Agenda

1. Welcome
2. Optum Intake Sign-Up and Training
3. SPARCS Response Files
4. General SPARCS Updates
5. Adjourn
Optum Intake

Speaker: Amy A. Johnson
Optum ID Registration

1. NYSDOH SPARCS provided Optum with a list of users by facility

2. Optum will send an email to each user asking them to register for their Optum ID

3. The email will come from Optum@donotreply.com and titled Welcome to Intelligent EDI

4. Users will follow instructions in email and log on to Optum site to register. Required information:
   - Name, email, and 3 security questions
   - User identifies user name and password

5. If users already have an Optum ID there will be a notification saying you already have an ID and ask if users want to continue

6. All other system permissions will be granted by Optum
Optum Intake Training

1. Optum Intake training will be provided to facilities via Webinar

2. Training will occur in January 2018 over a two week time frame
   ✓ 45 minute training session
   ✓ Six (6) sessions per day

3. Training objectives:
   ✓ Users will learn how to access the SPARCS EDI portal
   ✓ Learn how to submit 837R
   ✓ Learn how to access reports in the portal
SPARCS
Response Files
Speaker: Brian Erickson
Optum Response Files

- Optum EDI processing generates response files in multiple formats:
  - Machine readable standard X12 response transactions
    - TA1/999 – File and Transaction Set Acknowledgement
    - 277CA – Claim Acknowledgement
  - Submitter Report
  - Error CSV
TA1/999 Acknowledgment

ISA*00*  *00*  *ZZ*350  *ZZ*141338307  *171016*1838*^*00501*000000175*0*P*:~
TA1*012017138*170518*0713*A*000~
GS*FA*SPARCS*141338307*20171016*1838*175*X*005010X231A1~
ST*999*0001*005010X231A1~
AK1*HC*1*005010X223A2~
AK2*837*987654*005010X225A2~
IK5*A~AK9*A*1*1*1~
SE*6*0001~
GE*1*175~
IEA*1*000000175~
227 Acknowledgment

TRN*2*ABH123456~
STC*A1:21:40*20171016*WQ*5015********H46257 The Implementation Convention Reference value is not the same as the Version/Release/Industry Identifier Codes found in GS08.~
STC*A1:21:40*20171016*WQ*5015********H24391 Missing HIPAA Required 'Claim Identifier' in 'L_GS'.~
STC*A1:21:40*20171016*WQ*5015********H10023 Unexpected segment (PAT)~
STC*A1:21:85*20171016*WQ*5015********H20204 Code Value 'SJ' at element 'NM101' in segment 'Billing Provider Name' is valid in the X12 standard, but not in this HIPAA implementation.~
STC*A1:21:85*20171016*WQ*5015********H24391 Missing HIPAA Required 'Assignment or Plan Participation Code' in '2300'.~
STC*A1:21:85*20171016*WQ*5015********H24391 Missing HIPAA Required 'Benefits Assignment Certification Indicator' in '2300'.~
STC*A1:584*20171016*WQ*5015********W24413 Submitters are encouraged to routinely send a Line Item Control Number on all service lines.~REF*BLT*111~
DTP*472*RD8*20170310-20170311~
## Summary

<table>
<thead>
<tr>
<th>File Name</th>
<th>Accept Units</th>
<th>Accept Dollars</th>
<th>Reject Units</th>
<th>Reject Dollars</th>
<th>Total Units</th>
<th>Total Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>837P.eight providers\1.CICD3.3_good.xls</td>
<td>12</td>
<td>$14,434.82</td>
<td>0</td>
<td>$0.00</td>
<td>12</td>
<td>$14,434.82</td>
</tr>
<tr>
<td>Interchange(s) Control Number, Interchange Sender ID</td>
<td>12</td>
<td>$14,434.82</td>
<td>0</td>
<td>$0.00</td>
<td>12</td>
<td>$14,434.82</td>
</tr>
<tr>
<td>Functional Group(s) Control Number, Version/Release ID</td>
<td>12</td>
<td>$14,434.82</td>
<td>0</td>
<td>$0.00</td>
<td>12</td>
<td>$14,434.82</td>
</tr>
<tr>
<td>Transaction ID and Transaction Set Control Number</td>
<td>12</td>
<td>$14,434.82</td>
<td>0</td>
<td>$0.00</td>
<td>12</td>
<td>$14,434.82</td>
</tr>
<tr>
<td>Billing Provider Primary D, Provider Site Number, Billing Provider Name</td>
<td>12</td>
<td>$14,434.82</td>
<td>0</td>
<td>$0.00</td>
<td>12</td>
<td>$14,434.82</td>
</tr>
</tbody>
</table>

