#### Preface

# a. Delivery System Reform Incentive Payment Fund

On April 14, 2014, the Centers for Medicare and Medicaid Services (CMS) approved New York's request for an amendment to the New York's Partnership Plan section 1115(a) Medicaid demonstration extension (hereinafter "demonstration") authorizing the creation of a Delivery System Reform Incentive Payment (DSRIP) Fund. This demonstration is currently approved through December 31, 2014. DSRIP Funds will not be made available after December 31, 2014 unless the state's demonstration renewal is approved by CMS.

Section IX of the Special Terms and Conditions (STC) describes the general rules and requirements of the Delivery System Reform Incentive Payment (DSRIP) Fund.

## b. DSRIP Strategies Menu and Metrics and Program Funding and Mechanics Protocol

The DSRIP requirements specified in the STCs are supplemented by two attachments to the STCs. The Program Funding and Mechanics Protocol (Attachment I) describes the State and CMS review process for DSRIP project plans, incentive payment methodologies, reporting requirements, and penalties for missed milestones. The DSRIP Strategies Menu and Metrics (this attachment, Attachment J) details the specific delivery system improvement projects and metrics that are eligible for DSRIP funding. The projects are listed in Part I and the metrics are listed in Part II. Additional information is provided in two additional documents as described below.

This version of the DSRIP Strategies Menu and Metrics is approved April 14, 2014. In accordance with STC 10.b, the state may submit modifications to this protocol for CMS review and approval in response to comments received during the post-award comment period and as necessary to implement needed changes to the program as approved by CMS.

### c. Supporting operational guides

This attachment will be supplemented by two additional operational guides developed by the state and approved by CMS, which will assist performing provider systems in developing and implementing their projects and will be used in the state's review of the approvability and the valuation of DSRIP projects.

First, the state will develop a *Project Toolkit* that will describe the core components of each DSRIP project listed on the DSRIP project menu below (Part I). This supplement will also describe how DSRIP projects are distinct from each other and the state's rationale for selecting each project (i.e. the evidence base for the project and its relation to community needs for the Medicaid and uninsured population). The core components and other elements of the project description will be used as part of the DSRIP plan checklist (described in section V of Attachment I). To assist providers in valuing projects, this supplement will also include the index score of transformation/ health care improvement potential determined by the state (according to the process described in section IV.c. of Attachment I).

Second, the state will develop a *Metric Specification Guide* that provides additional information on the metrics described in the metrics list below (Part II). Specifically, the state will specify the data source for each measure (specifically whether the measure is collected by the state or providers), the measure steward for each metric (if applicable), the -National Quality Forum reference number (if applicable), and the high performance level for each pay-for-performance metric. The high performance level for each metric will be used to establish outcome targets for all pay-for-performance measures, as described in Attachment I.

### Part I – Projects Menu

Each Performing Provider System will employ multiple projects both to transform health care delivery as well as to address the broad needs of the population that the performing provider system serves. These projects described in Attachment J are grouped into different strategies, such as behavioral health, within each Domain (System Transformation Projects (Domain 2), Clinical Improvement Projects (Domain 3), and Population-wide Projects (Domain 4). For each strategy, there is a set of metrics that the performing provider system will be responsible for if they do any one of the projects within that strategy.

Each project selected by a Performing Provider System will be developed into a specific set of focused milestones and metrics that will be part of the Performing Provider System's DSRIP project plan. Project selection will be driven by the mandatory community needs assessment, and the rationale and starting point for each project must be described in the DSRIP project plan, as described in Attachment I.

DSRIP project plans must include a minimum of five projects (at least two system transformation projects, two clinical improvement projects, and one population-wide project). As described further in Attachment I, a maximum of 10 projects will be considered for project valuation scoring purposes. Additional projects can be included in the application, but they will not affect the project valuation.

#### **Domain 2: System Transformation Projects**

All DSRIP plans must include at least two of the following projects based on their community needs assessment. At least one of those projects must be from sub-list A and one of these projects must be from sub-list B or C, as described below. Performing Provider Systems can submit up to 4 projects from Domain 2 for valuation scoring purposes (as described in attachment I).

### A. Create Integrated Delivery Systems (required)

- 2.a.i Create Integrated Delivery Systems that are focused on Evidence Based Medicine / Population Health Management
- 2.a.ii Increase certification of primary care practitioners with PCMH certification and/or Advanced Primary Care Models (as developed under the New York State Health Innovation Plan (SHIP))
- 2.a.iii Health Home At-Risk Intervention Program –Proactive management of higher risk patients not currently eligible for Health Homes through access to high quality primary care and support services.
- 2.a.iv Create a medical village using existing hospital infrastructure
- 2.a.v Create a medical village/ alternative housing using existing nursing home

