HCBS Spending Plan For Implementation of American Rescue Plan Act

All Figures are in Millions

FFY Quarter 2 2022 Update

NYS estimate of funds attributable to the increase in FMAP that the state has claimed and/or anticipates claiming between April 1, 2021, and March 31, 2022. All dollar figures are in millions.

	Est	Estimates based on proposed spend plan total projections											
Program	FFY21Q3	FFY21Q4	FFY22Q1	FFY22Q2	TOTAL								
	04/01/21-06/30/21	04/01/21-06/30/22	04/01/21-06/30/23	04/01/21-06/30/24	TOTAL								
HCBS (Managed Care)*	\$3,285	\$3,443	\$3,377	\$3,611	\$13,715								
HCBS (All 1915c Waivers)**	\$1,777	\$1,764	\$1,750	\$1,737	\$7,027								
Personal Care Services†	\$178	\$181	\$183	\$186	\$728								
Home Health Services†	\$154	\$153	\$153	\$152	\$612								
PACE††	\$89	\$89	\$89	\$89	\$355								
Case Management†	\$10	\$10	\$10	\$10	\$41								
TOTAL (in millions)	\$5,493	\$5,639	\$5,561	\$5,784	\$22,478								

Actuals denoted in blue text and subject to retrospective update upon future submissions.

Footnotes

- * Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.
- ** Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.
- † These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.
- ++ This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-invement of funding on HCBS eFMAP spending plan proposals. Note: The actual expenditure values for FFY21Q3 in the summary table above are estimates based on a draft methodology not yet approved by CMS.

HCBS Spending Plan For Implementation of American Rescue Plan Act

Original DOH Projection in Spending Plan

Shared with CMS in July 2021

Program	Original Projection	Dollar Difference	% Difference
HCBS (Managed Care)*	\$12,390	\$1,325	10.7%
HCBS (All 1915c Waivers)**	\$7,376	(\$349)	-4.7%
Personal Care Services†	\$715	\$13	1.9%
Home Health Services†	\$534	\$78	14.6%
PACE++	\$398	(\$44)	-10.9%
Case Management†	\$48	(\$7)	-15.2%
TOTAL (in millions)	\$21,461	\$1,017	4.7%

Footnotes

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-invement of funding on HCBS eFMAP spending plan proposals.

Note: The actual expenditure values for FFY21Q3 in the summary table above are estimates based on a draft methodology not yet approved by CMS.

^{*} Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.

^{**} Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

[†] These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

⁺⁺ This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

HCBS Spending Plan For Implementation of American Rescue Plan Act

Original DOH eFMAP Projection in Spending Plan

Shared with CMS in July 2021

Program	FFY Q2 Update Total Projection (10% eFMAP)	Original Projection (10% eFMAP)	Dollar Difference	% Difference
HCBS (Managed Care)*	\$1,372	\$1,239	\$133	10.7%
HCBS (All 1915c Waivers)**	\$703	\$738	(\$35)	-4.7%
Personal Care Services†	\$73	\$72	\$1	1.9%
Home Health Services†	\$61	\$53	\$8	14.6%
PACE ^{††}	\$35	\$40	(\$4)	-10.9%
Case Management†	\$4	\$5	(\$1)	-15.2%
TOTAL (in millions)	\$2,248	\$2,146	\$102	4.7%

Footnotes

Note: The expenditure values in the summary table above do not include anticipated HCBS spend resulting from re-invement of funding on HCBS eFMAP spending plan proposals.

Note: The actual expenditure values for FFY21Q3 in the summary table above are estimates based on a draft methodology not yet approved by CMS.

^{*} Includes Managed Care & Managed Long Term Care (MLTC) HCBS spend; excluding PACE which is lined out separately below.

^{**} Includes non-DOH/Other State Agency (OSA) Fee-for-Service (FFS) spend and actuals pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

[†] These are FFS programs and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

^{††} This is an MLTC Managed Care program and actual spending is pulled from the CMS 64 report spanning 4/1/21 through 6/30/21.

