	1,069,702	4,854,810	1,341,634
22	217,204	1,124,430	3,730,380	1,341,634
23	159,677	1,181,958	2,548,423	1,341,634
24	99,205	1,242,429	1,305,994	1,341,634
25	35,640	1,305,994	0	1,341,634

DOJ Operations Associates LLC-CON # 132128-E

BFA Attachment F

<u>Budget Sensitivity</u>	<u>RHCF</u>		<u>VENT</u>		<u>Revised Vent</u>	
<u>Payor</u>	<u>Revised Budgeted Days</u> <u>based on 6/30/2014</u>	<u>Per Diem</u>	<u>Revised RHCF</u> <u>Revenues</u>	<u>Revised</u> <u>Budgeted Days</u>	<u>Per Diem</u>	<u>Revenues</u>
Medicaid	116,891	\$240.23	\$28,080,725	6587	\$629.69	\$4,147,768
Medicare	8,501	\$574.57	\$4,884,420	1310	\$725.17	\$949,973
Private Pay	<u>5,188</u>	\$495.00	<u>\$2,568,060</u>	<u>184</u>	\$750.00	<u>\$138,000</u>
	130,580		\$35,533,205	8081		\$5,235,741

Total revised RHCF and Vent revenues based on 6/30/2014 utilization \$40,768,945

Total Revenues as budgeted for first year 41,432,163

Decrease in Budgeted Revenues (\$663,218)

	<u>Budgeted Days</u>	<u>Revised Budgeted Days</u>
MA-RHCF	119,632	116,891
MC-RHCF	8,700	8,501
PP/Other-RHCF	5,310	5,188
MA-Vent	6,741	6,587
MC-Vent	1,341	1,310
PP/Other-Vent	<u>188</u>	<u>184</u>
Totals	141,912	138,660

Note: Revised budgeted days are based on June 2014 utilization and broken down by payor category based on submitted budgets.

Note: Medicaid rates are current 2014 rates.

Daughters of Jacob Nursing Home Company Inc

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 28.9% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.6% This Facility 1.3% State average 1.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 39.4% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 35.4% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.9% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 29.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 6.8% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 14.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.6% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 35.7% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.6% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.6% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 13.7% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 1.7% State average 1.3% National average</p>	<p> 2 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 89.2% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 83.6% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 18.4% State average 20.3% National average</p>	<p> 5 out of 5 stars</p>

Daughters of Jacob Nursing Home Company Inc

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1249

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

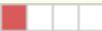
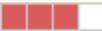
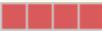
Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	25	23
Life Safety Code Deficiencies	23	11
Total Deficiencies	48	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

Details of Certification and Complaint Surveys

Key to understanding citations and surveys:

-  Potential for no more than Minimal Harm
-  Potential for more than Minimal Harm
-  Actual Harm
-  Immediate Jeopardy
-  Isolated
-  Pattern
-  Widespread

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

May 6, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
CORRIDORS HAVE FIRMLY SECURED HANDRAILS 			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN 			<input type="checkbox"/>	June 20, 2014

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
AUTOMATIC SPRINKLER SYSTEM 			<input type="checkbox"/>	n/a
CORRIDOR DOORS 			<input type="checkbox"/>	n/a

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
EXIT ACCESS			<input type="checkbox"/>	n/a
FLAMMABLE FURNISHINGS			<input type="checkbox"/>	n/a
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	n/a
PORTABLE FIRE EXTINGUISHERS			<input type="checkbox"/>	n/a
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	n/a

Boro Park Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 12.6% This Facility 14.4% State average 19.1% National average	 3 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.6% This Facility 1.3% State average 1.1% National average	 4 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 54.1% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 91.2% This Facility 84.0% State average 82.4% National average	 3 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.1% This Facility 2.4% State average 2.5% National average	 2 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 12.3% This Facility 14.1% State average 15.7% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 10.8% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p>🔍 Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.7% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 50.8% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.6% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.4% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 49.0% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>🔍 Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.1% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.6% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>

<p> Were given, appropriately, the seasonal influenza vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>88.2% This Facility</p> <p>94.5% State average</p> <p>92.9% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>98.5% This Facility</p> <p>96.8% State average</p> <p>94.2% National average</p>	<p></p> <p>3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>15.1% This Facility</p> <p>18.4% State average</p> <p>20.3% National average</p>	<p></p> <p>3 out of 5 stars</p>

Boro Park Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1403

Regional Office: [MARO--New York City Area](#)

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	17	23
Life Safety Code Deficiencies	23	11
Total Deficiencies	40	33
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	3%	3%

May 14, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	May 30, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	May 30, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Bronx Center for Rehabilitation & Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 3.6% This Facility 14.4% State average 19.1% National average	 5 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average	 4 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 81.8% This Facility 83.3% State average 83.1% National average	 2 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 85.8% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.8% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.4% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 57.7% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.8% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.3% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 20.7% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.6% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.8% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.4% This Facility 96.8% State average 94.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 34.0% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Bronx Center for Rehabilitation & Health Care

Inspection Report

Report Period: August 2010 to September 2014

PFI: 1251

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	20	23
Life Safety Code Deficiencies	16	11
Total Deficiencies	36	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

January 17, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
DISPOSE GARBAGE AND REFUSE PROPERLY 			<input type="checkbox"/>	March 1, 2014
FACILITY IS FREE OF ACCIDENT HAZARDS 			<input type="checkbox"/>	March 1, 2014
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS 			<input type="checkbox"/>	March 1, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN 			<input type="checkbox"/>	March 1, 2014
PROPER LABELING OF DRUGS AND BIOLOGICALS 			<input type="checkbox"/>	March 1, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
GENERATORS INSPECTED/TESTED			<input type="checkbox"/>	March 1, 2014
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	March 1, 2014
VENTILATING EQUIPMENT			<input type="checkbox"/>	March 1, 2014
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	March 1, 2014
PERTINENT TECHNICAL STANDARDS			<input type="checkbox"/>	March 1, 2014
PHYSICAL ENVIRONMENT			<input type="checkbox"/>	March 1, 2014

Brooklyn Center for Rehabilitation and Residential Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 11.6% This Facility 14.4% State average 19.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 44.6% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 44.2% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 7.9% This Facility 2.4% State average 2.5% National average</p>	<p> 1 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.6% This Facility 5.7% State average 8.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.8% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.7% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 20.4% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.8% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 74.6% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.4% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 87.7% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 76.9% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 23.9% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Brooklyn Center for Rehabilitation and Residential Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1395

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	11	23
Life Safety Code Deficiencies	9	11
Total Deficiencies	20	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

January 31, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	March 13, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Bushwick Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 7.2% This Facility 14.4% State average 19.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 67.4% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 77.4% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 4.4% This Facility 2.4% State average 2.5% National average</p>	<p> 1 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 11.9% This Facility 14.1% State average 15.7% National average</p>	<p> 3 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 13.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.6% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 23.0% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.2% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 35.9% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.4% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.6% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 95.0% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 16.3% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Bushwick Center for Rehabilitation and Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 4037

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	19	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	34	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

April 10, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC. 			<input type="checkbox"/>	June 6, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS 			<input type="checkbox"/>	June 6, 2014

January 24, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	March 24, 2014
PHARMACY SERVICES			<input type="checkbox"/>	March 24, 2014
PHYSICAL ENVIRONMENT			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	March 24, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	March 24, 2014
FIRE DRILLS			<input type="checkbox"/>	March 24, 2014

Chittenango Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 11.0% This Facility 14.4% State average 19.1% National average	 3 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 83.6% This Facility 83.3% State average 83.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 81.5% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 16.7% This Facility 14.1% State average 15.7% National average</p>	<p> 2 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.3% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 58.3% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 68.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 5.5% This Facility 1.7% State average 1.3% National average</p>	<p> 1 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 5.5% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 99.4% This Facility 94.5% State average 92.9% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 88.5% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 15.9% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Chittenango Center for Rehabilitation and Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0403

Regional Office: [Central New York Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	68	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	75	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	2	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	3%	3%

August 7, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
ADL CARE PROVIDED FOR DEPENDENT RESIDENTS			<input type="checkbox"/>	n/a
HOUSEKEEPING AND MAINTENANCE SERVICES			<input type="checkbox"/>	n/a
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	n/a
SUFFICIENT NURSING STAFF ON A 24-HOUR BASIS			<input type="checkbox"/>	n/a
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	n/a
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	n/a
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	n/a
FACILITY PROVIDES SUFFICIENT FLUID INTAKE			<input type="checkbox"/>	n/a

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	n/a
SELF-DETERMINATION - RESIDENT MAKES CHOICES			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	n/a

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
CORRIDOR DOORS			<input type="checkbox"/>	n/a

Corning Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 22.5% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.9% This Facility 1.3% State average 1.1% National average	 3 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 65.1% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 61.5% This Facility	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

84.0% State average 82.4% National average	
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.9% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 21.1% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 13.8% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 12.5% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 58.2% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.2% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 3.7% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 66.3% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 1.7% State average 1.3% National average</p>	<p> 2 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.3% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 91.3% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 96.0% This Facility 96.8% State average 94.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 23.9% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Corning Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0867

Regional Office: [WRO--Rochester Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	59	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	66	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

April 25, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
ORGANIZATION AND ADMINISTRATION 			<input type="checkbox"/>	June 18, 2014
SUFFICIENT NURSING STAFF ON A 24-HOUR BASIS 			<input type="checkbox"/>	June 18, 2014
ACCOMMODATION OF NEEDS AND PREFERENCES 			<input type="checkbox"/>	June 18, 2014
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS 			<input type="checkbox"/>	June 18, 2014
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM 			<input type="checkbox"/>	June 18, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE 			<input type="checkbox"/>	June 18, 2014
PROPER LABELING OF DRUGS AND BIOLOGICALS 			<input type="checkbox"/>	June 18, 2014

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	June 18, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	June 18, 2014
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	June 18, 2014
NURSE STAFFING			<input type="checkbox"/>	June 18, 2014
PASARR REQUIREMENTS FOR MI AND MR			<input type="checkbox"/>	June 18, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	June 18, 2014
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	June 18, 2014

Delaware Nursing & Rehabilitation Center

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 8.0% This Facility 14.4% State average 19.1% National average	 4 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 5.1% This Facility 1.3% State average 1.1% National average	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 59.3% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 60.7% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 12.3% This Facility 14.1% State average 15.7% National average</p>	<p> 3 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 5.7% State average 8.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 12.2% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 46.2% This Facility 44.5% State average 44.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.9% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 8.2% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 88.6% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.0% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.5% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.4% This Facility 96.8% State average 94.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 14.2% This Facility 18.4% State average 20.3% National average</p>	<p> 4 out of 5 stars</p>

Delaware Nursing & Rehabilitation Center

Inspection Report

Report Period: October 2010 to September 2014

PFI: 3012

Regional Office: [WRO--Buffalo Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	31	23
Life Safety Code Deficiencies	36	11
Total Deficiencies	67	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

June 13, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
ESSENTIAL EQUIPMENT IN SAFE OPERATING CONDITION			<input type="checkbox"/>	n/a
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	n/a
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	n/a
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	n/a
DIGNITY			<input type="checkbox"/>	n/a
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	n/a
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	n/a
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	n/a

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
RESIDENT RECEIVES NOTICE BEFORE ROOM/ROOMMATE CHANGE			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	n/a

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
COOKING EQUIPMENT			<input type="checkbox"/>	n/a
CORRIDOR DOORS			<input type="checkbox"/>	n/a
CORRIDOR WALLS			<input type="checkbox"/>	n/a
DOORS IN FIRE AND SMOKE PARTITIONS			<input type="checkbox"/>	n/a
EMERGENCY PLAN			<input type="checkbox"/>	n/a
EXIT ACCESS			<input type="checkbox"/>	n/a
FURNISHING AND DECORATIONS			<input type="checkbox"/>	n/a
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	n/a
MEDICAL GAS SYSTEM			<input type="checkbox"/>	n/a
OTHER			<input type="checkbox"/>	n/a
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	n/a
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	n/a
STAIRS AND SMOKE PROOF TOWERS			<input type="checkbox"/>	n/a

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STAIRWAY ENCLOSURES AND VERTICAL SHAFTS			<input type="checkbox"/>	n/a
TESTING OF FIRE ALARM			<input type="checkbox"/>	n/a
WASTEBASKETS			<input type="checkbox"/>	n/a

Dutchess Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 21.2% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.4% This Facility 1.3% State average 1.1% National average	 2 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 66.4% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 60.8% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.7% This Facility 2.4% State average 2.5% National average	 3 out of 5 stars
Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 28.2% This Facility 14.1% State average 15.7% National average	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 8.6% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 2.4% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 39.1% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 6.0% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 5.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 22.7% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.8% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.6% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 93.9% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 24.4% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Dutchess Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0189
 Regional Office: [MARO--New Rochelle Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	43	23
Life Safety Code Deficiencies	24	11
Total Deficiencies	67	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

August 22, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	September 3, 2014
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	September 3, 2014
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	September 3, 2014
LINEN AND TRASH CHUTES			<input type="checkbox"/>	September 3, 2014

Essex Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 19.0% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average	 5 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 71.1% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 56.0% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 35.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 12.7% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.0% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 6.3% State average 7.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 31.5% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.0% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 18.7% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.8% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.8% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 90.4% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 90.8% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 20.2% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

The following measure(s) are not applicable to this nursing home or there were **too few cases** to create a meaningful report:

- Newly received an antipsychotic medication

Essex Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October to September 2014

PFI: 0305

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	33	23
Life Safety Code Deficiencies	6	11
Total Deficiencies	39	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

• **August 12, 2014 Complaint Survey**

• **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	August 22, 2014

February 28, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
APPROPRIATE TREATMENT FOR MENTAL/PSYCHOSOCIAL DIFFICULTIES			<input type="checkbox"/>	March 28, 2014
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	March 28, 2014

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	March 28, 2014
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input type="checkbox"/>	March 28, 2014

August 22, 2013 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	October 20, 2013
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	October 20, 2013
PHYSICIAN RESPONSIBILITIES DURING VISITS			<input type="checkbox"/>	October 20, 2013
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	October 20, 2013
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	October 20, 2013
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	October 20, 2013
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input type="checkbox"/>	October 20, 2013
CRIMINAL HISTORY RECORD CHECK REQUIRED NOTIFICATION TO DOH PER CESSATION OF EMPLOYMENT			<input type="checkbox"/>	October 20, 2013

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	October 20, 2013
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	October 20, 2013

Fulton Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p>Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 28.4% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.7% This Facility 1.3% State average 1.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 69.9% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 58.8% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p>Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.2% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>
<p>Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 19.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 7.1% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.3% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.6% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 24.6% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 39.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 97.6% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 87.4% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 32.2% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Fulton Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0337

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	88	23
Life Safety Code Deficiencies	4	11
Total Deficiencies	92	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	18	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	20%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **July 31, 2014 Complaint Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DIGNITY			<input type="checkbox"/>	September 12, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	September 12, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	September 12, 201

March 24, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	April 30, 2014
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	April 30, 2014
INFECTION CONTROL			<input type="checkbox"/>	April 30, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	April 30, 2014
STANDARDS OF CONSTRUCTION FOR NEW EXISTING NURSING HOME			<input type="checkbox"/>	April 30, 2014
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	April 30, 2014
INFLUENZA AND PNEUMOCOCCAL IMMUNIZATION			<input type="checkbox"/>	April 30, 2014
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	April 30, 2014

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
RESIDENT MAINTAIN NUTRITIONAL STATUS UNLESS UNAVOIDABLE			<input type="checkbox"/>	April 30, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	April 30, 2014