#### **B.** Implementation of care coordination and transitional care programs

2.b.i Ambulatory ICUs

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

**Comment [LMH1]:** Corrects a spacing issue where this item was attached to the preceding one and not separate. LMH

- 2.b.ii Development of co-located of primary care services in the emergency department (ED)
- 2.b.iii ED care triage for at-risk populations
- 2.b.iv Care transitions intervention model to reduce 30 day readmissions for chronic health conditions
- 2.b.v Care transitions intervention for skilled nursing facility residents
- 2.b.vi Transitional supportive housing services
- 2.b.vii Implementing the INTERACT project (inpatient transfer avoidance program for SNF)
- 2.b.viii Hospital-Home Care Collaboration Solutions
- 2.b.ix Implementation of observational programs in hospitals

### C. Connecting settings

- 2.c.i. Development of community-based health navigation services
- 2.c.ii Expand usage of telemedicine in underserved areas to provide access to otherwise scarce services

### **Domain 3: Clinical Improvement Projects**

All DSRIP plans must include at least two projects from this domain, based on their community needs assessment. At least one of those projects must be a behavioral health project from sub-list A, as described below. Performing Provider Systems can submit up to 4 projects from Domain 3 for valuation scoring purposes (as described in Attachment I).

# A. Behavioral health (required)

- 3.a.i Integration of primary care services and behavioral health
- 3.a.ii Behavioral health community crisis stabilization services
- 3. a.iii. Implementation of evidence based medication adherence program (MAP) in community based sites for behavioral health medication compliance
- 3.a.iv Development of withdrawal management (ambulatory detoxification ) capabilities within communities
- 3.a.v Behavioral Interventions Paradigm in Nursing Homes (BIPNH)

## **B.** Cardiovascular Health

Note: Performing provider systems selecting cardiovascular health projects will be expected to utilize strategies contained in the Million Hearts campaign as appropriate (<u>http://millionhearts.hhs.gov/index.html</u>).

3.b.i Evidence based strategies for disease management in high risk/affected populations (adult only)

# Attachment J - NY DSRIP Strategies Menu and Metrics 3.b.ii Implementation of evidence-based strategies in the community to address chronic disease -- primary and secondary prevention projects (adult only) **C. Diabetes Care** 3.c.i Evidence-based strategies for disease management in high risk/affected populations (adults only) 3.c.ii Implementation of evidence-based strategies in the community to address chronic disease - primary and secondary prevention projects (adults only) **D.** Asthma 3.d.i Development of evidence-based medication adherence programs (MAP) in community settings -asthma medication 3.d.ii Expansion of asthma home-based self-management program 3. ed.iii Evidence based medicine guidelines for asthma management Comment [LMH2]: Technical correction in numbering. E. HIV 3.e.i Comprehensive Strategy to decrease HIV/AIDS transmission to reduce avoidable hospitalizations - development of a Center of Excellence for management of HIV/AIDS F. Perinatal Increase support programs for maternal & child health (including high risk 3.f.i pregnancies) (Example: Nurse-Family Partnership) G. Palliative Care IHI <u>and other</u> "Conversation Ready" models 3.g.i Comment [LMH3]: Add based upon community input Integration of palliative care into medical homes 3.g.ii 3.g.iii Integration of palliative care into nursing homes H. Renal Care 3.h.i Specialized Medical Home from Chronic Renal Failure **Domain 4: Population-wide Projects** The following represent priorities in the State's Prevention Agenda with health care delivery sector projects to influence population-wide health (available at :

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

http://www.health.ny.gov/prevention/prevention\_agenda/2013-2017/index.htm). The alignment of these projects with the New York State Prevention Agenda (including focus areas, etc.) is described further in the Project Description Supplement.

All DSRIP plans must include at least one project from this domain, based on their community needs assessment. Performing Provider Systems can submit up to 2 projects from Domain 4 for valuation scoring purposes (as described in Attachment I).

#### A. Promote Mental Health and Prevent Substance Abuse (MHSA)

- 4.a.i Promote mental, emotional and behavioral (MEB) well-being in communities
- 4.a.ii. Prevent Substance Abuse and other Mental Emotional Behavioral Disorders
- 4.a.iii. Strengthen Mental Health and Substance Abuse Infrastructure across Systems

### **B.** Prevent Chronic Diseases

4.b.i. Promote tobacco use cessation, especially among low SES populations and those with poor mental health

4.b.ii. Increase Access to High Quality Chronic Disease Preventive Care and Management in Both Clinical and Community Settings (Note: This project targets chronic diseases that are not included in domain 3.b., such as cancer)

# C. Prevent HIV and STDs

- 4.c.i Decrease HIV morbidity
- 4.c.ii Increase early access to, and retention in, HIV care
- 4.c.iii Decrease STD morbidity
- 4.c.iv Decrease HIV and STD disparities

### D. Promote Healthy Women, Infants and Children

4.d.i Reduce premature births

## **II. Metrics**

The domains of metrics here are intended to provide specificity to the overall intent to promote system transformation, using measures of system transformation as well as including avoidable events as a marker for positive transformation. Items associated with pay for reporting or pay for performance are described in requirements for all domains as well.