HCBS	Spending Plan Fo	or Implementation of American Resc	ue Plan Act			Spend Progress by Activity			Spend by Federal Fiscal Quarter													
Category	Section	Proposal Name	Lead Agency	Expenditure	SFE Funding (Estimate @ completion)	Estimate to Completion	Total Spend to-Date (Actual Spend)	FFY21Q2 01/01/21 -	FFY21Q3 04/01/21 -	FFY21Q4 07/01/21 -	FFY22Q1 10/01/21 -	FFY22Q2 01/01/22 -	FFY22Q3 04/01/22-	FFY22Q4 07/01/22-	FFY23Q1 10/01/22 -	FFY23Q2 01/01/23 -	FFY23Q3 04/01/23 -	FFY23Q4 07/01/23 -	FFY24Q1 10/01/23 -	FFY24Q2 01/01/24 -	FFY24Q3 04/01/24 -	FFY24Q4 07/01/24 -
Support & Strengthening the Direct Care Workforce	А	Transform the Long-Term Care Workforce and Achieve Value-	DOH	Directed Payment	\$ 722,500,000 \$	722,500,000	\$ -	\$ -	\$ -	09/30/21	12/31/21	03/31/22	06/30/22	09/30/22	12/31/22	03/31/23	06/30/23	09/30/23	12/31/23	03/31/24	06/30/24	09/30/24
Support & Strengthening the Direct Care Workforce	В	Based Payment Improve thte OPWDD Workforce	OPWDD	1915 (c) Waiver Amendment, Appendix	\$ 554,400,000 \$	554,400,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	С	Expand Advanced Training Incentive (ATI) Program for HCBS Transitions from Nursing	DOH	State Plan Amendment	\$ 55,350,000 \$	55,350,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	D	Home Workforce Transportation Incentive	DOH	N/A	\$ 10,000,000 \$	10,000,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	E	Improve the OMH Workforce	ОМН	Directed Payment & State Plan Amendment	\$ 16,700,000 \$	16,700,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	F	Improve the OASAS Workforce	OASAS	State Plan Amendment	\$ 7,200,000 \$	7,200,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	G	Increase Medicaid Rehabilitation Rates for OMH Community Residence Programs	ОМН	State Plan Amendment	\$ 6,900,000 \$	6,900,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	н	Enhance the Children's Services Workforce	DOH	Directed Payment	\$ 5,100,000 \$	5,100,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	1	Expand Training and Implementation Support for Evidence Based Practices (EBPs)	ОМН	N/A	\$ 4,000,000 \$	4,000,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	J	Expand Recruitment and Retention of Culturally Competent, Culturally Responsive and Diverse Personnel	ОМН	N/A	\$ 4,000,000 \$	4,000,000	\$ -	\$ -	\$ -													
Support & Strengthening the Direct Care Workforce	к	Expand Certified and Credentialed Peer Capacity	ОМН	Directed Payment	\$ 4,000,000 \$	4,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	А	Support Program Growth in Personal Care Services and CDPAP to Ensure Capacity	DOH	State Plan Amendment	\$ 415,000,000 \$	415,000,000	\$ -	\$ -	s -													
HCBS Capacity, Innovations, and Systems Transformation	В	Expand Capacity in Nursing Home Transition and Diversion (NHTD) and Traumatic Brain Injury (TBI)	DOH	1915 (c) Waiver Amendment, Appendix K	\$ 47,000,000 \$	47,000,000	\$ -	s -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	С	Invest in the Expansion of Community First Choice Option (CFCO) Services	DOH	State Plan Amendment	\$ 46,900,000 \$	46,900,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	D	Support of the Unique Program of All-Inclusive Care for the Elderly (PACE) Fully Integrated Care Model	DOH	PACE Organization Premium Adjustment	\$ 40,000,000 \$	40,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	E	Improve the OPWDD Delivey System	OPWDD	N/A	\$ 30,000,000 \$	30,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	F	Invest in a Community Engagement Initiative- HCBS Day Services	OPWDD	N/A	\$ 30,000,000 \$	30,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	G	Invest in Diversity, Equity, and Inclusion for People with IDD	OPWDD	N/A	\$ 30,000,000 \$	30,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	Н	Integrated Housing Pilot Adjust Residental Addiction	OPWDD	N/A	\$ 20,000,000 \$	20,000,000	•	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation HCBS Capacity, Innovations, and Systems Transformation	J	Treatment Services Rate Expand and Implement HCBS and Community Oriented Recovery and Empowerment	OASAS DOH, OMH	State Plan Amendment Directed Payment	\$ 22,000,000 \$ \$ 12,500,000 \$	22,000,000 12,500,000		'	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	К	(CORE) Services Support the Transition to Article 29-1 Health Facility Core Limited Health Related Services	DOH, OCFS	State Plan Amendment	\$ 8,600,000 \$	8,600,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	L	Expand Crisis Services for People with IDD	OPWDD	Appendix K Waiver Amendment	\$ 11,400,000 \$	11,400,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	М	Enhanced Rates for Private Duty Nursing (PDN)	DOH	State Plan Amendment	\$ 13,100,000 \$	13,100,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	N	Provide Incentives for the Development of More Integrated Residential Services	OPWDD	N/A	\$ 10,000,000 \$	10,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	0	Invest in OASAS Outpatient Addiction Rehabilitation Treatment Services Adjustments	OASAS	State Plan Amendment	\$ 4,000,000 \$	4,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	Р	Invest in Personalized Recovery Oriented Services (PROS) Redesign	ОМН	State Plan Amendment	\$ 3,000,000 \$	3,000,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	Q	CFTSS Rate Adjustments	DOH	State Plan Amendment	\$ 2,300,000 \$	2,300,000	\$ -	\$ -	\$ -													
HCBS Capacity, Innovations, and Systems Transformation	R	Children's Waiver HCBS Rate Adjustments	DOH	1915 (c) Waiver Amendment, Appendix K	\$ 2,300,000 \$	2,300,000	\$ -	\$ -	\$ -													

