

New York State Partnership Plan
Projected 1115 Waiver Budget Neutrality Impact Through December 2014
 Extension Application Through 12/31/2019

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Budget Neutrality Cap (Without Waiver)	DY 1 - 8 (10/1/07 - 9/30/06) Projected	DY 9 (10/1/06-9/30/07) Actual	DY 10 (10/1/07-9/30/08) Actual	DY 11 (10/1/08-9/30/09) Actual	DY 12 (10/1/09-9/30/10) Actual	DY 13A (10/1/10-3/31/11) Actual	DY 13B (4/1/11-9/30/11) Projected	DY 14 (10/1/11-9/30/12) Projected	DY 15 (10/1/12-9/30/13) Projected	DY 16A (10/1/13-12/31/13) Projected	DY 16B (1/1/14-3/31/14) Projected	DY 16C (4/1/14 - 12/31/14) Projected	Extension Period (10/1/06 - 12/31/14) Projected	DY 1 - DY 16	DY 17 (1/1/15 - 12/31/15) Projected	DY 18 (1/1/16 - 12/31/16) Projected	DY 19 (1/1/17 - 12/31/17) Projected	DY 20 (1/1/18 - 12/31/18) Projected	DY 21 (1/1/19 - 12/31/19) Projected	Current Extension Period (1/1/15 - 12/31/19) Projected	DY 1 - DY 21
Demonstration Group 1 (Includes FSHRP TANF Children under age 1 through 20)		\$8,641,454,877	\$9,086,365,132	\$10,048,004,954	\$11,210,460,422	\$6,105,699,488	\$6,124,915,586	\$13,431,555,927	\$14,853,389,777	\$3,975,139,194	\$3,975,139,194	\$12,414,265,562	\$99,866,390,111		\$17,645,613,012	\$18,810,799,030	\$20,053,186,973	\$21,377,810,257	\$22,789,715,193	\$100,677,124,465	
Demonstration Group 2 (Includes FSHRP) - TANF Adults 21-64		\$3,045,582,094	\$3,217,134,170	\$3,856,757,531	\$4,517,252,946	\$2,467,348,368	\$2,443,182,702	\$5,362,266,874	\$5,914,379,682	\$1,579,889,213	\$1,579,889,213	\$4,990,746,528	\$38,974,429,320		\$7,081,635,471	\$7,535,329,645	\$8,018,298,047	\$8,532,242,453	\$9,079,281,993	\$40,246,787,609	
Demonstration Group 6 - FHP Adults w/Children		\$1,691,957,919	\$1,813,935,485	\$1,746,457,301	\$1,874,936,618	\$1,043,047,420	\$1,055,415,331	\$2,341,067,454	\$2,632,237,613	\$724,658,042			\$14,923,713,183							\$0	
Demonstration Group 8 - Family Planning Expansion							\$5,140,241	\$10,702,271	\$1,856,551				\$17,699,062							\$0	
Demonstration Group 10 - MLTC Adult Age 18-64 Duals								\$247,394,784	\$1,027,336,330	\$260,284,563	\$260,284,563	\$810,989,159	\$2,606,289,399		\$1,172,096,556	\$1,364,802,292	\$1,383,228,677	\$1,400,567,568	\$1,417,233,942	\$6,737,929,036	
Demonstration Group 11 - MLTC age 65+ Duals								\$2,554,212,091	\$10,820,566,375	\$2,796,750,566	\$2,796,750,566	\$8,757,811,131	\$27,726,090,729		\$13,028,810,692	\$15,702,703,366	\$16,234,869,317	\$16,769,495,565	\$17,311,153,074	\$79,047,032,013	
Demonstration Group 3 (FSHRP)- Disabled Adults and Children 0-64 voluntarily enrolled in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$6,740,030,752	\$6,740,030,752		\$5,217,606,005	\$5,221,378,989	\$5,231,860,540	\$5,552,853,881	\$5,892,047,876	\$27,115,747,291	
Demonstration Group 4 (FSHRP)- Disabled Adults and Children 0-64 required to enroll in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$2,917,455,149	\$2,917,455,149		\$8,690,188,441	\$9,629,135,131	\$10,591,938,428	\$11,241,791,703	\$11,928,492,328	\$52,081,546,031	
