

NYS All Payer Database Steering Committee

**June 18, 2014
11:00 AM to 12:00 PM**

Conference Call

Conference Call In Number: 1-518-549-0500

Participant Code: 534 894 87#

Agenda

Topic
1. Introductory Remarks
2. Funding Update
3. Context and Current Strategies
4. Status Update On Development
5. Data Specifications
6. Overall Timeline
7. Regulations Draft Overview
8. Discussion and Next Steps

Please send an email to indicate your attendance for today's call to Christine Snyder:

cgs03@health.state.ny.us

The All Payer Database (APD)

FUNDING UPDATE

Funding Update

- Budget appropriation:
 - \$10 million appropriation to fund the APD in the NYS 2014-2015 Budget
- Advanced Planning Document:
 - In final review of Advanced Planning Document for federal matching
 - This will be submitted to CMS following review

The All Payer Database (APD)

CONTEXT AND CURRENT STRATEGIES

Context: Current Focus and Approach

- The initial focus will be on the collection of post adjudicated claims data (“encounters”) which provides critical health care information about insured individuals, their diagnoses, services received and the costs of their care.
- Examples of how claims data can be used include:
 - risk adjustment/risk based payment/payment reform
 - evaluation of clinical risk
 - quality measurement
 - price and cost transparency
 - access to care evaluation and longitudinal health services research

Claims Collection Strategy

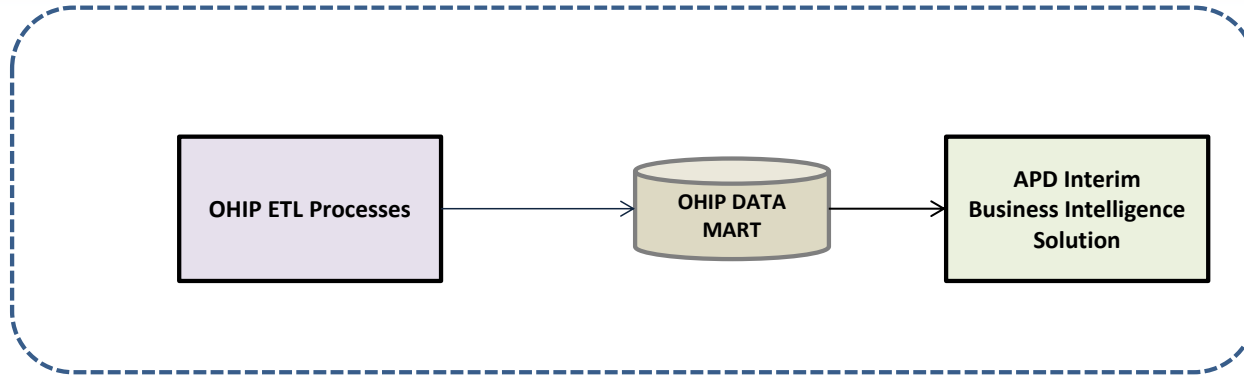
- Multiple Claims Sources:
 - New York State of Health Qualified Health Plans (QHP)
 - Medicaid Managed Care Plans
 - Medicaid Fee for Service Claims
 - Commercial Insurance Plans
- Strategy:
 - Begin by collecting data from QHPs
 - Expand to include Medicaid Managed Care Plans
 - Incorporate Medicare data
 - Expand to include others depending on availability of resources and pending procurements

