

NEW YORK STATE  
OFFICE OF MENTAL HEALTH

PUBLIC HEARING  
NEW YORK STATE'S IMD TRANSFORMATION PROGRAM  
WAIVER APPLICATION

Virtual Hearing  
October 26, 2022

October 26, 2022

PRESENT:

LILLIE JOHNSON, Host

AMY CLINTON, Moderator  
Department of Health  
Bureau of Adult Special Populations

PANELISTS:

Department of Health  
Trisha Schell-Guy, Director  
Division of Program Development and Management

Sarina Master, Director  
Bureau of Adult Special Populations

Office of Mental Health  
Anita Daniels, Associate Commissioner

Jeremy Darman, Deputy Commissioner  
State and Local Operations

Office of Addiction Supports and Services  
Trishia Allen, General Counsel

Pat Lincourt, Associate Commissioner

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2                   MS. AMY CLINTON: My name is Amy Clinton  
3 and I work for the Bureau of Adult Special  
4 Populations at the Department of Health.

5                   Before we get started, I would like to  
6 let folks know that closed captioning is  
7 available for this webinar in order to enable  
8 them. Please look at the bottom of your screen.  
9 The left hand side of your screen, find the CC.  
10 And, when you click on it and it says, show  
11 closed captions, that should get them started.

12                   Also, we have American Sign Language  
13 interpreters with us today for this webinar, I'd  
14 like to acknowledge and thank our interpreters,  
15 Stephanie and Lauren. In order to get the  
16 interpreters video on the same stage as the  
17 presentation, please right click on the  
18 interpreters video icon, and then select, move to  
19 the stage. And, you should see their video side  
20 by side with the slide deck. If anybody has any  
21 trouble accessing either the closed captions or  
22 the sign language interpreter, please let us know  
23 in the chat box.

24                   In compliance with social distancing

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2           guidelines due to COVID-19 and in alignment with  
3           approved CMS exceptions to satisfy the public  
4           hearing requirements outlined in 42 CFR 431.408,  
5           the State is holding two virtual public hearings  
6           in connection with this waiver amendment request.

7                         Public hearings are required for all  
8           1115 waiver amendments in order to afford the  
9           public an opportunity to provide comments  
10          regarding the State's waiver amendment  
11          applications.

12                        Comments which are made during a public  
13          hearing may supplant, supplement or reiterate  
14          written comments that are submitted through  
15          alternative comment channels, such as the 1115 e-  
16          mail or the mailing address, which we will  
17          reiterate at the end of this presentation.

18                        A recording and transcription of this  
19          hearing will be available on the MRT Waiver  
20          website about three to five days after the  
21          hearing. This is the same website where you  
22          found copies of the application proposal.

23                        Language translation is available upon  
24          request.

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2                   I'd like to introduce today's panelists,  
3 starting with Trisha Schell-Guy, Director of the  
4 Division of Program Development and Management at  
5 the Department of Health.

6                   MS. TRISHA SCHELL-GUY: Good afternoon.  
7 Thanks, Amy.

8                   MS. CLINTON: Serena Master, Director of  
9 the Bureau of Adult Special Populations at the  
10 Department of Health.

11                   MS. SARINA MASTER: Hi, everybody.  
12 Thanks, Amy.

13                   MS. CLINTON: Anita Daniels, Associate  
14 Commissioner at the Office of Mental Health.

15                   MS. ANITA DANIELS: Hi, good afternoon,  
16 everyone.

17                   MS. CLINTON: Jeremy Darman, Deputy  
18 Commissioner, State and Local Operations at the  
19 Office of Mental Health.

20                   MR. JEREMY DARMAN: Afternoon,  
21 everybody.

22                   MS. CLINTON: Trishia Allen, General  
23 Counsel for the Office of Addiction Services and  
24 supports.

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2                   MS. TRISHIA ALLEN: Good afternoon,  
3 everyone.

4                   MS. CLINTON: And, Pat Lincourt,  
5 Associate Commissioner for the Office of  
6 Addiction Services and supports.

7                   MS. PAT LINCOURT: Good afternoon.

8                   MS. CLINTON: For today's agenda, we  
9 will go through the background, the purpose and  
10 the objectives of this Waiver Amendment request.  
11 Agencies will outline program designs. We'll go  
12 through, briefly, the financial data that is in  
13 the Waiver, as well as how the State intends to  
14 evaluate outcomes and objectives in this Waiver.  
15 We'll talk quickly about the submission timeline  
16 and then give folks time for the public comment  
17 period. Trisha Schell-Guy:

18                   MS. SCHELL-GUY: Great. Thank you so  
19 much, Amy. So, beginning with the background, I  
20 would like to spend a couple of minutes to  
21 explain to folks what an IMD is, or an  
22 Institution for Mental Disease, and why we need a  
23 waiver, why we even need to do this waiver for  
24 services that are provided to individuals in an

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2           IMD.