Standard Life Safety Code Inspection

No Deficiencies

November 21, 2013 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input checked="" type="checkbox"/>	December 27, 2013
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input checked="" type="checkbox"/>	December 27, 2013
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input checked="" type="checkbox"/>	December 27, 2013
FACILITY ADMINISTERED EFFECTIVELY TO OBTAIN HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	December 27, 2013
FACILITY MAINTAINS QA COMMITTEE			<input type="checkbox"/>	December 27, 2013
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	December 27, 2013
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	December 27, 2013
FACILITY PROHIBITS ABUSE, NEGLIGENCE			<input type="checkbox"/>	December 27, 2013

Holliswood Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 0.8% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.7% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 39.1% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 89.8% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.7% This Facility 2.4% State average 2.5% National average</p>	<p> 2 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 22.3% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.2% This Facility 5.7% State average 8.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 10.6% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 57.5% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 0.9% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.8% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 93.0% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.5% This Facility 1.7% State average 1.3% National average</p>	<p> 2 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 2.1% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 85.1% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 97.5% This Facility 96.8% State average 94.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 25.5% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Holliswood Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1712

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	9	23
Life Safety Code Deficiencies	12	11
Total Deficiencies	21	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

June 30, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS 			<input type="checkbox"/>	July 23, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
EMERGENCY PLAN			<input type="checkbox"/>	n/a
OTHER			<input type="checkbox"/>	n/a

Queens Center for Rehabilitation & Residential Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay)</p> <p>Reporting period: April 2013 to March 2014</p> <p>22.1% This Facility 14.4% State average 19.1% National average</p>	<p></p> <p>2 out of 5 stars</p>
<p> Have pressure sores that are new or worsened</p> <p>Reporting period: April 2013 to March 2014</p> <p>0.1% This Facility 1.3% State average 1.1% National average</p>	<p></p> <p>5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay)</p> <p>Reporting period: April 2013 to March 2014</p> <p>90.7% This Facility 83.3% State average 83.1% National average</p>	<p></p> <p>3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine</p> <p>Reporting period: April 2013 to March 2014</p> <p>87.7% This Facility 84.0% State average 82.4% National average</p>	<p></p> <p>3 out of 5 stars</p>
<p> Newly received an antipsychotic medication</p> <p>Reporting period: April 2013 to March 2014</p> <p>1.7% This Facility 2.4% State average 2.5% National average</p>	<p></p> <p>3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>14.8% This Facility 14.1% State average 15.7% National average</p>	<p></p> <p>3 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 8.2% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.1% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.4% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 56.0% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.1% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 19.4% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.9% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.1% This Facility 96.8% State average 94.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 19.8% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Queens Center for Rehabilitation & Residential Health Care

Inspection Report Report Period: October 2010 to September 2014

PFI: 1675
 Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	15	23
Life Safety Code Deficiencies	17	11
Total Deficiencies	28	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	4%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **August 29, 2014 Certification Survey**
- **Standard Health Inspection**

No Deficiencies

- **Standard Life Safety Code Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FIRE ALARM SYSTEM OUTAGE REQUIREMENTS			<input type="checkbox"/>	n/a
EXIT ACCESS			<input type="checkbox"/>	n/a
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
STANDARDS OF CONSTRUCTION FOR NEW EXISTING NURSING HOME			<input type="checkbox"/>	n/a

Richmond Center for Rehabilitation and Specialty Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 22.8% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.7% This Facility 1.3% State average 1.1% National average	 2 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 50.9% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 45.6% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.7% This Facility 2.4% State average 2.5% National average</p>	<p> 2 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 10.3% This Facility 14.1% State average 15.7% National average</p>	<p> 4 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 5.8% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 19.0% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.5% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 27.4% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 6.6% This Facility 5.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>

<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 9.7% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.0% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.8% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 88.1% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 44.8% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Richmond Center for Rehabilitation and Specialty Healthcare

Inspection Report Report Period: October 2010 to September 2014

PFI: 4823
 Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	33	23
Life Safety Code Deficiencies	10	11
Total Deficiencies	43	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	11	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	26%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **July 21, 2014 Complaint Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	August 29, 2014
PROPER NOTICE BEFORE TRANSFER/DISCHARGE OF RESIDENT			<input type="checkbox"/>	August 29, 2014
RESIDENT INFORMED OF HEALTH STATUS AND MEDICAL CONDITION			<input type="checkbox"/>	August 29, 2014

April 4, 2014 Certification Survey
Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
ELECTRICAL SYSTEMS			<input type="checkbox"/>	n/a

Rome Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p>Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 19.5% This Facility 14.4% State average 19.1% National average</p>	<p> 2 out of 5 stars</p>
<p>Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 51.6% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 37.7% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p>Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 2.3% This Facility 2.4% State average 2.5% National average</p>	<p> 2 out of 5 stars</p>
<p>Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 18.8% This Facility 14.1% State average 15.7% National average</p>	<p> 2 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p>Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 5.2% This Facility 5.7% State average 8.0% National average</p>	<p> 3 out of 5 stars</p>
<p>Have pressure sores (long stay) Reporting period: July 2013 to March 2014 9.0% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p>Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.8% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p>Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 37.7% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p>Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.6% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.3% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p>Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 44.3% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p>Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.2% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 89.1% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 72.7% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 17.8% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Rome Center for Rehabilitation and Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0593

Regional Office: [Central New York Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	51	23
Life Safety Code Deficiencies	5	11
Total Deficiencies	56	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

May 30, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	July 28, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	July 28, 2014
SELF-DETERMINATION - RESIDENT MAKES CHOICES			<input type="checkbox"/>	July 28, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	July 28, 2014

March 3, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	April 28, 2014
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	April 28, 2014
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	April 28, 2014
FACILITY PROVIDES SUFFICIENT FLUID INTAKE			<input type="checkbox"/>	April 28, 2014
MEDICALLY RELATED SOCIAL SERVICES			<input type="checkbox"/>	April 28, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	April 28, 2014
PHYSICIAN RESPONSIBILITIES DURING VISITS			<input type="checkbox"/>	April 28, 2014
RESIDENT INFORMED OF HEALTH STATUS AND MEDICAL CONDITION			<input type="checkbox"/>	April 28, 2014

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
RESIDENTS RIGHT TO BE FREE FROM ABUSE			<input type="checkbox"/>	April 28, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	April 28, 2014
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	April 28, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	April 28, 2014
SMOKING REGULATIONS			<input type="checkbox"/>	April 28, 2014

Steuben Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay)</p> <p>Reporting period: April 2013 to March 2014</p> <p>32.2% This Facility</p> <p>14.4% State average</p> <p>19.1% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Have pressure sores that are new or worsened</p> <p>Reporting period: April 2013 to March 2014</p> <p>1.6% This Facility</p> <p>1.3% State average</p> <p>1.1% National average</p>	<p></p> <p>2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay)</p> <p>Reporting period: April 2013 to March 2014</p> <p>97.1% This Facility</p> <p>83.3% State average</p> <p>83.1% National average</p>	<p></p> <p>5 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 99.6% This Facility 84.0% State average 82.4% National average</p>	<p> 5 out of 5 stars</p>
<p>Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p>Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>
<p>Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p>Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p>Lose too much weight (long stay) Reporting period: July 2013 to March 2014 4.2% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p>Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 49.6% This Facility 44.5% State average 44.1% National average</p>	<p> 3 out of 5 stars</p>
<p>Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p>Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p>Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 29.8% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.8% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.8% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 94.5% State average 92.9% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 20.1% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Steuben Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0875

Regional Office: [WRO--Rochester Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	31	23
Life Safety Code Deficiencies	8	11
Total Deficiencies	39	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

April 18, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY PROHIBITS ABUSE, NEGLECT			<input type="checkbox"/>	June 6, 2014

November 29, 2013 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	January 3, 2014

October 25, 2013 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	December 6, 2013
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	December 6, 2013
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	December 6, 2013
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	December 24, 2013
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	December 6, 2013
RESIDENT CALL SYSTEM			<input type="checkbox"/>	December 6, 2013

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CORRIDOR WALLS			<input type="checkbox"/>	December 6, 2013
MEDICAL GAS SYSTEM			<input type="checkbox"/>	December 6, 2013
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	December 24, 2013
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	December 24, 2013
SPACE HEATERS			<input type="checkbox"/>	December 6, 2013

University Nursing Home

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 4.3% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 61.5% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 87.1% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 16.7% This Facility 14.1% State average 15.7% National average</p>	<p> 2 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 3.6% This Facility 5.7% State average 8.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 7.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 13.6% This Facility 6.3% State average 7.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 29.8% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.4% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 74.1% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.4% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.3% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 41.8% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

University Nursing Home

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1244

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	18	23
Life Safety Code Deficiencies	19	10
Total Deficiencies	37	33
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

December 26, 2013 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
GENERATORS INSPECTED/TESTED			<input type="checkbox"/>	January 29, 2014
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	January 29, 2014

Washington Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 24.7% This Facility 14.4% State average 19.1% National average	 1 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.4% This Facility 1.3% State average 1.1% National average	 2 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 98.1% This Facility 83.3% State average 83.1% National average	 5 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 98.4% This Facility 84.0% State average 82.4% National average	 5 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average	 5 out of 5 stars
Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 23.4% This Facility 14.1% State average 15.7% National average	 1 out of 5 stars

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p>Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 5.7% State average 8.0% National average</p>	<p> 3 out of 5 stars</p>
<p>Have pressure sores (long stay) Reporting period: July 2013 to March 2014 4.1% This Facility 7.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p>Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.6% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p>Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 27.5% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p>Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.3% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 12.5% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p>Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 43.9% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>
<p>Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 94.5% State average 92.9% National average</p>	<p> 5 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 15.6% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Washington Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1026

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	72	23
Life Safety Code Deficiencies	10	11
Total Deficiencies	82	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	18	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	22%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **August 1, 2014 Certification Survey**
- **Standard Health Inspection**

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
NOT EMPLOY PERSONS GUILTY OF ABUSE 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input checked="" type="checkbox"/>	September 19, 2014
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014
FACILITY MAINTAINS QA COMMITTEE 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014
	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014
CRIMINAL HISTORY RECORD CHECK TEMPORARY APPROVAL PENDING RESULTS/ SUPERVISION REQUIRED 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014
DEVELOP COMPREHENSIVE CARE PLANS 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014
PROPER TREATMENT TO PREVENT/HEAL PRESSURE SORES 	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	September 19, 2014

- **Standard Life Safety Code Inspection**

No Deficiencies

Waterfront Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 9.1% This Facility 14.4% State average 19.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 22.6% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 34.5% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.6% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 24.4% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.5% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.4% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 63.3% This Facility 44.5% State average 44.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 3.3% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 91.2% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 72.8% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 80.3% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 11.9% This Facility 18.4% State average 20.3% National average</p>	<p> 4 out of 5 stars</p>

Waterfront Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0254

Regional Office: [WRO--Buffalo Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	71	23
Life Safety Code Deficiencies	18	11
Total Deficiencies	89	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	2	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

March 20, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	May 15, 2014

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	May 15, 2014
ADL CARE PROVIDED FOR DEPENDENT RESIDENTS			<input type="checkbox"/>	May 15, 2014
DENTAL SERVICES			<input type="checkbox"/>	May 15, 2014
DENTAL SERVICES IN SKILLED NURSING FACILITIES			<input type="checkbox"/>	May 15, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	May 15, 2014
DIGNITY			<input type="checkbox"/>	May 15, 2014
DISPOSE GARBAGE AND REFUSE PROPERLY			<input type="checkbox"/>	May 15, 2014
DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS			<input type="checkbox"/>	May 15, 2014
FACILITY PROVIDES DRUGS AND BIOLOGICALS			<input type="checkbox"/>	May 15, 2014
RANGE OF MOTION TREATMENT AND SERVICES			<input type="checkbox"/>	May 15, 2014
RESIDENT DRUG REGIMEN REVIEWED MONTHLY BY PHARMACIST			<input type="checkbox"/>	May 15, 2014
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	May 15, 2014
DEPARTMENT CRIMINAL HISTORY REVIEW AUTHORIZED PERSON(S)/CONFIDENTIALITY OF INFORMATION			<input type="checkbox"/>	May 1, 2014
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	May 15, 2014
INFORM RESIDENT OF SERVICES/CHARGES/LEGAL RIGHTS/ETC.			<input type="checkbox"/>	May 15, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CONSTRUCTION TYPE			<input type="checkbox"/>	May 15, 2014
CORRIDOR DOORS			<input type="checkbox"/>	May 15, 2014
CORRIDOR WIDTH			<input type="checkbox"/>	May 15, 2014
DOORS IN FIRE AND SMOKE PARTITIONS			<input type="checkbox"/>	May 15, 2014
EMERGENCY PLAN			<input type="checkbox"/>	May 15, 2014
EXIT ACCESS			<input type="checkbox"/>	May 15, 2014
EXIT SIGNS			<input type="checkbox"/>	May 15, 2014
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	May 15, 2014
MEDICAL GAS SYSTEM			<input type="checkbox"/>	May 15, 2014
PORTABLE FIRE EXTINGUISHERS			<input type="checkbox"/>	May 15, 2014
SMOKE DETECTOR MAINTENANCE			<input type="checkbox"/>	May 15, 2014
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	May 15, 2014
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	May 15, 2014
STAIRS AND SMOKE PROOF TOWERS			<input type="checkbox"/>	May 15, 2014
TESTING OF FIRE ALARM			<input type="checkbox"/>	May 15, 2014

Williamsbridge Manor Nursing Home

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 3.4% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 83.8% This Facility 83.3% State average 83.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 96.6% This Facility 84.0% State average 82.4% National average</p>	<p> 4 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 26.6% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.3% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>

CON # 132128 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 12.2% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.1% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 27.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.5% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 96.2% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 28.7% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Williamsbridge Manor Nursing Home

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1247

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	9	23
Life Safety Code Deficiencies	12	11
Total Deficiencies	21	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

March 7, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
MAIN SPRINKLER CONTROL 			<input type="checkbox"/>	April 1, 2014
AUTOMATIC SPRINKLER SYSTEM 			<input type="checkbox"/>	April 1, 2014
EXIT ACCESS 			<input type="checkbox"/>	April 1, 2014
HAZARDOUS AREAS - SEPARATION 			<input type="checkbox"/>	April 1, 2014

Project 141213
BFA Attachment B

Comprehensive at Williamsville, LLC
d/b/a Comprehensive Rehabilitation and Nursing Center
Pro Forma Balance Sheet

	Total
<u>ASSETS</u>	
Cash	\$2,257,000
Intangible Assets	\$1,800,000
Total Assets	\$4,057,000
 <u>LIABILITIES AND MEMBERS'S EQUITY</u>	
Acquisition Loan	\$1,440,000
TOTAL MEMBER'S EQUITY	\$2,617,000
TOTAL LIABILITIES AND MEMBER'S EQUITY	<u>\$4,057,000</u>

Project 141213
Attachment C

Financial Summary

St. Francis Home of Williamsville

FISCAL PERIOD ENDED	<u>12/31/2013</u>	<u>12/31/2012</u>	<u>12/31/2011</u>
ASSETS - CURRENT	\$3,465,930	\$2,746,379	\$3,597,339
ASSETS - FIXED AND OTHER	5,806,048	5,572,520	5,125,082
LIABILITIES - CURRENT	7,826,638	6,120,825	5,305,783
LIABILITIES - LONG-TERM	<u>6,228,016</u>	<u>6,413,439</u>	<u>5,444,756</u>
EQUITY	(\$4,782,676)	(\$4,215,365)	(\$2,028,118)
<hr/>			
INCOME	\$13,139,982	\$11,750,000	\$13,868,616
EXPENSE	<u>13,707,293</u>	<u>13,905,000</u>	<u>13,665,730</u>
NET INCOME	(\$567,311)	(\$2,155,000)	\$202,886
<hr/>			
NUMBER OF BEDS	142	142	142
PERCENT OF OCCUPANCY (DAYS)	90.65%	87.48%	90.47%
<hr/>			
PERCENT OCCUPANCY (DAYS):			
MEDICAID	60.04%	61.47%	58.94%
MEDICARE	23.62%	24.62%	24.52%
PRIVATE/OTHER	16.34%	13.91%	16.53%
<hr/>			
Average Working Capital	(\$3,147,866)		
Average Net Assets	(\$3,675,386)		
Average Net Income (Loss)	(\$839,808)		
Average Occupancy	89.53%		

