

GUIDE TO RESTRICTION EXCEPTION (RE) CODES AND HEALTH HOME SERVICES

RE CODE	RE CODE DESCRIPTION	COMPATIBLE WITH ADULT HEALTH HOME SERVICES	POLICY NOTES
02	RRP Podiatry	YES (See notes)	Individuals can be in a Restricted Recipient Program (RRP) and enrolled in Health Home, but the Care Manager should work with the recipient and contact their Managed Care Plan, if applicable, to ensure compliance with any restrictions.
	These are codes to restrict recipient to specific podiatric providers.		
03	RRP Dental	YES (See notes)	
	These are codes to restrict recipient to specific dental providers.		
04	RRP Durable Medical Equipment	YES (See notes)	
	These are codes to restrict recipient to specific durable medical equipment providers.		
05	RRP Pharmacy	YES (See notes)	
	These are codes to restrict recipient to specific pharmacy providers.		
06	RRP Physician	YES (See notes)	
	These are codes to restrict recipient to specific physicians.		
08	RRP Clinic	YES (See notes)	
	These are codes to restrict recipient to specific clinics.		
09	RRP In-Patient Hospital	YES (See notes)	
	These are codes to restrict recipient to specific hospitals for inpatient services.		
10	RRP Dental Clinic	YES (See notes)	
	These are codes to restrict recipient to specific dental clinics.		
11	RRP Physician Group	YES (See notes)	
	These are codes to restrict recipient to specific physician groups.		
12	RRP Physician Assistant/Nurse Practitioner	YES (See notes)	
	These are codes to restrict recipient to specific PA/NP providers.		
13	RRP Alternative Pharmacy	YES (See notes)	
	These are codes to restrict recipient to specific alternative pharmacy providers.		

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20	Enrollee in Special Needs MC Plan (HIV SNP)	YES (See notes)	Like mainstream plans, recipients can be members of HIV SNP and enrolled in a Health Home program.
	This code is used to identify enrollees in Special Needs Plans.		
21	Enrollee in Special Needs MC Plan (HIV SNP)	YES (See notes)	
	This code is used to identify enrollees in Special Needs Plans.		
23	OMH Children's Waiver	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides individual care management services. Care managers should work with individuals to determine which service best meets their needs.
	This code is used to identify recipients in the OMH Home & Community Based Services (HCBS) waiver for seriously emotionally disturbed (SED) children.		
25	OPWDD - Sub-Chapter A Exception	NO	
	This code is for individuals receiving OPWDD for a limited period of time with no local shares.		
30	LTHHCP - Long Term Home Health Care Program (Lombardi Waiver)	NO (See notes)	Individuals receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	Code used for individuals authorized for long term care services who are eligible for nursing home level of care and are residing in the community. Services provided through a certified LTHHCP provider.		
35	Case Management Program; Medicaid Service Coordination/Case Management Program (Medicaid OMH, COBRA, AI TCM, OPWDD)	YES (See notes)	Children receiving OMH TCM services are being transitioned and adults receiving HIV COBRA and OMH TCM care management have transitioned into Health Homes. Individuals receiving OPWDD and EI TCM services will transition to Health Home enrollment at a later date. First Time Mothers/Newborns will not transition.
	Comprehensive case management program.		

