Equity Programs (EP)

April 2017 Update Webinar
Today’s Agenda

- EPP Funding
- EIP Activity Updates
- EP Reporting
- MMCOR Reporting
- Next Steps and Open Forum
EPP Funding
EPP Performance Validation and Payment Process

• Due to a delay in DY1, MCOs received 9 months of payment in December of 2016 dating back to the start of EPP – April 2016

• Monthly DOH EPP payments began January 2017 resulting in EPP DY1 payments ending in March 2017

• EPP measures need to go through the same validation process as DSRIP measures before payment can be released. EPP DY2 payments from the MCO to PPS cannot begin until the IA performance validation is received.

• The IA is scheduled to release results of EPP performance to the PPS and paired MCOs on June 29, 2017

• There will be a lag in EPP payments to PPS from the end of DY1 funds (March 2017) to the beginning of DY2 funds after validation (July 2017)
EPP Performance Validation and Payment Process (cont.)

- DY2 EPP payments can begin in July 2017 (accounting for 4 months worth of payment) after receipt of EPP performance results from IA and continue through March 2018.

- Please note: A 3 month lag in EPP payments between the MCOs and PPS will occur each year. EPP payments will not be made from MCOs to PPS from April until July for the remainder of the Equity Program while the IA conducts performance validation.

<table>
<thead>
<tr>
<th></th>
<th>17-Mar</th>
<th>17-Apr</th>
<th>17-May</th>
<th>17-Jun</th>
<th>17-Jul</th>
</tr>
</thead>
<tbody>
<tr>
<td>PPS</td>
<td>last EPP DY1 Payment</td>
<td>NO PAYMENT</td>
<td>NO PAYMENT</td>
<td>NO PAYMENT</td>
<td>PPS receives first EPP Payment of DY2 (accounting for 4 months or first quarter’s payment )</td>
</tr>
</tbody>
</table>
EIP January 2016 Rate Adjustments

• The Division of Budget (DOB) has approved the January 2016 Rates, which will close the gap between actual EIP DY1 payments and expected EIP DY1 payments.

• This rate adjustment addresses on EIP underpayments between April 2015 – March 2016. Any under payments after that point will be addressed in another rate adjustment.

• Rates will be effectuated at the latest by end of June.
EIP Activity Updates
Additional Evidence for Activities

Participation in Expanded HH Enrollment

• Evidence of development and implementation of PPS-wide workflow model related to Health Home connectivity from primary care practices, hospitals and post-acute settings – one time only
  • DOH will update the guidance on HH enrollment activities and evidence in DY3

EHR Implementation Investment

• Development and execution of data sharing agreement for Population Health Management (PHM) Platform access and use – one time only
Additional Evidence for Activities

• Please refer back to the Financial Substantiation guidance for your PPS selected activities as some language changes were needed in order to better align with the current state of the program. The document lists examples of approved evidence for EIP activities and makes suggestions as to whether each piece of evidence would warrant financial substantiation. [https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/vbp_initiatives/docs/ep_financial_substantiation.pdf](https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/vbp_initiatives/docs/ep_financial_substantiation.pdf)

• As with all EIP activities, DOH is only providing examples of guidance. It is ultimately up to the MCO to determine what counts as sufficient evidence for activities in the contract. Partners are responsible for providing reasoning for alternative evidence selection should the program be audited by a governing entity.
IT TOM

Although the formal series of Information Technology Target Operating Model (IT TOM) workshops ended in DY2 Q1, PPS can continue to participate in IT TOM as one of their four selected EIP Activities.

As of DY2 Q2, PPS could continue IT TOM in EIP in one of two ways (participation in either is sufficient):

1. Development and facilitation of PPS-led IT TOM workshops, or
2. Leveraging lessons learned from past IT TOM workshops to advance a PPS’ target operating model (Refer to EIP IT TOM Participation Guidance Document for 5-step implementation plan)
IT TOM (cont.)

DOH has developed a package of evidence PPS can provide to their paired MCOs as proof of IT TOM Activity (this list is not exhaustive):

- **IT TOM Workshop Presentations, including meeting minutes and the attendance register**
- **Current and Target State Operating Models**
- **Updated Capability Model**
- **Updated Context Model**
- **List of High-level Use Cases**
- **List of Patient Use Case Scenarios**
- **Finalized Requirements Traceability Matrices (RTMs)**
- **Finalized BRD (Business Requirement Definition) Reports**
- **SRS (System Requirements Specification) Reports**

It is recommended that all above be included in the IT TOM evidence package, but it is mandatory to submit at least the red items, which make up the final deliverables of a complete IT TOM workshop series.

Additional guidance on EIP Participation in IT TOM can be found in the Guidance Document on the website.
Medicaid Accelerated eXchange – MAX

Train the Trainer (TTT) MAX Series

- TTT training sessions launched in January 2017 with foundational training (focused on facilitation) and workshop prep (focused on learning and practicing delivery theories of the workshop)

Although the formal TTT training session is currently scheduled to end in July 2017, designated trainers are encouraged to continue MAX activities in DY3 by leading trainings with their own or other PPS
PPS will need to submit evidence of independently run rapid cycle improvement projects started at MAX by submitting documentation of actions and evidence of continued workshops.