Development Strategy

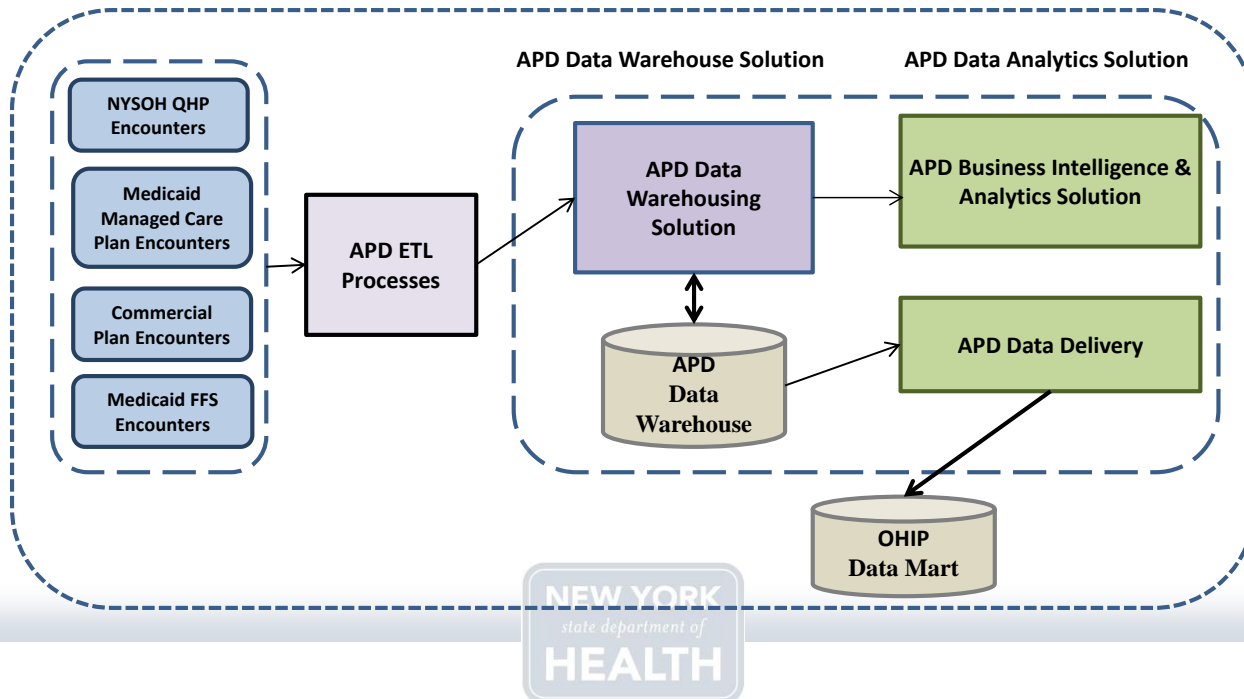
- Data Intake Solution - *In development*
 - Develop Data Intake System in conjunction with the NYSoH which will be expanded to receive data from other sources.
- Data Warehousing Solution - *RFP*
 - Interim: the data will be loaded into the OHIP Data Mart
 - Permanent: An RFP will be developed and issued for a permanent storage solution.
- Analytics Platform Solution - *RFP*
 - Interim: the data will be loaded into the OHIP Data Mart where it will be available for reporting and analytics.
 - Permanent: data stored in the OHIP Data Mart will be moved to the APD Analytics Data Store and new data will be stored. Reporting, analytics and data extracts will be developed.

Architecture Strategy

INTERIM APD



PERMANENT APD



The All Payer Database (APD)

STATUS UPDATE ON DEVELOPMENT

APD Data Intake Solution Development

- Status:
 - Currently working with the NYSoH vendor to develop the Data Intake Solution.
- Phase 1 QHP Data Intake Timeline:
 - Plans will be able to submit test files in **July 2014**
 - **First test files are expected by July 15, 2014**
 - Plans will begin to submit production files in **September 2014**
 - Network connectivity testing is underway with QHP Issuers
 - Early adopters have been selected to begin testing with the Encounters Intake System

APD Data Intake Solution Development

- Phase 2 Medicaid Data Intake Timeline:
 - Medicaid issuers have been included in discussions early on
 - Joint Application Design sessions will begin in June to define and review Medicaid specific business requirements
 - Medicaid issuers will begin to submit test files in **November 2014**
 - Medicaid issuers will begin to submit production files in **January 2015**

APD Data Intake Solution Development

- The APD team and the NYSoH vendor have maintained engagement with issuers
- Weekly project webinars for QHP and Medicaid issuers have occurred since mid-March
 - Technical presentations on reporting formats with question and answer sessions following
 - Representatives from both the NYSOH Plan Management and Office of Health Insurance Programs (OHIP) Medicaid Plan Management are present
- Weekly one-on-one calls are held with QHP issuers to provide support and answer questions regarding Encounter data reporting
 - Issuers are asked to provide internal milestones and status updates