3                         Initially, I think it's important to  
4           mention that Medicaid is the largest payer of  
5           behavioral health services in New York State and  
6           in the United States. That being said, there are  
7           still some behavioral health services that  
8           Medicaid does not cover. IMDs have a long  
9           history in Medicaid. They go all the way back to  
10          1965.

11                        At that time, Congress established  
12          Medicaid as a public health insurance program.  
13          It was, it was and continues to be a partnership  
14          between states and the federal government.  
15          However, at that time and continuing through to  
16          today, there are populations and services that  
17          the federal government feels are states'  
18          responsibility. And thus, would not be eligible  
19          for any federal financial contributions.

20                        One of these excluded services is for  
21          individuals that are in institutions for mental  
22          disease, or we'll just keep calling it IMD 'cause  
23          it's shorter. The intent there being that  
24          institutionalized individuals are a state

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2           responsibility and that the restrictions put on  
3           federal funding provide incentives to the states  
4           to invest in community alternatives and not have  
5           people remain institutionalized for longer than  
6           is necessary.

7                       So, IMDs are defined in federal law.  
8           They're defined as, and it's on the screen for  
9           you, a hospital, nursing facility, or other  
10          institution with more than 16 beds, so, 17-plus,  
11          that is primarily engaged in providing diagnosis,  
12          treatment or care of persons with a mental  
13          disease. Mental disease is an out of date term,  
14          but it is still the term that's in the statute.  
15          It includes individuals with mental health  
16          conditions or substance use disorder. It does  
17          not include the IDD population. And, there also  
18          are a few exceptions in the law, including  
19          individuals who are over 65 years of age, and  
20          persons 21 and under that are residing in an in-  
21          patient psychiatric facility for youth. So, we  
22          do have those in New York State. We do get  
23          federal share for those. They include OMH's  
24          residential treatment facilities or RFTs and



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2           OASAS's residential rehab services for youth or  
3           RRSY.

4                     One of the other things I want to point  
5           out in addition to that 16-bed trigger is the  
6           primarily engaged language. That also is very  
7           important in defining an IMD because,  
8           essentially, it's a math problem. Essentially,  
9           you are primarily engaged if more than 50 percent  
10          of the individuals you serve, have a behavioral  
11          health.

12                    Okay. Next slide, please. Great.

13                    So, what's the purpose of New York  
14          State's IMD waiver request? Why are we doing  
15          this? In the broadest sense, the idea behind  
16          this is to acknowledge that some levels of care  
17          in the mental health and SUD systems are so  
18          critical that we have operated them for years  
19          with only state resources. And, they are  
20          integral parts of our continuum of care. So now,  
21          we're looking to take advantage of some  
22          opportunities that have arisen at the federal  
23          level to obtain additional resources so that we  
24          can strengthen our entire system and that we can

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2 improve care for folks that reside in these IMD  
3 settings and are transitioning out of these  
4 settings into the next level of care.

5 Next slide, please.

6 So, what exactly is an IMD waiver  
7 amendment? What does that mean? From a process  
8 perspective, we are using federal authority under  
9 Section 1115 of the Social Security Act to ask  
10 CMS to approve a demonstration project that  
11 promotes the objectives of the Medicaid program.

12 So, 1115 is something that you have  
13 probably heard very frequently, especially lately  
14 as we have put forward the HealthEquity waiver.  
15 It's a term that is used often in New York and  
16 across the country. It's how our managed care  
17 system of our managed care delivery system is  
18 authorized and how we do many different pilots  
19 and demonstrations in the state of New York.

20 So this 1115 IMD waiver is asking CMS to  
21 waive a portion of Section 1905 of the Social  
22 Security law that prohibits the federal  
23 government from contributing financially to  
24 certain services delivered to individuals --

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2           well, to any services, I should say -- to  
3           individuals in certain IMDs. So, namely, state  
4           psychiatric centers and community-based in-  
5           patient and residential addiction programs. So,  
6           to recap, we're asking them to waive the  
7           provision that would not permit them to fund  
8           those services in those programs.

9                         There are some caveats. Many of them  
10           are lined out in the several state Medicaid  
11           directors letters that have been issued by CMS  
12           over the last several years addressing these  
13           types of waivers. Some of these caveats include  
14           limits on lengths of stay making the average  
15           length of stay no more than 30 days with an  
16           absolute limit of no more than 60 days. Somewhat  
17           unique to New York in this, in this application  
18           is that we are also asking CMS to approve a  
19           targeted set of in-reach services, reimbursable  
20           in-reach services for individuals in the state  
21           psychiatric centers who would not otherwise meet  
22           the 30-day average length of stay. So, they have  
23           been, they would be there longer than those 30  
24           days. These in-reach services that we're seeking

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2           include things like care management, discharge  
3           planning, and clinical services to include a, or  
4           to ensure -- excuse me -- a warm handoff once  
5           these individuals leave the state psychiatric  
6           centers. And, they would be provided 30 days  
7           prior to release to some of the most vulnerable  
8           and disadvantaged patients with the goal of  
9           strengthening community engagement for these  
10          patients to keep them out of the emergency  
11          department and prevent a return to an in-patient  
12          or state PC.

