**NEW YORK STATE DEPARTMENT OF HEALTH AND HEALTH RESEARCH, INC.**

**DISCLOSURE OF REPORTABLE INTEREST IN RESEARCH PROJECT**

Investigator:

Project Title:

I, my spouse, and my dependent children,

[ ]  HAVE

[ ]  DO NOT HAVE

a Reportable Interest. Attach a detailed description of the Reportable Interest, if applicable

Reportable Interest means:

A. Financial Interest:

1. one or more of the following interests of the Investigator (and those of the Investigator’s spouse and dependent children) that reasonably appear to be related to the Investigator’s professional responsibilities:

a. Remuneration (including any payment) received from an entity or its affiliate whose product or process is involved in the Investigator’s research or competes with such a product or process, during the twelve months preceding disclosure; or any equity interest in such entity or affiliate in excess of $5,000;

b. Intellectual property rights (e.g., patents, copyrights) related to such an entity or affiliate; and/or

c. Payment of the Investigator’s travel-related or other expenses by such an entity or affiliate.

 2. A Reportable Interest does not include the following interests of the Investigator:

 a. salary paid by the Department or HRI;

b. income from publicly traded investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles; or

c. income from seminars, lectures, teaching and service on advisory panels or reimbursement of expenses from a governmental agency or an institution of higher education; or

B. Non-Financial Interest: any other interest that might reasonably be expected to bias the design, conduct or reporting of research (e.g., when the Investigator or the Investigator’s spouse is an uncompensated board member or officer of an organization that advocates for or against a treatment involved in, or that competes with a treatment involved in, the research, or when the Investigator participated in the design, development, production or marketing of a product or process involved in the research but does not derive a financial interest in connection with the product or process).

I certify that the information provided herein is factual and complete, and agree to immediately report to the grant administrator any Reportable Interest that occurs hereafter during the term of this research project.

Signature:       Date:

DOH-3995 (revised 8/2012)