



**Department  
of Health**

**Medicaid  
Redesign Team**

# **DSRIP Achievement Value (AV) Guide**

## **Webinar**

February 25, 2016

# Agenda

1. Purpose of Webinar
2. What is an Achievement Value?
3. How to earn an Achievement Value
  - Earning AVs for Domain 1 measures
  - Earning AVs for Domain 2, 3, or 4 measures
4. How Achievement Values relate to payments
5. DSRIP Timelines
6. Additional Information

# 1. Purpose of Webinar

## Introduction to the AV Guide for PPS

- DOH published the Achievement Value (AV) Guide for Performing Provider Systems (PPS) on January 29, 2016\*.
- The AV Guide provides information on the processes associated with earning AVs and the associated payments.
- The AV Guide summarizes information provided in previous guidance and does not contain any policy changes.
- This webinar introduces the AV Guide by walking through the content of the AV Guide on a high level.

## Disclaimer

- The AV Guide will be updated when policy changes occur.
- This webinar will not be updated to reflect policy changes.

## The AV Guide for PPS: Table of Contents

### *Chapter 1: What are Achievement Values?*

- How AVs relate to PPS payments

### *Chapter 2: How to Earn Achievement Values*

- Earning AVs in Domain 1
  - Timing of Domain 1 AV awards
  - Organizational Measures
  - Project Specific Measures
- Earning AVs in Domain 2, 3, and 4
  - Timing of Domain 2, 3, and 4 AV awards
  - Criteria for earning Domain 2, 3, and 4 AVs

### *Chapter 3: Demonstration Years, Payments and Measurement Period Timelines*

### *Appendices:*

- A: Calculation of Payment Amounts per Payment Period
- B: AV Scoring Rules Exceptions
- C: Due Dates for Domain 1 Process Measure Milestones

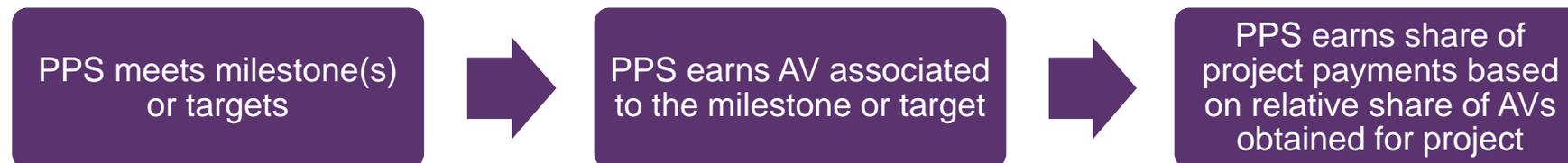
## 2. What is an Achievement Value?

# What is an Achievement Value?

- Within DSRIP, PPS choose 8 to 11 projects from the DSRIP project menu list.
- Projects are tracked using process and performance measures.
- PPS will be paid based on their progress on these measures.

## PPS progress on measures is tracked through a system of Achievement Values (AVs)

- PPS earns an AV if they meet the milestones for process measures or meets the targets for performance measures.
- Based on the share of earned AVs for a project, PPS then earn incentive payments.



## Achievement Values are typically scored as either 1 (milestone/target met) or 0 (not met)

- Some performance measures are composite i.e. they are worth partial AVs (total measure components sum to 1 AV).
- For example:
  - Antidepressant medication management – effective acute phase treatment: 0.5 AV;
  - Antidepressant medication management – effective continuation phase treatment: 0.5 AV.

# Achievement Values are awarded for both Process and Performance Measures

**Measures for DSRIP are categorized into 4 Domains**

Table 1: Measure Types per Domain	
Domain	Types of Measures
Domain 1	<p><b>Process Measures:</b></p> <p>Requires PPS progress towards Organizational milestone(s) and Project Specific milestones by specified target dates.</p>
Domains 2, 3 & 4	<p><b>Performance Measures:</b></p> <p><i>Pay for Reporting (P4R) Measures:</i> PPS earn incentive payments for successfully and accurately reporting the measures to NYS DOH within the timeframes.*</p> <p><i>Pay for Performance (P4P) Measures:</i> PPS earn incentive payments for results that meet or exceed the annual improvement target.</p>

\*Many of the P4R measures are reported by the State (not the PPS). Whether a measure is reported by the State or the PPS, is outlined in the DSRIP Measure Specification and Reporting Manual: Measurement Year 1. February 25, 2016 .