An overview of the metric domains from the funding and mechanics protocol is below:

- i. Overall project progress metrics (Domain 1)
- ii. System transformation metrics (Domain 2)
- iii. Clinical improvement metrics (Domain 3)
- iv. Population-wide project implementation metrics (Domain 4)

All DSRIP plans must include all core metrics in Domain 1, all metrics in Domain 2, and all core metrics in Domain 4. DSRIP plans must also include the behavioral health metrics in Domain 3.a. and strategy-specific metrics based on the Domain 3 and 4 projects selected, as further described in the Project Toolkit. The state or CMS will add project-specific Domain 1 metrics to DSRIP project plans as necessary to address concerns with "at risk" projects, based on input from the independent assessor. Behavioral health metrics are included because those diagnoses are highly correlated with avoidable events.

A subset of these metrics related to avoidable hospitalizations, behavioral health and cardiovascular disease will also be part of the high performance fund, described in attachment I and as noted below: These latter markers align with the nationwide Million Hearts Initiative on cardiac outcomes, in order to tackle the leading cause of mortality in New York State.

| Metric  | Domain reference |
|---|------------------|
| Avoidable ED Visits (All Population)                            | 2.a              |
| Avoidable Re-hospitalizations (All Population)                  | 2.a              |
| Avoidable ED Visits (BH Population)                             | 3.a              |
| Avoidable Re-hospitalizations (BH Population)                   | 3.a              |
| Follow-up for Hospitalization for Mental Illness                | 3.a              |
| Antidepressant Medication Management                            | 3.a              |
| Diabetes Monitoring for People with Diabetes and Schizophrenia  | 3.a              |
| Cardiovascular Monitoring for People with CVD and Schizophrenia | 3.a              |
| Controlling Hypertension (NQF 0018)                             | 3.b.             |

| Tobacco Cessation (NQF 0027) (component on   |      |
|--|------|
| discussing smoking and tobacco use cessation |      |
| strategies)                                  | 3.b. |

Where possible, the state will make drillable data available for PPSs to be able to better understand the impact of disparities on the PPSs and improvements seen in specific populations through these projects. Because of small population size and lack of standards for comparison, the state will not be able to provide meaningful state wide metrics for each population segment.

#### **Domain 1. Overall Project Progress Metrics**

Domain 1 metrics assess overall implementation of all DSRIP projects (regardless of whether the project was developed from a project selected from Domain 2, 3, or 4 listed above). All

#### Core Domain 1 Metrics (for all providers):

- 1. Semi-annual reports (pay for reporting), which will include:
  - a. Project narrative on status and challenges
  - b. Information on project spending/budget and any other financial information requested by the state, including financial sustainability of system and projects.
  - c. Documentation on the number of beneficiaries served through the projects
  - d. Update on project governance
  - e. Update on workforce strategy implementation
  - f. Percent of providers that are reporting relevant DSRIP project data
  - g. Description of steps taken by the system to prepare for non-FFS reimbursement systems (including an update on any on-going negotiations with Medicaid managed care plans)
  - h. Engagement in learning collaboratives
- 2. Approval of DSRIP Plan (DY 1 only)
- 3. Workforce milestones (P4P/ P4R, as specified in the Metrics Specification Guide)
  - Percent Complete of System's preapproved Workforce Plan Number of health care workers retrained/redeployed vs. # eligible based on system service changes
  - Net change in number of new MDs hired PCP; specialty
  - Net change in number of new mid-levels providers hired (RPA, NP, NM)
  - Net change in number of other mid-level providers hired
- 4. System Integration milestones (P4P/ P4R, as specified in the Metrics Specification Guide)
  - Percent complete of preapproved system integration plan in the PPS project plan
  - For HH population, % in O/E; % in Active Care Management; % with Care Plan

#### Additional project-specific Domain 1 metrics:

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

Comment [LMH4]: New add re: disparities based upon public comment. LMH

5. Additional project-specific metrics, established by the state or CMS for a particular project, especially "at risk" projects. (Pay for performance, i.e. achievement of corrective action as specified by the state or CMS for "at risk" projects) The state's independent assessor will develop a rubric for assessing semi-annual reports, workforce milestones, and system integration milestones to identify at risk projects.

# **Domain 2. System Transformation Metrics**

All Domain 2 metrics are pay-for-reporting in DY 1 and 2. As described below, some metrics become pay-for-performance in DY 3-5. All of these metrics will be assessed on a statewide level as part of the statewide Domain 2 performance test described in STC 14.g.i in section IX, with the exception of the Medicaid spending metric and the provider reimbursement metric and (which are included as part of other statewide accountability tests described in STC 14.g.ii and 14.g.iv in section IX respectively).