1																		
HCBS Capacity, Innovations, and Systems Transformation	S Invest in Assertive Communit Treatment (ACT) Services	у омн	State Plan Amendment	\$ 2,700,000 \$	2,700,000	\$ -	\$ -	\$ -										
HCBS Capacity, Innovations, and Systems Transformation	T Implement Youth ACT Program	ns OMH	State Plan Amendment	\$ 1,600,000 \$	1,600,000	\$ -	\$ -	\$ -										
HCBS Capacity, Innovations, and Systems Transformation	U Health Home Servicing Childre (HHSC) Rate Adjustments	DOH	State Plan Amendment	\$ 600,000 \$	600,000	\$ -	\$ -	\$ -										
HCBS Capacity, Innovations, and Systems Transformation	V Implement Young Adult ACT Teams	ОМН	State Plan Amendment	\$ 184,000 \$	184,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	Modernize OPWDD IT Infrastructure to Support A Medicaid Enterprise & Investments to Expand Operational Capacity	OPWDD	N\A	\$ 42,400,000 \$	42,400,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	B Strengthen NY Connects Infrastructure	SOFA, DOH	N/A	\$ 29,800,000 \$	29,800,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	C Advance Children's Services I	DOH	Directed Payment	\$ 8,800,000 \$	8,800,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	Extended Short-Term Support D for Behavioral Health Care Collaboratives		Directed Payment	\$ 8,000,000	8,000,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	Support for Adult Day Health E Centers and Social Adult Day Centers Reopening		Directed Payment	\$ 10,000,000	10,000,000	\$ -	\$ -	\$ -										
Digital Infrastructure Investments	Study to Develop new Consumer Directed Persona Assistance Program (CDPAP) Care Technology		N/A	\$ 5,100,000 \$	5,100,000	\$ -	\$ -	\$ -										
	·													·		· ·		
Total				\$ 2,247,434,000 \$	2,247,434,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ - \$	- \$ -	\$ -	\$ - \$ -	\$ -	s - s -	\$ -	\$ -

Formatting Key:
Support and Strengthening the Direct Care Workforce Proposal
HCBS Capacity, Innovations, and Systems Transformation Proposal

Digital Infrastructure Invesements proposal
Actual Expenditures reported to date
Red text demarcates modifications from the original spending plan

submitted to CMS.
Strikethroughs represent programs that will no longer be pursued