HCBS Spending Plan For Implementation of American Rescue Plan Act

All Figures are in Millions

FFY23 Q2 Update

		NYS estimate of funds attributable to the increase in FMAP that the state has claimed and/or anticipates claiming between April 1, 2021, and March 31, 2022. All dollar fig Estimates based on proposed spend plan total projections						
Program	FFY21Q3 04/01/21-06/30/21	FFY21Q4 07/01/21-09/30/21	FFY22Q1 10/01/21-12/31/21	FFY22Q2 01/01/22-03/31/22	TOTAL			
HCBS (Managed Care)*	\$4,366	\$4,113	\$3,954	\$4,056	\$16,489			
HCBS (All 1915c Waivers)**	\$1,778	\$1,706	\$1,942	\$2,391	\$7,817			
Private Duty Nursing [†]	\$29	\$29	\$32	\$33	\$122			
Rehabilitation Services**	\$137	\$131	\$156	\$191	\$615			
Personal Care Services ⁺	\$179	\$178	\$182	\$197	\$737			
Home Health Services [†]	\$149	\$134	\$137	\$142	\$562			
PACE ⁺⁺	\$87	\$90	\$88	\$99	\$363			
Case Management ⁺	\$8	\$9	\$9	\$9	\$35			
TOTAL (in millions)	\$6,732	\$6,390	\$6,499	\$7,117	\$26,739			

\$648	(\$33)	-5.1%
\$737	(\$0)	0.0%
\$522	\$40	7.7%
\$363	\$0	0.0%
\$35	\$0	0.0%
\$26,439	\$300	1.1%

Comparison to Projection in FFY23 Q1 Spending Plan Shared with CMS in July 2022

Comparison	to eFMAP	Projection in	n FFY23	Q1 Spending Pl	an
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Shared with CMS in July 2022

FFY23 Q2 Projection (~10% eFMAP)	FFY23 Q1 Projection (10% eFMAP)	Dollar Difference	% Difference
\$1,569.0	\$1,549.4	\$19.6	1.3%
\$778.4	\$778.2	\$0.2	0.0%
\$12.2	\$12.2	\$0.0	0.0%
\$57.3	\$63.9	(\$6.6)	-10.3%
\$73.4	\$73.4	\$0.0	0.0%
\$55.8	\$52.3	\$3.5	6.7%
\$36.3	\$36.3	\$0.0	0.0%
\$3.5	\$3.5	\$0.0	0.0%
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\$2,585.9	\$2,569.2	\$16.7	0.7%

Actuals denoted in blue text and subject to retrospective update upon future submissions.

Footnotes

* Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.

** Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

⁺ These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

++ This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 3/31/22.

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-investment of funding on HCBS eFMAP spending plan proposals.