St Francis Home of Williamsville

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 32.7% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.7% This Facility 1.3% State average 1.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 91.1% This Facility 83.3% State average 83.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 86.9% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 10.3% This Facility 14.1% State average 15.7% National average</p>	<p> 4 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 5.7% State average 8.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 4.5% This Facility 7.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>

141213 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.0% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 89.1% This Facility 44.5% State average 44.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 0.8% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.7% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 9.3% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.5% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 90.3% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 9.0% This Facility 18.4% State average 20.3% National average</p>	<p> 5 out of 5 stars</p>

St Francis Home of Williamsville

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0274

Regional Office: [WRO--Buffalo Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

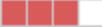
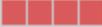
Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	34	23
Life Safety Code Deficiencies	13	11
Total Deficiencies	47	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	2	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	4%	3%

Details of Certification and Complaint Surveys

Key to understanding citations and surveys:

-  Potential for no more than Minimal Harm
-  Potential for more than Minimal Harm
-  Actual Harm
-  Immediate Jeopardy
-  Isolated
-  Pattern
-  Widespread

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

December 10, 2013 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN 			<input type="checkbox"/>	January 31, 2014
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC. 			<input type="checkbox"/>	January 31, 2014
PROPER TREATMENT TO PREVENT/HEAL PRESSURE SORES 			<input type="checkbox"/>	January 31, 2014
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING 			<input type="checkbox"/>	January 31, 2014
RESIDENT NOT CATHETERIZED UNLESS UNAVOIDABLE 			<input type="checkbox"/>	January 31, 2014

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
AUTOMATIC SPRINKLER SYSTEM 			<input type="checkbox"/>	January 21, 2014
GENERATORS INSPECTED/TESTED 			<input type="checkbox"/>	January 31, 2014
SMOKE PARTITION CONSTRUCTION 			<input type="checkbox"/>	January 31, 2014
STAIRWAY ENCLOSURES AND VERTICAL SHAFTS 			<input type="checkbox"/>	January 31, 2014
TESTING OF FIRE ALARM 			<input type="checkbox"/>	January 31, 2014

Financial Summary- HELP/PSI

	<u>2013</u>	<u>2012</u>	<u>2011</u>
CURRENT ASSETS	\$14,940,693	\$11,971,783	\$9,543,819
FIXED ASSETS	16,897,595	18,118,810	17,906,421
TOTAL ASSETS	\$31,838,288	\$30,090,593	\$27,450,240
CURRENT LIABILITIES	6,350,189	6,309,028	5,614,759
LONG TERM LIABILITIES	9,325,459	9,518,559	9,262,493
TOTAL LIABILITIES	\$15,675,648	\$15,827,587	\$14,877,252
WORKING CAPITAL POSITION	\$8,590,504	\$5,662,755	\$3,929,060
NET ASSETS	\$16,162,640	\$14,263,006	\$12,572,988
REVENUES	\$45,195,263	\$36,031,215	\$32,768,626
EXPENSES	42,650,146	34,870,406	31,446,472
EXCESS OF REVENUES OVER EXPENSES	\$2,545,117	\$1,160,809	\$1,322,154
NUMBER OF BEDS	66	66	66
OCCUPANCY	91.00%	91.03%	96.60%
MEDICAID	100%	100%	100%

CON#142056
BFA Attachment C

Pro Forma Balance Sheet

ASSETS:

Cash	\$1,236,896
Goodwill	<u>4,620,000</u>
TOTAL ASSETS	\$5,856,896

LIABILITIES:

Acquisition Loan	\$3,118,500
Working Capital Loan	<u>618,448</u>
TOTAL LIABILITIES	\$3,736,948

NET ASSETS	\$2,119,948
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CON#142056

BFA Attachment D

Queens Center for Rehab

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$4,045,908	\$3,955,870	\$4,869,649	\$4,557,320
Fixed Assets	<u>15,172,581</u>	<u>15,051,123</u>	<u>13,986,342</u>	<u>14,321,261</u>
Total Assets	\$19,218,489	\$19,006,993	\$18,855,991	\$18,878,581
Current Liabilities	6,409,471	7,335,873	7,330,023	5,626,101
Long Term Liabilities	<u>8,776,060</u>	<u>8,576,164</u>	<u>8,699,469</u>	<u>9,457,373</u>
Total Liabilities	15,185,531	15,912,037	16,029,492	15,083,474
Net Assets	\$4,032,958	\$3,094,956	\$2,826,499	\$3,795,107
Working Capital Position	(\$2,363,563)	(\$3,380,003)	(\$2,460,374)	(\$1,068,781)
Operating Revenues	\$23,175,423	\$21,937,707	\$21,718,072	\$21,772,890
Operating Expenses	<u>22,556,999</u>	<u>21,378,098</u>	<u>21,144,109</u>	<u>21,023,576</u>
Operating Net Income	\$618,424	\$559,609	\$573,963	\$749,314

University Nursing Home

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$1,170,522	\$1,155,486	\$1,089,358	\$1,204,602
Fixed Assets	<u>3,433,250</u>	<u>3,574,727</u>	<u>3,345,220</u>	<u>3,215,546</u>
Total Assets	\$4,603,772	\$4,730,213	\$4,434,578	\$4,420,148
Current Liabilities	2,830,025	3,098,814	1,431,433	1,435,395
Long Term Liabilities	<u>763,185</u>	<u>618,699</u>	<u>607,499</u>	<u>587,089</u>
Total Liabilities	3,593,210	3,717,513	2,038,932	2,022,484
Net Assets	\$1,010,562	\$1,012,700	\$2,395,646	\$2,397,664
Working Capital Position	(\$1,659,503)	(\$1,943,328)	(\$342,075)	(\$230,793)
Operating Revenues	\$4,719,257	\$4,162,776	\$4,570,280	\$4,104,016
Operating Expenses	<u>4,421,394</u>	<u>4,045,722</u>	<u>3,990,872</u>	<u>3,915,054</u>
Operating Net Income	\$297,863	\$117,054	\$579,408	\$188,962

Williamsbridge Manor

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$2,662,838	\$3,613,489	\$2,426,546	\$1,817,854
Fixed Assets	<u>1,723,218</u>	<u>2,067,949</u>	<u>2,123,875</u>	<u>2,295,783</u>
Total Assets	\$4,386,056	\$5,681,438	\$4,550,421	\$4,113,637
Current Liabilities	1,984,277	3,389,917	2,569,777	1,707,701
Long Term Liabilities	<u>2,380,054</u>	<u>1,969,870</u>	<u>1,968,878</u>	<u>2,104,478</u>
Total Liabilities	4,364,331	5,359,787	4,538,655	3,812,179
Net Assets	\$21,725	\$321,651	\$11,766	\$301,458
Working Capital Position	\$678,561	\$223,572	(\$143,231)	\$110,153
Operating Revenues	\$8,192,064	\$7,594,760	\$7,507,990	\$7,971,179
Operating Expenses	<u>7,491,991</u>	<u>7,197,505</u>	<u>7,316,472</u>	<u>7,878,867</u>
Operating Net Income	\$700,073	\$397,255	\$191,518	\$92,312

CON#142056

BFA Attachment D (Continued)

Bronx Center for Rehab

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$4,519,395	\$5,392,431	\$6,256,098	\$6,263,004
Fixed Assets	<u>12,790,239</u>	<u>14,634,445</u>	<u>12,735,436</u>	<u>9,702,027</u>
Total Assets	\$17,309,634	\$20,026,876	\$18,991,534	\$15,965,031
Current Liabilities	8,351,969	9,046,826	7,998,973	5,820,823
Long Term Liabilities	<u>6,156,502</u>	<u>6,363,162</u>	<u>6,532,393</u>	<u>6,130,265</u>
Total Liabilities	14,508,471	15,409,988	14,531,366	11,951,088
Net Assets	\$2,801,163	\$4,616,888	\$4,460,168	\$4,013,943
Working Capital Position	(\$3,832,574)	(\$3,654,395)	(\$1,742,875)	\$442,181
Operating Revenues	\$24,885,501	\$24,772,862	\$24,502,663	\$22,779,108
Operating Expenses	<u>24,711,618</u>	<u>23,726,611</u>	<u>23,176,178</u>	<u>21,703,744</u>
Operating Net Income	\$173,883	\$1,046,251	\$1,326,485	\$1,075,364

Brooklyn Center

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$11,618,182	\$11,293,652	\$13,156,081	\$7,832,828
Fixed Assets	<u>9,671,452</u>	<u>9,939,545</u>	<u>9,803,175</u>	<u>9,874,742</u>
Total Assets	\$21,289,634	\$21,233,197	\$22,959,256	\$17,707,570
Current Liabilities	10,106,360	9,876,330	10,696,386	7,509,884
Long Term Liabilities	<u>6,951,594</u>	<u>7,217,288</u>	<u>7,592,117</u>	<u>8,063,432</u>
Total Liabilities	17,057,954	17,093,618	18,288,503	15,573,316
Net Assets	\$4,231,680	\$4,139,579	\$4,670,753	\$2,134,254
Working Capital Position	\$1,511,822	\$1,417,322	\$2,459,695	\$322,944
Operating Revenues	\$23,168,478	\$24,020,879	\$24,671,172	\$21,642,381
Operating Expenses	<u>22,017,329</u>	<u>22,274,805</u>	<u>21,042,900</u>	<u>20,193,034</u>
Operating Net Income	\$1,151,149	\$1,746,074	\$3,628,272	\$1,449,347

Dutchess Center for Rehab

	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>
Current Assets	\$3,133,507	\$3,182,448	\$2,745,368	\$2,560,144
Fixed Assets	<u>6,578,070</u>	<u>6,745,494</u>	<u>6,790,704</u>	<u>6,940,464</u>
Total Assets	\$9,711,577	\$9,927,942	\$9,536,072	\$9,500,608
Current Liabilities	4,623,390	4,789,691	4,156,100	4,094,458
Long Term Liabilities	<u>3,873,240</u>	<u>4,227,461</u>	<u>4,108,591</u>	<u>3,908,329</u>
Total Liabilities	8,496,630	9,017,152	8,264,691	8,002,787
Net Assets	1,214,947	910,790	1,271,381	1,497,821
Working Capital Position	(\$1,489,883)	(\$1,607,243)	(\$1,410,732)	(\$1,534,314)
Operating Revenues	\$12,203,167	\$11,224,776	\$11,949,982	\$11,047,321
Operating Expenses	<u>\$11,533,347</u>	<u>\$10,952,097</u>	<u>10,875,881</u>	<u>10,327,576</u>
Operating Net Income	\$669,820	\$272,679	\$1,074,101	\$719,745

CON#142056
BFA Attachment D (Continued)

Bushwick Center for Rehab

	<u>2013</u>	<u>2012</u>
Current Assets	\$7,779,981	\$6,886,728
Fixed Assets	<u>21,640,379</u>	<u>23,116,997</u>
Total Assets	\$29,420,360	\$30,003,725
Current Liabilities	15,024,867	15,488,253
Long Term Liabilities	<u>11,389,441</u>	<u>11,909,717</u>
Total Liabilities	\$26,414,308	\$27,397,970
Net Assets	\$3,006,052	\$2,605,755
Working Capital Position	(\$7,244,886)	(\$8,601,525)
Operating Revenues	\$28,665,533	\$28,164,499
Operating Expenses	<u>28,265,241</u>	<u>27,582,741</u>
Operating Net Income	\$400,292	\$581,758

Rome Center for Rehab

	<u>2013</u>	<u>2012</u>
Current Assets	\$4,502,817	\$3,635,862
Fixed Assets	<u>3,550,483</u>	<u>2,841,577</u>
Total Assets	\$8,053,300	\$6,477,439
Current Liabilities	4,434,116	3,963,324
Long Term Liabilities	<u>795,984</u>	<u>863,839</u>
Total Liabilities	\$5,230,100	\$4,827,163
Net Assets	\$2,823,200	\$1,650,276
Working Capital Position	\$68,701	(\$327,462)
Operating Revenues	\$13,493,177	\$12,921,227
Operating Expenses	<u>12,325,899</u>	<u>12,685,756</u>
Operating Net Income	\$1,167,278	\$235,471

Chittenango Center for Rehab

	<u>2013</u>	<u>2012</u>
Current Assets	\$2,656,732	\$1,645,383
Fixed Assets	<u>1,673,218</u>	<u>1,226,850</u>
Total Assets	\$4,329,950	\$2,872,233
Current Liabilities	2,995,289	2,280,785
Long Term Liabilities	<u>215,132</u>	<u>306,980</u>
Total Liabilities	\$3,210,421	\$2,587,765
Net Assets	\$1,119,529	\$284,468
Working Capital Position	(\$338,557)	(\$635,402)
Operating Revenues	\$7,728,122	\$6,843,639
Operating Expenses	<u>6,894,445</u>	<u>6,910,905</u>
Operating Net Income	\$833,677	(\$67,266)

CON#142056

BFA Attachment D (Continued)

Boro Park Center for Rehab

	<u>2013</u>	<u>2012</u>
Current Assets	\$22,995,184	\$14,874,266
Fixed Assets	<u>53,895,371</u>	<u>53,756,408</u>
Total Assets	\$76,890,555	\$68,630,674
Current Liabilities	16,584,451	18,768,440
Long Term Liabilities	<u>49,975,637</u>	<u>38,305,181</u>
Total Liabilities	\$66,560,088	\$57,073,621
Net Assets	\$10,330,467	\$11,557,053
Working Capital Position	\$6,410,733	(\$3,894,174)
Operating Revenues	\$78,008,661	\$54,943,769
Operating Expenses	<u>72,926,051</u>	<u>52,575,737</u>
Operating Net Income	\$5,082,610	\$2,368,032

Waterfront Healthcare Center

	<u>2013</u>
Current Assets	\$6,661,950
Fixed Assets	<u>3,535,006</u>
Total Assets	\$10,196,956
Current Liabilities	3,141,954
Long Term Liabilities	<u>1,073,146</u>
Total Liabilities	\$4,215,100
Net Assets	\$5,981,856
Working Capital Position	\$3,519,996
Operating Revenues	\$12,484,957
Operating Expenses	<u>12,329,840</u>
Operating Net Income	\$155,117

Fulton Center for Rehabilitation

	<u>2013</u>
Current Assets	\$2,270,582
Fixed Assets	<u>3,613,252</u>
Total Assets	\$5,883,834
Current Liabilities	2,350,772
Long Term Liabilities	<u>2,539,573</u>
Total Liabilities	\$4,890,345
Net Assets	\$993,489
Working Capital Position	(\$80,190)
Operating Revenues	\$13,593,258
Operating Expenses	<u>13,024,940</u>
Operating Net Income	\$568,318

CON#142056

BFA Attachment D (Continued)