13                        So, CMS will not approve this type of  
14          waiver indefinitely, nor will they allow federal  
15          dollars to cover long lengths of stay. So, as we  
16          move forward with negotiating this waiver, there  
17          will be a host of standard terms and conditions  
18          that will dictate many of the conditions that  
19          must be demonstrated throughout that five-year  
20          term. And, my colleagues will go over some of  
21          that when they get a little bit more into the  
22          program.

23                        And then, finally sometime in 2023, our  
24          plan is to add services delivered to children in

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2           the child welfare system that reside in QRTPs or  
3           Qualified Residential Treatment Programs and  
4           other child welfare institutions that meet the  
5           definition of an IMD. So, that will be coming  
6           next.

7                         So, now we talked about background. We  
8           talked about purpose. I wanted to take a minute  
9           to highlight some of the overall objectives that  
10          we hope to achieve with the federal funding that  
11          we gain from this waiver.

12                        This is another step towards  
13          transforming the behavioral health system by  
14          promoting improved access to community-based  
15          mental health and substance use disorder  
16          services. And, we want to use the funds that we  
17          realize from this waiver to transform, strengthen  
18          and improve our system so that we can provide the  
19          highest quality behavioral health services in the  
20          least restrictive setting.

21                        To do that, we need to make sure that we  
22          have robust care transition services available  
23          and sufficient access to those community-based  
24          treatment and support services that these members

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2           will need.

3                       Next, please.

4                       Next, we're going to get into the  
5           details of the actual program design of this  
6           waiver. So, I'm going to start with this slide,  
7           and then I'll pass it along to my colleagues.  
8           So, before I turn it over to my O-Agency  
9           colleagues, I just want to level set a little bit  
10          so people understand that while this waiver is  
11          specific to services in IMDs, it's really two  
12          distinct asks of CMS. That being said, it has  
13          been a very collaborative effort among DOH, OASAS  
14          and OMH addressing and understanding the needs of  
15          each of the agencies and the populations.

16                      So, the first program is seeking federal  
17          financial participations of federal dollars for  
18          individuals with serious mental illness receiving  
19          services in state psychiatric centers. This ask  
20          would generate federal share for any patients who  
21          have an average length of stay of 30 days or  
22          less. And, this would be claimed  
23          retrospectively. So, after a patient stay is  
24          over, if that stay is 30 days or less, that is

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2           when the claiming would happen. This also  
3           contains the section I described in the, in the  
4           application requesting federal financial  
5           participation for the 30 days of in-reach  
6           services prior to discharge.

7                       Then, there's the substance use disorder  
8           component, which is seeking federal financial  
9           participation for all individuals in any IMD.  
10          So, this, this would include in the SUD world  
11          their community-based detox programs, in-patient  
12          programs, and the three elements of Part 820  
13          residential care. This is a prospective look at  
14          everyone in these programs. And, in doing that,  
15          we need to adhere to and demonstrate an overall  
16          30-day length of stay across all of these  
17          programs.

18                       Next slide.

19                       So now, I'm going to turn it over to  
20          Anita Daniels from the Office of Mental Health to  
21          provide more detail on the SMI initiatives.

22                       MS. DANIELS: Thanks, Trisha, thanks.  
23          Hi, everyone.

24                       So, those of you who are familiar with

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2           the work that the Office of Mental Health do, you  
3           all know that for well over a decade or more,  
4           we've really been focused on really looking at  
5           folks that are served in our hospital, state  
6           psychiatric system. I'm really focusing on  
7           reducing their length of stay. So, so we're  
8           excited about this potential opportunity and  
9           these potential additional dollars from the  
10          federal government to really help us enhance the  
11          work that we've done. I think Trisha said it  
12          best, transform, strengthen and improve.

13                        So, we, we in, the OMH system, our state  
14          psychiatric centers really stay committed to the  
15          partnership and really talking about creating  
16          this continuum or hub of services to support an  
17          individual not in their in-patient care and in  
18          their community program.

19                        Through this waiver, we hope to maximize  
20          the ability of our state psychiatric centers,  
21          which are predominantly centrally located in  
22          their communities to provide an enhanced service  
23          delivery system, really continuing to emphasize  
24          community integration and recovery in the



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2           community. This enhanced delivery system will  
3           include transitional housing that integrates  
4           better mental health and substance use disorders.  
5           So, we're really looking forward to partnering  
6           with our OASAS partners on that employment and  
7           education supports, of course, also, primary  
8           care.

9                         We're also looking forward to those, our  
10           community partners potentially receiving some  
11           modest events investment from this federal  
12           matching fund to help us promote engagement and  
13           community tenure.

14                         Next slide.

15                         So, one of the things that OMH will  
16           embark on is conducting a comprehensive  
17           assessment of how our psychiatric centers are  
18           currently facilitating discharge for those  
19           hospitalized one year or more. We're, we've  
20           focused on creating a data platform that will  
21           help to standardize this assessment across all  
22           PCs and really includes an in-depth assessment of  
23           psychiatric stability, functional or  
24           environmental barriers, placement needs for those

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2           who are ready for discharge.