| Domain 2 – S   | System Transformation Metrics   |         |                          |                           |
|----------------|---|---------|--------------------------|---------------------------|
|                | 0   |         | DSRIP Year 2             | DSRIP Years 3 - 5         |
| State- N       | Jeasure Name  | Measure | Pay for                  | Pay for Reporting/Pay for |
| wide           |   | Steward | <b>Reporting/Pay for</b> | Performance               |
| Measure        |   |         | Performance              |                           |
| A. Create Inte | egrated Delivery System   |         | I I                      |                           |
|                | oidable Services  |         |                          |                           |
| X P            | otentially Avoidable Emergency Room Visits  | 3M      | Reporting                | Performance               |
| X P            | otentially Avoidable Readmissions   | 3M      | Reporting                | Performance               |
| X P            | QI Suite – Composite of all measures  | AHRQ    | Reporting                | Performance               |
| X P            | DI Suite – Composite of all measures  | AHRQ    | Reporting                | Performance               |
| Provider Reim  | bursement   |         |                          |                           |
|                | ercent of total Medicaid provider reimbursement received through  |         | Reporting                | Reporting                 |
| SU             | ub-capitation or other forms of non-FFS reimbursement   |         |                          |                           |
| System Integra | ition   |         |                          |                           |
|                | ercent of Eligible Providers with participating agreements with<br>CHIO's; meeting MU Criteria and able to participate in bidirectional |         | Reporting                | Reporting                 |
|                | xchange   |         |                          |                           |
| Primary Care   | ž   |         | •                        |                           |
| X P            | ercent of PCP meeting PCMH (NCQA)/ Advance Primary Care   |         | Reporting                | Reporting                 |
| (5             | SHIP)   |         |                          |                           |
|                | CAHPS Measures including usual source of care   |         | Reporting                | Performance               |
|                | atient Loyalty (Is doctor/clinic named the place you usually go for   |         |                          |                           |
|                | are? How long have you gone to this doctor/clinic for care?)  |         |                          |                           |
| Access to Care |   |         |                          |                           |
| ХН             | IEDIS Access/Availability of Care; Use of Services  |         | Reporting                |                           |
|                |   |         |                          | Performance               |

|                |   |                    | DSRIP Year 2                                | DSRIP Years 3 - 5                        |
|----------------|---|--------------------|---|--|
| State-<br>wide | Measure Name  | Measure<br>Steward | Pay for<br>Reporting/Pay for<br>Performance | Pay for Reporting/Pay for<br>Performance |
| Measure        |   |                    | rentormance                                 |  |
| A. Create      | Integrated Delivery System  |                    |   |  |
| Х              | <ul> <li>CAHPS Measures:</li> <li>Getting Care Quickly (routine and urgent care appointments<br/>as soon as member thought needed)</li> <li>Getting Care Needed (access to specialists and getting care<br/>member thought needed)</li> <li>Access to Information After Hours</li> <li>Wait Time (days between call for appointment and getting<br/>appoint for urgent care)</li> </ul> |                    | Reporting                                   | Performance                              |
| Medicaid S     | pending for Projects Defined Population on a PMPM Basis   |                    |   |  |
|                | Medicaid spending on ER and Inpatient Services  |                    | Reporting                                   | Reporting                                |
|                | Medicaid spending on PC and community based behavioral health care  |                    | Reporting                                   | Reporting                                |
| B. Imple       | l<br>mentation of care coordination and transitional care progr   | ams                |   |  |
|                | Provider Systems will be required to meet all of the above metrics wit  | h the addition     | of the following:                           |  |
| Care Trans     |   |                    |   |  |
|                | H-CAHPS – Care Transition Metrics   | AHRQ               | Reporting                                   | Performance                              |
| Х              | CAHPS Measures – Care Coordination with provider up-to-date about care received from other providers  | AHRQ               | Reporting                                   | Performance                              |

# **Domain 3. Clinical Improvement Metrics**

All Domain 3 metrics are pay-for-reporting in DY 1. As described below, some metrics continue as pay-for-reporting in DY 2-3 but become pay-for-performance in DY 4-5. In general, provider systems will include all metrics associated with the project selected, unless otherwise specified below.

| Domain 3 – Clinical Improvement Metrics  |                    |               |                   |                 |   |   |
|--|--------------------|---------------|-------------------|-----------------|---|---|
|  |                    |               |                   |                 | DSRIP Years 2 –<br>3                        | DSRIP Years 4 - 5                           |
| Measure Name   | Measure<br>Steward | NQF#          | Source            | Measure<br>Type | Pay for<br>Reporting/Pay for<br>Performance | Pay for<br>Reporting/Pay for<br>Performance |
| Behavioral Health (Required) – All behavioral hea<br>oviders will include the additional behavioral health n |                    |               | metrics exc       | ept for SNF     | programs implementing                       | the BIPNH project. These                    |
| PPV (for persons with BH diagnosis)  | 3M                 |               | Claims            | Outcome         | Performance                                 | Performance                                 |
| Antidepressant Medication Management   | NCQA               | 0105          | Claims            | Process         | Performance                                 | Performance                                 |
| Diabetes Monitoring for People with<br>Diabetes and Schizophrenia  | NCQA               | 1934          | Claims            | Process         | Performance                                 | Performance                                 |
| Diabetes Screening for People with<br>Schizophrenia/BPD Using Antipsychotic<br>Med.                          | NCQA               | 1932          | Claims            | Process         | Performance                                 | Performance                                 |
| Cardiovascular Monitoring for People with CVD and Schizophrenia.   | NCQA               | 1933          | Claims            | Process         | Performance                                 | Performance                                 |
| Follow-up care for Children Prescribed<br>ADHD Medications   | NCQA               | 0103          | Claims            | Process         | Reporting                                   | Performance                                 |
| Follow-up after hospitalization for Mental Illness   | NCQA               | 0576          | Claims            | Process         | Performance                                 | Performance                                 |
| Screening for Clinical Depression and follow-up  | СМА                | 0418          | Medical<br>Record | Process         | Reporting                                   | Performance                                 |
| Adherence to Antipsychotic Medications<br>for People with Schizophrenia                                      | NCQA               | 1879          | Claims            | Process         | Performance                                 | Performance                                 |
| Initiation and Engagement of Alcohol and<br>Other Drug Dependence Treatment (IET)                            | NCQA               | 0004          | Claims            | Process         | Performance                                 | Performance                                 |
| – 2. Additional behavioral health measures for provid  | er systems implei  | menting the B | ehavioral I       | nterventions    | Paradigm in Nursing H                       | omes (BIPNH) project                        |
| PPR for SNF patients   | 3M                 |               | Claims            | Outcome         | Performance                                 | Performance                                 |
| Percent of Long Stay Residents who have<br>Depressive Symptoms   | CMS                |               | MDS<br>3.0        | Process         | Performance                                 | Performance                                 |
| B. Cardiovascular Disease  |                    |               |                   |                 |   |   |