Richmond Center for Rehab

2013

Current Assets	\$8,991,819
Fixed Assets	<u>22,662,283</u>
Total Assets	\$31,654,102
Current Liabilities	10,957,930
Long Term Liabilities	<u>15,188,211</u>
Total Liabilities	\$26,146,141
Net Assets	\$5,507,961
Working Capital Position	(\$1,966,111)
Operating Revenues	\$45,810,172
Operating Expenses	<u>43,233,358</u>
Operating Net Income	\$2,576,814

Kenneth Rozenbergs Interests:

Boro Park	97%
Bronx Center	50%
Brooklyn Center	50%
Bushwick Center	56%
Chittenango Center	62%
Dutchess Center	60%
Fulton Center	62%
Queens Center	48%
Rome Center	62%
University Nursing Home	95%
Waterfront Center	60%
Williamsbridge Manor	95%

HELP/PSI, INC BALANCE SHEET AS OF MARCH 31, 2014

ASSETS

	<u>03/31/14</u>
Current assets	
Cash	\$ 3,615,862
Cash - ADHC	2,300
Cash - COBRA	3,978
Cash-ADHC-Jamaica	1,700
Cash-Brooklyn ADHC	1,900
Cash Marketing	500
Cash - Business Development	1,000
Cash - Mental Health	200
Accounts receivable - patient services (net of allowance for doubtful accounts)	5,276,505
Investment in Vida Care (net of allowance for doubtful accounts)	117,857
Ihealth Investment	164,138
Due from Primary Care	2,171,825
Prepaid expenses	309,736
Security deposits	421,322
Inventory	4,286
Total current assets	<u>\$ 12,093,109</u>
Assets whose use are limited	
Operating escrow fund	1,079,457
US Bank	109,067
Funded Depreciation- Citi Bank	120,547
Total assets whose use are limited	<u>\$ 1,309,071</u>
Other Assets	
Unamortized Refinancing costs	740,653
Fixed assets at cost (net of depreciation)	14,013,725
Total other assets	<u>\$ 14,754,377</u>
Total assets	<u><u>\$ 28,156,558</u></u>

HELP PSI, INC BALANCE SHEET AS OF MARCH 31, 2014

	<u>3/31/2014</u>
LIABILITIES AND FUND BALANCE	
Current liabilities	
Accounts payable and accrued expenses	\$ 599,855
Accrued salaries and related liabilities	2,065,088
Due to third party payor-PSI	1,816,933
Current portion of mortgage payable	631,496
Managed Care Liability	24,721
Current portion of capital leases payable	21,300
Due to patients	7,905
Alumni Fund	-
Deffered Rent	806,320
Deffered Income	462,696
	<hr/>
Total current liabilities	\$ 6,436,316
Long term liabilities	
Mortgage payable	7,705,167
Capital leases payable	43,290
Honda-Civic- Finance	-
Cobra Loan	227,900
BX ADHC - Loan	566,667
Rental Deposit (VCC)	-
	<hr/>
Total long term liabilities	\$ 8,543,024
Total liabilities	\$ 14,979,340
Fund balance	\$ 13,399,557
Total liabilities and fund balance	<u>\$ 28,378,896</u>

HELP PSI, INC
STATEMENT OF REVENUE, EXPENSES
AND CHANGES IN FUND BALANCE
PERIOD ENDED 03/31/2014

	Days/ Unfs	Year to Date		Variance	
		Actual	Budget		
Operating revenue					
Patient service revenue					
Inpatient service revenue	3,572	\$ 2,269,091	\$ 2,274,165	0%	
Rate Adjustment Income		17,276	-		
Outpatient service revenue - Health Home	7,293	3,178,653	3,414,657	-7%	
Outpatient service revenue - Bronx ADHC	3,029	866,522	1,029,911	-16%	Includes Year to date reserve of \$162,500.
Outpatient service revenue - Brooklyn ADHC	2,793	787,426	914,610	-14%	
Outpatient service revenue - Queens ADHC	3,029	873,763	1,001,504	-13%	
Total patient service revenue		\$ 7,992,731	\$ 8,634,847	-7%	
Robin Hood		75,000	81,250	-8%	
Other Income		-	-		
Miscellaneous		-	-		
Total operating revenue		\$ 8,067,731	\$ 8,716,097	-7%	
Operating Expenses					
Salaries and wages		3,990,720			
Fringe benefits		710,337			
Consultant and professional fees		376,745			
Consumable supplies		208,835			
Temp Staffing		140,295			
Insurance		58,615			
Occupancy		446,828			
Telephone		153,922			
Interest and Bank Charges		134,101			
Software Fees		41,254			
Management Fees		187,854			
Cash Receipt Assessment		219,667			
Repairs and Maintenance		174,733			
Prescription Drugs		13,755			
Staff Travel		24,797			
Client Travel		91,873			
Gasoline		37,608			
Printing, publications and postage		41,816			
Special Events		82,170			
Security Services		53,725			
Contracted Services		433,113			
Depreciation		333,239			
Utilities		129,165			
Conferences & Workshops		122,150			
Management fees received from Services		\$(222,339)			
Total operating expenses		\$ 7,984,977	\$ 8,791,045	-9%	
Excess(deficiency) of operating revenue over expenses		\$ 82,754	\$ (74,947)	-210%	
Nonoperating revenue					
Interest		1,224	3,000	-59%	
Rental-Primary care		66,144	66,144	0%	
Misc (Sandy Relief & Game Room)		-	-		
HICA Grant (Health Home)		-	-		
Sandy Income		-	-		
Rent Revenue (VCC)		-	-		
ACQC		-	-		
Misc Income		-	-		
Fundraising		2,917	71,250	-96%	
Total nonoperating revenue		\$ 70,286	\$ 140,394	-50%	
Non-Operating Expenses					
DFCC Write Off		-	-		
Total Non-Operating Expenses		\$ -	\$ -		
Excess of revenue over expenses		\$ 163,039	\$ 65,447	134%	
Fund balance - beginning of period		\$ 13,246,518			
Fund balance - end of period		\$ 13,399,557			

Help/psi, Inc.

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 8.5% This Facility 14.4% State average 19.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 83.0% This Facility 83.3% State average 83.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 87.6% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 5.6% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 2.0% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.0% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 23.3% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 90.2% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 99.3% This Facility 96.8% State average 94.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 20.0% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Help/psi, Inc.

Inspection Report

Report Period: October 2010 to September 2014

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

PFI: 4329

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

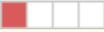
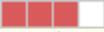
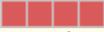
Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	3	23
Life Safety Code Deficiencies	3	11
Total Deficiencies	6	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

Details of Certification and Complaint Surveys

Key to understanding citations and surveys:

-  Potential for no more than Minimal Harm
-  Potential for more than Minimal Harm
-  Actual Harm
-  Immediate Jeopardy
-  Isolated
-  Pattern
-  Widespread

- Certification surveys** are on-site inspections required every 9-15 months by the federal government.

- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

August 8, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Daughters of Jacob Nursing Home Company Inc

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 28.9% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.6% This Facility 1.3% State average 1.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 39.4% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 35.4% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.9% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 29.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 6.8% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 14.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.6% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 35.7% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.6% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.6% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 13.7% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 1.7% State average 1.3% National average</p>	<p> 2 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>89.2% This Facility</p> <p>94.5% State average</p> <p>92.9% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>83.6% This Facility</p> <p>96.8% State average</p> <p>94.2% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>6.2% This Facility</p> <p>18.4% State average</p> <p>20.3% National average</p>	<p></p> <p>5 out of 5 stars</p>

Daughters of Jacob Nursing Home Company Inc

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1249

Regional Office: [MARO--New York City Area](#)

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	25	23
Life Safety Code Deficiencies	23	11
Total Deficiencies	48	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

Details of Certification and Complaint Surveys

May 6, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CORRIDORS HAVE FIRMLY SECURED HANDRAILS			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	June 20, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
CORRIDOR DOORS			<input type="checkbox"/>	n/a
EXIT ACCESS			<input type="checkbox"/>	n/a
FLAMMABLE FURNISHINGS			<input type="checkbox"/>	n/a
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	n/a
PORTABLE FIRE EXTINGUISHERS			<input type="checkbox"/>	n/a
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	n/a

Boro Park Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 12.6% This Facility 14.4% State average 19.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.6% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 54.1% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 91.2% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.1% This Facility 2.4% State average 2.5% National average</p>	<p> 2 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 12.3% This Facility 14.1% State average 15.7% National average</p>	<p> 3 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 10.8% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.7% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 50.8% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.6% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.4% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 49.0% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>🔍 Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.1% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.6% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 88.2% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p>🔍 Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.5% This Facility 96.8% State average 94.2% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 15.1% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Boro Park Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1403

Regional Office: [MARO--New York City Area](#)

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	17	23
Life Safety Code Deficiencies	23	11
Total Deficiencies	40	33
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	3%	3%

May 14, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
DEVELOP COMPREHENSIVE CARE PLANS 			<input type="checkbox"/>	May 30, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN 			<input type="checkbox"/>	May 30, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Bronx Center for Rehabilitation & Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p>Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 3.6% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p>Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 81.8% This Facility 83.3% State average 83.1% National average</p>	<p> 2 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 85.8% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p>Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.8% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p>Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.4% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 57.7% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.8% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.3% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 20.7% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.6% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.8% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>98.4% This Facility</p> <p>96.8% State average</p> <p>94.2% National average</p>	<p></p> <p>3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>34.0% This Facility</p> <p>18.4% State average</p> <p>20.3% National average</p>	<p></p> <p>1 out of 5 stars</p>

Bronx Center for Rehabilitation & Health Care

Inspection Report

Report Period: August 2010 to September 2014

PFI: 1251

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	20	23
Life Safety Code Deficiencies	16	11
Total Deficiencies	36	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

January 17, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DISPOSE GARBAGE AND REFUSE PROPERLY			<input type="checkbox"/>	March 1, 2014
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	March 1, 2014
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	March 1, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	March 1, 2014
PROPER LABELING OF DRUGS AND BIOLOGICALS			<input type="checkbox"/>	March 1, 2014

Standard Life Safety Code Inspection

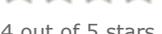
Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
GENERATORS INSPECTED/TESTED			<input type="checkbox"/>	March 1, 2014
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	March 1, 2014
VENTILATING EQUIPMENT			<input type="checkbox"/>	March 1, 2014
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	March 1, 2014
PERTINENT TECHNICAL STANDARDS			<input type="checkbox"/>	March 1, 2014
PHYSICAL ENVIRONMENT			<input type="checkbox"/>	March 1, 2014

Brooklyn Center for Rehabilitation and Residential Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
 Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 11.6% This Facility 14.4% State average 19.1% National average	 3 out of 5 stars
 Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average	 4 out of 5 stars
 Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 44.6% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
 Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 44.2% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
 Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 7.9% This Facility 2.4% State average 2.5% National average	 1 out of 5 stars
 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 14.1% State average 15.7% National average	 5 out of 5 stars
 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.6% This Facility 5.7% State average 8.0% National average	 5 out of 5 stars
 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.8% This Facility 7.6% State average 6.0% National average	 2 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.7% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 20.4% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.8% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 74.6% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.4% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 87.7% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 76.9% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 23.9% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Brooklyn Center for Rehabilitation and Residential Health Care

Inspection Report Report Period: October 2010 to September 2014

PFI: 1395
Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	11	23
Life Safety Code Deficiencies	9	11
Total Deficiencies	20	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

January 31, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS 			<input type="checkbox"/>	March 13, 2014

Standard Life Safety Code Inspection

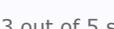
Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Bushwick Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 7.2% This Facility 14.4% State average 19.1% National average	 4 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average	 4 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 67.4% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 77.4% This Facility 84.0% State average 82.4% National average	 2 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 4.4% This Facility 2.4% State average 2.5% National average	 1 out of 5 stars
Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 11.9% This Facility 14.1% State average 15.7% National average	 3 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 13.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.6% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 23.0% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.2% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 35.9% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.4% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.1% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.6% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>95.0% This Facility</p> <p>96.8% State average</p> <p>94.2% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>16.3% This Facility</p> <p>18.4% State average</p> <p>20.3% National average</p>	<p></p> <p>3 out of 5 stars</p>

Bushwick Center for Rehabilitation and Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 4037

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	19	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	34	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

April 10, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	June 6, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	June 6, 2014

January 24, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	March 24, 2014
PHARMACY SERVICES	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	March 24, 2014
PHYSICAL ENVIRONMENT	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	March 24, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	March 24, 2014
FIRE DRILLS	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/>	March 24, 2014

Chittenango Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 11.0% This Facility 14.4% State average 19.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 83.6% This Facility 83.3% State average 83.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 81.5% This Facility 84.0% State average 82.4% National average</p>	<p> 2 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 16.7% This Facility 14.1% State average 15.7% National average</p>	<p> 2 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.3% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 58.3% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 68.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 5.5% This Facility 1.7% State average 1.3% National average</p>	<p> 1 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 5.5% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 99.4% This Facility 94.5% State average 92.9% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 88.5% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 15.9% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Chittenango Center for Rehabilitation and Health Care

Inspection Report Report Period: October 2010 to September 2014

PFI: 0403
Regional Office: [Central New York Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	68	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	75	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	2	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	3%	3%

August 7, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
ADL CARE PROVIDED FOR DEPENDENT RESIDENTS 			<input type="checkbox"/>	n/a
HOUSEKEEPING AND MAINTENANCE SERVICES 			<input type="checkbox"/>	n/a

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	n/a
SUFFICIENT NURSING STAFF ON A 24-HOUR BASIS			<input type="checkbox"/>	n/a
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	n/a
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	n/a
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	n/a
FACILITY PROVIDES SUFFICIENT FLUID INTAKE			<input type="checkbox"/>	n/a
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	n/a
SELF-DETERMINATION - RESIDENT MAKES CHOICES			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	n/a

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
CORRIDOR DOORS			<input type="checkbox"/>	n/a

Corning Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 22.5% This Facility 14.4% State average 19.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.9% This Facility 1.3% State average 1.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 65.1% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 61.5% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.9% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 21.1% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 13.8% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 12.5% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 58.2% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.2% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 3.7% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 66.3% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 1.7% State average 1.3% National average</p>	<p> 2 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.3% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 91.3% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 96.0% This Facility 96.8% State average 94.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 23.9% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Corning Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0867

Regional Office: [WRO--Rochester Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	59	23
Life Safety Code Deficiencies	7	11
Total Deficiencies	66	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

April 25, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
ORGANIZATION AND ADMINISTRATION			<input type="checkbox"/>	June 18, 2014
SUFFICIENT NURSING STAFF ON A 24-HOUR BASIS			<input type="checkbox"/>	June 18, 2014
ACCOMMODATION OF NEEDS AND PREFERENCES			<input type="checkbox"/>	June 18, 2014
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	June 18, 2014
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	June 18, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	June 18, 2014
PROPER LABELING OF DRUGS AND BIOLOGICALS			<input type="checkbox"/>	June 18, 2014
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	June 18, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	June 18, 2014
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	June 18, 2014
NURSE STAFFING			<input type="checkbox"/>	June 18, 2014
PASARR REQUIREMENTS FOR MI AND MR			<input type="checkbox"/>	June 18, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	June 18, 2014

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	June 18, 2014

Delaware Nursing & Rehabilitation Center

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 8.0% This Facility 14.4% State average 19.1% National average	 4 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 5.1% This Facility 1.3% State average 1.1% National average	 1 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 59.3% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 60.7% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 12.3% This Facility 14.1% State average 15.7% National average	 3 out of 5 stars
Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 5.7% State average 8.0% National average	 5 out of 5 stars
Have pressure sores (long stay) Reporting period: July 2013 to March 2014 12.2% This Facility 7.6% State average 6.0% National average	 1 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 46.2% This Facility 44.5% State average 44.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.9% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 8.2% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 88.6% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.0% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.3% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.5% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.4% This Facility 96.8% State average 94.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 14.2% This Facility 18.4% State average 20.3% National average</p>	<p> 4 out of 5 stars</p>