3                       So, our discharge planning process will  
4           include an array of services. Some of these  
5           things I list aren't new to folks, but we're  
6           looking to really see how we can enhance them and  
7           do that work a little bit differently. So, using  
8           our active mobile integration teams, our home  
9           care management teams, our ongoing and very  
10          active and aggressive recruitment of peer and  
11          family bridgers to improve those engagement and  
12          connections, and partnering with our Pathway Home  
13          Care manager, who really will be embedded and be  
14          active members of our discharge planning process.  
15          And again, I talked about our potential  
16          partnership with OASAS to capitalize on the use  
17          of their peer led recovery centers.

18                      One thing we're excited about is really  
19          utilizing some new evidence-based clinical  
20          programs reducing their length of stays. We're  
21          looking at the I function, which is a functional  
22          skills assessment and training software platform  
23          that aids staff in assessing the functional  
24          limitations of individuals and the areas of

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2           safety, well-being, medical treatments, financial  
3           health, social support and technology.

4                     Again, we're looking at, really becoming  
5           innovative with recovery-oriented cognitive  
6           therapy or CTR, which provides, will provide our  
7           clinicians a concrete action of the steps to  
8           promote recovery and resilience for patients.

9           COG REM behavioral intervention targeting  
10          problems with cognition with the ultimate goal of  
11          improving day-to-day community functioning. And,  
12          we're excited about, really, adopting a  
13          medication empowerment curriculum pilot developed  
14          from the work of Pat Deegan and in collaboration  
15          with the Center for Practice Innovation or CPI to  
16          improve shared decision making and skills for  
17          medication independence with the ultimate goal of  
18          community stability.

19                    So, we will also really have a focus on  
20          prioritizing supports for families during this  
21          time. We're really focusing on family bridgers  
22          and transition support teams, in addition to  
23          prioritizing CPS, family system engaged in  
24          training model for our clinical teams.

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2                         So again, looking forward to. to  
3           transforming, strengthening and improving the  
4           work that we do in our state-operated psychiatric  
5           centers. So, it's my pleasure to send, turn it  
6           over to my colleague, Jerry, Jeremy Darman.

7                         MR. DARMAN: Thanks a lot, Anita. I'm  
8           going to talk just a little now about the, you  
9           know, how we really move the the work that we're  
10          doing through the discharge planning and the  
11          clinical work on the in-patient unit into the  
12          community and really sustain that to make sure  
13          people have this sort of continued level of  
14          support.

15                        So, I think, as people know, the  
16          transition from in-patient into the community can  
17          be really difficult, especially people who've  
18          been there for more extended periods of time.  
19          So, you can have crises, you know, situational  
20          crisis, psychiatric crises, arising that could  
21          lead to re-admission if we don't have the proper  
22          pieces in place. So, I think, you know, this  
23          waiver is a real opportunity to support critical  
24          time intervention informed programs for people

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2           moving out of in-patient psychiatric centers.  
3           So, we'll be able to give real intensive and  
4           comprehensive in-reach while they're still on in-  
5           patient during that transition process. And  
6           then, follow the person and stay with them as  
7           they move into transitional or permanent  
8           supported housing. So, I think that the services  
9           staying with people once they're in the community  
10          is really essential for supporting residential  
11          tenure engagement, really getting involved in  
12          meaningful life activities. And, I know  
13          providers, it's also a support to providers so  
14          they can really have somebody that's there for  
15          them during that transition and after someone  
16          moves into the community.

17                 So, I think, you know, as we've, we've  
18                 said for a long time at OMH the right services at  
19                 the right time in the right amount, you know, all  
20                 of these efforts will be informed by that, making  
21                 sure that, that we're meeting people exactly  
22                 where their needs are, and that we know will be  
23                 able to help us reduce, reduce the length of  
24                 stay, which is one of the real aims of this

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2           project.

3                       I, I think throughout the program  
4           development, also the, the, we'll be building,  
5           we'll be strengthening our relationships with,  
6           with ambulatory providers who will be able to  
7           come in and do the in-reach to our facilities  
8           with some incentive. There will be an ability to  
9           actually generate revenue from that. And, and  
10          again, I think emergency services providers, like  
11          CPEPs and EDs and also our residential providers  
12          will have more support there in the community to,  
13          to be there when a person is in crisis, help  
14          reengage, and work on a disposition so they can  
15          stay in the community.

16                      And then, finally, you know, I think as  
17          people saw, if you read a lot of the Waiver  
18          documents, we have a series of performance  
19          measures come in there as examples. I think some  
20          of those are measures that you're quite familiar  
21          with. So, so, the waiver will be informed by  
22          standardized key performance indicators. I look  
23          forward to people, you know, you can comment on  
24          what those might be that, that best really

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2           reflects success. So, you can, you can look at  
3           that in the document, and that's something  
4           that'll really, I think, show whether we're  
5           making progress.