# Achievement Values are awarded for both Process and Performance Measures

**Each project is associated with a subset of Domain 1 measures and Domain 2,3 or 4 measures**

- Every project has Domain 1 measures, and;
- Measures of the Domain from which the project was chosen: Domain 2 3 or 4.

**For example:**

- Project 2.a.i is associated with 7 Domain 1 measures and 22 Domain 2 measures
- Project 3.a.iii is associated with 7 Domain 1 measures and 14 Domain 3 measures

# 3. How to earn an Achievement Value

# Earning AVs for Domain 1 Process Measures

## **Domain 1 measures represent the group of Process Measures**

- Domain 1 process measures are divided into Organizational and Project Specific measures.

## **For each Organizational measure and Project Specific measure one or multiple milestones are defined**

- PPS earns AV if the PPS meets the milestone(s) associated with the measures by the specified target date.
- PPS have to reach all milestones associated with the measure in order to earn an AV.

## **Progress on Domain 1 measures is evaluated based on Quarterly Reports supplied by PPS**

- Reports are submitted on a quarterly basis.
- Payments occur twice\* a year: in January and July.
- Each payment period, the evaluation of whether an AV is earned will be based on the two most recent Quarterly Reports.

\* With the exception of the first demonstration year when three payments will be made (May 2015, Jan 2016 and July 2016).



# Earning Domain 1 Project Specific AVs

- There are 3 Project Specific measures: Reporting, Patient Engagement Speed\* and Project Implementation Speed.
- PPS earns Project Specific AV if PPS meets associated milestone(s) by target date.
- Project Specific AVs are awarded separately for each project.
- AVs for Reporting and Patient Engagement Speed are earned twice a year based on two most recent quarterly reports.
- Project Implementation Speed is different:
  - PPS can earn a maximum of 3 AVs for Project Implementation Speed over the duration of DSRIP.
  - AVs are awarded at the following moments:
    - In the quarter the PPS committed to milestones (Demonstration Year 4, Quarter 4 (DY4, Q4) at the latest) and;
    - In DY2, Q4 and;
    - In DY3, Q4.
  - If the quarter in which a PPS has committed milestones coincides with either DY2, Q4 or DY3, Q4 only one AV will be available for that quarter based on meeting the commitment made by the PPS. In this situation, a PPS can earn a maximum of 2 AVs for Project Implementation Speed over the duration of the DSRIP.

\* The Patient Engagement AV does not apply to Project 2.a.i. nor Domain 4 projects. No AV is available for these projects.

# Allowable Domain 1 AVs for a project per payment period

Table 3: Total Allowable AVs awarded for Domain 1 for Each Project

Reporting Period → AV Category		DY1*		DY2		DY3		DY4		DY5	
		Q2	Q4	Q2	Q4	Q2	Q4	Q2	Q4	Q2	Q4
<b>Organizational</b>	Governance	1	1	1	1	1	1	1	1	1	1
	Workforce	1	1	1	1	1	1	1	1	1	1
	Cultural Competency/ Health Literacy	1	1	1	1	1	1	1	1	1	1
	Financial Sustainability	1	1	1	1	1	1	1	1	1	1
<b>Project Specific</b>	Reporting Quarterly Progress, Project Budget and Flow of Funds reporting	1	1	1	1	1	1	1	1	1	1
	Patient Engagement Speed*	1	1	1	1	1	1	1	1	1	1
	Project Implementation Speed	**	**	**	1	**	1	**	**	N/A	N/A
<b>Total possible AVs</b>		6+	6+	6+	7	6+	7	6+	6+	6	6

AVs are carried across all projects

AVs are evaluated separately for each project

\* Not applicable to Project 2.a.i and Domain 4 projects: no AVs for Patient Engagement Speed are available.