Documentation includes:
- Workshop Summary Reports (one after each workshop in the series for a total of 3 per series)
- Final Report (one report submitted at the end of the series on key findings and lessons learned)

DOH will develop additional guidance on MAX activities and evidence in DY3.
EP Reporting
EP Reporting & Payment Frequency Table

MCO Completion

- MCO will complete this report yearly based on any EIP activity updates or EP reporting and payment frequency updates prior to the start of the DY.
- The MCO filling out the report will select their name and the relevant DY from the drop lists as shown.
- The list in the ‘PPS Group’ column will be automatically updated based on the MCO selected from the drop down list.
The MCO will select the activities being completed under EIP for each of its paired PPS (shown left).

The MCO will then fill out the selected reporting and payment frequencies for EIP, as well as the payment frequency for EPP, as specified in the contract (shown above).

<table>
<thead>
<tr>
<th>Equity Infrastructure Program (EIP)</th>
<th>Equity Performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reporting Frequency</td>
<td>Payment Frequency</td>
</tr>
<tr>
<td>Quarterly</td>
<td>Quarterly</td>
</tr>
</tbody>
</table>

- Quarterly
- Monthly
- Quarterly
### EIP Activity Table  
**MCO Completion**

- MCO will select their name and the activities selected by each of their paired PPS.

- MCO will then mark whether participation in the activity occurred by the PPS.
The number of completed activities will be automatically calculated in the purple cell labeled ‘PPS Activity Achievement Count’.

If this number is less than 4, the MCO must provide a narrative in the ‘Explanation for Activity non-achievement’ column (shown above).
EIP Payment Table

MCO Completion

- The report documents expected and actual revenue received by an MCO for the reporting period as well as the amount paid to each of their paired PPS.

- MCO will select their name, EIP demonstration year, and relevant quarter/reporting period. The PPS list and expected revenue will be updated automatically based on the MCO selected.

- MCO will input the actual revenue received for the reporting period selected.
EIP Payment Table (cont.)

**MCO Completion**

The MCO will select the activities being completed by the PPS in the orange-shaded cells as shown above.

Underneath each activity, the MCO will enter the total dollars paid out for demonstration of activity participation.
The cell under ‘PPS Activity Payment Total’ will sum all payments made to the PPS automatically.

Any variance between the ‘PPS Activity Payment Total’ and the ‘Actual Revenue Received for the Reporting Period’ will be recorded in the ‘Revenue/Activity Payment Variance’ cell automatically.

An explanation will be needed if any variance exists between these two amounts.
The report documents expected and actual revenue received by an MCO for the reporting period as well as the amount paid to each of their paired PPS.

MCO will select name, EPP demonstration year, and relevant reporting period. The PPS list and expected revenue will be updated automatically based on the MCO selected.

MCO will input actual revenue received for the reporting period selected.
• The MCO will enter the selected metrics for each PPS in the orange-shaded cells as shown above.

• Underneath each metric, the MCO will enter the total dollars paid out for the PPS meeting performance measurement criteria.
The cell under ‘PPS Performance Payment Total’ will sum all payments made to the PPS automatically.

Any variance between the ‘PPS Performance Payment Total’ and the ‘Actual Revenue Received for the Reporting Period’ will be recorded in the ‘Revenue/Performance Payment Variance’ cell automatically.

An explanation will be needed if any variance exists between these two amounts.
EIP Reporting Table
PPS Completion - Optional

<table>
<thead>
<tr>
<th>EIP Activities</th>
<th>Select chosen Activities (must select 1)</th>
<th>Did participation in selected Activity occur?</th>
<th>ENPA voted and delivered to all affected States/Regions</th>
<th>Expenses accrued related to Activity/Participation (Specify application in line)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participation in IT TOM initiatives</td>
<td>Participation is one of the MCO’s service projects</td>
<td>Participation in one of the MCO’s service projects</td>
<td>Participation in a state sponsored tobacco control program</td>
<td>Participation in state efforts to end MRHSO</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

This report can be used by a PPS to report their paired MCOs on EIP progress.

Frequency and format of EIP progress reports must be agreed upon with the paired MCO.

The PPS filling out the table will select their PPS from the dropdown menu as shown in the image above.
PPS will mark 4 activities as selected as shown in the image.

- 4 activities must be marked ‘selected’ or an error message will remain underneath the column.

The third column will be used to mark whether participation in the selected activity occurred.

If participation did occur, the title of the appropriate attachment will be entered in column 4.