6                        So, I look forward to hearing everyone's  
7           comments today and in the future on this. And,  
8           thank you very much. I'll pass it over to OASAS  
9           now.

10                      MS. ALLEN: So, sorry. This is Trishia  
11           Allen. I, I will start and then, Pat, if you  
12           want to join in anywhere where I miss a point  
13           that you maybe want to make.

14                      So, from the SUD initiatives side of the  
15           IMD waiver, we are looking to pull in our  
16           community-based detox, in-patient rehab and  
17           residential services, as well as our residential  
18           reintegration. So, for a little bit of context,  
19           during the original behavioral health carve-in  
20           and residential redesign, OASAS incorporated our  
21           service, our 820 services into the residential  
22           benefit under Medicaid. And, in the most recent  
23           state plan amendment that we put forward, which I  
24           think was 2164, which we did in the context of,

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2           an enhanced FMAP proposal, we pulled in the last  
3           element of the 820 services. So, those are the  
4           residential reintegration services.

5                     The overarching goal is to allow for a  
6           full continuum of services to be available to  
7           individuals in need of substance use disorder  
8           services from detox all the way to the less  
9           intensive residential reintegration services with  
10          the hope that we can pull in Fed share for both  
11          the fee for service and for additional days in  
12          the Medicaid-managed Care Universe.

13                    So, a couple of things that we are  
14          focusing on is ensuring that people are making  
15          the connections in between the services. So,  
16          making sure that we are using, kind of, right  
17          services, right time, that people are going into  
18          the level of care that is most appropriate for  
19          their need and not cycling in and out of a  
20          hospital or going to a higher level of care than  
21          they need for their particular circumstances. We  
22          are also trying to ensure that we are decreasing  
23          hospital admissions and readmissions, focusing on  
24          overdoses, ensuring that people are getting



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2           access to medication-assisted treatment and other  
3           best practices so that we can improve outcomes  
4           overall. Pat, anything to add?

5                   MS. LINCOURT: No. Thanks, T, that's  
6           good. So, one of the ways that we identify the  
7           correct level of care is through the LOCADTR.  
8           And, it determines, through an assessment and a  
9           decision tree, the best recommendation for the  
10          appropriate level of care. The residential  
11          services have always been a very important part  
12          of the continuum for SUD care. Many people need  
13          that safe environment. And, the elements of care  
14          allow for stabilizing people who are in need, who  
15          are experiencing cravings, who may be using  
16          unsafely, who may have some cognitive impairment  
17          through their substance use to have a safe place  
18          for treatment to stabilize. The rehabilitation  
19          is an important part of how, allowing people to,  
20          you know, gain recovery skills to have long term  
21          management of the substance use disorder. And,  
22          as T said, now bringing in the reintegration  
23          will, allows for provi-, for people to then have  
24          a safe place to reintegrate. And, you can come

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2           into whichever one of those elements best fits  
3           for your presenting issues.

4                       The LOCADTR also has a concurrent review  
5           module where, that clinicians use to guide  
6           decision making about when a person is ready to  
7           move to a different level of care. And so, the,  
8           you know, what we're doing here will allow for  
9           that we have now the managed care plans able to  
10          see the entirety of that continuum of care by  
11          bringing in the, the IMD waiver. We will have,  
12          the providers will have the full expanse of  
13          reimbursement for services. And, the LOCADTR  
14          criteria will help to guide that decision making.

15                      So, T, I'll turn it back to you.

16                      Next slide.

17                      MS. ALLEN: Thank you. So, part of the  
18          waiver program and the hopes that OASAS, what  
19          OASAS is hoping to achieve here, is really, in  
20          addition to this continuum, leveraging the  
21          mechanisms for delivery to improve outcomes. So,  
22          what we're really focusing on across the  
23          continuum is expanding access to services through  
24          things like telehealth. And, these are things

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2           that we've seen that we have been working on it  
3           as an agency, and we'll continue to look to  
4           expand. So, mobile medication units, that's  
5           something that we've been working on, and now  
6           that the federal government has opened up the  
7           ability to do mobile methadone, that's something  
8           that we will continue to look to expand so that  
9           we can really get to locations that maybe don't  
10          have services or enough access to services for  
11          people to really be firmly engaged in that.  
12          We're also looking to broaden the reach of the  
13          opioid treatment programs, you know, ensuring  
14          that there's regions that are not suffering from  
15          any lack of services.

16                         And then, we're also looking at how  
17          we're delivering those services. Are there ways  
18          that we can be more, providing services more  
19          directly to people who are unstably housed or are  
20          in crisis. So, that's where we're looking at  
21          doing thee outreach programs where we're working  
22          with the Department of Health to increase  
23          services that are more harm reduction focused,  
24          meeting people where they're at, offering

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2           services in the community. You know, we're also  
3           leveraging our peer work force in our out-patient  
4           system to have that lived experience as a  
5           critical component in our treatment system. We  
6           found that they, they really do have a tremendous  
7           effect on the patients and, and really work to  
8           engage with them and bring them into services.