\*\* An AV is only allowable if a PPS committed to achieving milestones related to Project Implementation Speed in that Quarter, but the latest it can be earned is DY4, Q4.

+ If a PPS commits to achieving milestones related to Project Implementation Speed in this Quarter, the total number of allowable AVs becomes 7 in that Quarter.

# Earning AVs for Domain 2, 3, 4 measures

## Domain 2, 3, and 4 measures represent the group of performance measures

- Performance measures are Pay for Reporting (P4R) or Pay for Performance (P4P):
  - All performance measures are P4R in the first demonstration year (DY1);
  - Some of the performance measures transition to P4P in later DYs\*.

## Explanation of carrying over AVs for Domain 2, 3 and 4

- Results for P4R and P4P measures are calculated once a year at Quarter 4 (Q4):
  - Therefore the Independent Assessor evaluates P4R and P4P measures only once a year.
- Since, payment is made twice a year in January and July, P4R and P4P AVs will be carried over to the following payment period:
  - This means that once a PPS earns a P4R or P4P AV in Q4 (payment July), this P4R or P4P AV is also awarded in Q2 of the following demonstration period (payment January).
  - A visualization and an example will be provided later in this presentation (slides 18 – 19).

## Earning P4R AVs

- AVs are awarded based on the PPS successfully and accurately reporting the required measure information.

# Earning AVs for Domain 2, 3, 4 measures

## Earning P4P AVs

- AVs are awarded when a PPS closes the gap between their own performance and the statewide performance goal by at least 10% over the duration of the measurement year.
    - Exception: Project 2.d.i performance measures\*.
  - If the PPS' own performance score surpasses the statewide goal, the PPS will receive an AV in the following years if their score remains better than the statewide goal:
    - This is regardless of whether there was improvement during the measurement period.
  - No P4P AVs will be awarded for an individual measure if:
    - The PPS' baseline score (initial measurement year results) is at or better than statewide performance goal:
      - No AVs can be earned for the duration of DSRIP.
    - Measure has <30 members/events in the denominator:
      - Measure must display a denominator greater than 30 for two consecutive measurement years to be included in the AV calculations again.
- In these situations the number of available AVs per associated project is reduced by one.

\*For Project 2.d.i, performance measures, there are no statewide performance targets therefore different criteria apply for earning AVs since they cannot be based on a 10% annual closure of gap to goal. Those criteria are specified in the DSRIP Measure Specification and Reporting Manual: Measurement Year 1. February 25, 2016.

## 4. How Achievement Values relate to payments

# AVs are used to determine payments

## **PPS earn incentive payments based on the share of AVs achieved**

- Calculation of amount of dollars per project is explained in the AV Guide and in the Forestland example.

# Exceptions

## Running list with exception in the AV Guide

- Exceptions to the calculation of amount of dollars per project are described in the AV Guide.
- The list with exceptions is a running list that will be updated as required.