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38	<p>ICF/DD Res</p> <hr/> <p>Recipient is a resident of an ICF-DD Facility.</p>	<p>NO (See notes)</p>	<p>Individuals receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.</p>
39	<p>Aid Continuing</p> <hr/> <p>Recipient is subject to Utilization Threshold (UT) and exempt from copay.</p>	<p>YES (See notes)</p>	<p>The care managers must work within the individuals established UT. Limitations on services may apply.</p>
44	<p>HCBS at Home Non-Intensive Residential Habilitation</p> <hr/> <p>Recipient is in a HCBS Non-Intensive AHRH to better serve consumers in their own homes and reduce demand for more costly residential placement.</p>	<p>NO (See notes)</p>	<p>At this time OPWDD waiver participants cannot be in a Health Home and the waiver. If waiver participants wish to receive care management through a Health Home they must dis-enroll from the waiver. Health Home care managers and OPWDD Medicaid Service Coordinator (MSC) managers should work with individuals to determine whether the waiver or Health Homes can best meet their needs.</p>
45	<p>HCBS at Home Intensive Residential Habilitation</p> <hr/> <p>Recipient is in a HCBS IBS to better serve consumers in their own homes and reduce demand for more costly residential placement.</p>	<p>NO (See notes)</p>	<p>At this time OPWDD waiver participants cannot be in a Health Home and the waiver. If waiver participants wish to receive care management through a Health Home they must dis-enroll from the waiver. Health Home care managers and OPWDD Medicaid Service Coordinator (MSC) managers should work with individuals to determine whether the waiver or Health Homes can best meet their needs.</p>
46	<p>OPWDD Home & Community Based Services Waiver - HCB (Pure Waiver)</p> <hr/> <p>Identifies a recipient in home & community based services waiver program (HCBS).</p>	<p>NO (See notes)</p>	<p>At this time OPWDD waiver participants cannot be in a Health Home and the waiver. If waiver participants wish to receive care management through a Health Home they must dis-enroll from the waiver. Health Home care managers and OPWDD Medicaid Service Coordinator (MSC) managers should work with individuals to determine whether the waiver or Health Homes can best meet their needs.</p>

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47	OPWDD HCBS Community Habilitation Phase 2	NO (See notes)	At this time OPWDD waiver participants cannot be in a Health Home and the waiver. If waiver participants wish to receive care management through a Health Home they must dis-enroll from the waiver. Health Home care managers and OPWDD Medicaid Service Coordinator (MSC) managers should work with individuals to determine whether the waiver or Health Homes can best meet their needs.
	Identifies a recipient in home & community based services waiver supervised CR or supervised IRA.		
48	OPWDD HCBS Supportive IRAs and CRs	NO (See notes)	
	Identifies a recipient in home & community based services waiver supportive IRA & CR.		
49	OPWDD HCBS Supervised IRA	NO (See notes)	
	Identifies a recipient in home & community based services waiver supervised IRA & CR.		
55	MCC Pharmacy	YES	
	An HR recipient enrolled in the MCCP and receiving pharmacy services from the primary pharmacy.		
56	MCC Physician	YES	
	An HR recipient enrolled in the MCCP and assigned to a primary physician or a preferred provider. Non-emergent transportation must be ordered by a primary provider only. Ancillary services (pharmacy, DME & lab) must be ordered by primary or referred provider.		
58	MCC Clinic	YES (See notes)	
	An HR recipient enrolled in the MCCP and assigned to a primary clinic where s/he must receive care or be referred for care. Ancillary services (pharmacy, DME & lab) must be ordered by primary only.		

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59	MCC Hospital	YES (See notes)	Individuals can be in a Managed Care Coordinator Program (MCCP) and enrolled in Health Home, but the care manager should work with the recipient and contact their Managed Care Plan to ensure compliance with any restrictions.
	A recipient enrolled in the MCCP and assigned to a primary inpatient provider where s/he must receive care.		
60	Nursing Home Transition and Diversion Waiver	NO (See notes)	Individuals receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	Recipient enrolled in Nursing Home Transition & Nursing Home Diversion waiver		
62	Care at Home (CAH) I (Administered by DOH)	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	The Medicaid-waiver CAH I program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.		
63	Care at Home (CAH) II (Administered by DOH)	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	The Medicaid-waiver CAH II program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.		
64	Care at Home (CAH) III (OPWDD)	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	The Medicaid-waiver CAH III program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.		

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65	Care at Home (CAH) IV (OPWDD)	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	The Medicaid-waiver CAH IV program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.		
67	Care at Home (CAH) VI (OPWDD)	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	The Medicaid-waiver CAH IV program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.		
72	Bridges to Health (B2H) Seriously Emotionally Disturbed (SED)	NO (See notes)	
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.		
73	Bridges to Health (B2H) Developmentally Disabled	NO (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.		
74	Bridges to Health (B2H) Medically Fragile (MedF)	NO (See notes)	
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.		