9                         And then, we are also pursuing federal  
10           ability to have each outpatient program provide  
11           methadone as a way to increase access to all  
12           versions of medication-assisted treatment, which  
13           are authorized by the FDA, especially given the  
14           ongoing opioid epidemic. So, those are our  
15           goals.

16                        And now, now I will turn it over to  
17           Serena Master for the fiscal portion of the  
18           waiver.

19                        MS. MASTER. Thank you, Trishia. So, I  
20           wanted to go over some of the financial data.  
21           The total cost of this amendment is estimated to  
22           be \$268.37 million over the five-year period.  
23           So, this estimate assumes continued measured  
24           increases in community placement, successful

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2           placement into those community settings, and  
3           enhanced crisis support resources.

4                       So, this estimate, this waiver is  
5           estimated to be budget neutral. In general,  
6           demonstrations have to be budget neutral per  
7           federal requirements. So what this means is that  
8           Medicaid expenditures won't be any higher in this  
9           waiver than they would have been before the  
10          demonstration. We expect to achieve savings  
11          through our reinvestment of the dollars into  
12          those enhanced services that our colleagues over  
13          at OASAS and OMH described. And, those services  
14          are aimed at transitioning people to the  
15          community and keeping them healthy in the  
16          community. That's where we're going to see those  
17          savings.

18                      So, if you look at this arrow over here,  
19          I know the font is a little small, but it  
20          includes the estimated eligibility projections.  
21          So, if you can see in those boxes, there's a  
22          group titled OMH A and a group titled OMH B. So,  
23          OMH A represents those people in the cohort with  
24          the 30-day average length of stay. These are

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2           folks we're requesting the federal financial

3           participation for. And, the group titled OMH B

4           represents the group of people eligible for those

5           targeted in-reach services in the 30 days prior

6           to their discharge from a state psychiatric

7           center. So, I won't go through each number for

8           each year. This slide deck will be available if

9           you want to look at it. But, I will just high

10          level say that you can see that we project that

11          the OMH B group, which is those eligible for the

12          30-day in-reach services will stay steady at 2500

13          people per year over the five-year period. Those

14          OMH A folks in that group, which, again, is the

15          30-day average length of stay cohort. Those will

16          initially start at 450 people in year one and the

17          OASAS cohort is estimated to start at 2,218. So,

18          those two cohorts, the OASAS cohort and the OMH A

19          group are expected to rise steadily over each of

20          the five years by a couple hundred people. And

21          again, you will have access to this slide if you

22          want to see the exact numbers.

23                        So, there will be two evaluations of

24                        this program and I'll talk about that more in a

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2           second. The first one will be at Year 3, and  
3           that's for the SUD or OASAS population. And  
4           then, in Year 5, will be evaluated.

5                       Next slide.

6                       So, the evaluation approach is very  
7           comprehensive. It is a multi-method statewide  
8           evaluation that will be conducted by an  
9           independent evaluator. Again, this will be  
10          conducted at the midway point for the SUD folks  
11          and at the end of the demonstration for both the  
12          SUS and the SMI cohorts.

13                      So, this independent evaluator will  
14          document the impact of the waiver on health care,  
15          service delivery and utilization, health care  
16          service quality, health outcomes, and cost  
17          effectiveness. The evaluation will examine  
18          different program components and look at the ones  
19          that led to successes, as well as the ones that  
20          pose particular challenges, or, you know,  
21          provided learnings for us in the implementation.  
22          In outcomes of the waiver.

23                      So, ultimately, this assessor will be  
24          assessing whether the goals of each program were

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2           met. Again, the methods are very comprehensive.  
3           They will utilize a pre- and post-design  
4           approach. It will be a mixed effect regression  
5           analysis to examine individual outcomes over  
6           time. There are multiple analyses that will be  
7           involved of variance and hypothesis testing to  
8           compare population and acuity characteristics.

9                       Next slide.

10                      So, this is the submission timeline. As  
11           you saw, public notice was posted to the State  
12           Register and the public comment period began on  
13           October 5th, as well as the tribal comment period  
14           began on October 5th. The public hearings are  
15           today, as well as on October 31st. And, the  
16           public comment period is going to end on November  
17           4th. So, if you have comments that you want to  
18           include, please send them by November 4th or at  
19           the next hearing. The tribal comment period ends  
20           November 10th. So, for those, please include  
21           your comments by November 10th.

22                      We will be incorporating the written and  
23           oral public comments and will be finalizing the  
24           amendment by November 30th. We plan to formally



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2           submit the amendment application to CMS in  
3           December and we are hoping to have our  
4           implementation date be in the spring of 2023.