APD Data Analytics and Warehousing Solutions

- A single RFP for Data Analytics and Data Warehousing Solutions is in development.
- The APD team worked with other DOH staff to develop requirements for the Warehouse and Analytics Solutions.
- Timeline:
 - RFP issued in July 2014
 - Vendor selection in October 2014

The All Payer Database (APD)

DATA SPECIFICATIONS

APD Data Specifications

- Direction: To adopt national standards for post-adjudicated claims data reporting (PACDR) by Plans
 - X12 Transactions Selected for All Payer Claims Databases (APCD)
 - Post-Adjudicated Claims Data Reporting: Professional (837) - X298
 - Post-Adjudicated Claims Data Reporting: Institutional (837) - X299
 - Post-Adjudicated Claims Data Reporting: Dental (837) - X300
 - NCPDP Post Adjudication Standard (Version 4.2)
- Draft Companion Guides have been distributed to the QHPs and Medicaid issuers.

Any Plan questions on reporting formats may be submitted to: nysapd@health.state.ny.us

The All Payer Database (APD)

OVERALL TIMELINE

Milestone	Target Date
<u>APD Data Intake</u>	
A. QHP Data Intake/Front End	
Begin testing Data Intake with QHPs	July 2014
Begin receiving QHP production files	September 2014
B. Medicaid Data Intake	
Begin testing for Medicaid Managed Care encounters	November 2014
Begin receiving Medicaid Managed Care production files	January 2015
C. Large Group Commercial Plan Data Intake	
Begin testing for Large Group Commercial Plan encounters	July 2015
Begin receiving Large Group Commercial Plan production files	September 2015
D. Incorporate Medicare Data	
Acquire Medicare Data for inclusion in APD	TBD 2015
<u>APD Warehouse and Analytics</u>	
A. Interim Solutions	
Implement Interim APD Warehouse Solution	September 2014
Implement Interim APD reports and ad hoc query capabilities	September 2014
B. Vendor Selection	
Release RFP for APD Data Warehouse and Analytics Solutions	July 2014
RFP Responses for APD Data Warehouse and Analytics Solutions Due	September 2014
Establish Contract with APD Warehouse/Analytics vendor	December 2014
<u>Fiscal Administration</u>	
Submit Advance Planning Documents to CMS	July 2014
<u>Regulations</u>	
Share draft with external stakeholders	June 2014
Receive informal feedback and further revise regulations	July 2014
Target for final draft to bring through regulatory process	August 2014

The All Payer Database (APD)

REGULATIONS DRAFT OVERVIEW

Draft APD Regulation Overview

- Purpose: To allow the collection of claims data from health plans for the All Payer Database
- The draft shared today:
 - Is a working draft as a starting point of an informal and iterative feedback process
 - Was developed in consult with other state regulations and model regulations from the APCD Council
 - Received initial feedback from DOH Counsel and is currently in review with DFS Counsel
 - Will continue to be updated this month as we receive feedback internally and externally
 - *Is not final and will continue to be refined* - we look forward to your input and feedback

Structure of the Regulation

- Definitions
- Data Submission Requirements
- Submission Extensions, Variances, and Waiver Requests
- Submission Exclusions
- Submission Specifications
- Compliance
- Maintenance of APD Data
- Use and Release of APD Data
- Fees for Data Use
- Audits
- Violations

Overview

- What is included in the regulation:
 - Covers health plans in New York State
 - Reporting frequency must be specified in the regulation
 - Reference to collect data in accordance with national formats and standards (X12 and NCPDP)
- What is not included in the regulation:
 - Self-funded plans
 - Clinical data
 - Data elements/reporting format will be in Submission Specifications document

Issues to be further developed

- Definition of health plans - conferring with DFS
- Data release advisory board
- Where/how the regulation fits in NYCRR; authority lies under PHL § 2816 (SPARCS)

We will schedule a follow up call in early August to focus on specific feedback and recommendations for the draft regulation. Please send your questions, comments, and suggestions in advance of the meeting to apd@health.state.ny.us

Discussion

Next Steps with Steering Committee:

- We will schedule another meeting for early August to discuss specific feedback on the draft regulation.
- If you have specific comments or questions or suggestions on the regulation, please send them to apd@health.state.ny.us and we will structure our August discussion around these points.

Questions?

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