Delaware Nursing & Rehabilitation Center

Inspection Report

Report Period: October 2010 to September 2014

PFI: 3012

Regional Office: [WRO--Buffalo Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	31	23
Life Safety Code Deficiencies	36	11
Total Deficiencies	67	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

June 13, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
ESSENTIAL EQUIPMENT IN SAFE OPERATING CONDITION 			<input type="checkbox"/>	n/a
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS 			<input type="checkbox"/>	n/a

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	n/a
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	n/a
DIGNITY			<input type="checkbox"/>	n/a
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	n/a
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	n/a
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	n/a
RESIDENT RECEIVES NOTICE BEFORE ROOM/ROOMMATE CHANGE			<input type="checkbox"/>	n/a
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	n/a

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
COOKING EQUIPMENT			<input type="checkbox"/>	n/a
CORRIDOR DOORS			<input type="checkbox"/>	n/a
CORRIDOR WALLS			<input type="checkbox"/>	n/a
DOORS IN FIRE AND SMOKE PARTITIONS			<input type="checkbox"/>	n/a
EMERGENCY PLAN			<input type="checkbox"/>	n/a
EXIT ACCESS			<input type="checkbox"/>	n/a
FURNISHING AND			<input type="checkbox"/>	n/a

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DECORATIONS				
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	n/a
MEDICAL GAS SYSTEM			<input type="checkbox"/>	n/a
OTHER			<input type="checkbox"/>	n/a
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	n/a
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	n/a
STAIRS AND SMOKE PROOF TOWERS			<input type="checkbox"/>	n/a
STAIRWAY ENCLOSURES AND VERTICAL SHAFTS			<input type="checkbox"/>	n/a
TESTING OF FIRE ALARM			<input type="checkbox"/>	n/a
WASTEBASKETS			<input type="checkbox"/>	n/a

Dutchess Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 21.2% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.4% This Facility 1.3% State average 1.1% National average	 2 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 66.4% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 60.8% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.7% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 28.2% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 8.6% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 2.4% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 39.1% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 6.0% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 6.2% This Facility 5.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 22.7% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p>Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.8% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.6% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 93.9% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p>Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 24.4% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Dutchess Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0189

Regional Office: [MARO--New Rochelle Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	43	23
Life Safety Code Deficiencies	24	11
Total Deficiencies	67	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

August 22, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
SMOKE PARTITION CONSTRUCTION 			<input type="checkbox"/>	September 3, 2014
SPRINKLER SYSTEM MAINTENANCE 			<input type="checkbox"/>	September 3, 2014
HAZARDOUS AREAS - SEPARATION 			<input type="checkbox"/>	September 3, 2014
LINEN AND TRASH CHUTES 			<input type="checkbox"/>	September 3, 2014

Essex Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 19.0% This Facility 14.4% State average 19.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 71.1% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 56.0% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 35.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 12.7% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.0% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 6.3% State average 7.1% National average</p>	<p> 1 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 31.5% This Facility 44.5% State average 44.1% National average</p>	<p>★★★★★ 4 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p>★★★ 2 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.0% This Facility 5.6% State average 6.0% National average</p>	<p>★★★★★ 3 out of 5 stars</p>
<p>🔍 Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 18.7% This Facility 11.6% State average 6.2% National average</p>	<p>★★★ 2 out of 5 stars</p>
<p>🔍 Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.8% This Facility 1.7% State average 1.3% National average</p>	<p>★★★★★ 3 out of 5 stars</p>
<p>🔍 Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.8% This Facility 2.7% State average 3.2% National average</p>	<p>★★★ 2 out of 5 stars</p>
<p>🔍 Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 90.4% This Facility 94.5% State average 92.9% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 90.8% This Facility 96.8% State average 94.2% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 20.2% This Facility 18.4% State average 20.3% National average</p>	<p>★★★ 2 out of 5 stars</p>

The following measure(s) are not applicable to this nursing home or there were **too few cases** to create a meaningful report:

- Newly received an antipsychotic medication

Essex Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October to September 2014

PFI: 0305

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	33	23
Life Safety Code Deficiencies	6	11
Total Deficiencies	39	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **August 12, 2014 Complaint Survey**

- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	August 22, 2014

February 28, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
APPROPRIATE TREATMENT FOR MENTAL/PSYCHOSOCIAL DIFFICULTIES			<input type="checkbox"/>	March 28, 2014
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	March 28, 2014
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	March 28, 2014
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input type="checkbox"/>	March 28, 2014

August 22, 2013 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	October 20, 2013
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	October 20, 2013
PHYSICIAN RESPONSIBILITIES DURING VISITS			<input type="checkbox"/>	October 20, 2013
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	October 20, 2013
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	October 20, 2013
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	October 20, 2013
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input type="checkbox"/>	October 20, 2013

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CRIMINAL HISTORY RECORD CHECK REQUIRED NOTIFICATION TO DOH PER CESSATION OF EMPLOYMENT			<input type="checkbox"/>	October 20, 2013

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	October 20, 2013
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	October 20, 2013

Fulton Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p>Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 28.4% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.7% This Facility 1.3% State average 1.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 69.9% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 58.8% This Facility 84.0% State average 82.4% National average</p>	<p> 1 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.2% This Facility 2.4% State average 2.5% National average</p>	<p> 4 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 19.5% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 7.1% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.3% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.6% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 24.6% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 5.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 39.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>

<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 97.6% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 87.4% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 32.2% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Fulton Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0337

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	88	23
Life Safety Code Deficiencies	4	11
Total Deficiencies	92	34

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Deficiencies Related to Actual Harm or Immediate Jeopardy	18	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	20%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **July 31, 2014 Complaint Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DIGNITY			<input type="checkbox"/>	September 12, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	September 12, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	September 12, 201

- **March 24, 2014 Certification Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	April 30, 2014
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	April 30, 2014
INFECTION CONTROL			<input type="checkbox"/>	April 30, 2014
NOT EMPLOY PERSONS GUILTY OF			<input type="checkbox"/>	April 30,

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
ABUSE				2014
STANDARDS OF CONSTRUCTION FOR NEW EXISTING NURSING HOME			<input type="checkbox"/>	April 30, 2014
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	April 30, 2014
INFLUENZA AND PNEUMOCOCCAL IMMUNIZATION			<input type="checkbox"/>	April 30, 2014
INFORM OF ACCIDENTS/SIGNIFICANT CHANGES/TRANSFER/ETC.			<input type="checkbox"/>	April 30, 2014
RESIDENT MAINTAIN NUTRITIONAL STATUS UNLESS UNAVOIDABLE			<input type="checkbox"/>	April 30, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	April 30, 2014

Standard Life Safety Code Inspection

No Deficiencies

November 21, 2013 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input checked="" type="checkbox"/>	December 27, 2013
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input checked="" type="checkbox"/>	December 27, 2013
RESIDENTS FREE FROM SIGNIFICANT MEDICATION ERRORS			<input checked="" type="checkbox"/>	December 27, 2013
FACILITY ADMINISTERED EFFECTIVELY TO OBTAIN HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	December 27, 2013
FACILITY MAINTAINS QA COMMITTEE			<input type="checkbox"/>	December 27, 2013

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	December 27, 2013
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	December 27, 2013
FACILITY PROHIBITS ABUSE, NEGLECT			<input type="checkbox"/>	December 27, 2013

Holliswood Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 0.8% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.7% This Facility 1.3% State average 1.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 39.1% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 89.8% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.7% This Facility 2.4% State average 2.5% National average</p>	<p> 2 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 22.3% This Facility 14.1% State average 15.7% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 0.2% This Facility 5.7% State average 8.0% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 10.6% This Facility 7.6% State average 6.0% National average</p>	<p>★★ 2 out of 5 stars</p>
<p>🔍 Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 6.3% State average 7.1% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 57.5% This Facility 44.5% State average 44.1% National average</p>	<p>★★ 2 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 0.9% This Facility 2.7% State average 3.2% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 2.8% This Facility 5.6% State average 6.0% National average</p>	<p>★★★★ 4 out of 5 stars</p>
<p>🔍 Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 93.0% This Facility 11.6% State average 6.2% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Were physically restrained (long stay) Reporting period: July 2013 to March 2014 1.5% This Facility 1.7% State average 1.3% National average</p>	<p>★★ 2 out of 5 stars</p>
<p>🔍 Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 2.1% This Facility 2.7% State average 3.2% National average</p>	<p>★★★ 3 out of 5 stars</p>

<p> Were given, appropriately, the seasonal influenza vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>85.1% This Facility</p> <p>94.5% State average</p> <p>92.9% National average</p>	<p></p> <p>1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>97.5% This Facility</p> <p>96.8% State average</p> <p>94.2% National average</p>	<p></p> <p>2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014</p> <p>25.5% This Facility</p> <p>18.4% State average</p> <p>20.3% National average</p>	<p></p> <p>1 out of 5 stars</p>

Holliswood Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1712

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	9	23
Life Safety Code Deficiencies	12	11
Total Deficiencies	21	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1

Measure	This Facility	Statewide Average
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

June 30, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	July 23, 2014

Standard Life Safety Code Inspection

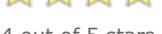
Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
EMERGENCY PLAN			<input type="checkbox"/>	n/a
OTHER			<input type="checkbox"/>	n/a

Queens Center for Rehabilitation & Residential Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 22.1% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.1% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 90.7% This Facility 83.3% State average 83.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 87.7% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>
<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.7% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 14.8% This Facility 14.1% State average 15.7% National average</p>	<p> 3 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 8.2% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.1% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.4% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 56.0% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>

<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 4.1% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 19.4% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 95.9% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 98.1% This Facility 96.8% State average 94.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 19.8% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Queens Center for Rehabilitation & Residential Health Care

Inspection Report Report Period: October 2010 to September 2014

PFI: 1675
 Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	15	23
Life Safety Code Deficiencies	17	11
Total Deficiencies	28	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	1	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	4%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **August 29, 2014 Certification Survey**
- **Standard Health Inspection**

No Deficiencies

- **Standard Life Safety Code Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FIRE ALARM SYSTEM OUTAGE REQUIREMENTS			<input type="checkbox"/>	n/a
EXIT ACCESS			<input type="checkbox"/>	n/a
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STANDARDS OF CONSTRUCTION FOR NEW EXISTING NURSING HOME				n/a

Richmond Center for Rehabilitation and Specialty Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 22.8% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.7% This Facility 1.3% State average 1.1% National average	 2 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 50.9% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 45.6% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 3.7% This Facility 2.4% State average 2.5% National average	 2 out of 5 stars
Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 10.3% This Facility 14.1% State average 15.7% National average	 4 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 5.8% This Facility 5.7% State average 8.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 19.0% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.5% This Facility 6.3% State average 7.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 27.4% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 6.6% This Facility 5.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 9.7% This Facility 11.6% State average 6.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.5% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.0% This Facility 2.7% State average 3.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.8% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 88.1% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 44.8% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Richmond Center for Rehabilitation and Specialty Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 4823

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	33	23
Life Safety Code Deficiencies	10	11
Total Deficiencies	43	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	11	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	26%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **July 21, 2014 Complaint Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	August 29, 2014
PROPER NOTICE BEFORE TRANSFER/DISCHARGE OF RESIDENT			<input type="checkbox"/>	August 29, 2014
RESIDENT INFORMED OF HEALTH STATUS AND MEDICAL CONDITION			<input type="checkbox"/>	August 29, 2014

April 4, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	n/a
ELECTRICAL SYSTEMS			<input type="checkbox"/>	n/a

Rome Center for Rehabilitation and Health Care

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
 Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 19.5% This Facility 14.4% State average 19.1% National average	 2 out of 5 stars
 Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average	 1 out of 5 stars
 Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 51.6% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
 Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 37.7% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
 Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 2.3% This Facility 2.4% State average 2.5% National average	 2 out of 5 stars
 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 18.8% This Facility 14.1% State average 15.7% National average	 2 out of 5 stars
 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 5.2% This Facility 5.7% State average 8.0% National average	 3 out of 5 stars
 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 9.0% This Facility 7.6% State average 6.0% National average	 2 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 6.8% This Facility 6.3% State average 7.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 37.7% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.6% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.3% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 44.3% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.7% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.2% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 89.1% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 72.7% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 17.8% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Rome Center for Rehabilitation and Health Care

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0593

Regional Office: [Central New York Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	51	23
Life Safety Code Deficiencies	5	11
Total Deficiencies	56	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

May 30, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
DEVELOP COMPREHENSIVE CARE PLANS 			<input type="checkbox"/>	July 28, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE 			<input type="checkbox"/>	July 28, 2014

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SELF-DETERMINATION - RESIDENT MAKES CHOICES			<input type="checkbox"/>	July 28, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	July 28, 2014

March 3, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	April 28, 2014
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	April 28, 2014
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	April 28, 2014
FACILITY PROVIDES SUFFICIENT FLUID INTAKE			<input type="checkbox"/>	April 28, 2014
MEDICALLY RELATED SOCIAL SERVICES			<input type="checkbox"/>	April 28, 2014
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input type="checkbox"/>	April 28, 2014
PHYSICIAN RESPONSIBILITIES DURING VISITS			<input type="checkbox"/>	April 28, 2014
RESIDENT INFORMED OF HEALTH STATUS AND MEDICAL CONDITION			<input type="checkbox"/>	April 28, 2014
RESIDENTS RIGHT TO BE FREE FROM ABUSE			<input type="checkbox"/>	April 28, 2014
SERVICES PROVIDED MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	April 28, 2014
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	April 28, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	April 28, 2014
SMOKING REGULATIONS			<input type="checkbox"/>	April 28, 2014

Steuben Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p>Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 32.2% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p>Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.6% This Facility 1.3% State average 1.1% National average</p>	<p> 2 out of 5 stars</p>
<p>Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 97.1% This Facility 83.3% State average 83.1% National average</p>	<p> 5 out of 5 stars</p>
<p>Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 99.6% This Facility 84.0% State average 82.4% National average</p>	<p> 5 out of 5 stars</p>
<p>Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p>Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 14.1% State average 15.7% National average</p>	<p> 5 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 5.7% State average 8.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 7.6% State average 6.0% National average</p>	<p> 2 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 4.2% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 49.6% This Facility 44.5% State average 44.1% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.9% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 7.7% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 29.8% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.8% This Facility 1.7% State average 1.3% National average</p>	<p> 3 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.8% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 94.5% State average 92.9% National average</p>	<p> 5 out of 5 stars</p>

<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 20.1% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Steuben Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0875

Regional Office: [WRO--Rochester Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	31	23
Life Safety Code Deficiencies	8	11
Total Deficiencies	39	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

April 18, 2014 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
FACILITY PROHIBITS ABUSE, NEGLECT			<input type="checkbox"/>	June 6, 2014

November 29, 2013 Complaint Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING			<input type="checkbox"/>	January 3, 2014

October 25, 2013 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
STORE/PREPARE/DISTRIBUTE FOOD UNDER SANITARY CONDITIONS			<input type="checkbox"/>	December 6, 2013
ACTIVITY PROGRAM MEETS INDIVIDUAL NEEDS			<input type="checkbox"/>	December 6, 2013
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	December 6, 2013
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	December 24, 2013
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	December 6, 2013
RESIDENT CALL SYSTEM			<input type="checkbox"/>	December 6, 2013