Demonstration Group 5 (FSHRP)- Disabled Adults and Children 65+ voluntarily enrolled in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$297,127,032	\$297,127,032		\$115,287,674	\$96,117,801	\$77,899,577	\$82,079,354	\$86,505,001	\$457,889,407	
Demonstration Group 6 (FSHRP)- Disabled Adults and Children 65+ required to enroll in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$196,481,343	\$196,481,343		\$581,017,822	\$639,235,895	\$698,311,674	\$735,780,264	\$775,452,890	\$3,429,798,545	
Demonstration Group 7 (FSHRP)- Non Duals 18-64												\$260,463,274	\$260,463,274		\$373,622,309	\$399,781,370	\$425,974,437	\$451,106,815	\$477,722,059	\$2,128,206,991	
Demonstration Group 8 (FSHRP)- Non Duals 65+												\$67,786,019	\$67,786,019		\$95,105,016	\$99,552,526	\$103,795,256	\$107,531,930	\$111,403,116	\$517,387,843	
WO Waiver Total	\$144,639,878,523	\$13,378,994,889	\$14,117,434,787	\$15,651,219,785	\$17,602,649,986	\$9,616,095,275	\$9,628,653,860	\$23,947,199,400	\$35,249,766,328	\$9,336,721,578	\$8,612,063,536	\$37,453,155,948	\$194,593,955,373	\$339,233,833,896	\$54,000,982,998	\$59,498,836,045	\$62,819,362,925	\$66,251,259,791	\$69,869,007,470	\$312,439,449,229	\$651,673,283,125

Budget Neutrality Cap (With Waiver)	DY 1 - 8 (10/1/07 - 9/30/06) Projected	DY 9 (10/1/06-9/30/07) Actual	DY 10 (10/1/07-9/30/08) Actual	DY 11 (10/1/08-9/30/09) Actual	DY 12 (10/1/09-9/30/10) Actual	DY 13A (10/1/10-3/31/11) Actual	DY 13B (4/1/11-9/30/11) Projected	DY 14 (10/1/11-9/30/12) Projected	DY 15 (10/1/12-9/30/13) Projected	DY 16A (10/1/13-12/31/13) Projected	DY 16B (1/1/14-3/31/14) Projected	DY 16C (4/1/14 - 12/31/14) Projected	Extension Period (10/1/06 - 12/31/14) Projected	DY 1 - DY 16	DY 17 (1/1/15 - 12/31/15) Projected	DY 18 (1/1/16 - 12/31/16) Projected	DY 19 (1/1/17 - 12/31/17) Projected	DY 20 (1/1/18 - 12/31/18) Projected	DY 21 (1/1/19 - 12/31/19) Projected	Current Extension Period (1/1/15 - 12/31/19) Projected	DY 1 - DY 21
Demonstration Group 1 (Includes FSHRP TANF Children under age 1 through 20)		\$4,006,367,977	\$4,412,472,964	\$4,828,196,168	\$4,492,088,177	\$1,827,792,863	\$2,801,314,813	\$6,274,647,760	\$6,920,891,478	\$1,841,829,404	\$1,840,421,312	\$5,730,328,833	\$44,976,351,747		\$7,739,333,331	\$8,241,746,621	\$8,785,674,939	\$9,314,977,910	\$9,314,977,910	\$43,396,710,710	
Demonstration Group 2 (Includes FSHRP) - TANF Adults 21-64		\$2,062,992,139	\$2,222,230,858	\$2,553,996,035	\$2,712,728,726	\$1,159,889,284	\$1,546,569,069	\$3,469,798,151	\$3,820,998,638	\$1,019,514,707	\$1,019,416,724	\$3,245,361,788	\$24,833,496,118		\$4,324,089,616	\$4,612,583,472	\$4,939,531,466	\$5,451,310,347	\$5,451,310,347	\$24,778,825,248	
Demonstration Group 5 - Safety Net Adults		\$3,017,805,826	\$3,213,033,028	\$3,818,572,584	\$4,224,557,751	\$1,864,361,807	\$2,829,518,468	\$6,893,620,899	\$8,184,495,364	\$2,210,213,971			\$36,256,179,698							\$0	