List expenditures in column 5 (if applicable)
EP Reporting

- PPS and MCOs should send reports (and supporting documentation) to the appropriate email address noted below
  

- The IA is scheduled to release EPP DY2 results by June 29, 2017

<table>
<thead>
<tr>
<th>Report</th>
<th>Completed by</th>
<th>Submitted to</th>
<th>Frequency</th>
<th>Location</th>
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</thead>
<tbody>
<tr>
<td>EP Contract Modifications</td>
<td>PPS &amp; MCO</td>
<td>IA &amp; DOH</td>
<td>Annually, as Needed</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td><a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
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<tr>
<td>EP Reporting and Payment Frequency Table</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td>Annually, March 31st</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
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<td><a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
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<tr>
<td>EIP Activity Table</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td>Quarterly</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
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<td><a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
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<tr>
<td>EIP Payment Table</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td>Quarterly</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
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<td><a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
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<td>EPP Payment Table</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td>Quarterly</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
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<td></td>
<td><a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
</tr>
<tr>
<td>Supporting Documentation for EIP Activity participation/PPS EIP Reporting Table</td>
<td>PPS</td>
<td>MCOs</td>
<td>Based on EP Contracts</td>
<td>MCO contact emails</td>
</tr>
<tr>
<td>Supporting Documentation for EIP Activity participation</td>
<td>MCO (reviewed by MCO after being sent by PPS)</td>
<td>IA</td>
<td>Based on EP Contracts</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a></td>
</tr>
<tr>
<td>MMCOR Submission</td>
<td>MCO</td>
<td>DOH</td>
<td>Quarterly</td>
<td>Health Commerce System</td>
</tr>
</tbody>
</table>
Reporting Reconciliation Documentation

- MCO Reporting has not been consistent with EP program guidance
- DOH will allow 1 summary report which typically are required quarterly (i.e. EPP payment table)
- MCOs must submit all documents by no later than **June 30, 2017**

<table>
<thead>
<tr>
<th>Report</th>
<th>Reporting Period</th>
<th>Completed by</th>
<th>Submitted to</th>
<th>Location</th>
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</thead>
<tbody>
<tr>
<td>EP Reporting and Payment Frequency Table (slides 15 &amp; 16)</td>
<td>DY2 (Apr 2016 – Mar 2017) &amp; DY3 (Apr 2017 – Mar 2018)</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a> <a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
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<tr>
<td>EIP Activity Table (slides 17 &amp; 18)</td>
<td>DY2 (Apr 2016 – Mar 2017)</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
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</tr>
<tr>
<td>EIP Payment Table (slides 19 - 21)</td>
<td>DY2 (Apr 2016 – Mar 2017)</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a> <a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
</tr>
<tr>
<td>EPP Payment Table (slides 22 – 24)</td>
<td>DY1 (Apr 2016 – Mar 2017)</td>
<td>MCO</td>
<td>IA &amp; DOH</td>
<td><a href="mailto:dsrip_ia@pcgus.com">dsrip_ia@pcgus.com</a> <a href="mailto:dsrip_ssp@health.ny.gov">dsrip_ssp@health.ny.gov</a></td>
</tr>
</tbody>
</table>
MMCOR Reporting
Overview of MMCOR reporting tables
MMCOR Reporting Guidance for MCOs

MMCOR reports will be used to evaluate performance in the EPs. MCOs should take note of the following within the MMCOR reports:

- Tables 6, 7-1, 7-2, 7-3, 7-4:
  - All Supplemental Program revenue will be reported within the capitation line using the PMPM rate provided on your plan’s Schedule B. The accrued amounts passed on to the PPS groups are to be entered in the appropriate lines within the medical revenue section of the worksheet.

- Tables 19, 19A, 19B, 19C:
  - These tables are stand alone, Statewide only tables. They are to be completed on a year-to-date actual cash in/cash out basis. They are not tied to any other table within the report.

- Table 22A:
  - Administrative costs are to be accrued and entered in the appropriate lines on Table 22A.
  - The revenue amounts you receive are manually removed from your total premium when we are calculating your plan’s contingent reserve amount. The funding you receive for the Supplemental Programs does not affect your contingent reserve or your escrow amount.
MMCOR Reporting Timeframes

<table>
<thead>
<tr>
<th>Quarter</th>
<th>Timeline</th>
<th>Report Due</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>January 1 – March 31</td>
<td>May 15</td>
</tr>
<tr>
<td>2</td>
<td>January 1 – June 30</td>
<td>August 15</td>
</tr>
<tr>
<td>3</td>
<td>January 1 – September 30</td>
<td>November 15</td>
</tr>
<tr>
<td>4 (Annual)</td>
<td>January 1 – December 31</td>
<td>April 1 of following year</td>
</tr>
</tbody>
</table>

- Reporting in MMCOR and EP reporting to the IA is important as there will be a reconciliation to determine recoupment of unearned EP funds that will flow into the Additional High Performance Program (AHPP) in the next DY.

- For questions on MMCOR reporting, please contact bmcfhelp@health.ny.gov
Next Steps and Open Forum

• Moving forward webinars will be scheduled QUARTERLY
  ➢ The next EP webinar is scheduled for June 28th, 2017 from 12pm – 1pm.

• For any further questions, please contact the Supplemental Programs inbox: dsrip_ssp@health.ny.gov.

• The remainder of this webinar is reserved for an open forum.
Thank you for your continued support with EP!