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Support & Strengthening the Direct Care Workforce A Transform the Long-Term Care Workforce and Achieve Value-Based Payment DON Directed	sted Payment \$ 142,922 \$ 214,382 \$ 257,3		- \$ 142,922	50 50 50 50		20 \$143,922 \$257,304 \$0 \$0	50 50 50	50 50 50 50 50	50 50 50		50 50 50 50
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	tedPayment& S 41,644 S 48,879 S 90.5		15,000 \$ 15,044			20 514430 536175 51374 52748	20 00 00	55,260 523,170 546,340 50	50 50 50		5 0 0
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	Nan Amendment \$ 8,240 \$ 9,065 \$ 17,3		2,100 \$ 6,140	50 50 50	a 50 50 50	50 55,650 514,625 5260 5580	so so so	\$0 \$2,100 \$2,100 \$0	90 92 92	50 50 50 50	50 50 50
	ctedPayment \$ 4,810 \$ 3,010 \$ 7,8		4,810 5 -	so so so	0 50 50 50	50 50 50 50	50 50 54,810	\$7,820 \$0 \$0 \$0	50 50 54	50 50 50 50	\$0 \$0 \$0
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Support & Strengthening the Direct Care Workforce I Expand Recruitment and Retension of Culturally Competent, Culturally Responsive and OMM N	N/A \$ 4,000 \$ - \$ 4,0		4,000 S ·	50 50 50	0 50 50 50	so so so so	50 50 50	\$0 \$4,000 \$4,000 \$0	50 50 50	50 50 50 50	90 90 90
Support & Strengthening the Direct Care Worldonce J Expand Certified and Credentialed Peer Capacity OMA A	N/A \$ 4000 \$ - \$ 4,0	100% \$ 4	4,000 \$ -	so so so	a 50 50 50	50 50 50 50	\$0 \$0 \$0	50 54,000 54,000 50	50 50 50	50 50 50 50	50 50 50
	N/A \$ 20,000 \$ 20,000 \$ 40,0	50% \$ 21	20,000 \$ -	so so so	20 50 50 50	50 50 50 50	50 50 50	\$0 \$5,000 \$10,000 \$5,000	\$10,000 \$5,000 \$10,000	\$5,000 \$10,000 \$0 \$0	50 50 50
Support & Strengthening the Direct Care Workforce L Home Care Minimum Wage Increase DOH SPA, Manag	anaged Care Rate S 1,293,403 S 1,293,403 S 2,586,8 Change	50% \$ 1,28	12,403 S -	50 50 50	a 20 50 50	so so so so	\$0 \$0 \$181,289	\$362,578 \$181,289 \$362,578 \$222,706	\$465,412 \$222,706 \$465,412	\$232,706 \$465,412 \$232,706 \$465,412	50 50 50
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HCBS Capacity, Impositions, and Systems Transformation & Invest in the Expansion of Community Rnt Choice Option (CFCO) Services DOH State Plan	Ran Amendment \$ 46,800 \$0.00 \$ 46,8	100% S 44	N6,800 S ·	50 50 50	a 50 50 50	50 50 50 50	50 50 50	50 50 50 50 546,800	546,800 S0 S0	50 50 50 50	50 50 50
HCBS Capacity, Innovations, and Systems Transformation C Support of the Unique Program of Al-Inclusive Care for the Elderly (PACE) Fully Integrated DOH N	N/A \$ 40,000 \$0.00 \$ 40,0	100% \$ 44	10,000 S -	so so so	a 50 50 50	a a a a a a a	50 50 50	50 \$40,000 \$40,000 \$0	50 50 54	50 50 50 50	50 50 50
			10,000 \$ -	50 50 50	a 50 50 50	50 50 50 50 50	50 50 515,000	515,000 \$7,500 \$7,500 \$7,500	\$7,500 \$0 \$4	20 20 20 20	a a a
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				30 30 50	a 30 30 30	30 30 30 30 30			35,000 50 50	30 30 30 30	30 50 30
HCBS Capacity, Innovations, and Systems Transformation H Adjust Residential Addiction Treatment Services Rate OAGA6 State Plan			13,310 \$ 873	\$0 \$0 \$0	a 50 50 50	50 50 51 53 513	\$870 \$6,417 \$2,610		90 92 92	2 2 2 2 2	50 50 50