Demonstration Group 6 - FHP Adults w/Children up to 150%		\$813,927,831	\$884,575,928	\$894,902,321	\$965,325,522	\$502,539,894	\$553,389,253	\$1,173,058,139	\$1,313,450,137	\$360,124,780			\$7,461,293,807							\$0	
Demonstration Group 7 - FHP Adults without Children up to 100%		\$587,725,574	\$566,489,543	\$412,034,961	\$316,237,864	\$155,882,395	\$173,575,211	\$352,894,110	\$401,041,648	\$110,970,648			\$3,076,851,953							\$0	
Demonstration Group 8 - Family Planning Expansion		\$10,471,785	\$10,598,020	\$11,138,799	\$11,835,960	\$4,164,485	\$6,573,308	\$13,934,296	\$2,462,132				\$71,178,785							\$0	
Demonstration Group 9 - Home and Community Based Expansion (HCBS)		N/A	N/A	N/A	N/A	N/A	\$3,699,108	\$3,699,108	\$3,699,108	\$924,777	\$924,777	\$2,774,331	\$15,721,209		\$3,699,108	\$3,699,108	\$3,699,108	\$3,699,108	\$3,699,108	\$18,495,540	
Demonstration Group 10 - MLTC Adult Age 18-64 Duals								\$249,276,515	\$999,765,437	\$249,927,129	\$249,927,129	\$773,248,277	\$2,522,144,487		\$1,162,406,668	\$1,388,540,836	\$1,422,903,191	\$1,480,841,723	\$1,480,841,723	\$6,935,534,141	
Demonstration Group 11 - MLTC age 65+ Duals								\$2,561,508,288	\$10,403,512,554	\$2,629,869,736	\$2,629,869,736	\$8,187,002,770	\$26,411,763,084		\$12,429,251,623	\$15,118,044,459	\$15,619,482,984	\$16,358,745,504	\$16,358,745,504	\$75,884,270,074	
Demonstration Group 3 (FSHRP)- Disabled Adults and Children 0-64 voluntarily enrolled in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$5,800,497,292	\$5,800,497,292		\$3,951,999,754	\$3,956,791,450	\$3,816,034,808	\$3,816,034,808	\$3,816,034,808	\$19,356,895,626	
Demonstration Group 4 (FSHRP)- Disabled Adults and Children 0-64 required to enroll in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$2,054,061,282	\$2,054,061,282		\$6,053,693,623	\$6,636,646,162	\$7,224,046,405	\$7,224,046,405	\$7,224,046,405	\$34,362,479,001	

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Demonstration Group 5 (FSHRP) - Disabled Adults and Children 65+ voluntarily enrolled in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$333,662,997	\$333,662,997		\$190,609,691	\$194,209,851	\$188,661,308	\$188,661,308	\$188,661,308	\$950,803,465		
Demonstration Group 6 (FSHRP) - Disabled Adults and Children 65+ required to enroll in managed care in those counties participating in the Partnership Plan as of October 1, 2006.												\$150,888,689	\$150,888,689		\$444,696,514	\$487,519,454	\$530,669,118	\$530,669,118	\$530,669,118	\$2,524,223,321		
Demonstration Group 7 (FSHRP) - Non Duals 18-64												\$221,887,579	\$221,887,579		\$321,593,199	\$347,683,789	\$374,311,551	\$374,311,551	\$374,311,551	\$1,792,211,640		
Demonstration Group 8 (FSHRP) - Non Duals 65+												\$59,275,663	\$59,275,663		\$85,894,211	\$92,861,786	\$99,996,824	\$99,996,824	\$99,996,824	\$478,746,469		
Designated State Health Programs (Existing F-SHRP)													\$0								\$0	
Designated State Health Programs (New F-SHRP)													\$0								\$0	