Comment [HLM(5]: Removed abbreviations.

|        |   |                    |      |                   |                 | DSRIP Years 2 –<br>3                        | DSRIP Years 4 - 5                           |
|--------|---|--------------------|------|-------------------|-----------------|---|---|
|        | Measure Name  | Measure<br>Steward |      | Source            | Measure<br>Type | Pay for<br>Reporting/Pay for<br>Performance | Pay for<br>Reporting/Pay for<br>Performance |
|        | PQI # 7 (HTN)   | AHRQ               |      | Claims            | Outcome         | Performance                                 | Performance                                 |
|        | PQI # 13 (Angina without procedure)   | AHRQ               |      | Claims            | Outcome         | Performance                                 | Performance                                 |
|        | Cholesterol Management for Patients with<br>CV Conditions   | NCQA               |      | Medical<br>Record | Outcome         | Reporting                                   | ReportingPerformance                        |
|        | Controlling High Blood Pressure (Provider responsible for medical record reporting)   | NCQA               | 0018 | Medical<br>Record | Outcome         | Reporting                                   | Performance                                 |
|        | Aspirin Discussion and Use  | CAHPS              |      | Survey            | Process         | Reporting                                   | Performance                                 |
|        | Medical Assistance with Smoking<br>Cessation  | NCQA               | 0027 | Survey            | Process         | Reporting                                   | Performance                                 |
|        | Flu Shots for Adults Ages 50 – 64   | NCQA               | 0039 | Survey            | Process         | Reporting                                   | Performance                                 |
|        | Health Literacy Items (includes<br>understanding of instructions to manage<br>chronic condition, ability to carry out the<br>instructions and instruction about when to<br>return to the doctor if condition gets worse | CAHPS              |      | Survey            | Process         | Reporting                                   | Performance                                 |
| C. Dia | betes Mellitus  |                    |      |                   |                 |   |   |
|        | PQI # 3 1 (DM Long Short term complications)  | AHRQ               | 0274 | Claims            | Outcome         | Performance                                 | Performance                                 |
|        | Comprehensive Diabetes screening<br>(HbA1c, lipid profile, dilated eye exam,<br>nephropathy)  | NCQA               |      | Medical<br>Record | Process         | Reporting                                   | ReportingPerformance                        |
|        | Comprehensive Diabetes Care: Hemoglobin<br>A1c (HbA1c) Poor Control (>9.0%)   | NCQA               | 0059 | Medical<br>Record | Outcome         | Reporting                                   | Performance                                 |
|        | Comprehensive diabetes care - LDL-c<br>control (<100mg/dL)  | NCQA               | 0064 | Medical<br>Record | Outcome         | Reporting                                   | ReportingPerformance                        |
|        | Medical Assistance with Smoking<br>Cessation  | NCQA               | 0027 | Survey            | Process         | Reporting                                   | Performance                                 |
|        | Flu Shots for Adults Ages 50 – 64   | NCQA               | 0039 | Survey            | Process         | Reporting                                   | Performance                                 |

**Comment [LMH6]:** The change from Reporting to Performance in a number of places is a technical correction to the original intent from Office of Quality and Patient Safety. LMH