CORD Services	cted Payment 5 9,200 5 9,200 5 18,4		9,200 \$ -	S0 S0 S0	0 50 50 50	so so so so	50 50 50	\$0 \$9,200 \$18,400 \$0	50 50 54	50 50 50 50	50 50 50
	Nan Amendment \$ 16,250 \$ 16,250 \$ 22,5		12,464 \$ 3,786	50 50 50	0 50 50 50	so so so so	\$3,786 \$7,571 \$6,196	\$12,391 \$6,269 \$12,537 \$0	50 50 50	50 50 50 50	90 90 90
HCBS Capacity, Innovations, and Systems Transformation K Expand Crisis Services for People with ICD OPWDD Ameri	interdment \$ 11,301 \$ 2 \$ 11,3	100% \$ 11	11,300 \$ 1	50 50 50	a 50 50 50	50 51 52 50 51	50 50 58,800	58,800 52,500 52,500 50	50 50 50	50 50 50 50	50 50 50
HCBS Capacity, Impositions, and Systems Transformation L Enhanced Rates for Private Duty Numing (PDN) DDH State Plan	Ran Amendment 5 12,450 5 12,493 5 24,9	SDN S	4,688 \$ 7,762	so so so	20 50 50	50 52,387 54,887 52,529 55,075	\$2,836 \$5,685 \$2,344	54,688 52,344 54,688 50	50 50 50	50 50 50 50	50 50 50
HCBS Capacity, Innovations, and Systems Transformation M Supportive Residential Habilitation Transformation Grant OPWCO H	N/A \$ 10,000 \$ - \$ 10,0	200% \$ 11	10,000 \$ -	50 50 50	20 50 50 50	50 50 50 50	\$0 \$0 \$5,000	\$5,000 \$2,500 \$2,500 \$2,500	\$2,500 \$0 \$1	50 50 50	50 50 50
HCBS Capacity, Innovations, and Systems Transformation N Invest in OXSAG Outpatient Addiction Rehabilitation Treatment Services Adjustments OXSAG State Plan	Plan Amendment \$ 5,916 \$ 7,129 \$ 12,0	45N \$ 4	4,444 \$ 1,472	so so so	a sa sa sa	50 5258 5727 5218 5634	5995 52,806 S183	5866 54,261 58,522 50	50 50 51	50 50 50 50	50 50 50
HCBS Capacity, Impustions, and Systems Transformation 0 Invest in Personalized Recovery Oriented Services (PROS), Redesign OMH State Plan	Ran Amendment \$ 5,060 \$ 5,935 \$ 10,9	46N \$ 3	2,600 \$ 2,460	90 99 99	a sa sa sa	50 \$1,750 \$4,375 \$710 \$1,430	\$0 \$0 \$0	50 52,600 55,200 50	50 50 51	20 20 20 20	50 50 50
HCBS Capacity, Innovations, and Systems Transformation P CTTSS Rate Adjustments DOH State Plan	Pan Amendment \$ 7,871 \$ 7,892 \$ 15,7	50% \$	7,089 \$ 782	so so so	a so so so	50 5428 5862 5228 5485	\$116 \$229 \$46	\$82 \$7,036 \$14,071 \$2	52 55 521	0 00 00 00	50 50 50
HCBS Capacity, Innovations, and Systems Transformation Q Children's Walver HCBS Rate Adjustments DOH 1915 (c	15(c) Walver \$ \$8,328 \$ 66,001 \$ 124,3	47% \$	· \$ \$8,328	so so so	a 50 50 50	50 516,915 535,559 515,953 534,500 1	\$25,461 \$54,271 \$0	50 50 50 50	50 50 50	50 50 50 50	50 50 50
HOBS Capacity, Inspeations, and Systems Transformation R Invest in Assertive Community Treatment (ACT) Services OMM State Plan.	Nan Amendment \$ 1,520 \$ 3,270 \$ 6,7	\$2% \$:	2,300 \$ 1,220	20 20 20	a 50 50 50	50 5000 52,250 5320 5640	50 50 50	50 52,000 51,000 50	50 50 52	20 20 20 20	50 50 50
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Production Product			1560 5 .	50 50 50		2 2 2 2 2	50 50 51.560	53119 50 50 50	50 50 50	2 2 2 2 20 20 20 20	90 90 90
HCBS Capacity, Instrumentation, and Systems Transformation U Implement Young Adult ACT Teams OMH State Plan		0N 5		an an an			so so		50 50 m		
	Nan Amendment > - > - > - > - > >		10,000 \$ -	- ~ ~ 0 0 0	a 60 60 70		50 50 50	30 30 30 30 30	56 000 50 m		