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75	LTC D/D Asset Protection	YES	
	Recipients are those who receive resource exemptions in the amount of LTC insurance benefit dollars paid to date. The amount determines the amount of resources disregarded in the Medicaid Budget Logic (MBL) budget.		
76	LTC Total Asset Protection	YES	
	Recipients are those who have complete disregard of resources in Medicaid Budget Logic (MBL).		
77	LTC Insurance-Non-Partnership	YES	
	Recipients have LTC insurance but not through the Partnership for LTC.		
81	Traumatic Brain Injury (TBI)	NO (See notes)	Individuals receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	HCBS/TBI waiver services include: service coordination, independent living skills training and development (ILST), structured day program services, substance abuse program services, positive behavioral interventions and support services (PBIS), community integration counseling (CIC), home and community support services (HCSS), environmental modifications (emods), respite services, assistive technology (AT), waiver transportation, and community transitional services (CTS).		
82	Non-MMIS ID RRP Provider	YES (See notes)	Individuals can be in a Restricted Recipient Program (RRP) and enrolled in Health Home, but the Care Managers should work with the individual and contact their Managed Care Plan to ensure compliance with any restrictions.
	This code returns an eligible message "Restricted recipient contact MC plan for provider information"		

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83	Alcohol & Substance Abuse (ASA)	YES (See notes)	The care manager should coordinate services within existing Fee-For-Services and Managed Care Plan eligibility requirements.
	Recipient has been mandated to receive ASA services FFS in some instances. The recipient may also be in PCP where the plan is responsible for payment of some services.		
84	OMH Base/Community Rehab & Support (CRS) with Clinical Treatment	YES	
	Recipient is enrolled in an outpatient rehab program for mentally ill adults (OMH). Recipients are exempt from UT.		
85	OMH Base/Community Rehab & Support (CRS) without Clinical Treatment	YES	
	Recipient is enrolled in an outpatient rehab program for mentally ill adults (OMH). Recipients are exempt from UT.		
86	OMH Intensive Rehab & Ongoing Rehab Services (IR/OR)	YES	
	Recipient is enrolled in an outpatient rehab program for mentally ill adults (OMH). Recipients are exempt from UT.		
89	Money Follows the Person	YES	
90	Managed Care Excluded	YES	
	Recipient is excluded from mandated managed care auto assignment of a plan		
91	Managed Care Exempt	YES	
	Recipient is exempt from managed care enrollment		

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92	DOH/ Managed Care Exempt	YES	
	Recipient is exempt from managed care enrollment		
93	Managed LTC	YES (See notes)	Individuals can be in both a Health Home and a MLTC plan. The Health Home and MLTC plan must have an administrative services agreement outlining their respective responsibilities.
	Recipients are marked who are required to enroll in a managed LTC program		
94	OMH Exempt	YES	
	Recipient exempt from managed care enrollment		
95	OPWDD Waivered Services Look-Alikes	YES (See notes)	Care Managers must check with OPWDD to ensure an individual is not receiving Care Management from OPWDD before they can be outreached or enrolled in the Health Home Program. The following steps MUST be taken to ensure there is not duplication of services: <ul style="list-style-type: none"> • Step 1 - Enter Member's Name, Date of Birth, and CIN number in an excel file. • Step 2 - Encrypt with password the excel file. • Step 3 - Send excel file with subject line "RRE Code 95 Check" to OPWDD's BML at: fida-idd@opwdd.ny.gov • Step 4 - Send the password to the same BML with the same subject line.
	Recipient exempt from managed care enrollment		
96	Seriously & Persistently Mentally Ill Adults (SPMI) & Seriously Emotionally Disturbed Children (SED)	YES (See notes)	Children receiving services through this waiver can either be enrolled in a Health Home or in the waiver, but cannot be in both as each provides care management services. Care managers should work with individuals to determine which service best meets their needs.
	Recipient exempt from managed care enrollment		
A1	Health Home Program - Care Management Agency	YES	Tentative date of release is March 2017.
	Member is assigned, in outreach, or enrolled with a Care Management Agency		
A2	Health Home Program - Health Home	YES	Tentative date of release is March 2017.
	Member is assigned, in outreach, or enrolled with a Health Home		
AL	Assisted Living	NO	
	System generated based on claims for assisted living.		