**Comment [LMH7]:** Correction based upon review of community input in collaboration with Office of Quality and Patient Safety. LMH

|   |                    |      | Source            |                 | DSRIP Years 2 –<br>3<br>Pay for<br>Reporting/Pay for<br>Performance | DSRIP Years 4 - 5                           |
|---|--------------------|------|-------------------|-----------------|---|---|
| Measure Name  | Measure<br>Steward | NQF# |                   | Measure<br>Type |   | Pay for<br>Reporting/Pay for<br>Performance |
| Health Literacy Items (includes<br>understanding of instructions to man<br>chronic condition, ability to carry or<br>instructions and instruction about w<br>return to the doctor if condition gets | it the hen to      |      | Survey            | Process         | Reporting   | Performance                                 |
| D. Asthma   |                    |      |                   |                 |   |   |
| PQI # 15 Adult Asthma   | AHRQ               | 0283 | Claims            | Outcome         | Performance   | Performance                                 |
| PDI #14 Pediatric Asthma  | AHRQ               | 0638 | Claims            | Outcome         | Performance   | Performance                                 |
| Asthma Medication Ratio   | NCQA               | 1800 | Claims            | Process         | Performance   | Performance                                 |
| Medication Management for People<br>Asthma  | with NCQA          | 1799 | Claims            | Process         | Performance   | Performance                                 |
| . HIV/AIDS  |                    | •    |                   | •               |   | •   |
| HIV/AIDS Comprehensive Care : E<br>in Care  | ngaged NYS         |      | Claims            | Process         | Performance   | Performance                                 |
| HIV/AIDS Comprehensive Care : V<br>Load Monitoring  | viral NYS          |      | Claims            | Process         | Performance   | Performance                                 |
| HIV/AIDS Comprehensive Care : S<br>Screening  | yphilis NYS        |      | Claims            | Process         | Performance   | Performance                                 |
| Cervical Cancer Screening   | NCQA               | 0032 | Claims            | Process         | Reporting   | Performance                                 |
| Chlamydia Screening   | NCQA               | 0033 | Claims            | Process         | Performance   | Performance                                 |
| Medical Assistance with Smoking<br>Cessation  | NCQA/              | 0027 | Survey            | Process         | Reporting   | Performance                                 |
| Viral Load Suppression  | HRSA               | 2082 | Medical<br>Record | Outcome         | Reporting   | Performance                                 |
| . Perinatal Care  |                    | •    |                   | •               |   | •   |
| PQI # 9 Low Birth Weight  | AHRQ               | 0278 | Claims            | Outcome         | Performance   | Performance                                 |
| Prenatal and Postpartum Care—Tin<br>and Postpartum Visits   | neliness NCQA      | 1517 | Medical<br>Record | Process         | Reporting   | Performance                                 |
| Frequency of Ongoing Prenatal Car   | e NCQA             | 1391 | Medical<br>Record | Process         | Reporting   | ReportingPerforman                          |

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

|  |                     |      |                                       |                 | DSRIP Years 2 –<br>3                        | DSRIP Years 4 - 5                           |
|--|---------------------|------|---------------------------------------|-----------------|---|---|
| Measure Name   | Measure<br>Steward  | NQF# | Source                                | Measure<br>Type | Pay for<br>Reporting/Pay for<br>Performance | Pay for<br>Reporting/Pay for<br>Performance |
| Well Care Visits in the first 15 months  | NCQA                | 1392 | Claims                                | Process         | Reporting                                   | Performance                                 |
| Childhood Immunization Status  | NCQA                | 0038 | Medical<br>Record                     | Process         | Reporting                                   | Performance                                 |
| Lead Screening in Children   | NCQA                |      | Medical<br>Record                     | Process         | Reporting                                   | Performance                                 |
| PC-01 Early Elective Deliveries  | Joint<br>Commission | 0469 | Vital<br>Records<br>Medical<br>Record | Process         | Reporting                                   | Reporting                                   |
| G. Palliative Care – All projects will use the same me   | tric set.           |      |                                       |                 |   |   |
| Risk-Adjusted percentage of members who<br>remained stable or demonstrated<br>improvement in pain. | NYS                 |      | UAS                                   | Process         | Reporting                                   | Performance                                 |
| Risk-Adjusted percentage of members who<br>had severe or more intense daily pain                   | NYS                 |      | UAS                                   | Process         | Reporting                                   | Performance                                 |
| Risk-adjusted percentage of members<br>whose pain was not controlled.                              | NYS                 |      | UAS                                   | Process         | Reporting                                   | Performance                                 |
| Advanced Directives – Talked about<br>Appointing for Health Decisions                              | NYS                 |      | UAS                                   | Process         | Reporting                                   | Performance                                 |
| Depressive feelings - percentage of<br>members who experienced some depression<br>feeling          | NYS                 |      | UAS                                   | Process         | Reporting                                   | Performance                                 |
| I. Renal Care  |                     |      |                                       |                 |   |   |
| Comprehensive Diabetes screening<br>(HbA1c, lipid profile, dilated eye exam,<br>nephropathy)       | NCQA                |      | Medical<br>Record                     | Process         | Reporting                                   | ReportingPerformanc<br>e                    |
| Comprehensive Diabetes Care: Hemoglobin<br>A1c (HbA1c) Poor Control (>9.0%)                        | NCQA                | 0059 | Medical<br>Record                     | Outcome         | Reporting                                   | Performance                                 |
| Comprehensive diabetes care - LDL-c<br>control (<100mg/dL)   | NCQA                | 0064 | Medical<br>Record                     | Outcome         | Reporting                                   | ReportingPerformanc<br>e                    |
| Annual Monitoring for Patients on<br>Persistent Medications – ACE/ARB                              | NCQA                |      | Claims                                | Process         | Reporting                                   | ReportingPerformanc                         |