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HCBS Capacity, Industions, and Systems Transformation X New Children's HCBS Walver DOH Areadowan	ment, Appendix K	#DIV/01 \$	· \$ ·	50 50 50	0 00 00 00	α α α α α α	\$0 \$0 \$0	50 50 50 50	50 50 SC	50 50 50 50	50 50 50
HCBS Capacity, Impositions, and Systems Transformation Y Evidence Based Children's Services DOH State Plan		#Drivj01 \$	- \$	so so so	0 50 50 50	50 50 50 50 50	şa şa şa	50 50 50 50	50 50 SC	30 30 30 30	50 50 50
	cted Payment \$ 2,190 \$ 2,190 \$ 4,3		2,190 \$ -	50 50 50	a 50 50 50	50 50 50 50	50 50 50	50 52,190 54,380 50	50 50 50	50 50 50 50	50 50 50
HCBS Capacity, Innovations, and Systems Transformation AA Invest in Outpatient Mental Health Rehabilitative Services OMH State Plan	Plan Amendment 5 31,760 5 31,760 5 63,5	50% \$ 31	10,629 \$ 1,131	50 50 50	80 50 50	20 20 20 20 20	\$1,131 \$2,262 \$413	5826 515,541 531,082 53,669	\$7,338 \$3,669 \$7,338	53,669 57,338 53,669 57,338	50 50 50
	N/A \$ 2,750 \$ - \$ 2,7	100% S 3	2,750 \$ -	50 50 50	a 50 50 50	50 50 50 50	50 50 5450	5450 5450 5450 5450	5450 5450 5450	5450 5450 5500 5500	50 50 50
Digital Infrastructure Investments A Modernice OPWDD IT Infrastructure to Support Medicald Enterprise & Investments to OPWDD N	N/A \$ 42,400 \$ - \$ 42,4	102% \$ 42	12,400 \$ -	so so so	a 50 50 50	20 20 20 20 50	50 50 521,200	\$21,200 \$10,600 \$10,600 \$10,600	\$10,600 \$0 \$4	50 50 50 50	50 50 50
	N/A \$ 27,723 \$ - \$ 27,7	100% \$ 21	10,250 \$ 17,483	so so so	a 50 50 50	50 50 50 517,483 517,483	50 50 56,390	54,200 58,400 58,400 51,200	\$1,290 \$1,290 \$1,290	51,290 51,290 51,500 51,500	50 50 50
Digital infrastructure investments C Advance Children's Services IT infrastructure DOH Directed	ctedPayment \$ 7,040 \$ 7,040 \$ 14,0	50% \$ S	7,010 \$ -	so so so	a 50 50 50	so so so so	50 50 57,010	\$14,080 \$0 \$0 \$0	50 50 52	00 00 00	50 50 50
	cted Payment \$ 10,000 \$ 10,000 \$ 20,0	50% \$ 3	1,000 \$ 7,000	so so so	a 50 50 50	a a a a a a a a a a a a a a a a a a a	\$7,000 \$14,000 \$0	50 \$3,000 \$6,000 \$0	50 50 50	50 50 50 50	50 50 50
Distal infrastructure Investments E Rudy to Develop new Consumer Directed Pensoral Assistance Program (CDPAP) Care DOH N	N/A \$ 5,100 \$ - \$ 5,1	100% S 1	5,100 S ·	so so so	a 20 50 50	20 20 20 20	50 50 50	50 50 50 51,275	\$1,275 \$1,275 \$1,27	\$1,275 \$1,275 \$1,275 \$1,275	20 20 20
Technology	N/A \$ 1500 \$ - \$ 15		1500 5	so so so	a <u>sa</u> sa sa	20 20 20 20 00	50 50 cn	50 5250 5250 5350	5250 5250 579	975 975 975 975	90 90 m
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	\$2,585,900 \$2,669,588 \$5,255,4		8,783 \$627,117			50 5540,328 51,505,285 544,544 576,060 5	\$42,246 \$93,395 \$458,835	\$823,512 \$425,470 \$719,250 \$345,042	\$589,418 \$244,645 \$486,020	\$244,765 \$486,140 \$240,025 \$476,400	
Total	\$2,585,900 \$2,669,588 \$5,255,4	\$1,951	\$627,117	30 50 S0	e 30 50 50	50 \$540,428 \$1,505,285 \$44,544 \$76,060 \$	342,246 \$93,395 \$458,835	3#24,512 \$425,470 \$719,250 \$345,042	5589,418 \$264,645 \$486,020	\$240,705 \$486,140 \$240,025 \$476,400	×0 50 50