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RE CODE	RE CODE DESCRIPTION	COMPATIBLE WITH ADULT HEALTH HOME SERVICES	POLICY NOTES
B7	Non EP BHP Aliessa	YES	
C1	Copay Exempt (Hospice)	YES	
CF	Community First Choice Option	YES	
CH	Home and Community Based Services - CREPS	YES	
CM	Managed Long Term Care - CREPS	YES	
CO	Community First Choice Option OPWDD	YES	
D0	DSRIP Opt Out Undeliverable Mail	YES	
D1	DSRIP Opt Out of Data Sharing	YES	
D2	DSRIP Opt In To Data Sharing	YES	
G1	Transgender Male to Female	YES	
G2	Transgender Female to Male	YES	
H1	HARP Enrolled without HCBS Eligibility	YES	
H2	HARP Enrolled with Tier 1 HCBS Eligibility	YES	

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RE CODE	RE CODE DESCRIPTION	COMPATIBLE WITH ADULT HEALTH HOME SERVICES	POLICY NOTES
H3	HARP Enrolled with Tier 2 HCBS Eligibility	YES	
H4	HIV SNP HARP Eligible without HCBS Eligibility	YES	
H5	HIV SNP HARP Eligible with Tier 1 HCBS Eligibility	YES	
H6	HIV SNP HARP Eligible with Tier 2 HCBS Eligibility	YES	
H7	Opted out of HARP	YES	
H8	State-Identified for HARP Assessment	YES	
H9	HARP Eligible- Pending Enrollment	YES	
I1	OPWDD MC Class 1	NO	
I2	OPWDD MC Class 2	NO	
I3	OPWDD MC Class 3	NO	
I4	OPWDD MC Willowbrook	NO	
M1	MAGI Remains in WMS	YES	
N1	Regular SNF Rate - MC Enrollee	NO	
N2	SNF AIDS - MC Enrollee	NO	

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RE CODE	RE CODE DESCRIPTION	COMPATIBLE WITH ADULT HEALTH HOME SERVICES	POLICY NOTES
N3	SNF Neuro-Behavioral - MC Enrollee	NO	
N4	SNF Traumatic Brain Injury - MC Enrollee	NO	
N5	SNF Ventilator Dependent - MC Enrollee	NO	
N6	Partial Cap 21+ Nursing Home Certifiable	NO	
N7	NH Budgeting Approved	NO	
N8	Transfer Penalty Period DHPCO	NO	
N9	NH Resident Pending NH Eligibility Determination	NO	
NH	Nursing Home	NO	
	System generated based on claims for nursing home.		
PL	Pre-Release Upstate MC Ineligible	YES (See Note)	Care manager can only work with member in the month this code is ended.
PR	Pre-Release Downstate MC Ineligible	YES (See Note)	Care manager can only work with member in the month this code is ended.
S1	Surplus Client Not Eligible for Medicaid MC or Medicaid Advantage (NYC ONLY)	YES (See Note)	Care manager should work with the individual to maintain their Medicaid eligibility.
T1	Upstate Temporary Assistance Non-MAGI	YES	
T2	NYC Tax Claim	YES	
T3	NYC Enhanced Shelter Allowance	YES	

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
95	OPWDD Waivered Services Look-Alikes	The Health Home Compatibility Changed from No to Yes with stipulations. Please see above.
	Recipient exempt from managed care enrollment	
A1	Health Home Program - Care Management Agency	Added
	Member is assigned, in outreach, or enrolled with a Care Management Agency	
A2	Health Home Program - Health Home	Added
	Member is assigned, in outreach, or enrolled with a Health Home	
AL	Assisted Living	Added
	System generated based on claims for assisted living.	
B7	Non EP BHP Aliessa	Added
C1	Copay Exempt (Hospice)	Added
G1	Transgender Male to Female	Added
G2	Transgender Female to Male	Added
NH	Nursing Home	Added
	System generated based on claims for nursing home.	
TR	Transgender Individual	Deleted as more specific codes were added. Please see G1 and G2.