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CORRIDOR WALLS			<input type="checkbox"/>	December 6, 2013
MEDICAL GAS SYSTEM			<input type="checkbox"/>	December 6, 2013
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	December 24, 2013
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	December 24, 2013
SPACE HEATERS			<input type="checkbox"/>	December 6, 2013

University Nursing Home

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 4.3% This Facility 14.4% State average 19.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 61.5% This Facility 83.3% State average 83.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 87.1% This Facility 84.0% State average 82.4% National average</p>	<p> 3 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p> 5 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 16.7% This Facility 14.1% State average 15.7% National average</p>	<p> 2 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 3.6% This Facility 5.7% State average 8.0% National average</p>	<p> 3 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 7.6% State average 6.0% National average</p>	<p> 5 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 13.6% This Facility 6.3% State average 7.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 29.8% This Facility 44.5% State average 44.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.4% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 8.5% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 74.1% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>

<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.4% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 93.3% This Facility 94.5% State average 92.9% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 41.8% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

University Nursing Home

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1244

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	18	23
Life Safety Code Deficiencies	19	10
Total Deficiencies	37	33

Measure	This Facility	Statewide Average
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

December 26, 2013 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
GENERATORS INSPECTED/TESTED			<input type="checkbox"/>	January 29, 2014
AUTOMATIC SPRINKLER SYSTEM			<input type="checkbox"/>	January 29, 2014

Washington Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
<p> Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 24.7% This Facility 14.4% State average 19.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 1.4% This Facility 1.3% State average 1.1% National average</p>	<p> 2 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 98.1% This Facility 83.3% State average 83.1% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 98.4% This Facility 84.0% State average 82.4% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 23.4% This Facility 14.1% State average 15.7% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 5.7% State average 8.0% National average</p>	<p>★★★ 3 out of 5 stars</p>
<p>🔍 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 4.1% This Facility 7.6% State average 6.0% National average</p>	<p>★★★★ 4 out of 5 stars</p>
<p>🔍 Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.6% This Facility 6.3% State average 7.1% National average</p>	<p>★★★ 3 out of 5 stars</p>
<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 27.5% This Facility 44.5% State average 44.1% National average</p>	<p>★★★★★ 5 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 4.3% This Facility 2.7% State average 3.2% National average</p>	<p>★ 1 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 12.5% This Facility 5.6% State average 6.0% National average</p>	<p>★ 1 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 43.9% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 2.7% State average 3.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 94.5% State average 92.9% National average</p>	<p> 5 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 15.6% This Facility 18.4% State average 20.3% National average</p>	<p> 3 out of 5 stars</p>

Washington Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1026

Regional Office: [Capital District Regional Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Standard Health Deficiencies	72	23
Life Safety Code Deficiencies	10	11
Total Deficiencies	82	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	18	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	22%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

- **August 1, 2014 Certification Survey**
- **Standard Health Inspection**

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
NOT EMPLOY PERSONS GUILTY OF ABUSE			<input checked="" type="checkbox"/>	September 19, 2014
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM			<input type="checkbox"/>	September 19, 2014
FACILITY MAINTAINS QA COMMITTEE			<input type="checkbox"/>	September 19, 2014
			<input type="checkbox"/>	September 19, 2014
CRIMINAL HISTORY RECORD CHECK TEMPORARY APPROVAL PENDING RESULTS/ SUPERVISION REQUIRED			<input type="checkbox"/>	September 19, 2014

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
DEVELOP COMPREHENSIVE CARE PLANS			<input type="checkbox"/>	September 19, 2014
PROPER TREATMENT TO PREVENT/HEAL PRESSURE SORES			<input type="checkbox"/>	September 19, 2014

Standard Life Safety Code Inspection

No Deficiencies

Waterfront Center for Rehabilitation and Healthcare

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 9.1% This Facility 14.4% State average 19.1% National average	 4 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 2.1% This Facility 1.3% State average 1.1% National average	 1 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 22.6% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 34.5% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.6% This Facility 2.4% State average 2.5% National average</p>	<p> 3 out of 5 stars</p>
<p> Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 24.4% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p> Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.5% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have pressure sores (long stay) Reporting period: July 2013 to March 2014 11.1% This Facility 7.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 5.4% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 63.3% This Facility 44.5% State average 44.1% National average</p>	<p> 1 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 2.7% This Facility 2.7% State average 3.2% National average</p>	<p> 3 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 3.3% This Facility 5.6% State average 6.0% National average</p>	<p> 4 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 91.2% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.3% This Facility 1.7% State average 1.3% National average</p>	<p> 4 out of 5 stars</p>

<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 3.0% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 72.8% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 80.3% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 11.9% This Facility 18.4% State average 20.3% National average</p>	<p> 4 out of 5 stars</p>

Waterfront Center for Rehabilitation and Healthcare

Inspection Report

Report Period: October 2010 to September 2014

PFI: 0254

Regional Office: [WRO--Buffalo Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	71	23
Life Safety Code Deficiencies	18	11
Total Deficiencies	89	34

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
Deficiencies Related to Actual Harm or Immediate Jeopardy	2	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	2%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

March 20, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CLINICAL RECORDS MEET PROFESSIONAL STANDARDS			<input type="checkbox"/>	May 15, 2014
FACILITY IS FREE OF ACCIDENT HAZARDS			<input type="checkbox"/>	May 15, 2014
ADL CARE PROVIDED FOR DEPENDENT RESIDENTS			<input type="checkbox"/>	May 15, 2014
DENTAL SERVICES			<input type="checkbox"/>	May 15, 2014
DENTAL SERVICES IN SKILLED NURSING FACILITIES			<input type="checkbox"/>	May 15, 2014
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN			<input type="checkbox"/>	May 15, 2014
DIGNITY			<input type="checkbox"/>	May 15, 2014
DISPOSE GARBAGE AND REFUSE PROPERLY			<input type="checkbox"/>	May 15, 2014
DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS			<input type="checkbox"/>	May 15, 2014
FACILITY PROVIDES DRUGS AND BIOLOGICALS			<input type="checkbox"/>	May 15, 2014
RANGE OF MOTION TREATMENT AND SERVICES			<input type="checkbox"/>	May 15, 2014

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
RESIDENT DRUG REGIMEN REVIEWED MONTHLY BY PHARMACIST			<input type="checkbox"/>	May 15, 2014
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN			<input type="checkbox"/>	May 15, 2014
DEPARTMENT CRIMINAL HISTORY REVIEW AUTHORIZED PERSON(S)/CONFIDENTIALITY OF INFORMATION			<input type="checkbox"/>	May 1, 2014
FOOD PROPERLY PREPARED, PALATABLE, ETC.			<input type="checkbox"/>	May 15, 2014
INFORM RESIDENT OF SERVICES/CHARGES/LEGAL RIGHTS/ETC.			<input type="checkbox"/>	May 15, 2014

Standard Life Safety Code Inspection

Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
CONSTRUCTION TYPE			<input type="checkbox"/>	May 15, 2014
CORRIDOR DOORS			<input type="checkbox"/>	May 15, 2014
CORRIDOR WIDTH			<input type="checkbox"/>	May 15, 2014
DOORS IN FIRE AND SMOKE PARTITIONS			<input type="checkbox"/>	May 15, 2014
EMERGENCY PLAN			<input type="checkbox"/>	May 15, 2014
EXIT ACCESS			<input type="checkbox"/>	May 15, 2014
EXIT SIGNS			<input type="checkbox"/>	May 15, 2014
HAZARDOUS AREAS - SEPARATION			<input type="checkbox"/>	May 15, 2014
MEDICAL GAS SYSTEM			<input type="checkbox"/>	May 15, 2014
PORTABLE FIRE EXTINGUISHERS			<input type="checkbox"/>	May 15, 2014

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

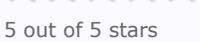
Deficiency	Severity	Residents Affected	Substandard Quality of Care	Correction Date
SMOKE DETECTOR MAINTENANCE			<input type="checkbox"/>	May 15, 2014
SMOKE PARTITION CONSTRUCTION			<input type="checkbox"/>	May 15, 2014
SPRINKLER SYSTEM MAINTENANCE			<input type="checkbox"/>	May 15, 2014
STAIRS AND SMOKE PROOF TOWERS			<input type="checkbox"/>	May 15, 2014
TESTING OF FIRE ALARM			<input type="checkbox"/>	May 15, 2014

Williamsbridge Manor Nursing Home

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking
Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 3.4% This Facility 14.4% State average 19.1% National average	 5 out of 5 stars
Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.0% This Facility 1.3% State average 1.1% National average	 5 out of 5 stars
Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 83.8% This Facility 83.3% State average 83.1% National average	 2 out of 5 stars
Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 96.6% This Facility 84.0% State average 82.4% National average	 4 out of 5 stars
Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 0.0% This Facility 2.4% State average 2.5% National average	 5 out of 5 stars

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p>🔍 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 26.6% This Facility 14.1% State average 15.7% National average</p>	<p> 1 out of 5 stars</p>
<p>🔍 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 2.3% This Facility 5.7% State average 8.0% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 7.4% This Facility 7.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Lose too much weight (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 6.3% State average 7.1% National average</p>	<p> 5 out of 5 stars</p>
<p>🔍 Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 12.2% This Facility 44.5% State average 44.1% National average</p>	<p> 5 out of 5 stars</p>
<p>🔍 Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 1.7% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p>🔍 Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 5.1% This Facility 5.6% State average 6.0% National average</p>	<p> 3 out of 5 stars</p>
<p>🔍 Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 27.5% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p>🔍 Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p>🔍 Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.5% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Were given, appropriately, the seasonal influenza vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014 96.2% This Facility 94.5% State average 92.9% National average</p>	<p> 3 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay)</p> <p>Reporting period: July 2013 to March 2014 100.0% This Facility 96.8% State average 94.2% National average</p>	<p> 5 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay)</p> <p>Reporting period: July 2013 to March 2014 28.7% This Facility 18.4% State average 20.3% National average</p>	<p> 1 out of 5 stars</p>

Williamsbridge Manor Nursing Home

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1247

Regional Office: [MARO--New York City Area](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	9	23
Life Safety Code Deficiencies	12	11
Total Deficiencies	21	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1

CON# 142056 BNHLC Attachment A – Quality Measures and Inspection Report

Measure	This Facility	Statewide Average
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

March 7, 2014 Certification Survey

Standard Health Inspection

No Deficiencies

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
MAIN SPRINKLER CONTROL 			<input type="checkbox"/>	April 1, 2014
AUTOMATIC SPRINKLER SYSTEM 			<input type="checkbox"/>	April 1, 2014
EXIT ACCESS 			<input type="checkbox"/>	April 1, 2014
HAZARDOUS AREAS - SEPARATION 			<input type="checkbox"/>	April 1, 2014

L&A RE Acquisitions, LLC

Ownership of the real property before and after the requested change is as follows:

<u>Current Real Property Owner</u>	<u>Ownership</u>	<u>Proposed Real Property</u>	<u>Ownership</u>
Michael N. Malotz Skilled Nursing Pavilion*	100%	L&A RE Acquisitions, LLC	
		Atten Stein	43.0%
		Lazer Strulovitch	43.0%
		Leopold Schwimmer	14.0%

* Riverside Health Care System, Inc. is sole member

ADIRA AT RIVERSIDE REHABILITATION
(Formerly Michael N. Malotz Skilled Nursing Pavilion)
120-BED RESIDENTIAL HEALTH CARE FACILITY

PRO FORMA BALANCE SHEET

ASSETS

Working Capital	\$2,156,757
Goodwill	<u>\$1,700,000</u>
TOTAL ASSETS	<u>\$3,856,757</u>

LIABILITIES AND EQUITY

LIABILITIES

Acquisition Loan	\$1,360,000
Working Capital Loan	<u>\$1,078,378</u>
TOTAL LIABILITIES	\$2,438,378

MEMBER EQUITY	<u>\$1,418,379</u>
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TOTAL LIABILITIES AND MEMBER EQUITY	<u>\$3,856,758</u>
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Project 142090
Attachment D

Financial Summary

Michael Malotz Skilled Nursing Pavilion

FISCAL PERIOD ENDED	<u>12/31/2013</u>	<u>12/31/2012</u>	<u>12/31/2011</u>
ASSETS - CURRENT	\$5,538,528	\$5,168,016	\$4,693,799
ASSETS - FIXED AND OTHER	13,454,793	13,309,684	14,329,752
LIABILITIES - CURRENT	5,908,157	4,566,650	4,054,343
LIABILITIES - LONG-TERM	<u>16,885,527</u>	<u>16,860,391</u>	<u>17,949,690</u>
EQUITY	(\$3,800,363)	(\$2,949,341)	(\$2,980,482)
<hr/>			
INCOME	\$16,430,511	\$15,398,599	\$15,036,423
EXPENSE	<u>17,281,533</u>	<u>15,367,458</u>	<u>14,756,655</u>
NET INCOME	(\$851,022)	\$31,141	\$279,768
<hr/>			
GERIATRIC BEDS	112	112	112
PERCENT OF OCCUPANCY (DAYS)	93.34%	96.44%	97.55%
VENTILATOR BEDS	8	8	8
PERCENT OF OCCUPANCY (DAYS)	99.76%	87.64%	82.95%
<hr/>			
GERIATRIC BED PERCENT OCCUPANCY (DAYS):			
MEDICAID	66.51%	67.41%	70.95%
MEDICARE	27.15%	17.10%	13.85%
PRIVATE/OTHER	6.35%	15.49%	15.20%
<hr/>			
VENTILATOR BED PERCENT OCCUPANCY (DAYS):			
MEDICAID	73.81%	66.84%	59.99%
MEDICARE	12.63%	17.07%	28.86%
PRIVATE/OTHER	13.56%	16.10%	11.15%
<hr/>			
Average Working Capital	\$290,398		
Average Net Assets	(\$3,243,395)		
Average Net Income (Loss)	(\$180,038)		
Average Occupancy-Geriatric Beds	95.78%		
Average Occupancy-Ventilator Beds	90.12%		

Financial Summary
Sprain Brook Manor Rehab, LLC

FISCAL PERIOD ENDED	<u>12/31/2013</u>
ASSETS - CURRENT	\$4,307,246
ASSETS - FIXED AND OTHER	7,451,820
LIABILITIES - CURRENT	2,390,839
LIABILITIES - LONG-TERM	<u>4,100,050</u>
EQUITY	\$5,268,177
<hr/>	
INCOME	\$16,081,690
EXPENSE	<u>14,952,512</u>
NET INCOME	\$1,129,178
<hr/>	
NUMBER OF BEDS	121
PERCENT OF OCCUPANCY (DAYS)	86.89%
<hr/>	
PERCENT OCCUPANCY (DAYS):	
MEDICAID	53.54%
MEDICARE	31.17%
PRIVATE/OTHER	15.29%
<hr/>	
Working Capital	\$1,916,407
Net Assets	\$5,268,177
Net Income (Loss)	\$1,129,178
Occupancy	86.89%

Sprain Brook Manor Rehab

The following table shows how this nursing home performs in key quality measure areas.