5                       So, I will hand this over to Amy Clinton  
6           to discuss the public comment period.

7                       MS. CLINTON: Great. Thank you, Sarina.  
8           So, we have a list of pre-registered commenters,  
9           which will indicate the order in which everyone  
10          will be called on to speak. I will call out your  
11          name and, when I do so, I request that you -- or,  
12          actually I don't request -- you will be getting a  
13          message saying that the host is requesting that  
14          you unmute yourself. And, when you get that  
15          message, please choose the option, unmute me.  
16          Without making that selection, you will not be  
17          able to unmute yourself. And, also a word of  
18          warning, to make sure that your phone is also in,  
19          unmuted to avoid that infamous double mute.  
20          Comments will be timed. Please limit your  
21          remarks to 5 minutes. Again, written comments  
22          will be accepted through November 4th by e-mail  
23          at the 1115waivers@health.ny.gov. Or you can  
24          mail them so that they are received by November

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2           4th at the address there.

3                         And so, to start the public comments.  
4           Lydia Virgil at SOMOS [phonetic]. And, And up  
5           next will be Alex Dameron.

6                         MS. LILLIE JOHNSON: So, Amy, it looks  
7           like Linda is either not with us or has joined  
8           under a different name. Linda, if you're here  
9           under a different name, you can chat it to the  
10          host and we can circle back around to you.

11                        So, I am going to go on down the list.  
12          Alex, and I don't believe that I saw Alex either.  
13          Let me double check. Alright, Alex, the same  
14          thing. If you can, if you are here, please send  
15          the host a chat and we can unmute you. And,  
16          Lydia, okay. There we go. I'm going to press  
17          request unmute and you'll have to click, unmute  
18          me. Thanks for your patience, everyone.

19                        MS. CLINTON: Go ahead, Lydia when  
20          you're ready.

21                        MS. LYDIA VIRGIL: Thank you so much.  
22          Thank you. I was trying to unmute. Actually,  
23          at this point, my only comment is one that I will  
24          write. This is a very positive project and I

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2           hope to see it come to fruition because there is  
3           this huge need for mental health for our  
4           communities, especially post-COVID. As we know,  
5           all of these behavioral health issues have come  
6           up. They have gotten more severe for those who  
7           had them, and people that didn't have them in the  
8           past, have them now. So, my, that's my only  
9           comment. Thank you so much for all of the work  
10          that you're doing.

11                   MS. CLINTON: Great. Thank you, Lydia.  
12          Lillie, have we found Alex?

13                   MS. JOHNSON: I, when I search I do not  
14          see Alex Dameron or Ron Richter, who were two of  
15          our registrants. So, I think we can move on to  
16          Harvey. And, if Alex or Ron are here, if you  
17          chat to me, we can put you in the queue. So, Mr.  
18          Rosenthal, I'm going to click the button and  
19          you'll have to click, unmute me, when the box  
20          pops up. And you're unmuted. You can go ahead  
21          now.

22                   MR. HARVEY ROSENTHAL: Can you hear me  
23          okay?

24                   MS. JOHNSON: Yep.

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2                   MS. CLINTON: We sure can. Go ahead.

3                   MR. ROSENTHAL. Good. So, I'm, I'm  
4 Harvey Rosenthal and the CEO of the New York  
5 Association of Psychiatric Rehabilitation  
6 Services, represents a partnership of tens of  
7 thousands of New Yorkers with psychiatric  
8 disabilities and community services who support  
9 them in 85 agencies across the state.  
10 I have a lot to say, so I'm going to try to go  
11 fast.

12                   Our aim is being improved services,  
13 public policy and social condition of people with  
14 mental health, substance use and trauma related  
15 challenges. I've been the CEO for NYAPRS for  
16 just under 30 years. Our commitment is personal.  
17 I'm in long term recovery, as are most of my  
18 board and staff. We appreciate all of the  
19 thinking and work -- I've just heard a lot more  
20 of it -- that has gone into this proposal. And,  
21 together, we all hold the goal of reducing the  
22 length of hospital stays and recidivism and  
23 advancing recovery and self-determination  
24 community inclusion. We hold them as paramount.

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2           We've come a long way in improving those services  
3           and we've done it without introducing Medicaid  
4           into state hospitals. So, I'm not sure why the  
5           ones sort of dictates the other. We feel this is  
6           a very slippery slope. It's a lot of change to  
7           make for what apparently will be for OMH only \$15  
8           million a year of, of federal share that becomes  
9           available.

10                    You know, system transformation, we've  
11           come a long way to improving our services, as I  
12           said a moment ago. But, to change the entire  
13           system to become from a long term stay more  
14           chronic sort of system to one that moves people  
15           that quickly in 30 days that requires a sea  
16           change in staff beliefs and culture, language,  
17           pr-, roles, practices, and discharge planning.  
18           Some facilities, I believe, are closer than  
19           others, but we have a long way to go and we're  
20           not going to do that by March or, you know, by  
21           next year. So, you know this idea that we can  
22           make this amount of change in that small amount  
23           of time.

24                    You know, I don't understand this part

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2           of it. Apparently, we're going to manage care  
3           reimbursement, so we'll manage care plans,  
4           approve a 30-day admission, which they don't in  
5           the community. I'd like to hear more about that  
6           if we can.