Demonstration Population 1: State Indigent Care Pool Direct Expenditures (ICP-Direct)							\$2,600,000	\$14,650,000	\$13,700,000	\$3,400,000			\$34,350,000								\$0	
Demonstration Population 2: Designated State Health Programs to Support Clinic Uncompensated Care Funding (ICP - DSHP)							\$2,600,000	\$10,583,333	\$10,583,333	\$2,645,833	\$2,645,833	\$45,791,667	\$74,850,000								\$0	
Demonstration Population 3: Designated State Health Programs to Support Medical Home Demonstration (DSHP - MH Demo)							\$0	\$100,000,000	\$100,000,000	\$25,000,000	\$25,000,000	\$50,000,000	\$300,000,000								\$0	
Demonstration Population 4: Designated State Health Programs to Support Potentially Preventable Readmission Demonstration (DSHP - PPR Demo)							\$0	\$4,433,333	\$4,433,333	\$1,108,333	\$1,108,333	\$2,216,667	\$13,300,000								\$0	
Demonstration Population 5: Designated State Health Programs (Various)										\$100,000,000	\$100,000,000	\$300,000,000	\$500,000,000								\$0	
DSHP DD												\$500,000,000	\$500,000,000		\$0	\$0	\$0	\$0	\$0	\$0	\$0	
DSHP: Orderly Close out of Demo Group 6											\$363,417,732	\$635,987,007	\$999,404,739								\$0	
DSHP: APTC Wrap											\$7,000,800	\$84,009,600	\$91,010,400		\$183,170,000	\$0	\$0	\$0	\$0	\$0	\$183,170,000	
DSHP For DSRIP												\$376,000,000	\$376,000,000		\$690,800,000	\$953,200,000	\$935,600,000	\$687,000,000	\$357,400,000	\$3,624,000,000		
DSRIP												\$24,000,000	\$24,000,000		\$1,556,000,000	\$1,374,200,000	\$2,564,000,000	\$2,434,000,000	\$1,756,000,000	\$9,684,200,000		
IAAF												\$1,000,000,000	\$1,000,000,000		\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Demonstration only services provided in MMMC													\$0		\$882,631	\$1,878,240	\$1,998,447	\$2,126,348	\$2,262,434	\$9,148,100		
65 Year Olds in the Adult Group													\$0		\$918,894,625	\$983,217,485	\$1,052,042,805	\$1,052,042,805	\$1,052,042,805	\$5,058,240,525		
Coverage gap													\$0		\$122,726,316	\$65,386,264					\$188,112,580	
HARPS "HCBS Services"													\$0		\$200,000,000	\$491,800,000	\$400,000,000	\$200,000,000	\$397,200,000	\$1,689,000,000		
With Waiver Total	\$123,931,127,812	\$10,499,291,132	\$11,309,400,341	\$12,518,840,867	\$12,722,773,999	\$5,514,630,728	\$7,919,839,230	\$21,122,103,933	\$32,179,033,163	\$8,555,529,317	\$6,239,732,376	\$29,576,994,442	\$158,158,169,528	\$282,089,297,340	\$40,379,740,911	\$44,950,008,976	\$47,958,652,954	\$49,218,463,757	\$48,408,199,843	\$230,915,066,441	\$513,004,363,782	
Expenditures (Over)/Under Cap	\$20,708,750,711	\$2,879,703,758	\$2,808,034,445	\$3,132,378,919	\$4,879,875,987	\$4,101,464,547	\$1,708,814,629	\$2,825,095,467	\$3,070,733,165	\$781,192,261	\$2,372,331,160	\$7,876,161,507	\$36,435,785,845	\$57,144,536,556	\$13,621,242,087	\$14,548,827,069	\$14,860,709,971	\$17,032,796,034	\$21,460,807,627	\$81,524,382,788	\$138,668,919,343	

Note: * All Final 21 months of 1115 expenditures have been reported in MBES through quarter ending March 2011.