Percentage of residents who...	Performance Ranking 
 Self-report moderate to severe pain (short stay) Reporting period: April 2013 to March 2014 11.5% This Facility 14.4% State average 19.1% National average	 3 out of 5 stars
 Have pressure sores that are new or worsened Reporting period: April 2013 to March 2014 0.4% This Facility 1.3% State average 1.1% National average	 4 out of 5 stars
 Were given, appropriately, the seasonal influenza vaccine (short stay) Reporting period: April 2013 to March 2014 66.8% This Facility 83.3% State average 83.1% National average	 1 out of 5 stars
 Were given, appropriately, the pneumococcal vaccine Reporting period: April 2013 to March 2014 49.1% This Facility 84.0% State average 82.4% National average	 1 out of 5 stars
 Newly received an antipsychotic medication Reporting period: April 2013 to March 2014 1.8% This Facility 2.4% State average 2.5% National average	 3 out of 5 stars
 Needed increased help with daily activities (long stay) Reporting period: July 2013 to March 2014 4.9% This Facility 14.1% State average 15.7% National average	 5 out of 5 stars
 Self-report moderate to severe pain (long stay) Reporting period: July 2013 to March 2014 4.6% This Facility 5.7% State average 8.0% National average	 3 out of 5 stars
 Have pressure sores (long stay) Reporting period: July 2013 to March 2014 20.7% This Facility 7.6% State average 6.0% National average	 1 out of 5 stars

CON# 142090 BNHLC Attachment A – Quality Measures and Inspection Report

<p> Lose too much weight (long stay) Reporting period: July 2013 to March 2014 4.2% This Facility 6.3% State average 7.1% National average</p>	<p> 4 out of 5 stars</p>
<p> Lose control of their bowels or bladder (long stay, low risk) Reporting period: July 2013 to March 2014 55.2% This Facility 44.5% State average 44.1% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a catheter inserted and left in their bladder (long stay) Reporting period: July 2013 to March 2014 3.1% This Facility 2.7% State average 3.2% National average</p>	<p> 2 out of 5 stars</p>
<p> Had a urinary tract infection (long stay) Reporting period: July 2013 to March 2014 15.0% This Facility 5.6% State average 6.0% National average</p>	<p> 1 out of 5 stars</p>
<p> Have depressive symptoms (long stay) Reporting period: July 2013 to March 2014 76.1% This Facility 11.6% State average 6.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Were physically restrained (long stay) Reporting period: July 2013 to March 2014 0.0% This Facility 1.7% State average 1.3% National average</p>	<p> 5 out of 5 stars</p>
<p> Experienced one or more falls with major injury (long stay) Reporting period: July 2013 to March 2014 1.6% This Facility 2.7% State average 3.2% National average</p>	<p> 4 out of 5 stars</p>
<p> Were given, appropriately, the seasonal influenza vaccine (long stay) Reporting period: July 2013 to March 2014 87.6% This Facility 94.5% State average 92.9% National average</p>	<p> 1 out of 5 stars</p>
<p> Were given, appropriately, the pneumococcal vaccine (long stay) Reporting period: July 2013 to March 2014 92.6% This Facility 96.8% State average 94.2% National average</p>	<p> 1 out of 5 stars</p>
<p> Received an antipsychotic medication (long stay) Reporting period: July 2013 to March 2014 23.3% This Facility 18.4% State average 20.3% National average</p>	<p> 2 out of 5 stars</p>

Sprain Brook Manor Rehab

Inspection Report

Report Period: October 2010 to September 2014

PFI: 1114

Regional Office: [MARO--New Rochelle Area Office](#)

This report displays citations for Certification Surveys and Complaint Surveys during the reporting period.

Summary

This table summarizes the citations in the details section of this report and compares them against the statewide average.

Measure	This Facility	Statewide Average
Standard Health Deficiencies	42	23
Life Safety Code Deficiencies	26	11
Total Deficiencies	68	34
Deficiencies Related to Actual Harm or Immediate Jeopardy	0	1
% of Deficiencies Related to Actual Harm or Immediate Jeopardy	0%	3%

Details of Certification and Complaint Surveys

Key to understanding citations and surveys:

-  Potential for no more than Minimal Harm
-  Potential for more than Minimal Harm
-  Actual Harm
-  Immediate Jeopardy
-  Isolated
-  Pattern
-  Widespread

- **Certification surveys** are on-site inspections required every 9-15 months by the federal government.
- **Complaint surveys** are on-site inspections held as the result of a complaint. They are only shown here if they resulted in a citation.

August 7, 2014 Certification Survey

Standard Health Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
DEVELOPMENT/PREPARE/REVIEW OF COMPREHENSIVE CARE PLAN 			<input type="checkbox"/>	October 5, 2014
DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS 			<input type="checkbox"/>	October 5, 2014
FACILITY ESTABLISHES INFECTION CONTROL PROGRAM 			<input type="checkbox"/>	October 5, 2014
PROVIDE NECESSARY CARE FOR HIGHEST PRACTICABLE WELL BEING 			<input type="checkbox"/>	October 5, 2014
RESIDENT MAINTAIN NUTRITIONAL STATUS UNLESS UNAVOIDABLE 			<input type="checkbox"/>	October 5, 2014
SERVICES BY QUALIFIED PERSONS IN ACCORDANCE WITH CARE PLAN 			<input type="checkbox"/>	October 5, 2014

Standard Life Safety Code Inspection

Deficiency	Severity 	Residents Affected 	Substandard Quality of Care 	Correction Date 
PHYSICAL ENVIRONMENT 			<input type="checkbox"/>	October 5, 2014
AUTOMATIC SPRINKLER SYSTEM 			<input type="checkbox"/>	October 5, 2014
HAZARDOUS AREAS - SEPARATION 			<input type="checkbox"/>	October 5, 2014
SMOKE PARTITION CONSTRUCTION 			<input type="checkbox"/>	October 5, 2014
SPRINKLER SYSTEM MAINTENANCE 			<input type="checkbox"/>	October 5, 2014

DOMINICAN SISTERS FAMILY HEALTH SERVICE, INC.

Balance Sheets
December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Current assets		
Cash and cash equivalents	\$ 255,404	\$ 236,187
Investments	195,206	160,181
Accounts receivable, net	4,524,598	3,920,464
Grants receivable	181,928	168,696
Promises to give	6,704	13,400
Due from Elizabeth Seton Pediatric Center	-	5,633
Other receivables	195,914	214,105
Prepays	<u>199,201</u>	<u>170,937</u>
Total current assets	<u>5,558,955</u>	<u>4,889,603</u>
Assets whose use is limited	<u>1,948,518</u>	<u>1,934,846</u>
Fixed assets, net	<u>890,827</u>	<u>574,275</u>
Intangible Assets		
Goodwill	<u>515,000</u>	<u>515,000</u>
Other assets		
Deposits	<u>56,307.00</u>	<u>53,777.00</u>
Total other assets	<u>56,307</u>	<u>53,777</u>
Total assets	<u>\$ 8,969,607</u>	<u>\$ 7,967,501</u>

See accompanying notes to financial statements

Liabilities and Net Assets

	<u>2013</u>	<u>2012</u>
Current liabilities		
Accounts payable	\$ 3,778,641	\$ 2,937,420
Accrued salaries and expenses	1,928,904	1,973,704
Due third party payors	847,147	713,338
Current portion of loan payable	194,279	404,150
Loan payable - others	66,904	199,855
Current portion of obligaton under capital lease	-	<u>25,211</u>
Total current liabilities	<u>6,815,875</u>	<u>6,253,678</u>
Long-term liabilities		
Loan payable, net of current portion	<u>224,090</u>	<u>-</u>
Total liabilities	<u>7,039,965</u>	<u>6,253,678</u>
Net assets		
Unrestricted	1,829,753	1,608,718
Temporarily restricted	<u>99,889</u>	<u>105,105</u>
Total net assets	<u>1,929,642</u>	<u>1,713,823</u>
Total liabilities and net assets	<u>\$ 8,969,607</u>	<u>\$ 7,967,501</u>

DOMINICAN SISTERS FAMILY HEALTH SERVICE, INC.

Statements of Activities
for the years ended December 31, 2013 and 2012

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>2013 Total</u>	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>2012 Total</u>
Revenue, gains and other support						
Patient revenue, net of contractual allowances	\$ 38,633,845	\$ -	\$ 38,633,845	\$ 34,440,685	\$ -	\$ 34,440,685
Provision for bad debt	(455,402)	-	(455,402)	(192,748)	-	(192,748)
Net patient revenue	38,178,443	-	38,178,443	34,247,937	-	34,247,937
Net assets released from restrictions	271,700	(271,700)	-	327,984	(327,984)	-
Other operating revenue	309,527	-	309,527	185,904	-	185,904
Contributions	438,565	266,484	705,049	382,848	324,090	706,938
Total revenue, gains and other support	39,198,235	(5,216)	39,193,019	35,144,673	(3,894)	35,140,779
Expenses:						
Salaries and benefits	25,413,373	-	25,413,373	24,320,584	-	24,320,584
Purchase service	9,141,916	-	9,141,916	7,391,659	-	7,391,659
Medical supplies	252,987	-	252,987	223,060	-	223,060
Waiver services	315,358	-	315,358	391,038	-	391,038
Travel expenses	600,963	-	600,963	745,268	-	745,268
Repair and maintenance	337,137	-	337,137	301,102	-	301,102
Office supplies and expenses	685,263	-	685,263	564,051	-	564,051
Professional fees	547,324	-	547,324	533,594	-	533,594
Communication expenses	379,562	-	379,562	357,294	-	357,294
Other administrative expenses	30,270	-	30,270	22,021	-	22,021
Training, seminars and tuition expenses	85,563	-	85,563	60,663	-	60,663
Assessment tax	207,283	-	207,283	215,277	-	215,277
Interest expenses	24,604	-	24,604	33,998	-	33,998
Occupancy	755,188	-	755,188	715,449	-	715,449
Depreciation expenses	208,338	-	208,338	179,337	-	179,337
Total expenses	38,985,129	-	38,985,129	36,054,395	-	36,054,395
Operating income (loss)	213,106	(5,216)	207,890	(909,722)	(3,894)	(913,616)
Other income	-	-	-	-	-	-
Investment income	28,107	-	28,107	55,017	-	55,017
Unrealized (losses) on securities	(20,178)	-	(20,178)	(11,668)	-	(11,668)
Change in net assets	221,035	(5,216)	215,819	(866,373)	(3,894)	(870,267)
Net assets, beginning of year	1,608,718	105,105	1,713,823	2,475,091	108,999	2,584,090
Net assets, end of year	\$ 1,829,753	\$ 99,889	\$ 1,929,642	\$ 1,608,718	\$ 105,105	\$ 1,713,823

VISITING NURSE ASSOCIATION OF LONG ISLAND, INC.
STATEMENTS OF FINANCIAL POSITION
December 31, 2013 and 2012

ASSETS	<u>2013</u>	<u>2012</u>
CURRENT ASSETS		
Cash and cash equivalents	\$ 105,747	\$ 531,880
Accounts receivable	2,378,858	1,572,448
Contracts and grants receivable	3,294,970	174,345
Prepaid expenses and other current assets	<u>40,336</u>	<u>120,475</u>
Total Current Assets	<u>5,819,911</u>	<u>2,399,148</u>
PROPERTY AND EQUIPMENT	<u>163,353</u>	<u>121,910</u>
OTHER ASSETS		
Goodwill	461,964	461,964
Deposits	<u>78,592</u>	<u>78,592</u>
Total Other Assets	<u>540,556</u>	<u>540,556</u>
Total Assets	<u>\$ 6,523,820</u>	<u>\$ 3,061,614</u>

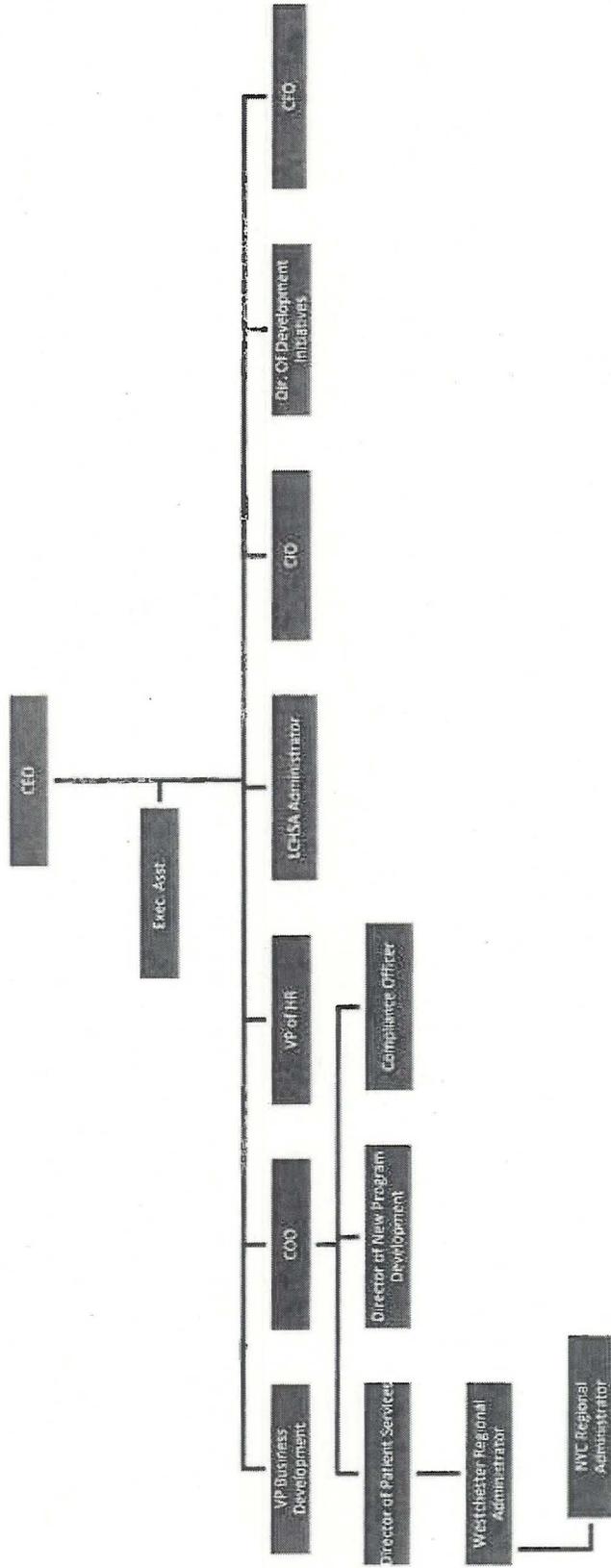
See notes to financial statements.