Updated 1/25/2017

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
23	<p>OMH Children's Waiver</p> <p>This code is used to identify recipients in the OMH Home & Community Based Services (HCBS) waiver for seriously emotionally disturbed (SED) children.</p>	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
35	<p>Case Management Program; Medicaid Service Coordination/Case Management Program (Medicaid OMH, COBRA, AI TCM, OPWDD)</p> <p>Comprehensive case management program.</p>	Changed policy notes to account for adults completion of transition and children transitioning into Health Homes.
62	<p>Care at Home (CAH) I (Administered by DOH)</p> <p>The Medicaid-waiver CAH I program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.</p>	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
63	<p>Care at Home (CAH) II (Administered by DOH)</p> <p>The Medicaid-waiver CAH II program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.</p>	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
64	<p>Care at Home (CAH) III (OPWDD)</p> <p>The Medicaid-waiver CAH III program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.</p>	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
65	<p>Care at Home (CAH) IV (OPWDD)</p> <p>The Medicaid-waiver CAH IV program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.</p>	Changed policy notes to delete " (once Health Homes have been designated to serve children)"

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
67	Care at Home (CAH) VI (OPWDD)	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
	The Medicaid-waiver CAH IV program provides community-based services to physically disabled children who require hospital or skilled nursing home level of care, and allows the child to be at home instead of in an institutional setting.	
72	Bridges to Health (B2H) Seriously Emotionally Disturbed (SED)	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.	
73	Bridges to Health (B2H) Developmentally Disabled	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.	
74	Bridges to Health (B2H) Medically Fragile (MedF)	Changed policy notes to delete " (once Health Homes have been designated to serve children)"
	Bridges to Health (B2H) Waiver services are tailored to meet the child's specific, presenting health care needs, and are not available through other programs these children attend.	
92	DOH/ Managed Care Exempt	Added
	Recipient is exempt from managed care enrollment	
CO	Community First Choice Option	Added
CF	Community First Choice Option OPWDD	Added
I1	OPWDD MC Class 1	Added
I2	OPWDD MC Class 2	Added
I3	OPWDD MC Class 3	Added

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
I4	OPWDD MC Willowbrook	Added
M1	MAGI Remains in WMS	Added
N8	Transfer Penalty Period DHPCO	Added
N9	NH Resident Pending NH Eligibility Determination	Added

Updated
2/21/2017

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
95	OPWDD Waivered Services Look-Alikes Recipient exempt from managed care enrollment	DELETED: To find a Developmental Disability Regional Office copy and paste the following link in your web browser: https://opwdd.ny.gov/sites/default/files/documents/OPWDD-DDRO-Eligibility-Coordinators.pdf ADDED: new instructions for inquiries.

Updated
5/9/17

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
CF	Community First Choice Option	Incorrectly labeled with OPWDD
CH	Home and Community Based Services - CREPS	Added
CM	Managed Lont Term Care - CREPS	Added
CO	Community First Choice Option OPWDD	Incorrectly labeled without OPWDD
D0	DSRIP Opt Out Undeliverable Mail	Added
D1	DSRIP Opt Out of Data Sharing	Added
D2	DSRIP Opt In To Data Sharing	Added
PL	Pre-Release Upstate MC Ineligible	Added
PR	Pre-Release Downstate MC Ineligible	Added
T1	Upstate Temporary Assistance Non-MAGI	Added
T2	NYC Tax Claim	Added
T3	NYC Enhanced Shelter Allowance	Added

Updated
3/2/2018

CHANGE LOG

CODE	CODE DESCRIPTION	WHAT CHANGED
CF	Community First Choice Option	Compatibility Changed from No to Yes
CO	Community First Choice Option OPWDD	Compatibility Changed from No to Yes

Updated
4/23/2018