7                         The proposal does not reference how  
8           quick, how quicker and more successful care  
9           transitions will be achieved. Most of all, it  
10          anticipates sufficient capacity in the community  
11          for all these folks to go to. And, we know we  
12          don't have that now. We don't have enough  
13          short- , as you say, short term residential  
14          intensive community support, crisis  
15          stabilization. We don't have the state-of-the-  
16          art peer engagement supports programs like the  
17          inset model.

18                        Furthermore, we're experiencing  
19          unprecedented levels of staff turnover and  
20          vacancy through the years of drastic  
21          underfunding. Do we really want to take the  
22          risks of this magnitude in this present  
23          environment and you know what I mean, in which we  
24          find ourselves? Housing capacity. We have

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2           people in, stranded in hospitals, nursing homes,  
3           adult homes. You know, they live on the grounds  
4           of the state hospital, all for the lack of  
5           housing. Now, we're going to move people out in  
6           30 days just to housing. I'm not sure how that's  
7           going to happen. We don't, I don't know if we  
8           have the capacity as good as -- staffing  
9           capacity, you know, I, we believe the -- well, we  
10          can get to that.

11                    Reinvestment. I'm not clear about what  
12           calculations have and will be made to anticipate  
13           the level of funding. I heard something about,  
14           about modest investments. I'm not sure what that  
15           means. But in community rest, rent-,  
16           reinvestment from closure of state hospitals,  
17           that money, that money can be not withstood and  
18           doesn't happen. I'd like to know if that happens  
19           here or if we'll lose, you know, or we could lose  
20           that depending on another governor.

21                    Medicaid rules and requirements have  
22           traditionally compromised the appropriate  
23           delivery of recovery and peer support services --  
24           sorry -- by medicalizing the services in setting

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2           up practice and documentation requirements that  
3           will be inconsistent with recovery values and  
4           practices. I heard in the announcement in the,  
5           in the proposal that Community residents will be  
6           included as part of that. We're very concerned  
7           about that. To go from 16 beds to 24 or more.  
8           It's something of institutions in the community  
9           and will be a violation of Olmsted.

10                   I, I have to say I've sort of objected  
11           to this idea that some people will be long-stay  
12           folks. I think it's, it's, I've seen this, you  
13           know, sort of labeling of low functioning and  
14           then people are put on the other side of the  
15           room. Really don't want to see that happen here.

16                   We, I saw something about, again, in the  
17           proposal about hospitals house- housing and other  
18           "facilities". At the federal level, the  
19           discussion has been around allowing Medicaid to  
20           go into for -profit hospitals. I'm not sure  
21           we're talking about that.

22                   Sure, my time is just about run out.  
23           And, I would say this. If we want to save money,  
24           we have 24 state hospitals that sit there using



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2           up our taxpayer money every minute right now.

3           And, here we are taking these kinds of risks and

4           assuming this amount of change, which we can do

5           without this. And in this environment, while all

6           that money in all those buildings and all that

7           waste is sitting there. So, we really strongly

8           urge that in the words of Jennifer Mathis, I'm on

9           the Board of the Bazelon Center for Mental Health

10          Law for about 20 years. Jennifer of the Bazelon

11          Center says it makes little sense to forge ahead

12          with the repeal of the IMD rule given the harmful

13          consequences that may occur. And more

14          significantly, it makes little sense to do so

15          without first building the community service

16          system that everyone agrees is lacking and that

17          would significantly ease pressure on in-patient

18          capacity, as well as reduce incarceration of

19          people with serious mental illnesses. This is

20          where she would start. The other thing I just

21          want to say is the idea of sort of leveraging all

22          this wonderful change, which I hope it could

23          happen, on state hospitals that are very often

24          not in the community -- old buildings on the

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2           grounds with these large, you know, old castles,  
3           things like that. And, to, you know, portray  
4           that is what's going to be a modern recovery  
5           system and get people to go there and get that  
6           response. I worry about that. I worry about  
7           whether, you know, that's the place to do this in  
8           the state hospitals.

9                        I'll stop there. I'm sure I've  
10           exhausted my time. I'll send more comments.

11                      MS. CLINTON: Thank you very much,  
12           Harvey. And, Lydia, we thank you as well. We  
13           appreciate both of your thoughtful comments. I  
14           know that we've confirmed that both Alex and  
15           Ronald are not on today, so this concludes our  
16           public comment period.

17                      Just a reminder to please submit in any  
18           written comments that you have, either by the e-  
19           mail on the screen or by mail to be received by  
20           November 4th. And, a very special thank you to  
21           both of our ASL interpreters, Stephanie and  
22           Lauren. Have a great rest of the day everyone.

23

24

CERTIFICATE OF ACCURACY

I, Claudia Marques, certify that the foregoing transcript of the NYS OMH Public Hearing on October 26, 2022 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Certified By



Claudia Marques

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Date: November 29, 2022

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