**Summary information** indicates the number of accepted/rejected bills and dollars. Click on individual Billing Providers to go directly to details.
These fields are user-defined and can be easily changed.

Bills can be "accepted" with errors. Errors or messages can be shown or excluded from reports.

Hovering cursor over Location Name shows the EDI Address of the Location.
## Error/Message Summary

<table>
<thead>
<tr>
<th>Code</th>
<th>Location</th>
<th>Count Message</th>
</tr>
</thead>
<tbody>
<tr>
<td>W24413</td>
<td>Line item Control Number</td>
<td>1795 Submitters are encouraged to routinely send a Line Item Control Number on all service lines.</td>
</tr>
<tr>
<td>P2184940</td>
<td>Payer Identifier</td>
<td>237 ECCA: The Submitter ID (1000A/ANM109) must be the same value as that submitted in the Application Sender’s Code (GS02).</td>
</tr>
<tr>
<td>W40486</td>
<td>Claim Pricing/Repricing Information</td>
<td>237 ‘Revised Claim Number’ (2300 REF with REF-01 = 9C or 9A) was not found but may be expected because ‘Claim Pricing/Repricing Information’ (2300RHC) is present.</td>
</tr>
<tr>
<td>H24235</td>
<td>Subscriber Group Name</td>
<td>237 ‘Insured Group Name’ was not expected because the Insured Group or Policy Number is present.</td>
</tr>
<tr>
<td>W24411</td>
<td>Claim Pricing/Repricing Information</td>
<td>237 The Claim Pricing/Repricing Information is to be completed by reprocessors only.</td>
</tr>
<tr>
<td>P2184943</td>
<td>Payer Identifier</td>
<td>237 ECCA: The appropriate value for the Receiver Name (1000B/ANM109) is ‘ANTHEM BLUE CROSS’.</td>
</tr>
<tr>
<td>P2184938</td>
<td>Payer Identifier</td>
<td>237 ECCA: The appropriate value for the Interchange Receiver ID (ISA08) is ‘BCCA’ followed by eleven spaces.</td>
</tr>
<tr>
<td>H46506</td>
<td>Claim Information</td>
<td>237 The Attending Provider (2310A) is required on all lines except unscheduled transportation claims.</td>
</tr>
<tr>
<td>P2184946</td>
<td>Payer Name</td>
<td>237 ECCA: The appropriate value for the Payer Name is ‘ANTHEM BLUE CROSS’.</td>
</tr>
<tr>
<td>H10095</td>
<td>Interchange Control Header Segment</td>
<td>237 Padding, spaces or control characters detected after segment terminator.</td>
</tr>
<tr>
<td>P2184936</td>
<td>Payer Identifier</td>
<td>237 ECCA: The appropriate value for the Facility Group Payer Segment (G461G) is the payer.</td>
</tr>
<tr>
<td>P2184935</td>
<td>Payer Identifier</td>
<td>237 ECCA: The appropriate value for the Facility Group Payer Segment (G461G) is the payer.</td>
</tr>
<tr>
<td>H55258</td>
<td>Reconciliation Code</td>
<td>237 ECCA: The appropriate value for the Reconciliation Code (ISA09) is ‘BCCA’ followed by eleven spaces.</td>
</tr>
<tr>
<td>H55271</td>
<td>Device Code</td>
<td>237 ECCA: The appropriate value for the Device Code (ISA09) is ‘BCCA’ followed by eleven spaces.</td>
</tr>
<tr>
<td>B51071</td>
<td>Provider Name</td>
<td>237 ECCA: The appropriate value for the Provider Name is ‘ANTHEM BLUE CROSS’.</td>
</tr>
<tr>
<td>H46456</td>
<td>Claim Information</td>
<td>237 The Claim Information is to be completed by reprocessors only.</td>
</tr>
<tr>
<td>H6504</td>
<td>Claim Information</td>
<td>237 The Claim Information is to be completed by reprocessors only.</td>
</tr>
<tr>
<td>H32375</td>
<td>Billing Provider Address Line</td>
<td>93 The Billing Provider Address must be a street address. Post Office Box or Lock Box addressed are to be sent in the Pay-to-Provider Address.</td>
</tr>
<tr>
<td>H45318</td>
<td>Subscriber City, State, ZIP Code</td>
<td>97 Subscriber City, State, ZIP Code was not expected because the Subscriber Relationship (SBR-02) is not 13-Self.</td>
</tr>
<tr>
<td>H45318</td>
<td>Subscriber Address</td>
<td>97 Subscriber Address was not expected because the Subscriber Relationship (SBR-02) is not 13-Self.</td>
</tr>
<tr>
<td>H45318</td>
<td>Subscriber Demographics</td>
<td>97 Subscriber Demographic Information was not expected because the Subscriber Relationship (SBR-02) is not 13-Self.</td>
</tr>
</tbody>
</table>