LIABILITIES AND NET ASSETS	<u>2013</u>	<u>2012</u>
CURRENT LIABILITIES		
Revolving line of credit	\$ 700,000	\$ 750,000
Accounts payable and accrued expenses	884,695	525,149
Accrued payroll and related liabilities	233,129	180,511
Current maturities of workers' compensation note payable	63,899	54,890
Current maturities of related party note	400,000	3,279
Current obligations under capital leases	21,942	26,209
Third party payor settlements	151,595	63,446
Deferred lease incentives	33,629	33,629
Deferred revenue	1,205,893	-
Contract services payable	<u>1,956,067</u>	<u>1,855,252</u>
Total Current Liabilities	<u>5,650,849</u>	<u>3,492,365</u>
LONG-TERM LIABILITIES		
Obligations under capital leases	25,301	47,243
Workers' compensation note payable	134,188	203,234
Related party note payable	-	396,721
Deferred rent	546,021	519,084
Deferred lease incentives	<u>47,641</u>	<u>81,270</u>
Total Long-Term Liabilities	<u>753,151</u>	<u>1,247,552</u>
Total Liabilities	<u>6,404,000</u>	<u>4,739,917</u>
NET ASSETS		
Unrestricted	(346,450)	(2,104,129)
Temporarily restricted	<u>466,270</u>	<u>425,826</u>
Total Net Assets	<u>119,820</u>	<u>(1,678,303)</u>
Total Liabilities and Net Assets	<u>\$ 6,523,820</u>	<u>\$ 3,061,614</u>

VISITING NURSE ASSOCIATION OF LONG ISLAND, INC.
STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS
Years Ended December 31, 2013 and 2012

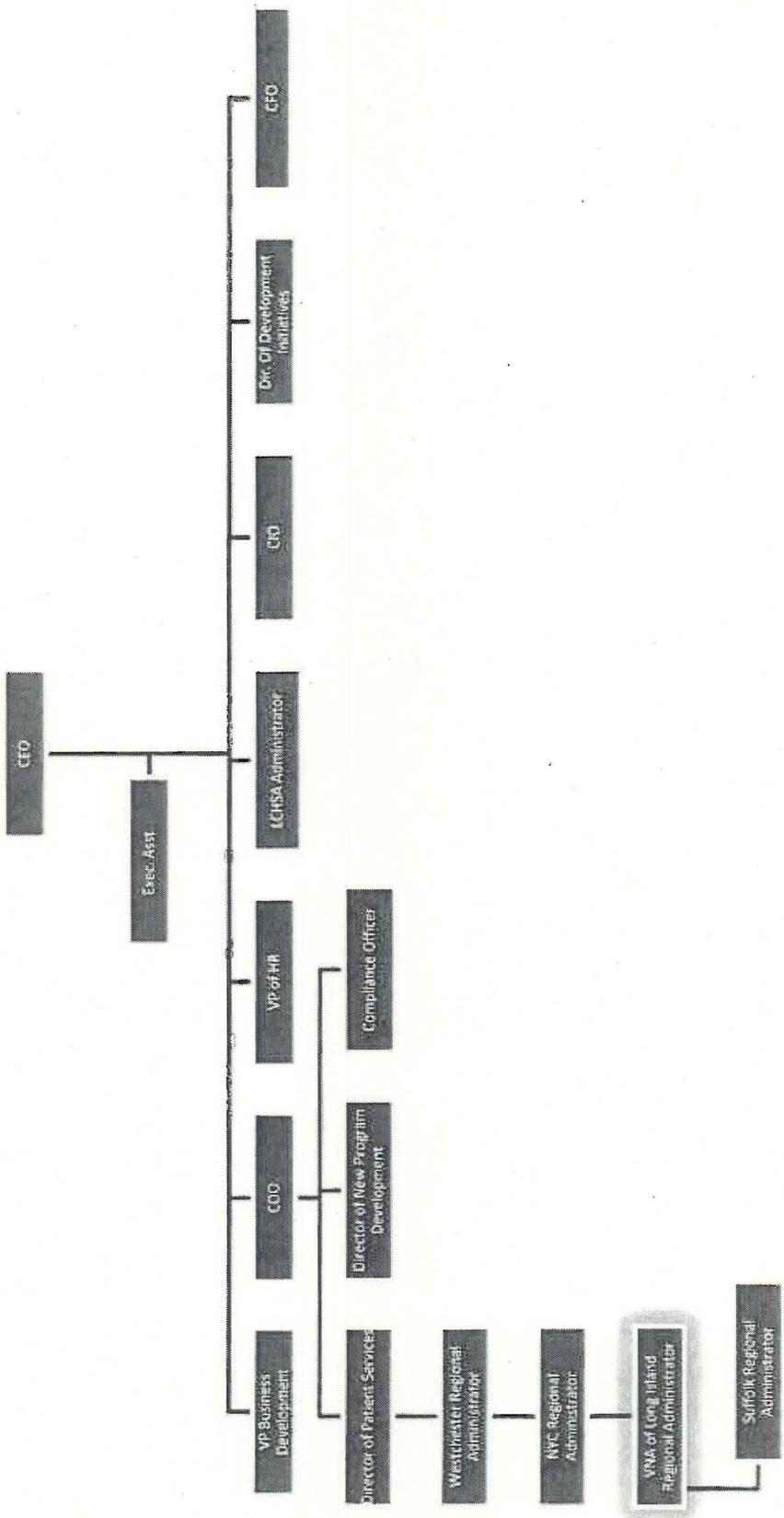
	2013			2012		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
REVENUES AND SUPPORT						
Net patient service revenue	\$ 11,055,032	\$ -	\$ 11,055,032	\$ 9,948,612	\$ -	\$ 9,948,612
Meals on wheels program	1,140,365	-	1,140,365	1,070,251	-	1,070,251
Funds from participating agencies	375,000	-	375,000	100,223	-	100,223
United Way	17,165	-	17,165	16,308	-	16,308
Grant and other contributions	2,102,270	147,428	2,249,698	168,860	148,282	317,142
Other income	133,810	-	133,810	112,886	-	112,886
Investment income	1,922	-	1,922	2,476	-	2,476
Net assets released from restrictions:						
Satisfaction of program restrictions	106,984	(106,984)	-	123,766	(123,766)	-
Total Revenues and Support	14,932,548	40,444	14,972,992	11,543,382	24,516	11,567,898
EXPENSES						
Salaries	5,065,859	-	5,065,859	4,767,970	-	4,767,970
Contract services - Therapist fees	986,319	-	986,319	823,522	-	823,522
Contract services - Nurses, HHA's	2,945,371	-	2,945,371	2,907,831	-	2,907,831
Meals on wheels program cost	1,140,365	-	1,140,365	1,070,251	-	1,070,251
Payroll taxes and employee benefits	809,594	-	809,594	785,029	-	785,029
Supplies and other expenses	2,069,573	-	2,069,573	1,876,313	-	1,876,313
Provision for bad debts	100,739	-	100,739	330,012	-	330,012
Depreciation and amortization	57,049	-	57,049	97,456	-	97,456
Total Expenses	13,174,869	-	13,174,869	12,658,384	-	12,658,384
Change in net assets	1,757,679	40,444	1,798,123	(1,115,002)	24,516	(1,090,486)
NET ASSETS, Beginning	(2,104,129)	425,826	(1,678,303)	(989,127)	401,310	(587,817)
NET ASSETS, Ending	\$ (346,450)	\$ 466,270	\$ 119,820	\$ (2,104,129)	\$ 425,826	\$ (1,678,303)

DSFHS Executive Organizational Chart



Current

DSFHS Executive Organizational Chart



Proposed

DOMINICAN SISTERS FAMILY HEALTH SERVICE, INC.
MERGER OF VNALI INTO DSFHS
PRO FORMA BALANCE SHEET

	VNALI Balance Sheet as of 5/31/2014	DSFHS Balance Sheet as of 6/30/2014	Combined
CURRENT ASSETS:			
Cash & Cash Equivalents	\$ 19,760	\$ 406,490	\$ 426,250
Accounts Receivable	\$ 2,256,094	\$ 4,736,574	\$ 6,992,668
Prepaid Expenses and Other Current Assets	\$ 147,304	\$ 463,173	\$ 610,477
Contracts and Grant Programs Receivable	\$ 3,530,047	\$ 372,007	\$ 3,902,054
TOTAL CURRENT ASSETS	\$ 5,953,206	\$ 5,978,244	\$ 11,931,450
Property and Equipment	\$ 149,412	\$ 821,186	\$ 970,598
Goodwill	\$ 461,963	\$ 515,000	\$ 976,963
Deposits	\$ 78,592	\$ 56,308	\$ 134,900
Limited Use Assets (Cash / Investments)	\$ -	\$ 2,059,324	\$ 2,059,324
Other	\$ -	\$ 50,000	\$ 50,000
TOTAL OTHER ASSETS	\$ 689,967	\$ 3,501,818	\$ 4,191,785
TOTAL ASSETS	\$ 6,643,172	\$ 9,480,061	\$ 16,123,233
LIABILITIES AND NET ASSETS			
CURRENT LIABILITIES:			
Revolving Line of Credit	\$ 750,000	\$ 259,131	\$ 1,009,131
Accounts Payable and Accrued Expenses	\$ 792,025	\$ 3,674,528	\$ 4,466,553
Due to CHC	\$ 545,000	\$ -	\$ 545,000
Accrued Payroll and related Liabilities	\$ 233,129	\$ 2,084,410	\$ 2,317,539
Current Maturities of Workers' Compensation Note Payable	\$ 14,566	\$ -	\$ 14,566
Current Obligations under Capital Leases	\$ 12,959	\$ 66,904	\$ 79,863
Third-Party Payor Settlements	\$ 80,562	\$ 1,265,728	\$ 1,346,290
Deferred Lease Incentives/Deferred Revenue	\$ 1,225,510	\$ -	\$ 1,225,510
Contract Services Payable/Other	\$ 2,824,853	\$ -	\$ 2,824,853
TOTAL CURRENT LIABILITIES	\$ 6,478,605	\$ 7,350,702	\$ 13,829,307
LONG-TERM LIABILITIES			
Obligations under Capital Leases	\$ 25,301	\$ 190,928	\$ 216,229
Workers' Compensation Note Payable	\$ 157,379	\$ -	\$ 157,379
Deferred Rent	\$ 548,985	\$ -	\$ 548,985
Deferred Lease Incentives	\$ 47,641	\$ -	\$ 47,641
TOTAL LONG-TERM LIABILITIES	\$ 779,306	\$ 190,928	\$ 970,234
TOTAL LIABILITIES	\$ 7,257,910	\$ 7,541,629	\$ 14,799,539
Net Assets:			
Unrestricted	\$ (1,086,802)	\$ 1,671,349	\$ 584,547
Temporarily Restricted	\$ 472,064	\$ 267,083	\$ 739,147
TOTAL NET ASSETS	\$ (614,738)	\$ 1,938,432	\$ 1,323,694
TOTAL LIABILITIES AND NET ASSETS	\$ 6,643,172	\$ 9,480,061	\$ 16,123,233

THE ROYAL CARE, INC
Balance Sheet
December 31, 2013

ASSETS

Current assets:

Cash	\$	1,208,563
Accounts receivable		8,598,962
Other loans receivable		1,103,075
Prepaid expenses and advances		<u>1,924,211</u>

Total current assets 12,834,811

Property and equipment (net) 1,473,715

Total Assets \$ **14,308,526**

LIABILITIES AND STOCKHOLDERS' EQUITY

Current liabilities:

Accounts payable	\$	686,238
Accrued expenses and taxes payable		<u>1,091,830</u>

Total current liabilities 1,778,068

Long-term debt:

Deferred taxes payable 427,047

Stockholder's equity:

Common stock, no par value; 400 shares authorized and 200 shares outstanding		2,500
Retained Earnings		<u>12,100,911</u>

Total stockholder's equity 12,103,411

Total Liabilities and Stockholder's Equity \$ **14,308,526**

THE ROYAL CARE, INC
Statement of Income and Retained Earnings
For the year ending December 31, 2013

Revenues	\$ 71,169,040
Cost of revenues	<u>56,088,450</u>
Gross profit	<u>15,080,590</u>
General and administrative expenses	13,535,038
Interest expense	18,342
Depreciation and amortization expense	<u>92,995</u>
Income before provision for income taxes	1,434,215
Provision for income taxes:	
Current tax expense, net of deferred	<u>267,377</u>
Net income	1,166,838
Retained earnings at beginning of year	12,894,408
Shareholder's distributions	<u>(1,960,335)</u>
Retained earnings at end of year	\$ <u>12,100,911</u>

ASSETS

Current assets:

Cash	\$	977,076
Accounts receivable		10,238,587
Other loans receivable		1,166,454
Prepaid expenses and other current assets		<u>1,605,858</u>

Total current assets 13,987,975

Property and equipment (net) 1,435,315

Total Assets \$ 15,423,290

LIABILITIES AND STOCKHOLDERS' EQUITY

Current liabilities:

Accounts payable	\$	1,174,138
Accrued expenses		<u>2,262,213</u>

Total current liabilities 3,436,351

Long-term debt:

Deferred taxes payable 427,047

Stockholders' equity:

Common stock, no par value; 200 shares authorized and outstanding		2,500
Retained earnings		<u>11,557,392</u>

Total stockholders' equity 11,559,892

Total Liabilities and Stockholders' Equity \$ 15,423,290

Statement of Income and Retained Earnings
Six Months Ending June 30, 2014

Revenues	\$ 41,614,236
Cost of revenues	<u>33,416,901</u>
Gross profit	8,197,335
General and administrative expenses	7,292,489
Interest expense	6,739
Depreciation and amortization expense	<u>38,400</u>
Income before provision for income taxes	859,707
Provision for income taxes	<u>8,426</u>
Net income	851,281
Retained earnings at beginning of year	12,100,911
Shareholders' distributions	<u>(1,394,800)</u>
Retained earnings at end of period	\$ 11,557,392

CON # 142068
BFA Attachment D

Pro Forma Balance Sheet

ASSETS

Cash	\$1,907,534
Goodwill	750,000
Fixed Assets	<u>250,000</u>
TOTAL ASSETS	\$2,907,534

LIABILITIES

Working Capital Loan	<u>\$900,000</u>
TOTAL LIABILITIES	\$900,000

SHAREHOLDERS EQUITY	\$2,007,534
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Project #142100
BFA Attachment A

A & T CERTIFIED HOME CARE, LLC.
BALANCE SHEET
DECEMBER 31, 2013

Current Assets		
Cash	\$	37,783
Accounts receivable		138,956
Prepaid expenses and other current assets		<u>19,856</u>
Total current assets		\$ 196,595
Property and equipment		9,895
Investment in License		<u>733,333</u>
Total assets		<u>\$ 939,823</u>
Current Liabilities		
Accrued expenses	\$	59,745
Credit line payable		<u>13,500</u>
Total liabilities		\$ 73,245
Members equity		<u>866,578</u>
Total Liabilities and Member's equity		<u>\$ 939,823</u>

A & T CERTIFIED HOME CARE, LLC.
STATEMENT OF OPERATIONS
YEAR ENDED DECEMBER 31, 2013

Fee income, net		\$ 960,468
Less operating expenses:		
Salaries	\$	640,001
Payroll taxes		55,339
Outside labor		29,292
Rent		30,000
Utilities		3,508
Insurance		35,611
Professional fees		22,299
Advertising		854
Travel		13,653
Meals and entertainment		8,765
Interest and bank charges		28,014
Office expenses		5,698
Telephone		3,285
Business licenses and permits		1,022
Continueng education and inservice		2,349
Depreciation and amortization		71,616
Sundry		1,078
		<hr/>
total		<u>952,384</u>
Net Income		<u>\$ 8,084</u>

A & T CERTIFIED HOME CARE, LLC.
BALANCE SHEET
DECEMBER 31, 2012

Current Assets		
Cash	\$	55,560
Accounts receivable		134,492
Prepaid expenses and other current assets		<u>12,435</u>
Total current assets	\$	202,487
Property and equipment		14,845
Investment in License		<u>799,999</u>
Total assets	\$	<u>1,017,331</u>
Current Liabilities		
Accrued expenses	\$	84,837
Credit line payable		<u>74,000</u>
Total liabilities	\$	158,837
Members equity		<u>858,494</u>
Total Liabilities and Member's equity	\$	<u>1,017,331</u>

A & T CERTIFIED HOME CARE, LLC.
STATEMENT OF OPERATIONS
YEAR ENDED DECEMBER 31, 2012

Fee income, net		\$	920,175
Less operating expenses:			
Salaries	\$	614,621	
Payroll taxes		52,477	
Outside labor		23,125	
Rent		30,000	
Utilities		1,378	
Insurance		26,464	
Professional fees		26,635	
Advertising		10,153	
Travel		13,612	
Meals and entertainment		9,765	
Interest and bank charges		5,676	
Office expenses		7,694	
Telephone		3,313	
Business licenses and permits		2,000	
Continueng education and inservice		4,326	
Depreciation and amortization		71,616	
Sundry		316	
Filing fees		<u>219</u>	
total			<u>903,390</u>
Net Income		\$	<u>16,785</u>