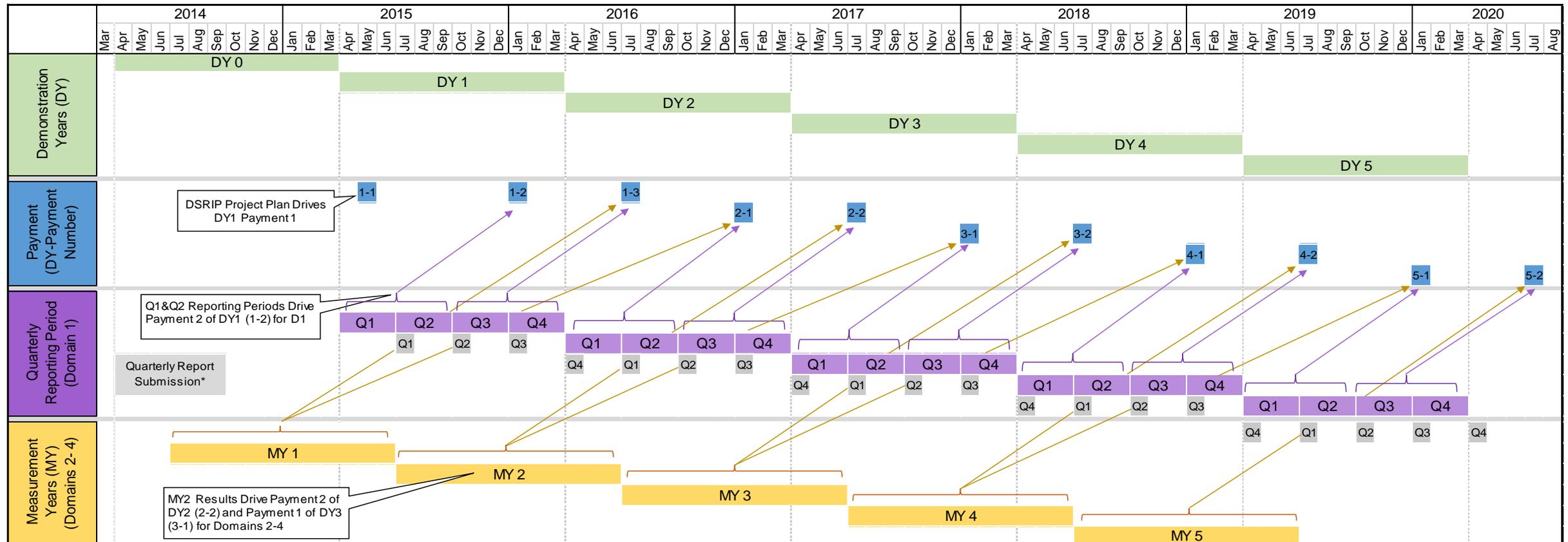
## Example of exception

- If there is no statewide performance goal for a P4P measure available, the measure will remain a P4R measure.
- The dollars allocated to the P4P measure will be transitioned to P4R dollars.

# 5. DSRIP Timelines

# Overview of Demonstration Years (DY), Payments, Reporting periods and Measurement Years (MY)

Figure 1: Timelines: Relating Demonstration Years, Payments, Quarterly Reporting Periods and Measurement Years



Publication date: January 29<sup>th</sup>, 2016. Version 1.

\* Quarterly reports are generally due on the last day of the month following the close of the quarter

# Snapshot in time: February 2016

## In Demonstration Year 1, Quarter 4 (DY1, Q4)

- Quarterly Reports of Q3 and Q4 drive payment 3 of DY1\*.