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

**Comment [LMH8]:** Correction on data source from Office of Quality and Patient Safety.

|  |                    |      |                   |                 | DSRIP Years 2 –<br>3                        | DSRIP Years 4 - 5                           |
|--|--------------------|------|-------------------|-----------------|---|---|
| Measure Name   | Measure<br>Steward | NQF# | Source            | Measure<br>Type | Pay for<br>Reporting/Pay for<br>Performance | Pay for<br>Reporting/Pay for<br>Performance |
|  |                    |      |                   |                 |   |   |
| Controlling High Blood Pressure                              | NCQA               | 0018 | Medical<br>Record | Outcome         | Reporting                                   | Performance                                 |
| Flu vaccine 18-64  | NCQA               | 0039 |                   |                 | Reporting                                   | Performance                                 |
| Medical Assistance with Smoking and<br>Tobacco Use Cessation | NCQA               | 0027 |                   |                 | Reporting                                   | Performance                                 |

Comment [LMH9]: Spelling correction. LMH

# **Domain 4. Population-Wide Metrics**

This domain includes pay-for-reporting for relevant measures from the New York State Prevention Agenda related to the Domain 4 projects selected. All Domain 4 metrics will be measured by a geographical area denominator of all New York State residents that New York State has already developed for the Prevention Agenda. Some metrics are not collected on an annual basis but will be reported on their usual collection cycle. For example, the BRFSS is done biannually.

The metrics that are part of the New York State Prevention Agenda are available here:

http://www.health.ny.gov/prevention/prevention\_agenda/2013-2017/index.htm and will be further described in the metric specification guide.

|            |  | Source                         | Geographic<br>Granularity                    |
|------------|--|--------------------------------|--|
| Imp        | rove Health Status and Reduce Health Disparities (required for all projects)                       |                                |  |
| 1.         | Percentage of premature death (before age 65 years)  | NYS NYSDOH<br>Vital Statistics | State, County                                |
| 2.         | Ratio of Black non-Hispanics to White non-Hispanics  |                                |  |
| 3.         | Ratio of Hispanics to White non-Hispanics  |                                |  |
| 4.         | Age-adjusted preventable hospitalizations rate per 10,000 - Aged 18+ years                         | SPARCS                         | Statewide<br>Region<br>County                |
| 5.         | Ratio of Black non-Hispanics to White non-Hispanics  |                                |  |
| 6.         | Ratio of Hispanics to White non-Hispanics  |                                |  |
| 7.         | Percentage of adults with health insurance - Aged 18-64 years                                      | US Census                      |  |
| 8.         | Age-adjusted percentage of adults who have a regular health care provider - Aged 18+ years         | BRFSS                          | Statewide<br>NYC/ROS<br>County               |
|            | Promote Mental Health and Prevention Substance A   | Abuse                          |  |
| <u>66.</u> | Age-adjusted percentage of adults with poor mental health for 14 or more days<br>in the last month | <u>BRFSS</u>                   | <u>Statewide</u><br><u>NYC/ROS</u><br>County |

**Comment [LMH10]:** This section was moved up here with no changes. This is now consistent with the order of projects listed in Part 1. Note that the numbers in the first column are from the Prevention Agenda numbers and will not change.

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Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

| <u>67.</u> | Age-adjusted percentage of adult binge drinking during the past month   | <u>BRFSS</u>                          | <u>Statewide</u><br><u>NYC/ROS</u><br><u>County</u> |
|------------|---|---------------------------------------|---|
| <u>68.</u> | Age-adjusted suicide death rate per 100,000   | <u>NYS NYSDOH</u><br>Vital Statistics | State, county                                       |
|            | Prevent Chronic Diseases  |                                       |   |
| 21.        | Percentage of adults who are obese  | BRFSS                                 | Statewide<br>NYC/ROS<br>County                      |
| 22.        | Percentage of children and adolescents who are obese  | BRFSS                                 | Statewide<br>NYC/ROS<br>County                      |
| 23.        | Percentage of cigarette smoking among adults  | BRFSS                                 | Statewide<br>NYC/ROS<br>County                      |
| 24.        | Percentage of adults who receive a colorectal cancer screening based on the most recent guidelines - Aged 50-75 years | BRFSS                                 | Statewide   |
| 25.        | Asthma emergency department visit rate per 10,000   | SPARCS                                | Statewide<br>Region<br>County                       |
| 26.        | Asthma emergency department visit rate per 10,000 - Aged 0-4 years  | SPARCS                                | Statewide<br>Region<br>County                       |
| 27.        | Age-adjusted heart attack hospitalization rate per 10,000   | SPARCS                                | Statewide<br>Region<br>County                       |
| 28.        | Rate of hospitalizations for short-term complications of diabetes per 10,000 - Aged 6-17 years                        | SPARCS                                | Statewide<br>Region<br>County                       |
| 29.        | Rate of hospitalizations for short-term complications of diabetes per 10,000 -  | SPARCS                                | Statewide   |