**Error/Message Summary provides listing of all errors and messages, along with an occurrence count, for all units within the file.**
<table>
<thead>
<tr>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
<th>G</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Business Unit Number</td>
<td>Transaction Set (837R)</td>
<td>HIPAA Version (005010X225A2)</td>
<td>Route Queue</td>
<td>Route Disposition</td>
<td>Service Provider Primary ID</td>
</tr>
<tr>
<td>2</td>
<td>1.837R</td>
<td>005010X225A2</td>
<td>defaultreject</td>
<td>Reject</td>
<td>1225357452</td>
<td>6303</td>
</tr>
<tr>
<td>3</td>
<td>1.837R</td>
<td>005010X225A2</td>
<td>defaultreject</td>
<td>Reject</td>
<td>1225357452</td>
<td>6303</td>
</tr>
<tr>
<td>4</td>
<td>1.837R</td>
<td>005010X225A2</td>
<td>defaultreject</td>
<td>Reject</td>
<td>1225357452</td>
<td>6303</td>
</tr>
</tbody>
</table>
Error CSV – Field Names

Business Unit Number
Transaction Set (837R)
HIPAA Version (005010X225A2)
Route Queue
Route Disposition
Service Provider Primary ID
Service Provider Tax Identification Number
Service Provider Name
Submitter ID
Submitter Name
Payer ID
Patient Account Number

Patient Name
Statement Dates (Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)
(Unused Identifier Slot)

Error or Message Code
Error or Message Text
Segment Number
Loop Name
Loop Instance
Segment Name
Segment Sequence
Element Sub
Element Data

OPTUM
Optum Response Files

1. TA1/999, 277 CA file format – Standard
2. Submitter file format – HTML
3. Error file format – CSV
4. Next steps – Review technical requirements
General Updates

Speaker: John Bock
General SPARCS Updates

Adjustment/Void Processing

Identifying Transaction to be Adjusted/Voided

- If the “Previous Patient Control Number” is submitted in the NTE segment:
  - Facility PFI (Loop 2010AA REF02 when REF01=1J – Facility ID Number)
  - Statement To and From Date (Loop 2300 when DTP01=434)
  - Previous Patient Control Number (NTE)

- If the “Previous Patient Control Number” is not submitted in the NTE segment:
  - Facility PFI (Loop 2010AA REF02 when REF01=1J – Facility ID Number)
  - Statement To and From Date (Loop 2300 when DTP01=434)
  - Patient Control Number (Loop 2300 – CLM01)

- If no match is found, the transaction will be rejected.
General SPARCS Updates

Submission Limits

• Discharge dates prior to October 1, 2009 will no longer be accepted
• After October 1, 2019, discharge dates more than 10 years old will no longer be accepted.
Adjourn

John Piddock
Thank you.