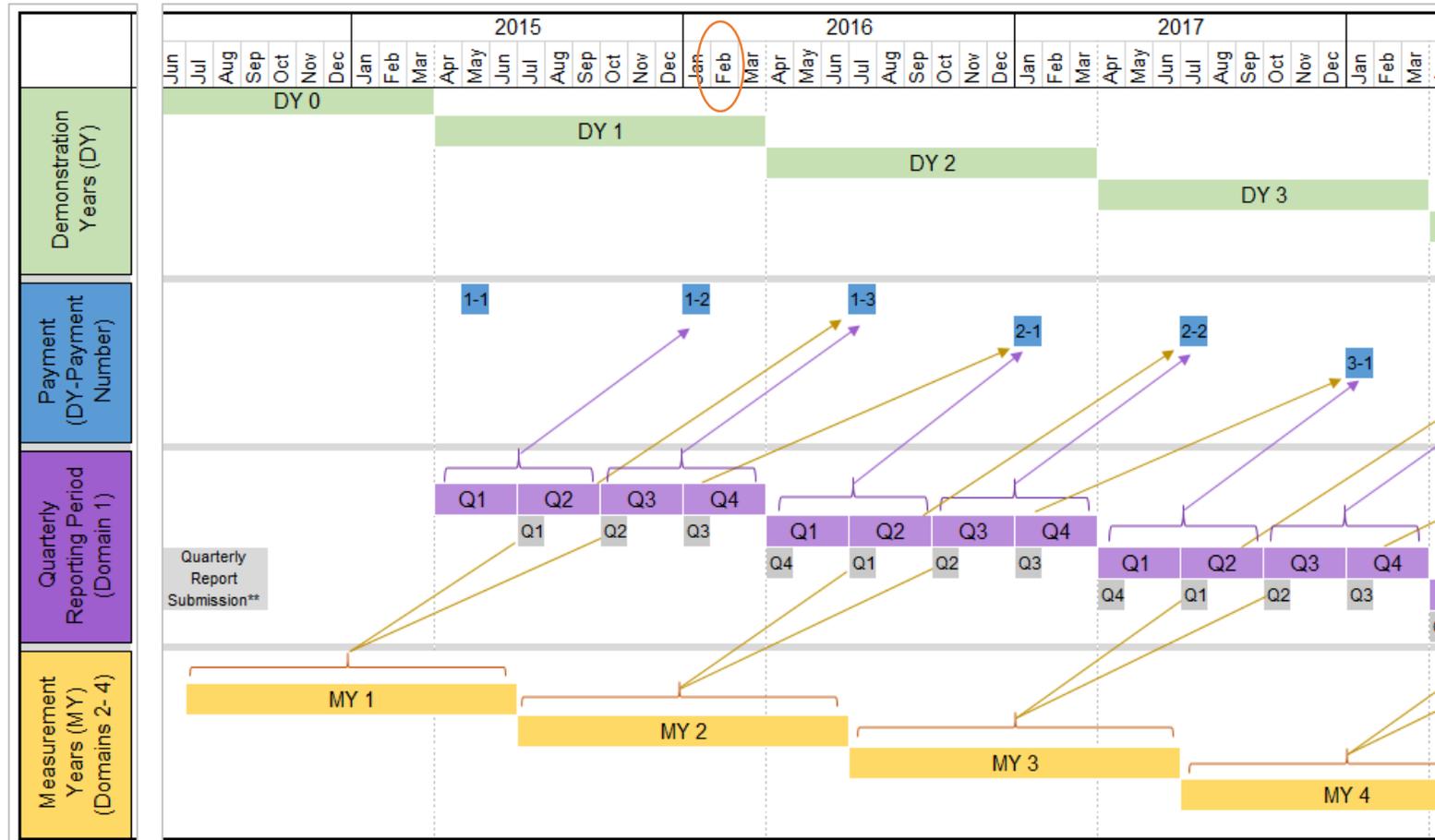
## In Measurement Year 2 (MY2)

- MY2 drives payment 2 of DY2 and payment 1 of DY3.

## Next payment is July 2016

- July 2016 is payment 3 of DY1.
- DY1 payment 3 is driven by:
  - Quarterly Reports of DY1, Q3 and DY1, Q4 and;
  - MY1 results.

Figure 2: Snapshot of Figure 1, slide 18



\* DY1 is the only demonstration year in which three payments occur (May 2015, Jan 2016 and July 2016).

## 6. Additional Information

# Additional Resources

Additional guidance is available through the following DSRIP Webinars and Presentations, (titles contain embedded links):

## General

- [AV Guide](#) – Updated March 9th, 2016
- [DSRIP Measure Specification and Reporting Manual](#) – February 25, 2016

## Domain 1 AVs

- [Questions and answers](#) - May 12, 2015
- [Domain 1 Achievement Values Guidance](#) - April 21, 2015 (PDF, 1MB)
- Domain 1 Achievement Values Guidance [Webinar Recording](#) - April 21, 2015 (WMV, 83MB)
- DSRIP Domain 1 Milestones – Minimum Standards for PPS Supporting Documentation and Independent Assessor Validation Process - Released to PPS on October 15, 2015

## Domain 2-4 AVs

- [DSRIP Domain 2-4 AV Webinar](#) - June 18, 2015 (youtube.com)
- [DSRIP Domain 2-4 AV Webinar Presentation](#) - June 18, 2015 (PDF, 839KB)

## Earning Payments

- [Earning payments D1-D4 AVs Webinar](#) - August 21, 2015 (youtube.com)
- [Earning payments D1-D4 AVs Webinar Presentation](#) - August 21, 2015 (PDF, 1.1MB)
- [Forestland 5 Year Valuation Example Revised](#) - February 8, 2016 (XLSX, 112KB)

Access to DSRIP information is available on the main DSRIP website ([link](#)).

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