|     | Aged 18+ years  |                                   | Region<br>County |
|-----|---|-----------------------------------|------------------|
|     | Prevent HIV/STDs  |                                   |                  |
| 33. | Newly diagnosed HIV case rate per 100,000                     | NYS HIV<br>Surveillance<br>System |                  |
| 34. | Difference in rates (Black and White) of new HIV diagnoses    |                                   |                  |
| 35. | Difference in rates (Hispanic and White) of new HIV diagnoses |                                   |                  |
| 36. | Gonorrhea case rate per 100,000 women - Aged 15-44 years      | NYS STD<br>Surveillance<br>System |                  |
| 37. | Gonorrhea case rate per 100,000 men - Aged 15-44 years        | NYS STD<br>Surveillance<br>System |                  |
| 38. | Chlamydia case rate per 100,000 women - Aged 15-44 years      | NYS STD<br>Surveillance<br>System |                  |
| 39. | Primary and secondary syphilis case rate per 100,000 males    | NYS STD<br>Surveillance<br>System |                  |
| 40. | Primary and secondary syphilis case rate per 100,000 females  | NYS STD<br>Surveillance<br>System |                  |
|     | Promote Healthy Women, Infants, and Children                  |                                   |                  |
| 41. | Percentage of preterm births                                  | NYS NYSDOH<br>Vital Statistics    | State, County    |
| 42. | Ratio of Black non-Hispanics to White non-Hispanics           |                                   |                  |
| 43. | Ratio of Hispanics to White non-Hispanics                     |                                   |                  |
| 44. | Ratio of Medicaid births to non-Medicaid births               |                                   |                  |

|                            |   | 1  |               |                                       |
|----------------------------|---|--|---------------|---------------------------------------|
| 45.                        | Percentage of infants exclusively breastfed in the hospital                 | NYS NYSDOH<br>Vital Statistics   | State, County |                                       |
| 46.                        | Ratio of Black non-Hispanics to White non-Hispanics                         |  |               | 1                                     |
| 47.                        | Ratio of Hispanics to White non-Hispanics                                   |  |               | 7                                     |
| 48.<br>49.                 | Ratio of Medicaid births to non-Medicaid births                             |  |               |                                       |
|                            | Maternal mortality rate per 100,000 births                                  | NYS NYSDOH<br>Vital Statistics   | State, County |                                       |
| 54.                        | centage of children with any kind of health insurance - Aged under 19 years | U.S. Census<br>Bureau,<br>Small Area<br>Health<br>Insurance<br>Estimates | State, County |                                       |
| <del>55</del> .            | (Metric Deleted from DSRIP)   |  |               | Comment [LMH11]: Technical correction |
| 56.                        | Ratio of low-income children to non-low income children                     |  |               | should be deleted.                    |
| 57.<br>58.<br>59.          | Adolescent pregnancy rate per 1,000 females - Aged 15-17 years              | NYS NYSDOH<br>Vital Statistics   | State, County |                                       |
|                            | Ratio of Black non-Hispanics to White non-Hispanics                         |  |               | ]                                     |
|                            | Ratio of Hispanics to White non-Hispanics                                   |  |               | ]                                     |
| 60.                        | Percentage of unintended pregnancy among live births                        | Pregnancy Risk<br>Assessment<br>Monitoring<br>System                     | State         |                                       |
| 61.<br>62.<br>63.<br>64. I | Ratio of Black non-Hispanics to White non-Hispanics                         |  |               |                                       |
|                            | Ratio of Hispanics to White non-Hispanics                                   |  |               |                                       |
|                            | Ratio of Medicaid births to non-Medicaid births                             |  |               | 7                                     |
|                            | Percentage of women with health coverage - Aged 18-64 years                 | U.S. Census<br>Bureau<br>Small Area                                      | State, County |                                       |

Partnership Plan - Approval Period: August 1, 2011 - December 31, 2014; as Amended April 14, 2014

|     |   | Health<br>Insurance<br>Estimates          |   |
|-----|---|---|---|
| 65. | Percentage of live births that occur within 24 months of a previous pregnancy                   | NYS NYSDOH<br>Vital Statistics            | State, county   |
|     | Promote Mental Health and Prevention Substance Abuse  |   |   |
|     | Age adjusted percentage of adults with poor mental health for 14 or more days in the last month | <del>BRFSS</del>                          | <del>Statewide</del><br><del>NYC/ROS</del><br><del>County</del> |
| 67. | Age adjusted percentage of adult binge drinking during the past month                           | <del>BRFSS</del>                          | <del>Statewide</del><br><del>NYC/ROS</del><br><del>County</del> |
| 68. | Age adjusted suicide death rate per 100,000   | NYS NYSDOH<br><del>Vital Statistics</del> | State, county   |

**Comment [LMH12]:** The order of the metrics as it was listed was consistent with the Prevention Agenda. However, we have behavioral health listed in the first position under the Domain 4 projects. It is a choice if we wish to order the metrics consistent with the projects.

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